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Authors	Lam, Siu;Bai, Xiaowu;Shkoporov, Andrey N.;Park, Heekuk;Wu, Xiaojian;Lan, Ping;Zuo, Tao		
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University College Cork, Ireland Coláiste na hOllscoile Corcaigh

1		Roles of the gut virome and mycobiome in faecal microbiota				
2	transplantation					
3	Siu Lam, MPhil ^{1,2} , Xiaowu Bai, PhD ^{1,3} , Andrey N. Shkoporov, PhD (full professor) ⁴ ,					
4	Heekuk Park, PhD 5 , Xiaojian Wu, PhD (full professor) 1,3,6* , Ping Lan, PhD (full					
5	professor) ^{1,3,6} *, Tao Zuo, PhD (full professor) ^{1,3} *					
6						
7	1.	Guangdong Institute of Gastroenterology, The Sixth Affiliated Hospital of				
8		Sun Yat-sen University, Sun Yat-sen University, Guangzhou, China				
9	2.	Faculty of Medicine and Health, The University of Sydney, Sydney, Australia				
10	3.	Center for Faecal Microbiota Transplantation Research, The Sixth Affiliated				
11		Hospital of Sun Yat-sen University, Sun Yat-sen University, Guangzhou,				
12		China				
13	4.	APC Microbiome Institute, University College Cork, Ireland				
14	5.	Division of Microbiology, Columbia University Irving Medical Center, New				
15		York, NY, USA				
16	6.	Department of Colorectal Surgery, The Sixth Affiliated Hospital of Sun Yat-				
17		sen University, Sun Yat-sen University, Guangzhou, China				
18						
19	Co-Correspondence*:					
20	Tao Zuo, Professor, Guangdong Institute of Gastroenterology, The Sixth Affiliated					
21	Hospital of Sun Yat-sen University, Sun Yat-sen University, Guangzhou, China.					
22	Email:	zuot@mail.sysu.edu.cn				
23						
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25						

26 Summary

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27 Faecal microbiota transplantation (FMT) is an innovative approach to treat 28 diseases associated with a gut dysbiosis, by transferring a healthy stool 29 microbiota to a diseased recipient. Beyond the bacteriome, the human gut 30 also harbours diverse communities of viruses and fungi, collectively known 31 as the virome and the mycobiome. The impact of these latter two microbiome 32 components on success of FMT therapy has not been appreciated until very 33 recently. We herein review the current literature on the effects of the gut 34 virome and mycobiome in the FMT treatment of various diseases. We 35 discuss both the beneficial effects and health concerns of the viral and fungal 36 transfer during FMT. We particularly highlight the roles of bacteriophages 37 (bacterial viruses) and Candida species (fungi) in FMT efficacy. We also 38 summarise the intricate relationships between the gut virome, mycobiome, 39 bacteriome, and host immunity, underlying FMT. Future efforts should be 40 devoted to understanding the versatile roles as well as the therapeutic 41 mechanisms of specific and/or combination of viral and fungal lineages in 42 different diseases. Harnessing the gut virome, mycobiome, and bacteriome in 43 combination and precision hold a promising prospect in future FMT- and 44 microbiota-based therapies.

46 Introduction

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47 A large number of diseases are characterized by compositional and 48 functional changes in the gut microbiota. Faecal microbiota transplantation 49 (FMT) is a gut microbiota restoration treatment, performed through oral, intra-50 intestinal or intra-colonic administration of donor faecal matter containing 51 natural microbial consortia. It is well established that the bacterial microbiome 52 (bacteriome) plays a prominent role in the pathogenesis of gastrointestinal 53 tract (GI) diseases and affects the outcome of therapies.^{1,2} Apart from 54 bacteriome, the human gut contains diverse and largely under-explored 55 communities of viruses and fungi.^{3,4} Recent evidence suggests that the gut 56 virome and mycobiome not only constitute a significant fraction of the total 57 microbiome, but also work in synergy with the bacteriome to modulate host 58 immunity and physiology.^{5,6} Evidence on the roles of gut virome and 59 mycobiome in FMT outcome is also accumulating.^{7–9}

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FMT has garnered substantial clinical and translational research interests.^{10,11} 61 62 It has broad applications across different diseases, both intra- and extra-63 intestinal diseases, including Clostridioides difficile infection (CDI), recurrent 64 CDI (rCDI), inflammatory bowel diseases (IBD), graft versus host disease 65 (GvHD), irritable bowel syndrome (IBS), obesity and diabetes.^{8-10,12-14} While 66 FMT was previously found comparable with the treatment of probiotics in 67 efficacy when treating various diseases, it was recently demonstrated that 68 autologous FMT, but not a multi-strain probiotic product, was effective in post-antibiotic restoration of microbiome in mice.^{15,16} This is likely due to the 69 70 fact that FMT transfers a complete and complex consortium of host-adapted 71 microbial species, including bacteria, bacteriophages, fungi, as well as their 72 metabolites, as opposed to a greatly simplified multi-strain bacteriotherapy. 73 The efficacy of FMT has long been ascribed to the transfer of bacteria.^{10,11} 74 Compared to that, the roles of virome and mycobiome are relatively less studied and are yet to be fully emphasized. 2,6,17-19 75

77 In this review, we aim to summarise relevant clinical, translational, and basic 78 research evidence in the field to enhance the community's understanding of 79 the roles of gut virome and mycobiome in FMT, to inform better clinical 80 practice by incorporating the viral and fungal components of the gut 81 microbiome into FMT regime, and in a broader context, to guide future 82 development of microbiota-based therapies. We will discuss the roles of gut 83 virome and mycobiome in FMT in conjunction with their functional 84 importance, donor-recipient effect, as well as safety concerns, and highlight 85 how they potentially impact treatment efficacy and host immunity during FMT.

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87 Roles of gut virome in FMT

88 The human gut virome

89 The human gut virome is primarily comprised of prokaryotic viruses (mostly 90 bacteriophages infecting bacteria, collectively known as 'phageome') and 91 eukaryotic viruses (infecting humans), with bacteriophages of the order 92 Caudovirales (tailed icosahedral viruses with dsDNA genomes) and the 93 family *Microviridae* (small icosahedral viruses with ssDNA genomes) being 94 most abundant (figure 1a).^{3,20-22} Bacteriophages in the human GI tract have both temperate and lytic lifecycles, driving the bacteriome composition by 95 96 prophage integration and lytic predation respectively.^{3,23} Healthy human gut 97 virome is dominated by temperate bacteriophages, while it shifts from 98 temperate to lytic replication during host inflammation and stress.^{3,24} Given 99 the predominance of bacteriophages over eukaryotic viruses in the gut 100 virome and its direct roles in regulating bacteriome composition and function, 101 most gut virome research in humans has been focusing on the phageome. 102 While a minority of eukaryotic viruses can cause serious infections, emerging 103 data on a large diversity of gut-resident eukaryotic viruses show that they are 104 somewhat capable of recapitulating the beneficial effects of commensal 105 bacteria through different mechanisms involving host immunity.^{25,26} 106 Eukaryotic viruses can ameliorate gut inflammation in mice via viral RNA 107 sensing by host Toll-like Receptors (TLRs)-3 and 7 and its downstream IFN-108 β secretion, and antiviral treatment led to more severe colitis in dextran

109 sulfate sodium (DSS)-treated mice.²⁷ A recent study in mice also shows that 110 enteric eukaryotic viruses evoke broad and enduring host immune responses 111 resembling those elicited by the commensal bacteria.²⁸ These data suggest 112 that gut viruses play an important role in host immunity and homeostasis.

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114 Gut viruses overall constitute a more diverse genetic entity than the gut 115 bacteria, with virus to microbe ratio (VMR) ranging from 1:1 to 10:1 in the gut.^{29,30} Human gut virome is highly diverse and immensely affected by 116 117 geography, ethnicity, diet, lifestyle and age (figure 1a, together accounting for ~30% of gut virome variations).^{31,32} Gut virome dysbiosis has been implicated 118 119 in the pathogenesis of a diversity of GI and extra-GI diseases, such as 120 IBD^{21,33}, IBS³⁴, CDI^{7,35}, GvHD^{12,36}, obesity and diabetes^{37,38}, and FMT have demonstrated a varying degree of success in treating these diseases.^{7,12,14,39-} 121 122 ⁴² One commonly observed feature of the gut virome alterations in GI 123 inflammation-related diseases, including in CDI and IBD, is expansion of Caudovirales, which was significantly decreased after FMT.^{7,21,33,35,43}. In a 124 125 DSS-induced colitis model of a mouse colony without colonisation of E. coli, 126 cocktail of *Enterobacteriaceae* bacteriophages, belonging to *Caudovirales*, 127 exacerbated intestinal inflammation and did not induce lysis of any endogenous microbes.43 This study corroborated that Enterobacteriaceae 128 129 phages alone were sufficient to elicit inflammatory responses without 130 engagement of Enterobacteriaceae or its constituent LPS. These data 131 highlight the importance of *Caudovirales* bacteriophages in gut homeostasis 132 and inflammation and that *Caudovirales* can be manipulated by FMT.

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135 Translational studies investigating FMT and virome

Transfer and engraftment of viruses, along with bacteria, during naïve FMT correlated with treatment outcome in difference diseases, including CDI, IBD, GvHD following hematopoietic stem cell transplant (HSCT).^{7,12,44–47} In a seminal study conducted by Ott et al, the authors showed that sterile faecal filtrate (containing viruses, as well as various metabolites and polymers, but

141 not bacteria) was sufficient for successful remission in patients with CDI⁴⁸. 142 Following that, a number of studies further emphasised the importance of gut viruses, beyond bacteria, in FMT therapies.^{7,14,48,49} In recent years, a handful 143 144 of sterile faecal filtrate and faecal viral transplantation (FVT) studies have 145 emerged investigating the role of gut virome in disease therapeutics, 146 including obesity, type 2 diabetes (T2D), necrotizing enterocolitis (NEC), 147 bacterial growth, small intestinal and post-antibiotic microbiome dysbiosis.14,49-51 148

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150 FMT in CDI

151 The efficacy of FMT in treating CDI is 90%, which is the most promising compared to its applications in other diseases.^{52–56} In our pilot FMT study on 152 153 patients with CDI (n=9; FMT was conducted via nasoduodenal infusion of 154 donor stool from a healthy household individual to each patient), we found 155 that the gut virome of CDI was characterized by a significant elevation in 156 Caudovirales bacteriophages and a reduced virome diversity compared to 157 healthy individuals.⁷ After FMT, patients showed substantial viral 158 transmission from donor to recipient.⁷ Patients who were cured from CDI 159 exhibited much higher engraftment rates of *Caudovirales* taxa derived from 160 the FMT donor, than those who were not responsive to FMT.⁷ Recently, a 161 study on rCDI (n=9) showed that after FMT, the coding genes repertoire of 162 the patients' gut virome was more similar to that of the donors' compared to 163 their pre-FMT profiles, including KO (Kyoto encyclopedia genes and 164 genomes Orthology) terms associated with viral replication, iron transporters, 165 ssDNA phage assembly, and antimicrobial peptide resistance, paralleling the changes in their host bacteria after FMT.³⁵ This data indicates a potential 166 167 functionality change in the gut virome of recipient along with the 168 compositional changes in viral taxa after FMT. Concordantly, several studies 169 reported that recipient viromes resembled those of their donors and remained 170 stable after FMT.^{7,46,47} Among these studies, one with longer follow-up 171 showed that patients with CDI (n=14) showed a post-FMT gut virome profile similar to that of their donors for up to 1 year after FMT.⁴⁷ 172

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174 FMT in IBD

The efficacy of FMT in treating IBD varies from ulcerative colitis (UC) to 175 176 Crohn's disease (CD). A systematic analysis documented 53 studies that the 177 overall FMT efficacy is around 36% in UC, 50.5% in CD and 21.5% in patients with pouchitis.⁵⁷ In patients with IBD, the faecal virome displayed 178 179 increased richness of *Caudovirales* bacteriophages along with a decreased 180 bacterial diversity (n=174; patients were from Cambridge, UK; Chicago, Los Angeles, and Boston, in USA).²¹ At the intestinal mucosal level, patients with 181 182 UC (n=91; three China cohorts) showed that the rectal tissues consistently 183 had an expansion of *Caudovirales* bacteriophages compared with healthy 184 individuals.³³ Phages of Escherichia and Enterobacteria, belonging to the 185 order Caudovirales, were experimentally demonstrated to aggravate 186 intestinal inflammation and colitis as a consequence of overproduction of 187 IFN-y via TLR-9 signalling in the murine host ⁴³. These data together suggest 188 that certain Caudovirales taxa play a crucial role in the disease course of 189 IBD. However, the role of the phageome (particularly *Caudovirales*) 190 in FMT therapies of IBD has not been thoroughly bacteriophages) 191 investigated to date, which warrants in-depth research.

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193 An increased eukaryotic virome richness was also observed in faeces of 194 patients with UC.⁴⁴ One pilot FMT study in UC patients (n=9) found that UC 195 individuals who successfully responded to FMT contained a significantly 196 lower eukaryotic virome richness (both before and after FMT) compared to 197 non-responders.⁴⁴ This study indicates that a low baseline eukaryotic viral 198 richness might be important for a successful FMT in UC, albeit the 199 mechanism is lacking. Overall, the role of viral engraftment and virome 200 alterations in FMT therapies targeting IBD is still in its infancy and represents 201 an area of particular interest.

203 FMT in GvHD

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204 Limited studies have found some effectiveness of FMT in treating GvHD, though the overall efficacy is lacking.^{12,58} Patients with GvHD following HSCT 205 206 manifested significant GI symptoms and showed a persistent dominance of 207 eukaryotic viruses (anelloviruses, herpesviruses, papillomaviruses and 208 polyomaviruses) in the gut.³⁶ Among them, picobirnaviruses were determined as a predictive marker for the development of severe GvHD.³⁶ In a single 209 210 case study, a GvHD patient was treated with 4 episodes of FMT. ¹² Following 211 treatment, an increase in faecal virome diversity was observed, accompanied 212 by expansion of *Caudovirales* bacteriophages and shrinkage in the eukaryotic Torque teno viruses.¹² Consistent with FMT effects on virome 213 214 reported in CDI, the transfer of *Caudovirales* bacteriophages also underpins 215 a positive treatment outcome in GvHD.^{7,12} Future research with expanded 216 sample sizes is needed to convincingly address the therapeutic effect of FMT and virome modulations in GvHD.58,59 217

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219 Faecal viral transplantation (FVT) in other disease indications

220 As viruses are co-transferred alongside bacteria during naïve FMT, the role 221 of viruses is fastidious to tease apart from that of bacteria in treating disease. 222 Two pilot case series studies (n=5 and 4) explored the effect of sterile faecal 223 filtrate in treating CDI, a refined FMT protocol where the bacteria were filtered 224 out.^{48,60} This study showed that sterile faecal filtrate restored normal stool 225 habits and eliminated symptoms of CDI, suggesting a possible role of 226 bacteriophages and viruses (as a prominent component of sterile faecal 227 filtrate) in the therapeutic mechanism of FMT. However, caution should be 228 taken when interpreting these studies considering that the biological effect of 229 sterile faecal filtrate is not solely attributed to the viral microbiota per se, 230 where the metabolites, digested micro-nutrients, extracellular active 231 ingredients (such as viral envelope, bacterial cell wall components and 232 antimicrobial peptides) are also present in sterile faecal filtrate.

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234 Following that, more studies have employed a further refined protocol, FVT, 235 to specifically investigate the effect of faecal viruses in treating diseases, where faecal virus-like particle (VLP) are isolated, purified, and enriched.^{14,49-} 236 237 ⁵¹ In a piglet model of preterm infants, Brunse et al. showed that orally 238 administrated FVT successfully prevented the development of necrotising 239 enterocolitis (NEC) in all preterm piglets that received FVT, whereas FMT did 240 not perform better than the control arm, indicating that a gut virome transfer 241 and modification might be critical in preventing the development of NEC.⁵⁰ 242 Following antibiotic-mediated disruption of the bacteriome in human patients, 243 rapid restoration of the depleted microbiota might confer significant health 244 benefits.⁴⁹ Probiotics were shown to be not only ineffective in post-antibiotic 245 restoration of the gut microbiome, but sometimes even leading to impaired 246 microbiome recovery^{15,16}. In contrast to that, a study investigated the effect of 247 autologous FVT (harvested prior to antibiotics perturbation) in mice reported 248 a better gut bacteriome recovery after antibiotic perturbation, compared to the control treatment.⁴⁹ More recently, a study reported the effect of FVT in 249 250 treatment of type 2 diabetes and obesity in mice.¹⁴ Obese mice treated with 251 FVT showed improvement in glucose tolerance and reduced further weight 252 gain caused by high-fat diet.¹⁴ In these mice, FVT was found to impact not

253 only the virome but also the bacteriome, due to the transfer of 254 bacteriophages.¹⁴ In addition, FVT can reduce high-fat diet-induced small 255 intestinal bacterial overgrowth.⁵¹ Taken together, these findings emphasise 256 the critical role of virome transfer in successful FMT therapies of various 257 human diseases.

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259 Donor and recipient effect

In our prior FMT-CDI study, we found that donor-recipient pairs with a higher faecal viral richness of *Caudovirales* in donor than recipient was predictive of a better clinical outcome in CDI.⁷ This result at the same time was coupled with changes in the bacteriome, where FMT-driven *Caudovirales* transfer was positively correlated to the bacterial richness and diversity in the responders.⁷ Due to the co-transfer nature of virome and bacteriome during FMT, it precludes us separating the effect of virome and that of bacteriome in

267 influencing FMT outcome in this setting. Albeit, it highlights the importance of 268 donor virome composition and significance of appropriate donor-recipient 269 pairing for the efficacy of FMT. Consistently, another study found that donors 270 with a high faecal bacteriophage α -diversity and a low bacteriophage 271 abundance were associated with a successful FMT in CDI.⁴⁵

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273 By adopting a single-cell viral tagging (VT) approach, researchers 274 investigated whether individual phages isolated from one subject's faeces 275 could interact with bacteria isolated from a different subject, as a proxy for 276 FMT.^{61,62} They found that a high level of cross-reactivity between 277 bacteriophages and bacteria from different human subjects, which however 278 varied across donor-recipient pairs, highlighting a significant donor-recipient 279 pairing effect on FMT/FVT outcomes.⁶² Therefore, by modelling a bacteria-280 bacteriophage interaction network between the donor and recipient microbial 281 communities, based on single-cell VT results, it may become possible to 282 identify optimal donor-recipient pairs and to predict the outcomes of FMT.

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284 Safety concerns of virome transfer in FMT

285 While data suggests that viral transfer during FMT is an important beneficial 286 factor for the success of therapy, undesirable viral transmissions constitute a 287 concern, when serious safety especially transplanting into an 288 immunocompromised recipient. One report showed that norovirus was 289 transmitted to 2 out of 13 CDI patients via FMT procedure, who later 290 developed a post-FMT norovirus gastroenteritis.⁶³ This report claimed that 291 viral contamination and transfer during the FMT procedure by a procedure-292 involved employee who had previously developed norovirus-like symptoms 293 might be a cause to the observed post-FMT norovirus gastroenteritis.⁶³ A 294 number of pathogenic eukaryotic viruses can potentially be present in the 295 human gut, including papillomaviruses, herpesviruses, hepatitis viruses, 296 bocaviruses, enteroviruses, rotaviruses, and sapoviruses.⁶⁴ To prevent 297 potential transfer of pathogenic eukaryotic viruses during FMT, a thorough 298 faecal virome screening of the donor should be performed to ensure the

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299 safety of faecal transplant. Despite such concerns, FVT seems to be 300 generally safer than FMT due to the removal of intact bacteria, in particular 301 obligate and opportunistic bacterial pathogens, prior to transplantation. FVT 302 can also avoid transfer of certain gut commensals with unwanted properties. 303 which under certain conditions can interact with host physiology exacerbating 304 disease. Overall, FMT in general has been found to be safe provided that the 305 donor screening is done adequately as instructed in international guidelines. 306 Most, if not all, unfortunate cases have been due to sloppy and highly 307 unacceptable donor screening.

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309 Bacteriophages targeting gut bacteria can have cascade effects on bystander bacteria, as demonstrated in gnotobiotic mice.65,66 Therefore, which gut 310 311 bacteria are going to be affected by phage predation during FMT, to what 312 extent and in what direction, present an unpredictable and daunting 313 challenge. Equally complex and unpredictable is the subsequent effects of 314 microbiome manipulations on the overall health of the human host. In 315 addition, horizontal gene transfer (HGT) from one bacterial strain to another, 316 mediated by bacteriophages (phage transduction) can be a significant 317 contributor to dissemination of antibiotic resistance genes, virulence genes and other unwanted genetic material.⁶⁷. A number of bacteriophages encode 318 319 toxins (such as diphtheria toxin, Shiga toxin and erythrogenic toxin) that 320 increase survival and virulence of their bacterial host during lysogenic 321 conversion.^{68,69} FMT may transfer the bacteriophages carrying these toxin 322 genes to confer a virulent phenotype to the co-resident bacteriome in the gut 323 of the recipient, posing another health concern.

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Administering rats with a bacteriophage cocktail led to an increased intestinal permeability, weight loss, and decreased activity.⁷⁰ This study demonstrates that increased intestinal permeability may be induced by bacteriophages that affect the microbiota. Recently, a temperate filamentous bacteriophage was found to integrate into the genome of a multidrug-resistant *Pseudomonas aeruginosa*, resulting in inappropriate antiviral immune responses and

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impaired clearance of bacterial infection in the host.⁷¹ These evidence
suggest that akin to the transfer of certain eukaryotic viruses, the transfer of
unwanted bacteriophages may also confer undesired health concerns to the
human host.

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336 Mechanisms of virome function underlying FMT

The mechanisms of viral action contributing to FMT therapies include
tripartite mutualistic interactions between bacteriophages/eukaryotic viruses,
bacteria, and the mammalian host (figure 1b).⁶

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341 Functions of bacteriophages colonisation

342 Bacteriophage transfer engraftment can modulate not only the taxonomic composition but also the functional capacity of the gut bacteriome. 65,66,72 343 344 Faecal multi-omics profiling of mice receiving a transplant of a defined consortium of bacteriophages revealed shifts in both the microbiome and gut 345 metabolome after bacteriophage colonisation.66 The result showed that a 346 347 large diversity of metabolites was altered after bacteriophage transplantation, 348 including nearly all KEGG pathways (amino acids, peptides, carbohydrates, 349 lipids, nucleotides, cofactors, vitamins, and xenobiotics).⁶⁶ A recent study 350 also showed that Bacteroides phage BV01 altered the genome-wide 351 transcriptome profiles and bile salt hydrolase activity in its bacterial host, 352 leading to an altered profile of bile acids.⁷² In return, bile acids are well known to regulate both host microbiome (including C. difficile) and host 353 354 physiology.^{73,74} Such changes in gut bacteriome composition and functionality 355 induced by bacteriophage colonisation are important for host health.

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Accumulating data suggest that bacteriophages can modulate the immune system both directly and indirectly. Indeed, bacteriophages can colonise the intestinal mucus layer, directly binding to mucin glycoproteins via their capsids, and provide the mammalian host with a defence mechanism against the bacteria trying to breach through the intestinal barrier.⁷⁵ Certain bacteriophages, such as phage 536_P1, directly promote the production of antiviral cytokines, such as IFN-y and IL-12, as well as chemokines, even in the absence of their host bacteria.⁷⁶ Bacteriophages can interact with the host immune system in various ways, by inducing the innate defenses against bacterial colonisation, stimulating production of inflammatory cytokines, and activating dendritic cells (DCs) and innate lymphoid cells (ILCs) to produce IFNs (figure 1b).⁷⁷

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370 Functions of eukaryotic viruses colonisation

371 In addition, colonisation with eukaryotic viruses in the gut is critical for the 372 maintenance of gut microbial homeostasis and host immunity. Recognition of 373 the bulk of enteric viruses via surface receptors TLR3 or TLR7 induces 374 production of IFN- β , which protects the host from developing inflammation.²⁷ 375 The RIG-I receptor for viral RNA recognition in the cytosol signals IL-15 376 production to maintain homeostasis of intraepithelial lymphocytes.⁷⁸ Another 377 prime example of protective effects of intestinal eukaryotic virome was demonstrated in murine norovirus (MNV)-colonised mice.⁷⁹ The viral protein 378 379 NS1/2 from MNV evoked a host protective response with increased 380 production of IFN-I and IL-22, which conferred a critical protection against 381 Citrobacter rodentium infection and promoted proliferation of the intestinal 382 epithelial cells.⁷⁹ A recent comprehensive murine study profiled the immune 383 responses to a panel of eukaryotic viruses and found a widespread capacity 384 for asymptomatic intestinal colonisation and durable alterations that the both strain-specific and common to multiple viruses.²⁸ Most enteric viruses 385 386 promoted T cell differentiation, Th1 polarization, and production of IL-22, a 387 cytokine central to the dialog between host and microbiome at epithelial 388 barriers.^{28,80} Such enteric viruses could transcriptionally upregulate anti-389 bacterial peptides, though to a lesser extent than a consortium of 15 bacterial 390 strains in germ-free (GF) mice.²⁸ In addition, astrovirus supplementation in 391 immunocompromised mice can protect the mice from enteric pathogens via 392 IFN- λ , transferable by cohousing and faecal transplantation.⁸¹ These data 393 together suggest that gut eukaryotic viruses also tune host homeostasis by 394 orchestrating both the host immunity and the co-resident microbiome.

Taken together, these studies indicate that colonisation by gut viruses, both prokaryotic and eukaryotic, is essential to calibrate host immunity and physiology. Transfer and engraftment of gut viruses via FMT can have broad cascading effects on the mammalian host, including modulation of host microbiome, metabolome, and immunity.

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402 Roles of gut mycobiome in FMT

403 The human gut mycobiome

404 The human GI tract is also home to a large diversity of fungi, collectively 405 known as the gut mycobiome. Fungi constitute a minor component of the gut 406 microbiota, making up approximately 0.1% of the microorganisms shown by 407 metagenomics sequencing.^{4,82,83} Studies have shown that the gut harbours >50 genera of fungi with Candida, Saccharomyces, Cladosporium and 408 409 *Malassezia* being the most abundant genera (figure 2a).^{4,82,83} However, the 410 gut fungi are highly under-explored relative to the gut bacteria, and hence are 411 underrepresented in current mycobiome databases, hindering aut mycobiome profiling and functional characterisation.⁸⁴ Gut resident fungi 412 have both mutualistic and antagonistic relationships with the gut bacteria. 413 414 together shaping the host immunity.⁸⁵ Intestinal fungi have been shown to be 415 causally implicated in microbiome assembly and immune development.⁸⁶ 416 Accumulating evidence points to that the gut mycobiota can strongly 417 influence the host immune system and this interaction is linked to bacteria activities.^{87,88} Recent observations of dysbiosis in gut mycobiome across 418 419 various diseases highlight a critical role of gut fungi in disease 420 pathogenesis^{89–91}, suggesting that targeting gut mycobiome may represent a 421 promising therapeutic modality.

422

423 Translational studies investigating FMT and mycobiome

424 Emerging studies report that FMT involves transfer of fungi, which may affect 425 treatment outcomes.^{8,9} Our FMT-fungi study in CDI (n=16) showed that donor

426 fungi were substantially transferred to recipient after FMT, and cure after 427 FMT was associated with increased colonisation of donor-derived fungal taxa 428 in recipients.⁸ After FMT, responders displayed a high relative abundance of 429 Saccharomyces and Aspergillus, whereas non-responders and patients 430 treated with antibiotics displayed a dominant presence of *Candida* in faeces.⁸ 431 CDI patients who responded to the treatment showed a reduction in the 432 abundance of *C. albicans* after FMT, while those who did not respond to FMT 433 showed a still high abundance of C. albicans in faeces.⁸ In favor of this 434 finding in humans, we observed that C. albicans also negated FMT efficacy 435 in a mouse model of CDI.⁸ In a separate experiment, we showed that 436 antifungal treatment to eliminate C. albicans in recipient mice before FMT re-437 established FMT efficacy using the same donor stool without presence of C. 438 *albicans.*⁸ These evidence together highlight a causal relationship between 439 gut fungal dysbiosis and FMT outcome in CDI.

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441 Interestingly, a recent FMT study conducted in patients with UC (n=24) 442 observed a different association between faecal C. albicans levels and 443 treatment outcome: UC patients with higher C. albicans abundance pre-FMT 444 were much likely responsive to FMT.⁹ On the other hand, in agreement with 445 the finding in CDI, FMT resulted in a reduction of C. albicans in UC patients.⁹ 446 Decreased Candida abundance post-FMT was indicative of ameliorated UC 447 severity.⁹ These findings suggest that FMT reduces *C. albicans* abundance, 448 and a decreased C. albicans abundance after FMT is associated with 449 disease amelioration in both CDI and UC. The discordant findings between 450 CDI and UC that a high faecal abundance of C. albicans in recipients pre-451 FMT may lead to discrepant treatment outcomes after FMT underscores that 452 gut fungi in recipient baseline may affect FMT efficacy in a disease-453 dependent manner. Similarly, patients with CD had higher fungal burden and 454 *Candida* colonisation in the gut, however CD patients were less responsive to 455 FMT compared to UC.^{10,92–94} Different immunophysiology and 456 immunopathophysiology mechanisms, though calibrated by the same fungi, 457 in different disease settings may underlie this discordance in FMT efficacy 458 between diseases.

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460 Overall, FMT treatment is able to reduce C. albicans levels in recipient and 461 produce a positive treatment outcome in CDI and IBD. This effect is also 462 evidenced in different mouse models, demonstrating that FMT prevented C. albicans colonisation.^{8,95,96} In a pilot study, a patient with UC was cleared of 463 464 recurrent *C. glabrata* infection after FMT ⁹⁷, suggesting FMT may be able to 465 deliver a possible beneficial effect on patients who are complicated with 466 fungal infection. Another clinical study showed that FMT was effective to 467 reduce the likelihood of developing blood stream fungal infection in rCDI patients compared to antibiotics treatment.⁹⁸ These studies further support 468 469 that FMT is capable of modulating recipient fungi, including *Candida* species.

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471 Another intestinal disorder IBS is also characterised by a significant alteration 472 in the gut mycobiome, featured by predominance of two fungal species C. 473 albicans and Saccharomyces cerevisiae compared to healthy individuals.⁹⁹ 474 Interestingly, IBS-related visceral hypersensitivity was transferable between 475 rats by FMT, suggesting a causal role of gut microbiome in IBS.⁹⁹ To date, 476 whether FMT is effective in IBS is still controversial in clinical practices. One 477 randomized clinical trial (n=90) conducted in a cohort of IBS patients in 478 northern Norway showed that FMT relieved IBS symptoms compared to the 479 placebo arm, whereas another randomized clinical trial (n=48) conducted in 480 three US centres showed that FMT was ineffective in reducing IBS symptoms 481 compared with placebo.¹⁰⁰ Whether gut fungi play a role in such 482 heterogenous clinical efficacy of FMT in IBS warrants further investigation.

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FMT is a promising agent for treating infectious diseases and gastrointestinal disorders, it has been utilised for treating severe colitis in GvHD patients following allogenic HSCT.¹² The antifungal drug fluconazole has been shown to prevent *C. albicans* colonisation and to reduce the likelihood of developing GvHD after HSCT, suggesting that modulation of gut mycobiota may be associated with the pathogenesis of GvHD post HSCT.¹⁰¹ More recently, our observational FMT study on a single GvHD case reported some changes in

491 the gut mycobiome following a successful treatment of 4-dose FMT.¹² The 492 serial FMT treatments altered the fungal composition and diversity in the 493 faeces of this GvHD patient, which was more similar to the donor's faecal 494 fungal profile after FMT compared to his pre-FMT profile.¹² However, as this 495 pilot study was exploratory, an expanded sample size is needed in future to 496 address any biologically meaningful changes in recipient's gut mycobiome by 497 FMT underlying cure of GvHD.

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The role of gut mycobiome in FMT is elusive and there is a significant lack of human and animal studies centered on fungal transfer in FMT treating different diseases. The keystone fungal species as well as their functions in the gut and FMT remain to be discovered in different disease settings.

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504 Donor and recipient effect

505 As aforementioned, our FMT-CDI study in both humans and mice found that 506 existence of C. albicans in donor and high abundance existence of C. 507 albicans in CDI recipient pre-FMT were both detrimental to FMT outcome.⁸ 508 Similarly, two filamentous fungi Penicillium brocae and Aspergillus 509 penicillioides, present in either donor or recipient pre-FMT, also nullified the 510 treatment efficacy of FMT in CDI mice, highlighting a generic deleterious role 511 of overrepresentation of certain fungi in donor or recipient in FMT.⁸ Antibiotics 512 are always the primary treatment for patients with CDI, which may lead to a 513 fungal bloom and a high likelihood of developing fungal infections in patients, particularly expansion of Candida species.102 These data underscores the 514 515 importance of donor selection (exclusion of invasive Candida carriers) and 516 appropriate recipient preparation based on their faecal fungal profiles, to 517 enhance FMT efficacy in CDI. In contrast, a high faecal abundance of C. 518 albicans in UC patients pre-FMT was associated with a favourable FMT 519 outcome.⁹ Taken together, it suggests that the gut mycobiome composition of 520 donor and recipient should be considered separately in different disease 521 settings when employing FMT.

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523 Safety concerns of mycobiome transfer in FMT

524 Analogous to gut bacteria, a lot of gut fungi are opportunistic species which 525 can mount detrimental immune responses in the host under non-homeostatic 526 conditions, including C. albicans. Therefore, to avoid transfer of fungal 527 pathogens and opportunistic fungal pathogens, thorough donor screening 528 based on the faecal fungal profile is necessary during FMT practice. To date, 529 there has no report of death or infection caused by transmission of life-530 threatening fungi from FMT, but screening for fungal candidates in the donor 531 and susceptible recipients should also be cautious. Fungal infection is often 532 seen in immunocompromised patients with CDI, IBD and GvHD, and the 533 responsible candidates are Candida species that contribute to the majority of infections.^{97,98,101} Most common fungal infections in CDI are caused by C. 534 535 albicans, C. parapsilosis, and C. tropicalis in the blood stream due to prolonged use of antibiotics.⁹⁸ These fungi constitute a health concern to the 536 537 host, and may nullify FMT efficacy and invoke undesired immune responses 538 after FMT.

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Other fungi, such as Malassezia restricta and Histoplasma capsulatum 540 should also be checked in donor screening.^{103,104} M. restricta is a skin 541 542 commensal that preferentially colonises in CD patients with CARD9 543 polymorphism, whereas H. capsulatum preferentially infects IBD patients with immunocompromised condition. ^{103,104} CARD9 and dectin-1 are well known 544 545 fungal recognition receptors, and polymorphisms in these genetic loci are associated with increasing susceptibility to fungal infections.¹⁰⁵⁻¹⁰⁷ It is known 546 547 that antibiotics can alter the gut bacterial-fungal community structure and that 548 antibiotics treatment was found to offer longer-lasting impact on gut fungi other than bacteria.¹⁰⁸ Hence, fungal profiling in the donor, the genetically 549 550 susceptible or immunosuppressive recipient, as well as those with a drug or 551 antibiotics history, are needed to avoid potential fungal bloom or infection 552 following FMT. 105-107,109

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554 Mechanisms of protective immunity elicited by the gut fungi

555 The commensal fungi in the gut can evoke protective immunity in the host 556 and impact gut microbiome assembly. ^{6,88,105,110,111} The mechanisms of 557 mycobiome action underlying FMT treatment of diseases hence also involve 558 a tripartite interaction between fungi, bacteria, and the mammalian host 559 (figure 2b).

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561 It is shown in gnotobiotic mice that commensal fungi can functionally 562 recapitulate the protective benefits of intestinal commensal bacteria, by 563 mitigating tissue injury and extra-intestinal infection as well as calibrating the 564 activation of protective CD8+ T cells.¹¹² Elimination of the gut fungi by oral 565 antifungals in mice worsened the outcome of colitis and allergic airway 566 disease⁹¹, where both the host immune profile and the gut bacteriome 567 composition were disrupted, suggesting that colonisation of gut commensal 568 fungi plays an import role in host immune and microbiome homeostasis. 569 Fungi can stimulate host cells through a variety of microbial pattern recognition receptors (PRRs). 6,105,110,113-117 Surface receptors, such as dectin-570 571 1, dectin-2, mincle and CX3CR1 receptors on mononuclear phagocytes 572 (MNPs) can recognize β-glucans in the fungal cell wall.^{6,105,110,113–117} Fungal 573 sensing by CARD9 signalling induces release of IL-18 for reconstitution of intestinal epithelial cells (IECs).^{6,105,110,113,118} IL-22 elicited by fungal sensing 574 575 restricts inflammasome from triggering immune pathology.¹¹⁹ Fungal-derived 576 molecules and metabolites, such as mannans and glucans, are also critical 577 components to calibrate host immunity by changing the cytokine profile.^{120,121} 578 These mechanistic studies underscore the sophisticated molecular pathways 579 underlying gut fungi regulating host immunity.

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Another aspect of mycobiome function on the host is its interactions with gut bacteria and other co-resident fungi. In steady state, bacteria and fungi keep each other in check in the gut. One study demonstrated that *C. albicans* affects the recolonisation of the cecum by the microbiota in mice treated with antibiotics.¹²² The presence of *C. albicans* in the gut increased colonisation

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586 by the bacterium *Enterococcus faecalis* and reduced colonisation of probiotic 587 Lactobacillus strains.¹²² A follow-up study revealed that antibiotic-treated C. 588 albicans-colonised mice had reduced expression of specific immune genes, 589 hinting at dual role of C. albicans on host immunity and bacteriome 590 assembly.¹²³ Several studies have shown that Saccharomyces boulardii can 591 suppress *C. difficile* by the production of a protease to degrade toxins A and 592 B of *C. difficile*.^{124,125} These studies imply that colonisation of *Saccharomyces* 593 species by FMT may favour a positive outcome in CDI. Moreover, S. 594 *boulardii* has a protective effect against various other bacterial 595 gastrointestinal pathogens, including Helicobacter pylori, Vibrio cholerae, 596 Salmonella enterica serovar Typhimurium, Shigella flexneri, and Escherichia 597 coli.⁸⁵ Both *E. coli* and *S. Typhimurium* bind to the surface of *S. boulardii*, 598 potentially preventing adhesion to intestinal epithelial cells and thus allowing quicker excretion through faecal matter.^{126,127} 599 S. boulardii was found to 600 suppress colonisations of both C. albicans and Adherent-invasive 601 Escherichia coli (AIEC) and to alleviate colitis in mice.¹²⁸⁻¹³⁰ Overall, FMT is 602 able to cause a collection of fungi colonised in recipient, where they 603 individually or together with gut bacteria regulate host immnunophysiology

604 influencing FMT outcome.

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606 Conclusions

607 A large body of studies demonstrated efficacy of FMT in re-shaping the 608 microbial composition and function in the recipients in a number of human 609 diseases, though resolution of symptoms and cure can only be consistently 610 achieved in CDI and inconsistently achieved in other diseases, such as IBS, 611 IBD and obesity. Successful FMT is not only ascribed to the restoration of 612 healthy gut bacteriome, but also involves modulation of the virome and mycobiome. The intricate relationships between the bacteriome, the virome 613 614 and the mycobiome on one hand, and the human host on the other, underpin 615 clinical and microbiological effects, and overall efficacy of FMT. Enhanced 616 understanding of gut virome and mycobiome will guide and facilitate future 617 precision FMT-based therapies of various human diseases. Well-designed

618 clinical trials based on targeted bacterial-, viral/phage- and fungal-transfer will 619 be required, so are preclinical mechanistic studies investigating functions of 620 the individual components of the microbiome. Of particular interest are 621 different lineages and combinations of *Caudovirales* phages, as well as fungi 622 from the genera Candida and Saccharomyces, due to their possible 623 contributions to FMT efficacy. Precision FMT should be adopted in future, 624 FVT and/or defined consortia including of phages-fungi-bacteria 625 combinations, in a personalised, disease-specific manner. We look forward 626 with optimism to the future of precision FMT, as it transfers a holistic, tailor-627 made, and well donor-recipient paired microbiome for disease treatment.

629 Search strategy and selection criteria

A literature search was performed on Pubmed, Google Scholar and Web of
Science using the keywords "Faecal microbiota transplantation", "FMT",
"Faecal viral transfer", "FVT", "Fungal microbiota", "Gut virus", "Virome", "Gut
fungi" and "Mycobiome" to select relevant clinical and animal studies.

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635 Contributors:

- TZ conceived the manuscript. TZ and SL wrote the manuscript. ANS, PL and XJWprovided significant intellectual contribution and edited the manuscript. TZ, PL and
- 638 XJW supervised this study. HP and XWB provided critical comments.

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640 **Declaration of interests**:

641 The authors declare no conflict of interest.

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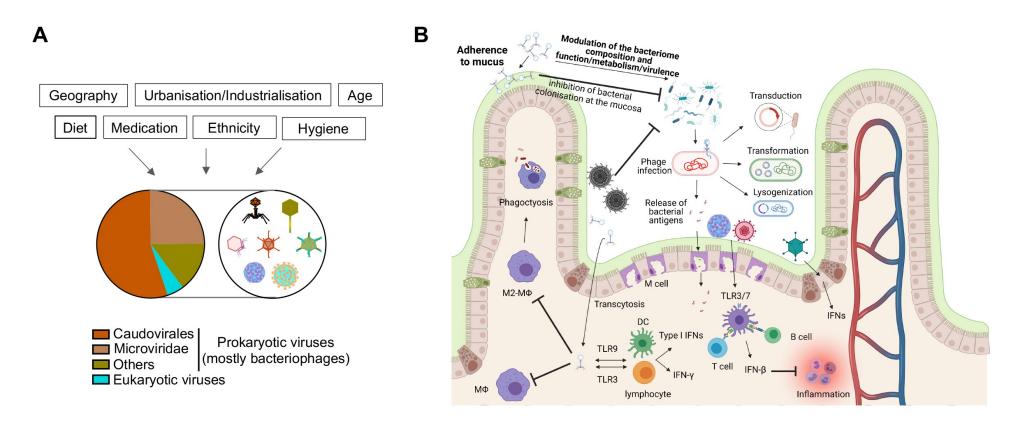
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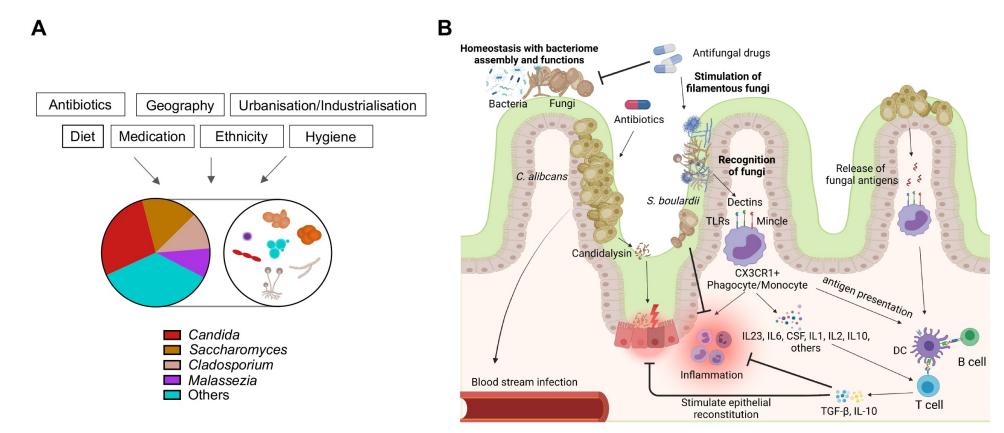
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- 1050 Figure 1. Composition and function of the gut virome. A. The composition of human gut virome and factors influencing its composition. B.
- 1051 Functions of the gut virome on the host.



1054 Figure 2. Composition and function of the gut mycobiome. A. The composition of human gut mycobiome and factors influencing its 1055 composition. B. Functions of the gut mycobiome on the host.

Table 1. Summary of human studies investigating FMT and gut virome/mycobiome

Indication	Number of study subjects	Туре	Methodology	Treatment outcome in association with gut virome/mycobiome alterations	Reference
CDI	N=44	Randomized Controlled Trial	Faecal virome and bacteriome were profiled in association with treatment outcome	Caudovirales derived from the donors with increased richness displayed responsiveness	7
	N=55	Randomized Controlled Trial	Faecal mycobiome and bacteriome were profiled in association with treatment outcome	High abundances of <i>Candida albicans</i> in donor or recipients led to failure of FMT; FMT decreased <i>Candida albicans</i> abundance	8
	N=9	Pilot-study	Analysis in the metagenome, prophage- and CRISPR-based bacteria- phage association and gene functions of the bacteriome and virome after FMT treatment	Successful FMT resulted in functional restoration in the bacteriome and virome resembling donors' profiles. A negative correlation between <i>Microviridae</i> and <i>Proteobacteria</i> was found before and after FMT	35
	N=1	Case-study	Longitudinal investigations up to 42 months on patient's bacteriome and virome after a successful FMT	Bacteriome and virome in the patient resembled the donor's profile long-term; low phage abundance is associated with a 'healthy' virome profile	47
	N=14	Pilot-study	Investigations up to 12 months on the viral transfer from 3 donors to 14 patients	A successful FMT was associated with durable virome alterations up to 12 months in recipients	46
IBD	N=39	Randomized Controlled Trial	Faecal mycobiome was profiled in association with treatment outcome	FMT responders showed lower faecal <i>Candida</i> <i>albicans</i> abundances and anti- <i>Candida</i> antibody levels after FMT	9
	N=9	Pilot-study	Analysis in the UC faecal virome and its association with induction of clinical and endoscopic remission	FMT responders showed lower baseline eukaryotic virome richness	44
GvHD	N=1	Case-study	Faecal virome, mycobiome and bacteriome were profiled longitudinally in one patient treated with 4-dose FMT	Decreased faecal fungal diversity after serial FMTs, while virome maintained stable after FMT; relative abundance of Torque teno viruses was decreased, whereas <i>Caudovirales</i> bacteriophages was increased in faeces after FMT	12