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<td>Author(s)</td>
<td>O'Keeffe, Mary; O'Sullivan, Peter; Purtill, Helen; Bargery, Norma; O'Sullivan, Kieran</td>
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Cognitive functional therapy compared with a group-based exercise and education intervention for chronic low back pain: a multicentre randomised controlled trial (RCT)

Mary O’Keeffe 1, Peter O’Sullivan 2,3 Helen Purtill 4,5,6 Norma Bargary 4,5 Kieran O’Sullivan 5,6,7,8

ABSTRACT

Background One-size-fits-all interventions reduce chronic low back pain (CLBP) a small amount. An individualised intervention called cognitive functional therapy (CFT) was superior for CLBP compared with manual therapy and exercise in one randomised controlled trial (RCT). However, systematic reviews show group interventions are as effective as one-to-one interventions for musculoskeletal pain. This RCT investigated whether a physiotherapist-delivered individualised intervention (CFT) was more effective than physiotherapist-delivered group-based exercise and education for individuals with CLBP.

Methods 206 adults with CLBP were randomised to either CFT (n=106) or group-based exercise and education (n=100). The length of the CFT intervention varied according to the clinical progression of participants (mean=5 treatments). The group intervention consisted of up to 6 classes (mean=4 classes) over 6–8 weeks. Primary outcomes were disability and pain intensity in the past week at 6 months and 12 months post-randomisation. Analysis was by intention-to-treat using linear mixed models.

Results CFT reduced disability more than the group intervention at 6 months (mean difference 5.24; 95% CI 3.66 to 6.83; p=0.001), and at 12 months (mean difference, 7.02; 95% CI 2.24 to 11.80; p=0.004). There were no between-group differences observed in pain intensity at 6 months (mean difference, 0.76; 95% CI -0.02 to 1.54; p=0.056) or 12 months (mean difference, 0.65; 95% CI -0.20 to 1.50; p=0.134).

Conclusion CFT reduced disability, but not pain, at 6 and 12 months compared with the group-based exercise and education intervention. Future research should examine whether the greater reduction in disability achieved by CFT renders worthwhile differences for health systems and patients.

Trial registration number ClinicalTrials.gov registry (NCT02145728).

INTRODUCTION

Low back pain (LBP) is the leading cause of disability worldwide, and is associated with a significant personal, social and economic burden.1–4 International clinical guidelines5–7 encourage the use of non-pharmacological treatments in the form of physical and psychological therapies, or an integration of these therapies, to treat LBP. There is a vast range of physical and psychological therapies for LBP available, and all yield small effects on pain and disability.8–12

There is increasing recognition that LBP is a biopsychosocial disorder, which can be influenced by a range of interacting factors.2,3 These can include pathoanatomical (eg, disc degeneration),14–16 physical (eg, protective muscle guarding, deconditioning),16–18 psychological (eg, back pain beliefs, depression, fear of activity, pain self-efficacy),19–22 lifestyle (eg, physical inactivity, sleep deficits, stress)23–25 and social (eg, culture, socioeconomic status, work and family life)26–28 factors, which vary from individual to individual. While many claim we need to target these factors (where modifiable),13,28–30 there is debate as to whether more individualised interventions can provide better clinical outcomes than standardised interventions for LBP.

Our systematic review and meta-analysis3 showed that group-based interventions display similar modest effectiveness to one-to-one interventions for reducing pain and disability levels, in LBP and other musculoskeletal conditions. This is an important finding as treating people together in a group may be an effective use of limited resources for treating LBP. The counter view is that current one-size-fits-all physical and psychological therapies are suboptimal and yield small effects because they lack an integrative approach and ignore the complex heterogeneity in LBP.29,30

A physiotherapy-led individualised intervention called cognitive functional therapy (CFT), that targets physical, lifestyle and psychological barriers to recovery, was developed to help patients self-manage chronic low back pain (CLBP).31 Rather than adopting a one-size-fits-all approach, CFT provides clinicians with the opportunity to explore the multidimensional nature of LBP through the context of the individual. The aims of this approach are to reconceptualise pain from a biopsychosocial perspective while dispelling unhelpful beliefs, overcome barriers to functional participation linked to their personally relevant goals and adopt a healthy lifestyle. One randomised controlled trial (RCT)32 has tested this approach and it demonstrated superior effects on pain and disability over physiotherapist-led manual therapy and exercise in people with CLBP. The superior effect on disability, but not pain, was maintained at the 3-year follow-up.32 We
do not know if CFT is superior to a group-based exercise and education intervention.

Therefore, our RCT examined whether CFT was more effective for CLBP compared with a group-based exercise and education intervention.

METHODS
Design and setting
This trial was a two-group, pragmatic RCT in which individuals with CLBP were recruited from three sites in Ireland between May 2014 and February 2016, with follow-up at postintervention, 6 months postrandomisation and 12 months postrandomisation. The sites were the physiotherapy departments of two primary care centres (Ballina Primary Care Centre and Claremorris Primary Care Centre) and one public hospital (Mayo General Hospital) that received referrals from both medical consultants in secondary care and primary care general practitioners. A total of three physiotherapists (one in each setting) were chosen to deliver both interventions in this trial. The trial was prospectively registered on ClinicalTrials.gov, and the study protocol (online supplementary file 1) has been previously published elsewhere.

Participants
Patients were eligible for inclusion if they met all the following criteria: between 18 and 75 years of age, non-specific CLBP for at least 6 months duration, a score of 14% or more on the Oswestry Disability Index (ODI), independently mobile (with or without aids) to be capable of participating in a rehabilitation programme, and able to speak and understand English well enough to complete the questionnaires independently.

Exclusion criteria were primary pain area other than the lower back (from T12 to buttocks), leg pain as the primary problem (eg, nerve root compression or disc prolapse with true radicular pain/radiculopathy, lateral recess or central spinal stenosis), being <6 months after lumbar spine, lower limb or abdominal surgery, having undergone pain-relieving procedures such as injection-based therapy (eg, epidurals) and day case procedures (eg, rhizotomy) in the last 3 months, pregnancy, rheumatological/inflammatory disease (eg, rheumatoid arthritis, ankylosing spondylitis, psoriatic arthritis, lupus erythematosus, Scheuermann’s disease), progressive neurological disease (eg, multiple sclerosis, Parkinson’s disease, motor neuron disease), scoliosis (if considered the primary driver of pain), unstable cardiac conditions, red flag disorders like malignancy/cancer, acute traumas
such as fracture in the last 6 months or infection, or spinal cord compression/cauda equina syndrome.

At the initial eligibility assessment, all completed the ODI to ensure they met the criteria for inclusion. If eligible, and participants consented to participate after random allocation, they then completed the remaining sections of the questionnaire before their first intervention session which was within two weeks of the date of screening. To control for expectation bias, participants were told that the study was being performed to compare two interventions for CLBP, and that based on current knowledge, it was not known which intervention was superior.

**Randomisation and masking**

Simple randomisation was used. Sealed opaque envelopes were sent by the research team to each site. Allocation was picked by each participant from a sealed opaque envelope, given by the consulting physiotherapist, after eligibility for the study had been established, to ensure concealed allocation. The envelope contained only two pieces of paper. Participants were asked to pick one piece of paper from the envelope. One piece of paper had the letter ‘C’ for class and the other, letter ‘I’ for individualised CFT. Participants and physiotherapists could obviously not be masked to randomisation because the physiotherapists were administering the active intervention.

**Interventions**

Both interventions have been described in detail in the published protocol. We refer to the main components of each intervention in this paper.

**Cognitive functional therapy**

All participants randomised to CFT underwent a comprehensive one-to-one interview and physical examination by their physiotherapist, to identify any relevant multidimensional factors considered to be key drivers of their pain and disability. The...
The group intervention did not involve any individual interaction: (1) pain education; (2) exercise; and (3) relaxation. The training and treatment fidelity procedures are described in the published protocol (online supplementary file 1).32

Outcomes
The treating physiotherapists obtained the primary outcome measures at baseline, in advance of randomisation, as part of determining eligibility (ODI>14%). Outcome assessment immediately postintervention was unblinded, as it was collected by the treating physiotherapist. For the 6 month and 12 month postrandomisation follow-ups, outcome measures were assessed by a blinded investigator who was unaware of group allocation. This involved posting the questionnaires to the participants. A blinded research assistant completed a maximum of two telephone follow-ups of non-responders at 6 month and 12 month follow-ups to remind participants to complete the questionnaires if possible. Returning the two primary outcomes (disability and pain intensity) were specifically highlighted as the most important to return.

Demographic characteristics such as age, sex, socioeconomic status and duration of LBP, were collected at baseline postrandomisation. Socioeconomic status was measured using the Socioeconomic Condition Index.34

The primary outcomes of interest were functional disability, measured using the ODI (scale 0–100)35 and pain intensity over the last week on the 0 (no pain) to 10 (worst possible pain) Numerical Rating Scale (scale 0–10).36 Secondary outcome measures were fear-avoidance using the physical activity subscale of the Fear Avoidance Beliefs Questionnaire (scale 0–24),37 coping using the coping subscale of the work subscale of the Coping Inventory for Stressful Situations (CISS) (scale 0–15),38 pain catastrophizing, measured using the Pain Catastrophizing Scale (scale 0–40),39 and general outcomes using the Short Form health Survey (SF-36) (scale 0–100).40

Length of the CFT intervention varied in a pragmatic manner based on the clinical progression of participants. There were then three components to the intervention: (1) cognitive component: making sense of pain; (2) exposure with ‘control’; and (3) lifestyle change, which have been described in detail elsewhere.30

Figure 2 Mean disability for CFT (individualised) versus group. *Data are adjusted means from the linear mixed-effects models. Error bars show standard errors (SE).
of the Coping Strategies Questionnaire (scale 0–30),\textsuperscript{38} pain self-efficacy using the Pain Self-Efficacy Questionnaire (scale 0–60),\textsuperscript{39} number of pain sites using the Nordic Musculoskeletal Questionnaire (scale 1–9),\textsuperscript{40} risk of chronicity using the 10-item short-form Örebro musculoskeletal screening questionnaire (scale 0–100),\textsuperscript{41} sleep, depression and anxiety using the relevant single item questions on the Subjective Health Complaints Inventory (scale 0–3 for each item),\textsuperscript{42} stress measured using the seven-item stress subscale of the Depression, Anxiety and Stress Scale (scale 0–42),\textsuperscript{43} and satisfaction using a single item question (The care that I have been receiving here is just about perfect; 1=strongly agree, 5=strongly disagree) from the Patient Satisfaction Questionnaire.\textsuperscript{44}

Satisfaction was measured at postintervention only. The remaining outcome measures were assessed at all-time points.

**Statistical analysis**

Descriptive statistics summarised participant characteristics using mean (SD), median (IQR) or number (percentage) as appropriate. Continuous data were assessed for skewness by visual inspection of plots and normality tests. Unadjusted mean (SD) values were computed for the primary and secondary continuous variables at baseline, post-treatment, 6 and 12 months. Analyses of the primary and secondary continuous outcome variables were undertaken using linear mixed models, as specified in the study protocol, with treatment, time, centre and treatment by time included as fixed effects and within-person correlation modelled as a random effect using an unstructured covariance structure. Intention-to-treat analyses used all available data at baseline, 6 and 12 months. Mean differences at 6 and 12 months were estimated by the treatment by time interaction term, with associated 95% CIs and p values. A positive mean difference was indicative of better outcome values in the individualised intervention (CFT). Cohen’s D effect sizes were computed as the mean difference relative to the pooled SD of baseline scores, where 0.2 was considered a small effect, 0.5 a moderate effect and 0.8 a large effect.\textsuperscript{45} Per-protocol analyses of pain and disability were undertaken using data from participants who completed all treatment sessions. Sensitivity to missing data was examined using multiple imputation (pooled estimates of ten imputed datasets) based on a multivariate normal model\textsuperscript{46} and inverse probability of censoring weighting (IPCW) analyses.\textsuperscript{47–49} The IPCW weights were obtained from a logistic regression using baseline data to predict follow-up response. Sensitivity to the method of analysis was examined using: (1) analyses that controlled for age, sex and time since diagnosis and (2) analysis of covariance analyses controlling for baseline. Sensitivity to non-normality was examined using general estimating equation analysis with robust covariance estimation. The statistician was blinded to group allocation, and all analyses were checked by a second statistician. \(\chi^2\) tests were used to examine group differences in satisfaction at post-treatment and differences in anxiety, depression and sleep between the intervention groups at 6 and 12 months. All data were analysed using IBM SPSS Statistics V.22. The 95% CIs for Cohen’s D effect size were computed using R package ‘psych’.\textsuperscript{50} A 5% level of significance was used throughout the analyses.

**Public and patient involvement**

No members of the public or patients were involved in the design, conduct and interpretation of this study. Patient satisfaction was measured postintervention. Qualitative interviews were conducted with a proportion of patients after the study.

**Deviations from the registered trial protocol**

We made a number of deviations from our protocol.\textsuperscript{33}

We removed the back beliefs questionnaire based on pilot testing, to reduce participant burden.

We recruited 206 participants, rather than 214 as planned, reflecting a power calculation of n=128 and an additional 40% to cover drop outs. The trial remains adequately powered. As the trial approached completion, it became clear that the loss to follow-up was closer to 30% at the 12 month follow-up.

We did not analyse the results of our postintervention follow-up. Due to the pragmatic nature of the CFT intervention, the treatment period varied, such that the CFT and group intervention postintervention measures were conducted at different time points. Therefore, we only included the fixed time points of baseline, 6 and 12 months in the intention-to-treat, sensitivity and per-protocol analyses to prevent the potential for detection bias. The post-intervention scores were computed and presented in the descriptive analysis for completeness.

We did not conduct a responder analysis as responder analysis based on dichotomisation of a continuous outcome measure has received criticism as being potentially misleading.\textsuperscript{51,52} thus we present only analyses of mean differences for disability and pain intensity.

We analysed differences in sleep, anxiety and depression using \(\chi^2\) tests. Non-linear mixed models did not adequately fit the response data from these categorical variables.

We have no detailed cost data to report. We collected costs data but the poor response rate and incompleteness of the cost questionnaires left the data quite sparse, and arguably not informative.

**RESULTS**

Recruitment ran from May 2014 and the final 12 month follow-ups were completed in March 2017. Figure 1 shows the flow of participants through the trial. Of the 206 participants who were randomly assigned, 130 participants (63%) were followed up at postintervention, 148 participants (72%) at 6 months and 142 participants (69%) at 12 months.

Table 1 shows the baseline characteristics of all participants. Measures were similar at baseline except for a difference in socioeconomic status that did not change significantly at 6 and 12 months (p value for treatment by time =0.404). Participants were mainly female (74%), had a mean age of 48.7 years (SD 14.1), had experienced LBP for a median of 60 months (IQR 24, 144) and reported a mean (SD) of 3.8 (2) pain sites. No adverse

![Figure 3](https://example.com/figure3.png)  
*Figure 3* Mean pain intensity for CFT (individualised) versus group. *Data are adjusted means from the linear mixed-effects models. Error bars show standard errors (SE).*

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events were reported, and 130 participants (CFT, n=66; Group, n=64) completed treatment. In total, the mean (SD) number of individualised CFT treatments attended was 5.0 (2.7), lasting 200.8 (102.6) minutes over 13.7 (10.9) weeks and the mean (SD) number of group treatments attended was 4.0 (2.2), lasting 297.8 (162.9) minutes over 4.4 (2.4) weeks.

### Primary outcomes

Table 2 shows unadjusted mean (SD) values and sample sizes at baseline, post-treatment, 6 months and 12 months postrandomisation. Table 3 presents the results from the intention-to-treat, sensitivity and per-protocol analyses of treatment effects for disability and pain intensity at 6 and 12 months.

#### Disability

CFT led to greater reductions in disability compared with the group intervention at 6 months (mean difference, 8.65; 95% CI 3.66 to 13.64; p=0.001), and at 12 months (mean difference, 7.02; 95% CI 2.24 to 11.80; p=0.004). See table 2 for unadjusted means (SDs) and figure 2 for the graph of results.

#### Pain

There were no significant between-group differences in pain intensity at either 6 months (mean difference, 0.76; 95% CI -0.02 to 1.54; p=0.056) or 12 months (mean difference, 0.65; 95% CI -0.20 to 1.50; p=0.134). See table 2 for unadjusted means (SDs) and figure 3 for the graphs of results.

#### Secondary outcomes

Pain-self efficacy, risk of chronicity and coping (at 12 months only) differed significantly between interventions at 6 month and 12 month follow-up in favour of CFT (see tables 2 and 4). No significant differences were found for fear of physical activity, stress, anxiety, depression, sleep, number of pain sites or postintervention satisfaction (see tables 2 and 4–6).

### DISCUSSION

CFT led to greater reductions in disability compared with a group exercise and education intervention at 6 and 12 months.
There were, however, no differences in pain intensity between the interventions at 6 and 12 months.

The reduction in disability in this trial is similar to the first CFT clinical trial where it was compared with physiotherapy-delivered manual therapy and exercise in people with CLBP. However, our trial did not replicate the pain intensity reductions shown in the first trial. The participants in our trial had higher disability levels, more comorbidities and received a lower dose of CFT on average.

The reductions in disability in this trial for the CFT intervention, yielded better effects than similar trials in this area. For example, our group’s systematic review and meta-analysis of 14 RCTs of one-to-one versus group exercise interventions for musculoskeletal conditions including LBP showed similar effects for both group and one-to-one interventions. CFT also yielded a larger effect on disability than the effect observed in systematic reviews and clinical trials of pharmacological and non-pharmacological intervention for CLBP.

This trial had strengths. It was prospectively registered, and incorporated design features known to minimise bias such as concealed allocation, and an intention-to-treat analysis. The participants were highly representative of people with disabling LBP in clinical practice, compared with most LBP trials which have very narrow inclusion criteria. The same trial physiotherapists delivered both interventions, minimising differences in clinician expertise and communication style confounding the results. The trial had a strong control intervention, which differs from some clinical trials which offer minimal interventions, usual care or waiting list as the control. The control intervention was optimised from previous group interventions that were studies in our systematic review to better reflect the multidimensional nature of pain. This pragmatic trial represented clinical practice as we allowed the physiotherapists in the individualised intervention the freedom to deliver the number of sessions in line with their clinical judgement and available resources.

The trial has limitations. Thirty-seven per cent of randomised participants did not start or complete treatment, while only 72% completed the 6-month follow-up, and only 69% of participants completed the 12-month follow-up. While this was not significantly different between interventions, we acknowledge that non-adherence can lead to unmeasured bias in intention-to-treat results. We were unable to blind participants, and the nature of the intervention meant that we also could not mask the treatment providers. The two interventions in this trial are of varying complexity in terms of training and delivery.

LBP is a major global challenge. The cost and disability burden of LBP is projected to increase over the next few decades. There is an effort by health authorities to reduce harmful practices such as inappropriate imaging, opioid prescription and surgery which is not required, and replace them with safer alternatives that are acceptable to people with LBP. Our trial provides a credible assessment of two safe and active approaches for CLBP: CFT reduced disability more than a comprehensive group education intervention at 6 months and 12 months.

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Table 6 Postintervention satisfaction with care

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<tr>
<td>Strongly disagree</td>
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CFT, cognitive functional therapy.

What are the new findings?

► For people with chronic low back pain, an individualised multidimensional intervention (cognitive functional therapy (CFT)) resulted in greater long-term improvements in disability, compared with a group-based exercise and education intervention at 6 months and 12 months
► CFT did not lead to greater improvements in pain compared with a group-based exercise and education intervention at 6 months and 12 months

How might it impact on clinical practice in the future?

► Cognitive functional therapy (CFT), an individualised multidimensional assessment and treatment approach involving education, graded movement exposure and lifestyle coaching, may be a valuable intervention for reducing disability related to CLBP
► Physiotherapists should consider delivering CFT over a group-based exercise and education approach for reducing disability related to CLBP
► Physiotherapists will possibly need to dedicate more time to learning how to deliver CFT compared to a group-based exercise and education approach
► More head to head testing of CFT and common one-to-one physiotherapy approaches is needed
Original research

Tighe, Lars Allworthy and Louise Dolan) that carried out the interventions, and the managers (Fiona McGrath, Anne Canning) at these locations for facilitating this study.

Contributors MO was the PhD student leading the project; responsible for data collection, project management and was responsible for writing the initial version of the manuscript. PO designed the individualised multidimensional intervention (CFI) examined in this trial. KO and KG have written editorial and viewpoints which encourage the use of CFT, or its principles, in clinical practice.

Patient consent for publication Not required.

Ethics approval Ethics approval was obtained from Mayo General Hospital research ethics committee (MGH-14-UU).

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data are available upon request.

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Original research

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