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Isolating the photovoltaic junction: atomic layer deposited TiO₂-RuO₂ alloy Schottky contacts for silicon photoanodes

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KEYWORDS: atomic layer deposition, Schottky junctions, MIS junctions, photoanodes, photovoltage, TiO₂ alloys

ABSTRACT: We have synthesized nanoscale TiO₂-RuO₂ alloys by atomic layer deposition (ALD) that possess a high work function and are highly conductive. As such, they function as good Schottky contacts to extract photogenerated holes from n-type silicon while simultaneously interfacing with water oxidation catalysts. The ratio of TiO₂ to RuO₂ can be precisely controlled by the number of ALD cycles for each precursor. Increasing the composition above 16% Ru sets the electronic conductivity and the metal work function. No significant Ohmic loss for hole

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3 transport is measured as film thickness increases from 3 to 45 nm for alloy compositions $\geq 16\%$
4 Ru. Silicon photoanodes with a 2 nm surface SiO₂ layer that are coated by these alloy Schottky
5 contacts having compositions in the range of 13-46% RuO₂ exhibit average photovoltages of 525
6 mV, with a maximum photovoltage of 570 mV achieved. Depositing TiO₂-RuO₂ alloys on nSi
7 sets a high effective work function for the Schottky junction with the semiconductor substrate,
8 thus generating a large photovoltage that is isolated from the properties of an overlying oxygen
9 evolution catalyst or protection layer.
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24 1. INTRODUCTION: Metal-insulator-semiconductor (MIS) structures are promising candidates
25 for integrated solar driven water splitting devices.^{1,2} The photovoltage produced by an MIS
26 junction depends on the strength of the built-in field, or Schottky barrier height. This built-in
27 field, in turn, depends on the difference in work function between the semiconductor and the
28 metal, taking charges and interface fields into account.³ In the 1970s, Green and co-workers
29 found that a conductor with a sufficiently high work function produced a surface inversion layer
30 at the semiconductor/insulator interface that helped screen interface traps and promote minority
31 carrier transport across the insulator. As long as the insulator was kept sufficiently thin (1-3 nm),
32 it could simultaneously enable interface defect passivation and facile charge transport by
33 tunneling.³⁻⁶ In recent examples of this approach for water oxidation, the metal layer has both set
34 the built-in field to extract photogenerated carriers from the semiconductor and also functioned
35 as the catalyst for water oxidation.
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55 Recent advances in atomic layer deposition (ALD) of metal oxide protection layers have made it
56 possible to suppress oxidative corrosion of semiconductor materials, such as silicon, that would
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3 otherwise be unstable under the conditions required for water oxidation.^{1,2,7-13} Incorporation of
4 such protection layers into the MIS junction, however, has compromised the photovoltages
5 commonly reported for these devices. An ideal MIS junction using silicon has a theoretical
6 maximum open circuit voltage of 700-800 mV.¹⁴ Previous reports of silicon MIS photoanodes,
7 however, have fallen well below this benchmark. For example, nSi photoanodes protected by
8 highly conductive TiO₂ have reported photovoltages of ~400 mV,² with some devices only
9 achieving 200-250 mV.¹³
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22 Although TiO₂ is highly stable under the conditions required for water oxidation,¹⁵ its electrical
23 properties are difficult to control and are not ideal for simultaneously generating the maximum
24 photovoltage at high photocurrent.¹² The conductivity of TiO₂ films reported by different
25 research groups has been inconsistent, even in cases where the same precursor species and
26 similar temperatures and pressures are used in ALD film deposition.^{2,11} Evidently, the factors
27 controlling TiO₂ conductivity in ultrathin and amorphous or weakly-crystalline ALD-grown
28 films are not easily controlled. Moreover, the TiO₂ causes photovoltage losses regardless of its
29 hole-conducting capabilities.¹² If the TiO₂ is highly conductive, it should set the built-in field in
30 the semiconductor. Highly-conductive n-type TiO₂ is expected to have a work function near its
31 conduction band edge, leading to a small built-in field with nSi. Conversely, if the TiO₂ behaves
32 as an insulator, increasing its thickness leads to photovoltage losses from a charge extraction
33 barrier at the semiconductor/insulator interface.¹²
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53 We have optimized the electrical properties of TiO₂ by alloying it with RuO₂ in an all-ALD
54 process to make a highly conductive, high work function Schottky contact to n-type silicon. Our
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3 work was motivated by the success of the dimensionally stable anode (DSA) for industrial
4 chlorine evolution, which occurs at similarly positive potentials to water oxidation.¹⁶⁻¹⁸ Today's
5 DSAs consist of a thick (a few microns), mixed TiO₂/RuO_x coating prepared by thermal
6 decomposition of appropriate precursors at high temperature. The resulting electrode is known
7 for its high conductivity and durability.¹⁶⁻¹⁸ We sought to create an ultra-thin analogue to the
8 DSA and to understand the chemical and electrical properties of similar TiO₂-RuO₂ alloys
9 prepared by ALD.
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22 As illustrated in Figure 1, the TiO₂-RuO₂ alloy/SiO₂/n-type silicon junction functions as an
23 isolated MIS photoanode. Isolating the photovoltaic junction from the electronic characteristics
24 of the catalyst or from overlying protection layers allows for optimization of the latter
25 components for catalytic efficiency and stability without compromising the photovoltage or
26 photocurrent of the MIS junction. Alloyed TiO₂-RuO₂ films are efficient hole conducting
27 contacts to silicon and possess a high work function of 5-5.2 eV. Alloys ranging from 13-46%
28 Ru showed an average photovoltage of 525 mV, with a maximum observed photovoltage of 570
29 mV. This is a significant improvement over previously reported electrodes with similarly
30 conductive but non-alloyed TiO₂. Hole conduction through the films shows no significant Ohmic
31 loss as film thickness is increased from 3 to 45 nm. Thus, nanoscale RuO₂-TiO₂ alloys provide
32 Schottky junction photoanodes with both high conductance and high photovoltage, independent
33 of film thickness. Most importantly, the built-in-field is set by the TiO₂-RuO₂ alloy, not by the
34 overlying catalyst.
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3 This work illustrates the significant opportunity presented by alloyed and structured ALD metal
4 oxide films to control and isolate the properties of Schottky contacts to semiconductors. Alloying
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6 oxide films to control and isolate the properties of Schottky contacts to semiconductors. Alloying
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8 by ALD enables the flexibility to incorporate a wide variety of materials that could, in principle,
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10 be optimized for any semiconductor absorber. This is particularly significant for semiconductors
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12 that cannot be doped to form high-quality homojunctions. Previous work has demonstrated that
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14 ALD CoO_x can be used to make a good Schottky contact to n-type silicon, and photovoltages
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16 greater than 500 mV were observed.¹⁰ Similarly high photovoltages, however, were not observed
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18 when ALD NiO_x and FeO_x were used instead, despite similar reported work functions among
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20 these materials.^{19,20} These inconsistencies suggest that further study is needed to understand the
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22 requirements for these types of Schottky contacts. Here, we present a rigorous characterization of
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24 TiO_2 - RuO_2 alloys as model Schottky contacts to n-type silicon.
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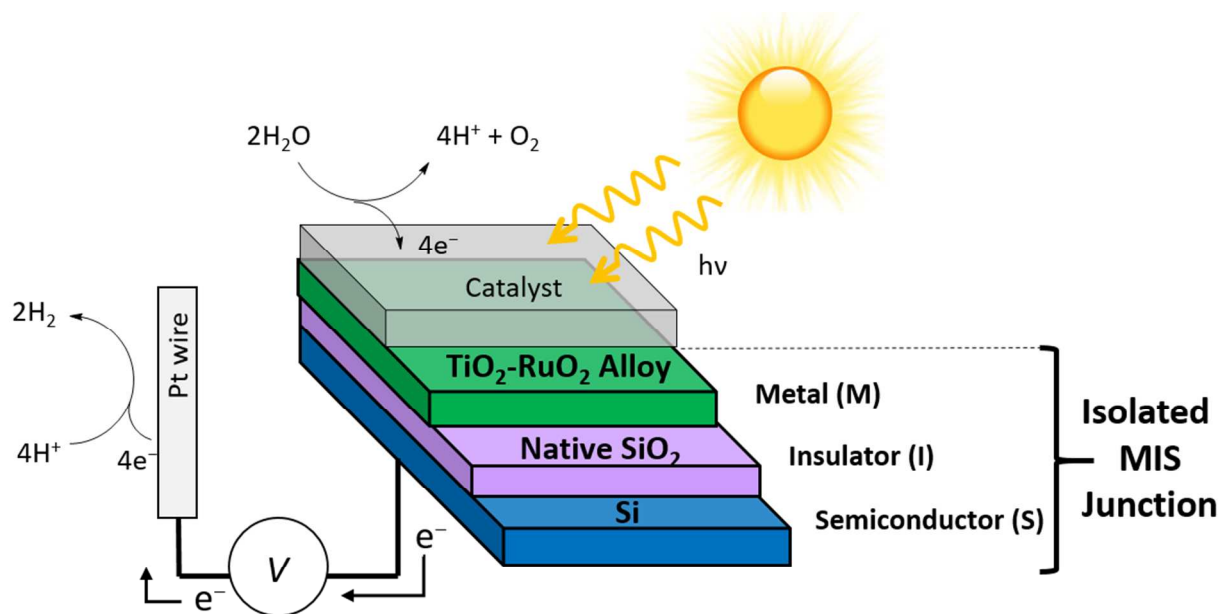


Figure 1. Schematic of the silicon photoanodes fabricated in this study using an isolated MIS junction. The TiO_2 - RuO_2 alloy is sufficiently conductive to function as the metal. The native SiO_2 , which is approximately 1.5-2 nm in thickness, is the insulator.

2. RESULTS AND DISCUSSION:

2.1 CHARACTERIZATION OF TiO₂-RuO_x ALLOY FILMS

TiO₂ films were grown using half-cycle dosing of tetrakis-(dimethylamido)titanium (TDMAT) and water vapor.²¹ RuO_x films were grown using bis(2,4-dimethylpentadienyl) ruthenium (Ru(DMPD)₂) and oxygen.^{22,23} ALD of ruthenium is known to produce a range of oxidation states.²²⁻²⁸ For now, we will refer to the oxidation state of ruthenium in our ALD films as RuO_x to preserve generality. TiO₂-RuO_x alloyed films were grown by an ALD alloying process in which individual cycles of TiO₂ and Ru were alternated. The ratio of TiO₂ to RuO_x cycles was chosen to control the Ru content in the film, and this cycle ratio was repeated for a designated number of ALD super-cycles. For example, one super-cycle of a 1:4 alloy would include one ALD cycle of TiO₂ followed by four ALD cycles of RuO_x. This process was repeated for multiple super-cycles to vary the film thickness.

Figure 2 shows atomic layer deposition data for **(A)** TiO₂, **(B)** RuO_x, and **(C)** TiO₂-RuO_x alloyed films. The TiO₂ film thickness was measured by ellipsometry. As seen in **Figure 2A**, TiO₂ film thickness increases linearly with the number of ALD cycles, and the growth per cycle is calculated to be ~0.5 Å/cycle. Ru film thickness was calculated using X-ray photoelectron spectroscopy (XPS) based on the silicon peak attenuation by the ruthenium overlayer. The details of these calculations are described in the Supporting Information. As seen in **Figure 2B**, Ru film thickness increases linearly with the number of ALD cycles. The growth per cycle is 0.5 Å/cycle, which is higher than previously reported for ruthenium ALD.²²⁻²⁸ There is an initiation delay of approximately 10 cycles before steady-state ALD of Ru is achieved. This delay is quite low compared to typical noble metal ALD, which often exhibits > 50-100 cycles of initiation delay.

TiO₂-RuO_x alloyed film thicknesses were calculated using X-ray reflectivity (XRR), and the thickness of select samples was confirmed by transmission electron microscopy (TEM) (**Figure S5**). **Figure 2C** shows the % Ru incorporation relative to Ti calculated from XPS for alloyed films fabricated for several different TiO₂-RuO_x ALD cycle ratios. As expected, the % Ru content increases as the relative number of Ru cycles increases. An 8:1 TiO₂ to RuO_x cycle ratio results in 13% Ru, while a 1:4 TiO₂ to Ru cycle ratio yields 46% Ru. The % Ru content is highly reproducible, as well. Thus, the ALD alloying process can produce films over a wide composition range with very precise control over the Ru composition.

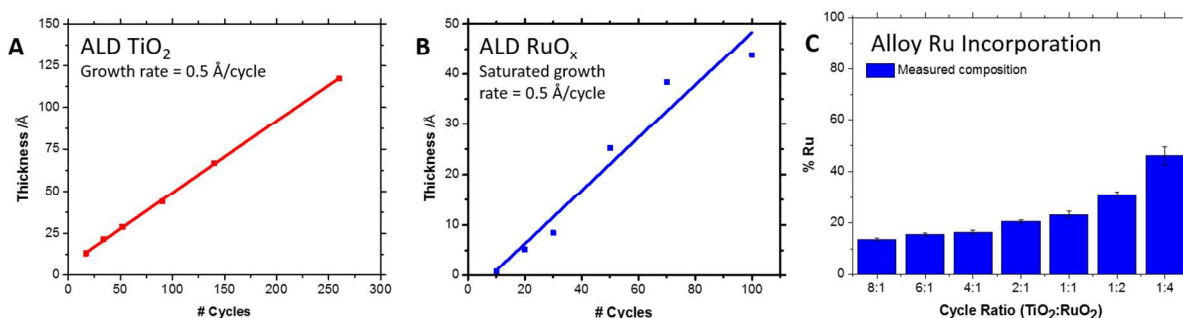


Figure 2. (A) Film thickness as a function of the number of ALD cycles for the TiO₂ ALD process (B) Film thickness as a function of the number of ALD cycles for the RuO_x ALD process. (C) The fraction of Ru incorporation into the TiO₂-RuO_x alloyed films as a function of ALD cycle ratio. The % Ru was calculated taking only Ru and Ti into consideration, ie % Ru = Ru / (Ru + Ti). Error bars reflect the standard deviation in % Ru. Film thickness for pure TiO₂ films was measured using ellipsometry. Film thickness for RuO_x films was determined by XPS.

Figure 3 shows high resolution XPS spectra for (A) a 5 nm TiO₂ film and (B) and a 4 nm Ru film. Details of the peak assignments and peak fitting procedures are described in the Supporting Information. Thin ALD films were chosen for analysis so that the substrate Si peaks were

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3 detectable. All peak positions are referenced to the Si 2p peak at 99 eV. **Table 1** summarizes the
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5 calculated atomic compositions.
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8 The Ti 2p spectrum in **Figure 3A** shows two peaks at 458.9 eV and 464.7 eV corresponding to
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10 the Ti 2p_{3/2} and Ti 2p_{1/2} peaks, respectively. The O1s peak shows three major components. The
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12 lowest binding energy component at 530.3 eV corresponds to oxygen in TiO₂,²⁹ while the highest
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14 binding energy component at 532.0 eV corresponds to oxygen in SiO₂.³⁰ The component at 531.2
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16 eV is associated with carbon-bound oxygen. Based on the integrated areas under the Ti 2p peak
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18 and the O 1s component at 530.3 eV, the ratio of Ti to O was calculated to be 1:2, or fully
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20 oxidized TiO₂. No evidence of other Ti oxidation states were observed, particularly Ti,³⁺ which
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22 would appear as a shoulder at lower binding energy in the Ti 2p spectrum.
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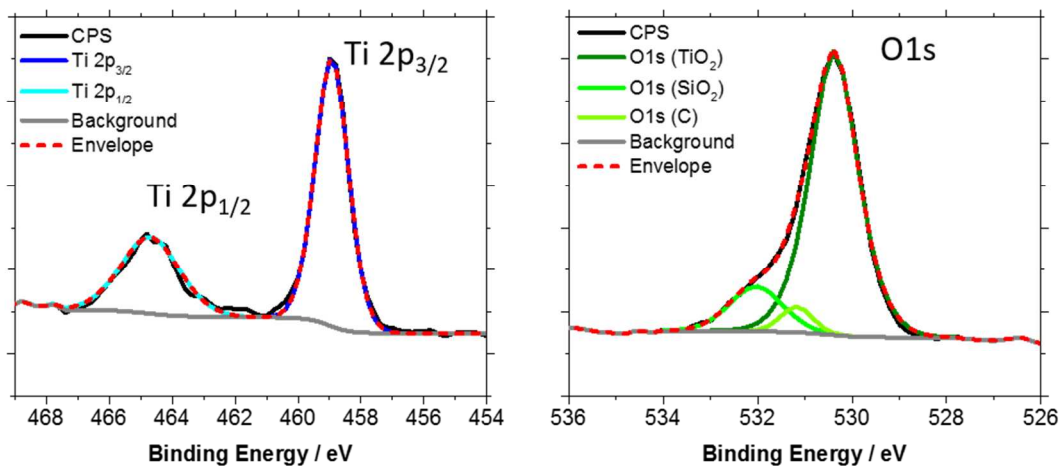
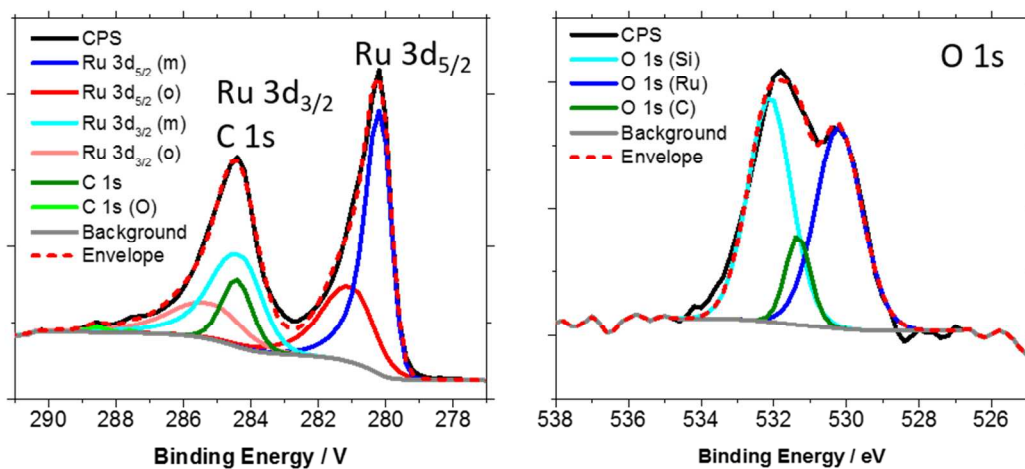
A. High Resolution XPS of TiO₂B. High Resolution XPS of RuO_x

Figure 3. High resolution XPS spectra for (A) a 5 nm TiO₂ film on Si and (B) a 4 nm RuO_x film on Si. Lower binding energy peaks associated with metallic Ru are denoted (m) and typically have a smaller full width at half max. Higher binding energy peaks associated with oxidized Ru are denoted (o) and typically have a larger full width at half max. The specific binding energy of the oxide peaks suggests hydrated RuO₂.

The RuO_x 3d spectrum in **Figure 3B** was fit with six components: two Ru 3d_{5/2} peaks and their associated 3d_{3/2} peaks, adventitious C 1s at 284.4 eV, and higher binding energy C 1s peaks for

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3 carbon-oxygen species. The lower binding energy Ru 3d_{5/2} peak at 280.1 eV corresponds to
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5 metallic Ru, while the higher binding energy Ru 3d_{5/2} peak at 281.0 eV corresponds to RuO₂.^{31,32}
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7 The binding energy of the RuO₂ peak suggests that the oxide is hydrated. Hydrated RuO₂
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9 typically appears at higher binding energy, ≥ 280.8 eV, whereas anhydrous RuO₂ appears closer
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11 to 280.6 eV.³¹ RuO₂ is known to have a high affinity for water, even under ultra-high vacuum
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13 conditions.^{33,34}
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20 The O1s spectrum was fit with three components. Peaks at 532.0 eV and 531.1 eV are associated
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22 with SiO₂ and carbon-bound oxygen, respectively. These peaks appear at the same binding
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24 energy as in the O 1s spectrum for TiO₂. The lowest binding energy peak at 530.2 eV
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26 corresponds to oxygen in RuO₂. Its binding energy also suggests hydrated RuO₂, as the O1s peak
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28 for anhydrous RuO₂ typically appears at 529.4 eV.^{31,32}
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34 **Table 1.** Atomic % calculated from integrated areas of high resolution XPS spectra, scaled
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Atom	Atom % in ALD TiO ₂	Atom % in ALD RuO _x	Atomic % in 33% Ru ALD alloy
Ru (metallic)	-	13.4	-
Ru (oxide)	-	7.3	5.8
Ti	22.1	-	11.4
C	19.6	32.0	29.2
O (Si)	7.4	14.0	9.2
O (Ru)	-	13.4	12.5

O (C)	1.2	3.2	1.6
O (Ti)	44.3	-	22.5
Si (metal)	1.7	8.9	3.2
Si (SiO ₂)	3.7	6.9	4.6

Depth profiling analysis indicates that RuO₂ is only present at the surface of the ALD-Ru film (**Figure S8**). It is worth noting that ALD of Ru commonly results in a metallic film, with highly oxidizing conditions (high P_{O₂}, long O₂ exposure time, O₂ plasma, ozone, etc.) required to deposit RuO₂.^{22–28} This is typically attributed to the slow kinetics of oxygen diffusion through Ru films, and the requirement that a subsurface oxygen layer form during the ALD process to generate RuO₂.^{22,35,36} Attempts to deposit RuO₂ directly in our ALD reactor using higher partial pressures of O₂ have so far been unsuccessful.

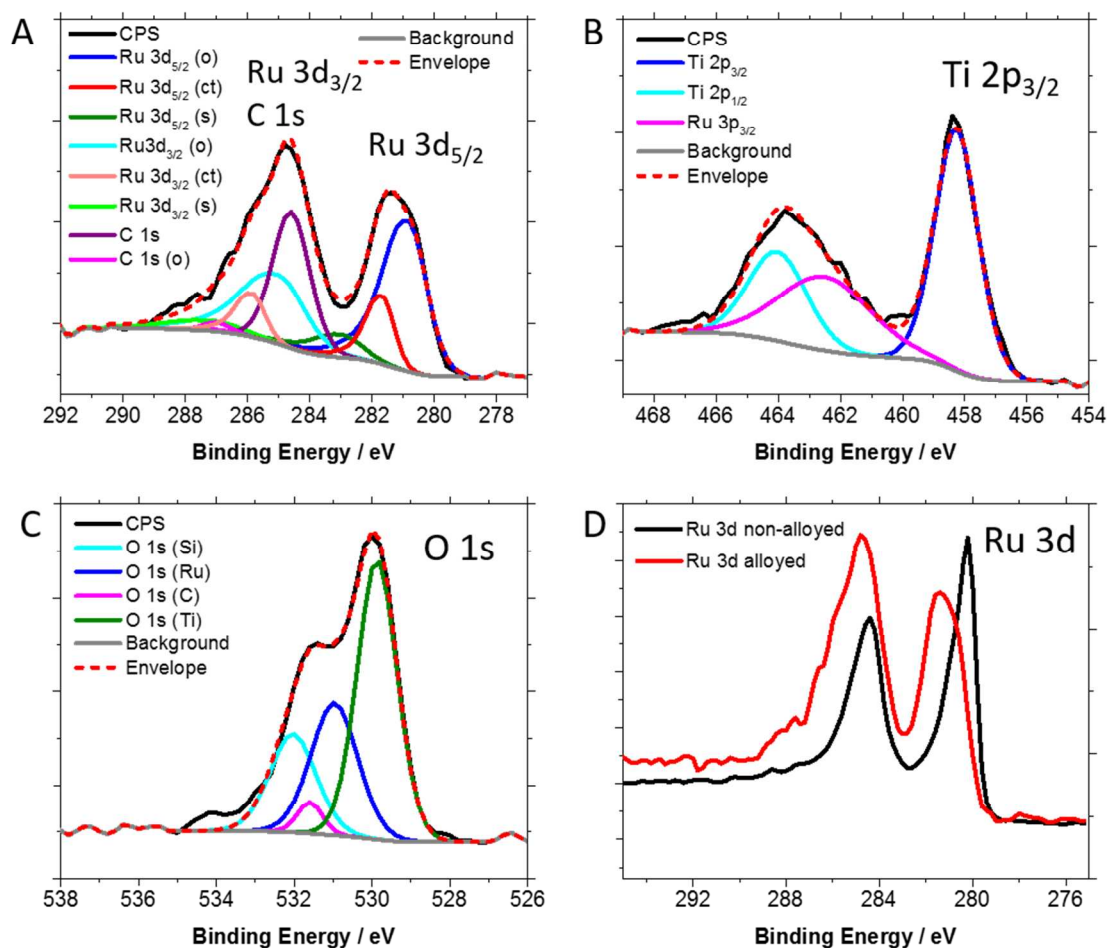


Figure 4. Representative high resolution XPS spectra for 6 nm 33% RuO_x alloy. **(A)** Ru 3d peak and its various components. Peaks associated with oxidized Ru are denoted (o), peaks associated with a potential charge transfer between Ru and Ti are denoted (ct), and satellite peaks are denoted (s). **(B)** Ti 2p peak and its various components, including the overlapping Ru 3p_{3/2} peak **(C)** O 1s peak and its various components **(D)** Comparison of the Ru 3d spectra in a 6 nm 33% RuO_x alloy film vs a 4 nm RuO_x film.

Figure 4 shows representative XPS spectra for a 6 nm 33% Ru alloy film. As shown in **Figure 4A**, the Ru 3d spectrum was fit with three Ru 3d_{5/2} peaks, their associated Ru 3d_{3/2} peaks and the

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3 same series of C 1s peaks. In this case, however, the lowest energy Ru 3d_{5/2} peak at 280.8 eV
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5 corresponds to oxidized RuO₂ instead of metallic Ru.^{31,32} The highest binding energy Ru 3d_{5/2}
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7 peak at 283 eV and its corresponding Ru 3d_{3/2} peak are most likely satellite peaks, commonly
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9 observed in RuO₂ spectra due to core-hole screening.³³ There are two possible justifications for
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11 the middle Ru 3d_{5/2} component at 281.6 eV. One possibility is that this component represents
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13 hydrated RuO₂. Alternatively, this component could indicate a partial shift of the Ru 3d_{5/2} peak
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15 to higher binding energy. Previous literature reports have suggested that such a shift reflects
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17 charge transfer from Ru to Ti.³⁷ In this case, the Ru 3d shift to higher binding energy would be
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19 accompanied by a shift to lower binding energy in the Ti 2p spectrum. In fact, the Ti 2p_{3/2} peak
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21 in the 33% Ru alloy indeed shifts to lower binding energy, appearing at 458.3 eV instead of the
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23 458.9 eV measured for non-alloyed TiO₂. Thus, it seems likely that charge transfer between Ru
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25 and Ti is occurring, supporting a solid solution model for TiO₂-RuO₂ alloys.
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34 **Table 1** lists the calculated atomic % for the components of the 33% Ru alloy film. The oxide
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36 stoichiometries are calculated to be RuO_{2.1} and TiO_{1.9}. Thus, both Ti and Ru appear to be fully
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38 oxidized. Comparing the Ru 3d spectrum in the alloyed samples to the non-alloyed ruthenium
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40 samples also suggests a different oxidation state of ruthenium in the alloyed samples, as shown
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42 in **Figure 4D**. The Ru 3d peaks are broader and have shifted to higher binding energy by 1 eV
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44 compared to the ALD-Ru film, signifying a more oxidized chemical state.^{22,31,32,37} Under ALD
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46 conditions, the presence of TiO₂ appears to favor the deposition of RuO₂ over metallic Ru.
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53 To further investigate the distribution of Ru ions in the TiO₂ matrix, scanning Auger electron
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55 spectroscopy (AES) was performed to generate 2D elemental maps for a 15 nm 16% Ru alloy
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3 and a 60 nm 46% Ru alloy (**Figure S9**). There appears to be no long-range phase separation
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5 between the TiO₂ and RuO₂ in these films. At the beam resolution limit of approximately 25 nm,
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7 no discrete areas of TiO₂ or RuO₂ are observed.
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12 The structure of these films was also characterized by grazing incidence X-ray diffraction
13 (GIXRD). GIXRD patterns from a series of films ranging in composition from 0-46% Ru show
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15 no individual rutile or anatase phase reflections (**Figure S10**). Overall, the absence of discernible
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17 reflections from the TiO₂-RuO₂ films suggests that the ALD-grown alloys lack long-range
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19 crystalline order.
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27 Finally, the optical properties of these films were characterized by ultraviolet-visible (UV-vis)
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29 spectroscopy. Absorbance spectra from 200-1800 nm were collected for 10 nm alloy films
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31 ranging from 13-46% Ru grown on fused quartz substrates (**Figure S11**). Increased absorbance
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33 in the visible region is observed for higher % Ru alloys. A similar effect is observed for nitrogen
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35 doping of TiO₂ films,^{38,39} providing additional evidence that RuO₂ is incorporated into the TiO₂
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37 lattice uniformly, as opposed to in discrete islands. Nevertheless, absorbance losses for 10 nm
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39 films were minimal. At 550 nm, 90% of the light is still transmitted for a 21% TiO₂-RuO₂ alloy,
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41 which showed the highest absorption among all the alloy compositions at this wavelength.
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48 2.2 CONDUCTIVITY OF TiO₂-RuO₂ ALLOY FILMS

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52 In order for TiO₂-RuO₂ alloys to set the built-in field, they must be sufficiently conductive to
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54 induce the necessary band banding in the semiconductor. TiO₂-RuO₂ conductivity is assessed by
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56 measuring the half peak-to-peak (HP2P) splitting of the ferri/ferrocyanide (FFC) redox couple
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3 using cyclic voltammetry, $E_{\text{HP2P}} = E_{\text{peak}} - E_{1/2}$ where $E_{1/2}$ is the average of the cathodic and
4 anodic peak potentials $[(E_{\text{pa}} + E_{\text{pc}})/2]$. A lower half peak-to-peak splitting indicates higher
5 conductivity of the ALD alloy layer. **Figure 5** compares the HP2P splitting in FFC of TiO_2 - RuO_2
6 alloy films and non-alloyed TiO_2 films with and without an iridium coating. When iridium is
7 used, the HP2P splitting of an 18 nm 21% Ru alloy (solid red) is already more conductive than a
8 7 nm TiO_2 film (solid blue). More significantly, the alloy films can function as metallic
9 electrodes themselves, without an iridium layer. An 18 nm 21% Ru alloy (dashed red) has a
10 HP2P of 117 mV, which is only slightly higher than the lowest HP2P values of 70-80 mV
11 typically observed for Ir / 2 nm SiO_2 / p^+Si anodes. TiO_2 alone is unable to mediate electron
12 transfer in FFC,¹ as indicated by the horizontal dashed blue line in **Figure 5**.

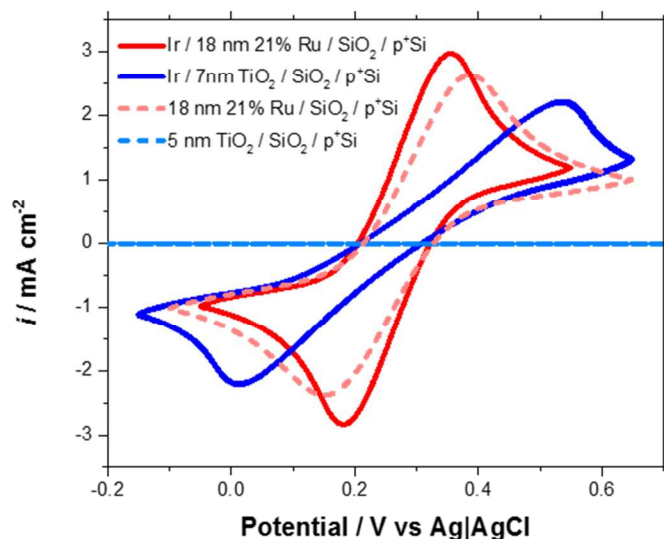


Figure 5. Half peak-to-peak (HP2P) splitting in 10 mM ferri/ferrocyanide, 1 M KCl of ALD films with (solid) and without (dashed) an iridium contact layer. The TiO_2 - RuO_2 alloy films (red) are significantly more conductive than TiO_2 alone (blue), as evidenced by the smaller HP2P. Moreover, TiO_2 without iridium exhibits no electrochemical response.

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3 In fact, the HP2P splitting shows very little dependence on thickness for a wide range of alloy
4 compositions. **Figure 6A** shows the HP2P splitting as a function of TiO₂-RuO₂ alloy film
5 thickness for compositions ranging from 16-46% RuO₂ on p⁺Si. Film thickness was varied from
6 3-45 nm. Although thin compared to the dimensionally stable anode (DSA), this range
7 encompasses the extremes of typical ALD processes. No iridium layer is used in these
8 measurements, so the alloys themselves are functioning as the working electrode. The HP2P
9 splitting is low and relatively constant for 21-46% Ru alloys. The HP2P increases dramatically
10 when the alloy composition drops to 16 % Ru at all thicknesses.

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24 As shown in **Figure 6B**, however, the addition of an iridium layer significantly reduces the
25 HP2P of TiO₂-RuO₂ alloys with $\leq 16\%$ Ru. This suggests that the increase in HP2P splitting
26 observed when no iridium layer is used is most likely caused by insufficient Ru coverage at the
27 surface to mediate efficient charge transfer to the ferri/ferrocyanide redox couple, and not by
28 increased bulk resistivity of the alloy film. A metal layer is necessary to provide mediating
29 electronic states at the electrolyte interface.¹ Without such a layer present, no observable
30 Fe(II)/Fe(III) redox waves are observed (**Figure 5**, light blue dashed).¹ The increased HP2P
31 splitting observed for low % Ru alloys is an intermediate case between these two extremes.
32 Depositing a metal layer restores sufficient density of states at the electrode surface to mediate
33 charge transfer, and Ru ions facilitate hole conduction through the bulk of the film. TiO₂-RuO₂
34 films with only 13% RuO₂ do not contribute significant series resistance when a top metal
35 contact is used.

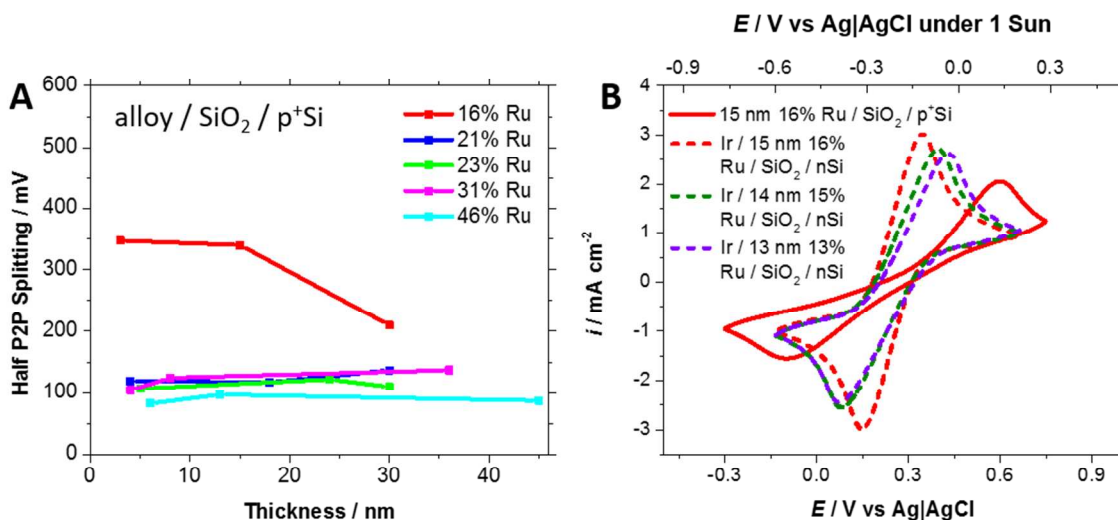


Figure 6. (A) Half peak-to-peak splitting (HP2P) for TiO₂-RuO₂ alloys as a function of film thickness for compositions ranging from 16-46% Ru. All alloys were grown on p⁺Si, and the HP2P was measured in 10 mM ferri/ferrocyanide, 1 M KCl (B) Cyclic voltammety measurements comparing TiO₂-RuO₂ alloy films with and without the presence of an iridium overlayer. The alloy sample measured without iridium was deposited on p⁺Si and is plotted with respect to the bottom x-axis. The alloy samples measured with iridium were deposited on nSi and are plotted with respect to the top x-axis. The total range of both axes are the same, and the max/min values were chosen to place the center of each voltammogram in the same location (since the nSi devices are shifted to negative potentials due to the photovoltage). The HP2P was again measured in 10 mM ferri/ferrocyanide, 1 M KCl, and the nSi measurements were performed under 1.5 AMG 1 sun illumination.

Overall, these electrochemical results demonstrate that TiO₂-RuO₂ alloys are highly conductive. At higher Ru concentrations, they behave like metallic conductors. As the Ru concentration

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3 decreases, they begin to lack sufficient density of states to mediate charge transfer at the surface
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5 but are not sources of significant bulk series resistance.
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10 11 2.3 HIGHLY CONDUCTIVE TiO₂-RuO₂ ALLOY CONTACTS ENABLE HIGH 12 13 PHOTOVOLTAGE 14

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17 Electrochemical measurements in ferri/ferrocyanide were performed to determine whether TiO₂-
18
19 RuO₂ alloy Schottky contacts would enable high photovoltages. **Figure 7A** shows cyclic
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21 voltammetry data for a representative Ir/TiO₂-RuO₂ alloy/SiO₂/nSi anode in AM1.5 light
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23 compared to a Ir/TiO₂-RuO₂ alloy/SiO₂/p⁺Si anode in the dark. All samples possess a native SiO₂
24
25 of approximately 2 nm in thickness, as measured by ellipsometry. The dashed line indicates the
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27 average E_{1/2} across all 8 samples measured in the series. The box centered about the dashed line
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29 represents the variation in E_{1/2} at the 95% confidence interval. All samples exhibit large
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31 photovoltages averaging 525 mV. The maximum photovoltage recorded was 549 mV for a 36
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33 nm 31% RuO₂ alloy, and the minimum photovoltage recorded was 489 mV for a 13 nm 46%
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35 RuO₂ alloy. Similar photovoltages were obtained without the iridium catalyst layer, as shown in
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37 **Figure 7B**. This confirms that the built-in field, which controls the photovoltage, is set by the
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39 TiO₂-RuO₂ alloy, and not by the catalyst layer.
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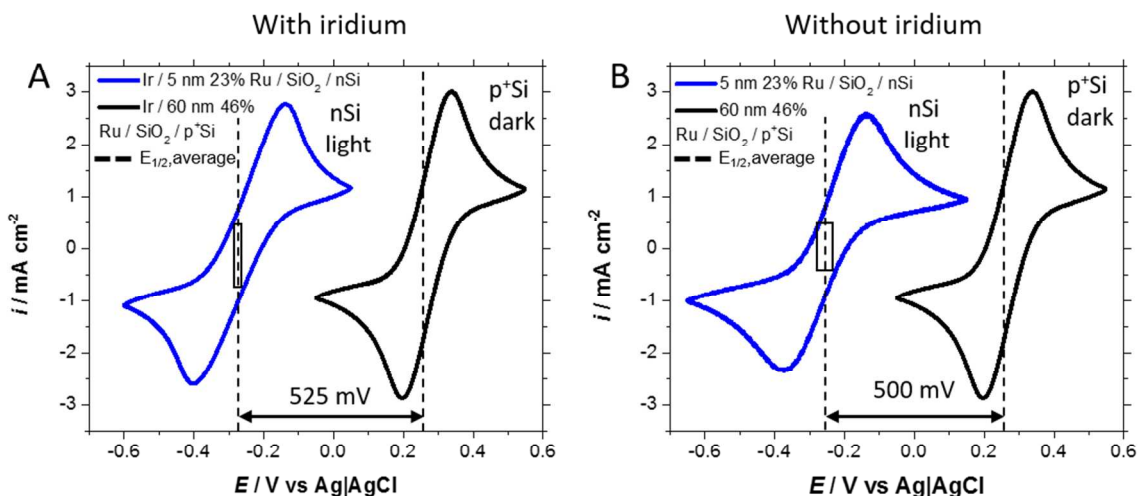
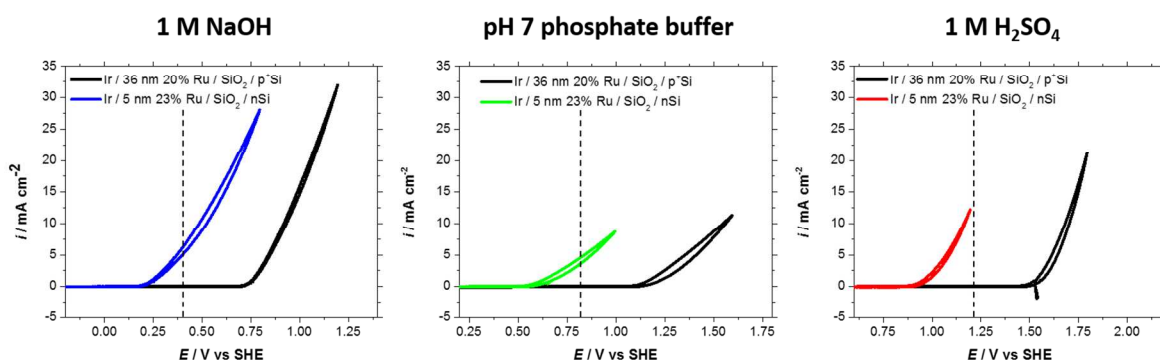


Figure 7. (A) Electrochemical performance of Ir/TiO₂-RuO₂/SiO₂/n-Si anodes in one sun AM1.5 light compared to Ir/TiO₂-RuO₂/SiO₂/p⁺-Si anode in the dark. (B) Electrochemical performance of TiO₂-RuO₂/SiO₂/n-Si anodes in one sun AM1.5 light without the iridium catalyst compared to the Ir/TiO₂-RuO₂/SiO₂/p⁺-Si anode in the dark. The dashed lines indicate the average $E_{1/2}$ across all samples. The box centered about the dashed line represents the variation in $E_{1/2}$ at the 95% confidence interval. The photovoltage for each nSi anode was calculated by comparing the $E_{1/2}$ of the p⁺Si anode in the dark to the $E_{1/2}$ of the nSi anode in the light. The average photovoltage across the series was calculated to be 525 mV with iridium and 500 mV without iridium.



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3 **Figure 8.** Water oxidation curves in 1M NaOH, pH 7 phosphate buffer, and 1 M H₂SO₄ for a
4 representative Ir/TiO₂-RuO₂ alloy/SiO₂/nSi photoanode under 1 sun AM1.5 illumination
5 compared to the analogous p⁺Si anode measured in the dark.
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13 The corresponding water oxidation data for Ir/TiO₂-RuO₂/SiO₂/n-Si under 1 sun AM1.5
14 illumination are shown in **Figure 8**. In different pH solutions, similar average photovoltages are
15 observed during water oxidation at 1 mA cm⁻²: 504 mV ± 21 mV in 1 M NaOH, 534 mV ± 38
16 mV in pH 7 phosphate buffer, and 539 mV ± 25 mV in 1 M H₂SO₄ for all eight samples in the
17 series. Water oxidation data with saturated photocurrent are shown in **Figure S14**.
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28 To confirm the performance of TiO₂-RuO₂ alloys as high work function, metallic Schottky
29 contacts, capacitance voltage (CV) analysis of solid state capacitor structures was used to extract
30 the work function for various alloy compositions. **Figure 9A** shows the structure of n and p-type
31 MOS capacitors fabricated for this study. Aluminum, which has a reported work function of 4.1-
32 4.2 eV,²⁰ was chosen as the gate metal for both n and pSi capacitors. The flatband voltage is
33 determined by the difference between the metal and semiconductor work function, taking fixed
34 charges, mobile charges, and interface traps into account.⁴⁰ When no TiO₂-RuO₂ alloy is present
35 in the MIS structure, the flatband voltage should be set by the work function of the aluminum top
36 electrode. When ALD-grown alloys are introduced, however, the flatband voltage should reflect
37 the work function of the conductive alloy film. As a high work function (ϕ_M) is expected for the
38 TiO₂-RuO₂ alloy, the flatband voltage should shift to more positive gate bias when such an alloy
39 film is introduced into the capacitor stack, indicating a higher ϕ_M . **Figures 9B** and **9C** show that
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such a shift is indeed observed. The flatband voltage shifts to higher gate bias by 0.7 V on pSi and 0.8 V on nSi.

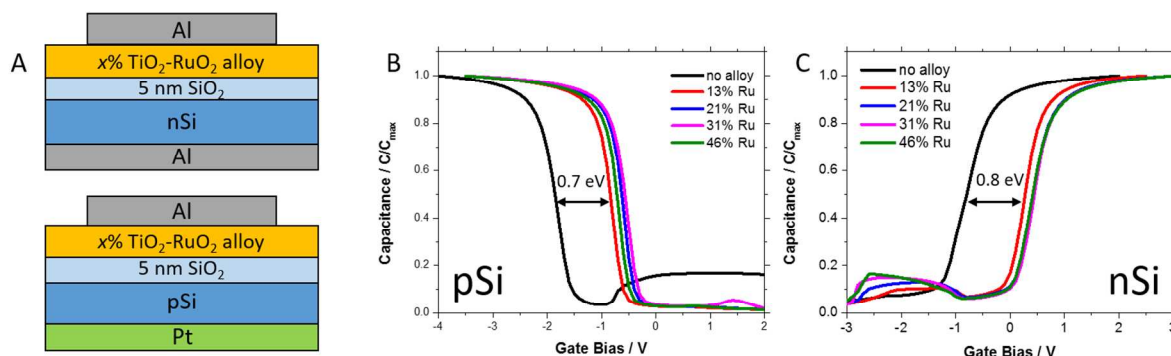


Figure 9. (A) Structure of n and pSi MOS capacitors. Aluminum was used as the gate metal in all cases. Aluminum and platinum were used to make Ohmic contacts to the back side of n and pSi substrates, respectively. (B) Capacitance-voltage (CV) curves for pSi MOS capacitors. (C) CV curves for nSi MOS capacitors. All CV curves were measured at a frequency of 1 MHz. The aluminum electrode dot diameter was 250 μm . Since the alloys are highly conductive, the Al metal does not always define the capacitor area. As a result, the measured capacitance has been scaled to 1 for clarity. More detailed analysis of the CV curves is presented in the Supporting Information.

The work function of the $\text{TiO}_2\text{-RuO}_2$ alloys was calculated from the observed flatband voltages. The details of these calculations are described in the Supporting Information, but briefly, the amount of fixed charge in the SiO_2 was extracted from control samples with the structure Al / 5 nm SiO_2 / Si capacitors, using an Al work function of 4.1 eV. Assuming that the ALD process does not affect the fixed oxide charge density in the SiO_2 , the work functions of $\text{TiO}_2\text{-RuO}_2$ alloy layers ranging from 16-46% Ru were calculated to be 5-5.2 eV. These values are reported in

Table 2.

Table 2: Calculated work function of TiO₂-RuO₂ alloys of varying % Ru deposited on n and pSi with 5 nm thermally grown SiO₂.

% Ru	ϕ_M on pSi	ϕ_M on nSi
0	4.08 (Al)	4.08 (Al)
13	5.05	5.11
21	5.19	5.20
31	5.26	5.26
46	5.28	5.21

The TiO₂-RuO₂ alloys exhibit a work function in the same range as reported for RuO₂. The work function of fully stoichiometric RuO₂ is reported to be > 5.0 eV.^{41,42} It is also highly sensitive to the Ru oxidation state. In many cases, low temperature oxygen anneals are used to increase the work function.⁴¹ Annealing under more reducing conditions has been shown to decrease the work function, either by formation of hydroxyls at the interface^{43,44} or by complete reduction of RuO₂ back to Ru.⁴¹ In the ALD-grown alloy layers, we hypothesize that depositing TiO₂ concurrently with Ru may favor the deposition of RuO₂ over metallic Ru by providing a more oxygen-rich environment. In addition to its high work function, RuO₂ is also a conductive oxide. It has a low resistivity of $35.2 \pm 0.5 \mu\Omega$ cm at room temperature.⁴⁵ Thus, TiO₂-RuO₂ alloys can simultaneously achieve both high conductivity and high photovoltage.

For the composition range explored in this study, the work function does not seem to strongly depend on % Ru. Alloy work functions that are independent of composition have previously been attributed to some degree of surface phase segregation between the components.⁴⁶ For example, in the Cu-Ni system, a linear dependence of the work function on % Cu is only observed after sputter removal of the surface layers. However, the photovoltage obtained from these TiO₂-RuO₂ alloys shows no measurable dependence on % Ru, indicating that the work function does not vary significantly over the composition range investigated. Further experiments at lower % Ru are necessary to fully characterize the effect of composition on the work function and conductivity of these amorphous ALD-grown TiO₂-RuO₂ alloys.

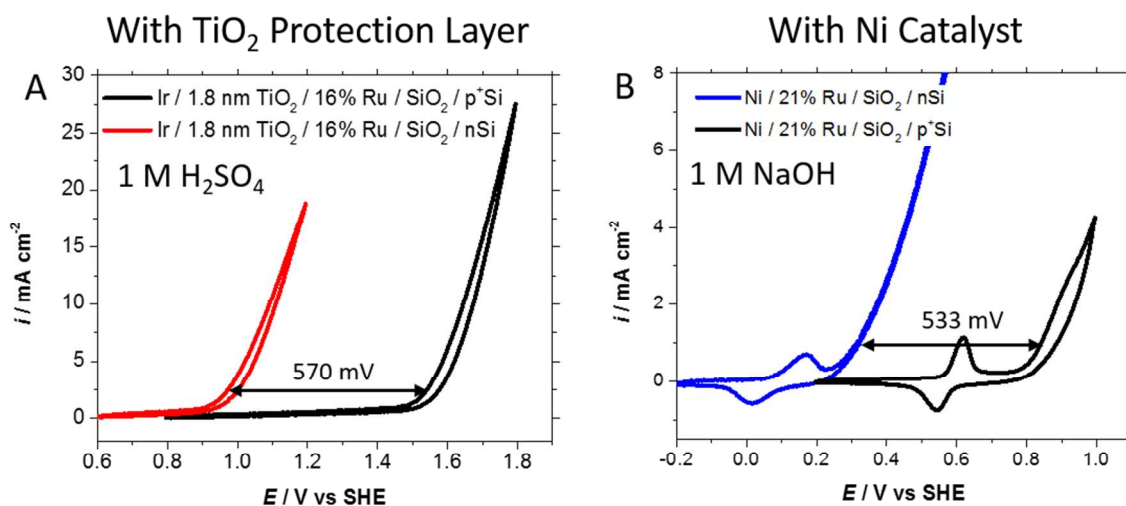


Figure 10. (A) Representative water oxidation data in 1 M H₂SO₄ for nSi samples in the light compared to p⁺Si samples in the dark in which a 1.8 nm TiO₂ protection layer has been incorporated above the 10 nm 21% Ru alloy layer. The photovoltage is indicated at 2 mA/cm² for clarity. (B) Representative water oxidation data in 1 M NaOH for nSi samples in the light compared to p⁺Si samples in the dark using a nickel catalyst on a 10 nm 21% Ru alloy. The photovoltage is indicated at 1 mA/cm².

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6 Because the work function is set by the TiO₂-RuO₂ alloy film, the photovoltage of the resulting
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8 MIS Schottky junctions does not change when a TiO₂ protection layer is incorporated or when
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10 the surface catalyst identity is changed. **Figure 10A** shows water oxidation data in 1 M H₂SO₄
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12 for nSi photoanodes tested in the light compared to p⁺Si photoanodes in the dark in which a 1.8
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14 nm TiO₂ protection layer was deposited on top of the TiO₂-RuO₂ alloy layer. TiO₂ overlayer
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16 thickness was calculated from XPS measurements based on the attenuation of the Ru 3d peak
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18 originating from the alloy by the TiO₂ overlayer (**Figure S15**). At 2 mA/cm² (chosen for clarity
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20 in the figure), the photovoltage is still 570 mV. The average photovoltage measured in FFC was
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22 560 mV. This represents a 170-390 mV enhancement in photovoltage compared to previous
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24 studies using highly conductive TiO₂.^{2,13} Because 2 nm TiO₂ is simply a tunnel barrier,¹¹ its
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26 incorporation does not increase the half peak-to-peak splitting in FFC (**Figure S16**). RuO₂ is
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28 known to form soluble Ru(VIII) products at more positive potentials,¹⁵ and incorporation of a
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30 TiO₂ protection layer would likely be necessary for long-term operation. Although extended
31
32 stability tests are outside the scope of this work, 2 nm ALD TiO₂ has been shown to sufficiently
33
34 protect silicon photoanodes during water oxidation.¹ **Figure 10B** shows water oxidation data in 1
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36 M NaOH for nSi photoanodes tested in the light compared to p⁺Si photoanodes in the dark using
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38 ultrathin evaporated nickel films as the oxygen evolution catalyst. Eight samples were tested
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40 using alloy films ranging from 21% to 46% Ru and film thicknesses ranging from 3-45 nm. The
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42 average photovoltage was 533 mV ± 33 mV at the 95% confidence interval. Thus, isolating the
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44 MIS junction using TiO₂-RuO₂ alloys enables high photovoltages irrespective of catalyst identity
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46 or incorporation of metal oxide protection layers.
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55 3. CONCLUSIONS

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3 We have demonstrated that ALD alloys of TiO₂ and RuO₂ with compositions as low as
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5 13% Ru can be used to set the built-in field, and hence control the photovoltage, in silicon
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7 MIS photoanodes. Photovoltages above 500 mV were consistently achieved regardless of
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9 catalyst identity, presence of TiO₂ protection layers, alloy film thickness, or alloy
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11 composition. Average photovoltages of 533 mV were achieved for as-deposited anodes
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13 using a NiO_x catalyst, a significant improvement over the 180-400 mV photovoltages
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15 previously reported for silicon photoanodes without a buried p⁺n homojunction. Isolating
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17 the MIS Schottky junction effectively creates an isolated photovoltaic cell in series with
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19 an efficient water oxidation catalyst. As a result, this is a promising strategy for
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21 maximizing the photovoltage in silicon MIS devices, and additional components can now
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23 be incorporated and optimized without sacrificing the photovoltage. In addition, the
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25 conductivity and work function of these alloy contacts can, in principle, be tailored for
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27 other semiconductors, particularly those which do not form high quality buried
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29 homojunctions. This work suggests that a range of conductive metal oxide Schottky
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31 contacts can be synthesized by ALD alloying.
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41 4. EXPERIMENTAL

42 4.1. Silicon substrates

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44 Heavily boron-doped (100) p-type silicon wafers ($\rho = 0.001\text{-}0.002 \text{ } \Omega \text{ cm}$, thickness 505-545 μm)
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46 were used as conductive silicon substrates for electrochemical measurements in the dark.
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48 Moderately phosphorous-doped (100) n-type silicon wafers ($\rho = 0.14\text{-}0.24 \text{ } \Omega \text{ cm}$, thickness 450
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50 μm) were used for electrochemical measurements in the light. The wafers were used as-received
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52 with a 1.5-2 nm native SiO₂ layer, as measured by ellipsometry. MOS capacitors for CV analysis
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3 were fabricated on moderately boron-doped (100) p-type silicon wafers ($\rho = 1-10 \Omega \text{ cm}$,
4 thickness 500-550 μm) with 50 \AA dry thermal oxide and on moderately phosphorous-doped
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6 (100) n-type silicon wafers ($\rho = 0.20-0.40 \Omega \text{ cm}$, thickness 500-550 μm) with 50 \AA dry thermal
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8 oxide.
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11 12 13 14 15 4.2 Atomic Layer Deposition (ALD)

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17 All ALD films were deposited in a custom-built ALD reactor (**Figure S1**).
18 Tetrakis(dimethylamido)titanium (TDMAT) was used as the metal precursor and water as the co-
19 reactant.⁴⁷ The TDMAT bubbler was kept at 60 $^{\circ}\text{C}$ (oven temperature) and the water bubbler was
20 kept at room temperature. The substrate temperature was approximately 175 $^{\circ}\text{C}$, within the ALD
21 window for the TDMAT system.^{21,47} The precursor pulse sequence is described in the Table S1
22 of the Supporting Information, but briefly, the TDMAT pulse time was 3 s and the H_2O pulse
23 time was 0.5 s. TDMAT was pulsed into a stream of N_2 carrier gas at 50 sccm, but N_2 gas was
24 not flowed through the TDMAT bubbler itself. N_2 at 50 sccm was also used as the purge gas.
25 The equilibrium deposition pressure was approximately 300 mTorr. The TDMAT growth rate
26 saturates as a function of precursor pulse time (**Figure S2**), a hallmark of ALD growth.
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43 RuO_x films were grown using bis(2,4-dimethylpentadienyl) ruthenium ($\text{Ru}(\text{DMPD})_2$) and
44 oxygen. This precursor was chosen because its low temperature ALD window overlaps with that
45 of TDMAT.^{22,23} The $\text{Ru}(\text{DMPD})_2$ bubbler was kept at 60 $^{\circ}\text{C}$ (oven temperature), and the
46 deposition temperature was 175 $^{\circ}\text{C}$. $\text{Ru}(\text{DMPD})_2$ is a solid with a lower vapor pressure than
47 TDMAT, so N_2 at 50 sccm was used as a carrier gas through the bubbler. N_2 at 50 sccm was also
48 used as the purge gas. The equilibrium deposition pressure was approximately 300 mTorr. The
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3 precursor pulse sequence is described in Table S2 of the Supporting Information, but briefly, the
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5 Ru(DMPD)₂ pulse time was 60 s and the O₂ pulse time was 30 s.
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10 To grow TiO₂-RuO₂ alloys, alternating cycles of TiO₂ and RuO_x were repeated for a specified
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12 number of super-cycles. The ratio of TiO₂ cycles to RuO_x cycles was varied to control the Ru
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14 content in the film. The number of super-cycles was varied to control the film thickness. All
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16 deposition conditions were the same as the individual ALD processes.
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22 4.3 Determination of TiO₂ Thickness by Ellipsometry

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24 TiO₂ thickness was measured using a Gaertner ellipsometer calibrated by cross-sectional
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26 transmission electron microscopy (TEM) analysis. Each sample was approximately 2 x 2 cm,
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28 based on the diameter of the deposition chamber and the ceramic heater's zone of uniformity. 9
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30 points were typically measured on each sample and 95% confidence intervals were calculated for
31
32 the mean thickness. After optimizing the TiO₂ depositions, a typical 6 nm film had a thickness
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34 range of 6.5 – 6.8 nm and a variation of 0.06 nm at the 95% confidence interval. A typical 12 nm
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36 film had a thickness range of 11.6 – 11.9 nm and a variation of 0.07 nm at the 95% confidence
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38 interval.
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46 4.4 Determination of Alloy Film Thickness by X-Ray Reflectivity (XRR)

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48 Alloy film thickness was measured by X-Ray Reflectivity using a PANalytical X'Pert
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50 Diffractometer. The incident beam optics included a graded multilayer parabolic X-ray mirror, a
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52 1/32° divergence slit, a 10 mm mask for smaller samples, and a Ni 0.15 mm automatic beam
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54 attenuator. The diffracted beam optics included a parallel plate collimator with a 0.27° receiving
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3 slit. PANalytical's X'Pert Reflectivity software was used to calculate film thickness from a
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6 2Theta-Omega scan using the Fourier transform method. Scans were collected from 0-8° 2θ. The
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8 step size was 0.02° and the time per step was 0.2 s.
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10 11 12 13 4.5 Determination of crystal structure by grazing incidence X-ray diffraction (GIXRD)

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15 GIXRD spectra were collected on a PANalytical X'Pert Diffractometer. The incident beam
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17 optics included a graded multilayer parabolic X-ray mirror, a 1/2° divergence slit, a 15 mm mask
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19 for smaller samples, and a Ni 0.15 mm automatic beam attenuator. The diffracted beam optics
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21 included a parallel plate collimator. No receiving slit was used in order to maximize the signal
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23 intensity. Scans were collected from 20-80° 2θ with $\omega = 2.5^\circ$. The step size was 0.05° with a
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25 time per step of 1 s.
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32 4.6 Deposition of catalyst, gate metal, and back-contact

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34 Iridium, aluminum, and platinum were deposited by electron beam evaporation. For the MOS
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36 capacitor studies, 100 nm of Al and 20 nm of Pt was used. Al was used as the gate metal for both
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38 n and p-Si wafers. Al was used as the back contact for n-Si wafers, and Pt was used as the back
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40 contact for p-Si wafers. For the electrochemical measurements, 2 nm Ir, 100 nm Al, and 20 nm
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42 Pt were used. Again, Al was used as the back contact for all n-Si wafers, and Pt was used as the
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44 back contact for al p⁺-Si wafers.
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51 4.7 X-Ray Photoelectron Spectroscopy (XPS)

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53 Elemental characterization of the alloy films was performed using a PHI 5000 VersaProbe™ X-
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55 Ray Photoelectron Spectrometer. The chamber base pressure was typically on the order of 10⁻⁷
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3 Torr. The X-ray source was monochromatic Al K α (1486.6 eV). The X-ray power was 50 W,
4 and the beam diameter was focused to 200 μm . The source-analyzer angle was 45°. For survey
5 scans, the pass energy was 117.4 eV with an energy step of 1.0 eV. For high resolution scans, the
6 pass energy was 23.5 eV with an energy step of 0.10 eV. The time/step was 20 ms in all cases.
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11 CasaXPS software was used for all elemental analyses and peak fitting procedures. All peak
12 positions were referenced to the Si 2p peak at 99 eV. Relative sensitivity factors for each element
13 were taken from the PHI Multipak Manual.
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24 4.8 Electrochemical Measurements

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26 The pH 7 phosphate buffer solution was made with 0.42 M Na₂HPO₄ and 0.58 M NaH₂PO₄. The
27 pH was measured and adjusted accordingly to 7. The acidic and basic solutions were made with
28 1 M H₂SO₄ and 1 M NaOH, respectively. The aqueous ferri/ferrocyanide solution was made with
29 10 mM K₃Fe(CN)₆, 10 mM K₄Fe(CN)₆, and 1 M KCl. All electrochemical measurements were
30 performed using a bored (5 mm diameter, 0.196 cm² area) Teflon cone pressed against the
31 sample to define the electrode area and contain the electrolyte solution. A Pt wire was used as a
32 counter electrode. A Ag(s)|AgCl(s) sat. KCl (aq) electrode purchased from BioAnalytical
33 Systems was used as the reference electrode. Potentials measured versus Ag|AgCl were
34 converted to SHE. All measurements were conducted using a WaveNow potentiostat in air at
35 room temperature. Cyclic voltammograms were recorded at a scan rate of 100 mV s⁻¹.
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37 Chronoamperometry stability measurements were performed using a peristaltic pump to circulate
38 the solution at 1 mL s⁻¹.
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4.9 MOS Capacitor Fabrication and Measurements

MOS capacitors were fabricated using stencil lithography. Al gate metals were deposited through a shadow mask that defined circular capacitors of diameters ranging from 100-250 μm . All CV measurements were taken at room temperature. The gate bias was varied such that the capacitors moved from depletion to accumulation. A superimposed 25 mV AC voltage was applied at a frequency range of 1 kHz – 1 MHz.

4.10 Auger Electron Spectroscopy (AES) Mapping

AES maps were collected using a PHI 700 Auger Electron Spectrometer. For high resolution maps, a beam energy of 10 kV with 10 nA was chosen. A 2-point acquisition method was used with a resolution of 256 pixels.

4.11 Ultra-violet visible Spectroscopy (UV-vis)

UV-vis spectra were collected on a Cary 6000i UV/Vis/NIR Spectrometer in the wavelength range 200-1800 nm. A bare quartz slide was used for baseline correction. Fused quartz slides of thickness 1 mm were purchased from AdValue Technology.

4.12 Transmission Electron Microscopy (TEM)

Micro-structural analysis was performed using an HR-TEM (Jeol 2100 transmission electron microscope; 200 kV; double tilt holder). Cross-sections of the films were prepared using a FEI Dual-Beam Helios NanoLab 600i Focused Ion Beam (FIB) (final thinning at 93 pA 30 kV, final polish 5 kV 47 pA).

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3 ASSOCIATED CONTENT
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6 Supporting Information: Detailed methods and analysis for XPS, XRR, GIXRD, UV-vis,
7 capacitance-voltage analysis, AES, and TEM. Also contains a detailed description of custom
8
9 ALD reactor. This material is available free of charge via the Internet at <http://pubs.acs.org>.
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29 **Author Contributions**
30

31 O. H. prepared all samples and performed all experiments for this study with the aid of A. S. M.
32 S. prepared and imaged alloy samples by transmission electron microscopy. O. H., C. E. D. C.,
33 P. H., and P. M. designed the experiments. All authors helped in the preparation of this
34 manuscript.
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37 **Notes**
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39 The authors declare no competing financial interest.
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