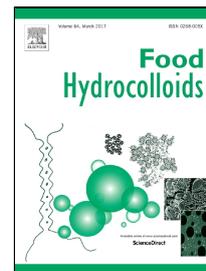


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Influence of emulsifier type on the spray-drying properties of model infant formula emulsions

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**Highlights:**

- Emulsifier type influenced the surface composition of powders
- The formulation containing conjugated WPH had the lowest powder stickiness
- Formulations containing lipid-based emulsifiers had the highest powder stickiness
- Conjugate-stabilised emulsions had the best quality upon reconstitution

1 **Influence of emulsifier type on the spray-drying properties**  
2 **of model infant formula emulsions**

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23 **Abstract**

24 The objective of this study was to compare the drying performance and physicochemical  
25 properties of model infant formula (IF) emulsions containing 43, 96, and 192 g L<sup>-1</sup> protein,  
26 oil and maltodextrin (MD), respectively, prepared using different emulsifier systems.  
27 Emulsions were stabilised using either whey protein isolate (WPI), whey protein hydrolysate  
28 (WPH; DH 8%), WPH+CITREM (9 g L<sup>-1</sup>), WPH+lecithin (5 g L<sup>-1</sup>) or WPH conjugated with  
29 maltodextrin (DE 12) (WPH-MD). Homogenised emulsions had 32% solids content and oil  
30 globules with mean volume diameter <1 µm. Powders were produced by spray-drying with  
31 inlet and outlet temperatures of 170 and 90°C, respectively, to an average final moisture  
32 content of 1.3%. The extent of powder build-up on the dryer wall increased in the order;  
33 WPH – MD << WPH ≤ WPI < WPH+LEC ≤ WPH+CIT. The same trend was observed for the  
34 extent of spontaneous primary powder agglomeration, as confirmed by particle size  
35 distribution profiles and scanning electron micrographs, where the WPH-MD and WPH+CIT  
36 powders displayed the least and greatest extent of agglomeration, respectively. Analysis of  
37 elemental surface composition of the powders, showed that surface fat, protein and  
38 carbohydrate decreased in the order; WPH+CIT > WPH+LEC > WPH > WPH – MD > WPI,  
39 WPI > WPH > WPH – MD > WPH+LEC > WPH+CIT and WPH –  
40 MD > WPI > WPH > WPH+LEC > WPH+CIT, respectively. Additionally, differences in  
41 wettability, surface topography and oil globule distribution within the powder matrix and in  
42 reconstituted powders were linked to the powder emulsifier system. Inclusion of the WPH-  
43 MD conjugate in the formulation of IF powder significantly improved drying behaviour and  
44 physicochemical properties of the resultant powder, as evidenced by lowest powder build-up  
45 during drying and greatest emulsion quality on reconstitution, compared to the other model  
46 formula systems.

- 47 **Keywords:** Spray-dried emulsions, Infant formula powders, Protein conjugation, Powder  
48 stickiness, Emulsion stability, Particle microstructure

ACCEPTED MANUSCRIPT

## 49 1. Introduction

50 Protein-based added-value nutritional formulations have been gaining a significant share of  
51 the global food market over the last decade, especially those tailored for athletes, the elderly  
52 and infants; the total global market for these product types is predicted to exceed 100 billion  
53 USD by 2020. Formulations for such products generally contain protein (e.g., whey protein),  
54 oils rich in unsaturated fatty acids (i.e., blends of vegetable oils) and carbohydrates (e.g.,  
55 maltodextrin) as the main components. Whey protein hydrolysate (WPH) is often used as a  
56 protein source in such nutritional formulae due to its desirable amino acid composition, high  
57 digestibility and rapid absorption in the gut (Hernández-Ledesma, García-Nebot, Fernández-  
58 Tomé, Amigo, & Recio, 2014). Modification of protein *via* hydrolysis has been extensively  
59 studied, with reports on improvement in protein functionality in the areas of solubility,  
60 surface activity, foaming and emulsifying properties available in the scientific literature  
61 (Agboola & Dalgleish, 1996a, b; Banach, Lin, & Lamsal, 2013; Foegeding & Davis, 2011;  
62 Kilara & Panyam, 2003). However, incorporation of WPH into nutritional formulations such  
63 as powdered formulae or ready to drink products is often associated with processing and shelf  
64 life challenges such as protein/peptide-mediated bridging flocculation and coalescence, due  
65 to reduced steric stabilisation and increased number of exposed reactive sites, compared to  
66 formulations based on intact whey protein (Drapala, Auty, Mulvihill, & O'Mahony, 2016a, b;  
67 Euston, Finnigan, & Hirst, 2000; Hunt & Dalgleish, 1995). Irrespective of the format of the  
68 final product (i.e., liquid or powder), the formulations for both physical formats have to  
69 undergo a number of thermal treatments (e.g., pasteurisation, sterilisation, spray-drying) as a  
70 liquid. Therefore, additional non-protein surface active components are often included in the  
71 formulation of WPH-based emulsions in order to improve their processing and shelf-life  
72 stability; these surfactants are usually lipid-based emulsifiers, including lecithin or citric acid  
73 esters of mono- and di-glycerides (CITREM).

74 Spray-drying is one of the most common processes used in the manufacture of dairy  
75 ingredients and nutritional products; rapid water removal results in increased product shelf-  
76 life, reduced shipping and storage costs and provides the consumer with a convenient and  
77 stable product. In this complex process, multiple factors such as feed characteristics (e.g.,  
78 composition and rheological properties), process parameters (e.g., atomiser type and fines  
79 return) and external factors (e.g., air humidity, temperature) significantly impact the drying  
80 performance and the physicochemical properties of the final product. The composition (i.e.,  
81 the type and content of protein, carbohydrate, fat and emulsifier, total solids content) and  
82 properties (i.e., flow behaviour and viscosity) of the emulsion destined for spray-drying have  
83 a strong influence on its drying properties; extensive scientific reports and reviews focusing  
84 on the effects these factors have on the characteristics and properties of the resulting powders  
85 have been published (Adhikari, Howes, Wood, & Bhandari, 2009; Jayasundera, Adhikari,  
86 Aldred, & Ghandi, 2009; Ji et al., 2016; Kim, Chen, & Pearce, 2009; Millqvist-Fureby,  
87 Elofsson, & Bergenståhl, 2001; Taneja, Ye, Jones, Archer, & Singh, 2013; Vega & Roos,  
88 2006; Vignolles, Jeantet, Lopez, & Schuck, 2007).

89 It is well established that there is a strong relationship between the surface composition of  
90 powder particles and their drying performance in addition to the properties (e.g.,  
91 cohesiveness, shelf-life) of the final product (Kelly, O'Mahony, Kelly, & O'Callaghan, 2014;  
92 Nijdam & Langrish, 2006; Sadek et al., 2015). In the production of fat-rich powders, high  
93 surface fat content can lead to powder stickiness, low powder recovery (i.e., yield) and  
94 production down-time (i.e., due to powder build-up on the dryer walls) as well as poor shelf  
95 life and undesirable properties of the final product (i.e., lipid oxidation, caking, low solubility  
96 and dispersibility) (Paterson, Zuo, Bronlund, & Chatterjee, 2007). Surface composition of an  
97 emulsion-based powder is governed mainly by the emulsifier system used; upon atomisation,  
98 a new air/liquid interface is created and surface active components (i.e., protein, peptides, low

99 molecular weight surfactants), present in the emulsion, migrate rapidly towards, and adsorb  
100 at, the new interface, effectively reducing the surface free energy and enhancing the  
101 thermodynamic stability of the system (Munoz-Ibanez et al., 2016). Effectively, surfactants  
102 are over-represented at the droplet/powder particle surface, affecting in-process and in-  
103 application behaviour of these products, as exhibited by interactions of particles with the  
104 dryer wall and with other droplets/powder particles. Thus, a better understanding of the  
105 emulsifier system and its modification to tailor it to a specific formulation has an important  
106 role in increasing drying efficiency to produce a powder with desired properties.

107 Conjugation of milk proteins with carbohydrates through the Maillard reaction has been  
108 frequently reported to give an emulsifier with exceptional functionality, especially with  
109 respect to stability of emulsion to unfavourable thermal and/or storage conditions (Akhtar &  
110 Dickinson, 2003; Drapala et al., 2016 a, b; Kasran, Cui, & Goff, 2013a, 2013b; O'Regan &  
111 Mulvihill, 2010a 2010b; Wooster & Augustin, 2006). WPH-maltodextrin (WPH-MD)  
112 conjugates have been shown to confer strong steric stabilisation to oil droplets, effectively  
113 limiting globule-globule interactions and preventing emulsion destabilisation (i.e.,  
114 flocculation and/or coalescence) (Corzo-Martínez et al., 2011; Liu, Ma, McClements, & Gao,  
115 2016).

116 There is an evident potential for these conjugates to affect surface properties of spray dried  
117 emulsions, effectively, influencing their behaviour during drying and properties of the final  
118 product. Good interfacial barrier properties and inherent ability of WPH-MD conjugate to  
119 adsorb at the newly formed air/water interface (O'Mahony, Drapala, Mulcahy, & Mulvihill,  
120 2017) can offer an ingredient capable of deterring interactions between atomised emulsion  
121 droplets/powder particles. However, currently there are no published studies reporting on the  
122 use of WPH-based conjugates in spray dried emulsions nor on the properties of the resultant  
123 powders. This study aims to directly compare the spray drying performance and powder

124 physical properties for spray dried emulsions stabilised with different emulsifier systems;  
125 namely, conjugated protein/peptides (WPH), not conjugated protein/peptides (WPH, WPI)  
126 and not conjugated protein/peptides (WPH) with the addition of low molecular weight lipid-  
127 based surfactants (i.e., CITREM and lecithin).

## 128 **2. Materials and methods**

### 129 2.1. Materials

130 Whey protein isolate (WPI) and whey protein hydrolysate (WPH; 8% degree of hydrolysis;  
131 DH) were obtained from Carbery Food Ingredients Ltd. (Ballineen, Co. Cork, Ireland). The  
132 WPI and WPH ingredients had protein contents of 87.2 and 83.7%, respectively, and ash  
133 contents of 2.76 and 2.92%, respectively, as reported by Drapala et al. (2016a). Maltodextrin  
134 (MD) was obtained from Corcoran Chemicals Ltd. (Dublin, Ireland) and had moisture and  
135 ash contents of <5.0% and <0.2%, respectively. Soybean oil was obtained from Frylite Group  
136 Ltd. (Strabane, Co. Tyrone, Northern Ireland). CITREM (Grindsted® CITREM N12) was  
137 obtained from Dupont Nutrition Biosciences ApS (Brabrand, Denmark) and de-oiled  
138 powdered soybean lecithin (Ultralec® P) was obtained from ADM (Decatur, IL, USA). All  
139 other chemicals and reagents used in the study were of analytical grade and sourced from  
140 Sigma-Aldrich (Arklow, Co. Wicklow, Ireland).

### 141 2.2. Preparation of emulsions

142 Emulsions ( $\epsilon$ ) for model infant formula (IF) powders ( $\rho$ ) were prepared at pH 6.8 using  
143 protein, soybean oil and maltodextrin in the ratios 1.0:2.3:4.5, respectively. The protein  
144 component was either whey protein isolate (WPI), whey protein hydrolysate (WPH) or WPH  
145 conjugated with maltodextrin (MD) in a wet heating process as detailed by Drapala et al.  
146 (2016a). Additionally, non-protein emulsifiers, citric acid esters of mono- and di-glycerides  
147 (CITREM; 9 g L<sup>-1</sup>) and soybean lecithin (5 g L<sup>-1</sup>) were incorporated into the formulation of

148 selected IF emulsions destined for subsequent spray-drying. Emulsions were prepared by  
149 dissolving oil soluble components, where applicable, in soybean oil and water soluble  
150 components in ultrapure water, followed by two stage homogenisation (double pass) at 15  
151 and 3 MPa, using a valve homogeniser (APV GEA Niro-Soavi S.p.A., Parma, Italy) at 50°C.  
152 All emulsions were prepared to a total solids (TS) target of 32% as measured with a rapid  
153 moisture analyser (HB43 – S, Mettler – Toledo LLC, Columbus, OH, USA). In total, five  
154 emulsions based on WPI, WPH, WPH + CITREM (WPH+CIT), WPH + lecithin  
155 (WPH+LEC) and WPH conjugated with maltodextrin (WPH-MD) were produced in the  
156 current study.

### 157 2.3. Spray-drying of emulsions

158 Powders were produced from emulsions using a bench-top spray dryer (B-191, BÜCHI  
159 Labortechnik AG, Flawil, Switzerland) with a maximum evaporation capacity of 1.5 L H<sub>2</sub>O  
160 h<sup>-1</sup>. Inlet temperature was set at 170°C and outlet temperature was maintained at 90-95°C by  
161 controlling the aspirator power (i.e., in the range of 40-60 m<sup>3</sup> h<sup>-1</sup>) and the feed flow rate (i.e.,  
162 in the range 1.2-1.4 L h<sup>-1</sup>). Effectively, drying temperatures were kept within the industry  
163 relevant range typical for IF manufacture by using high feed flow rate (95-100%) and  
164 relatively low aspirator power (80-90%); however, this was achieved at the expense of  
165 product yield (Fig. 1). The powders were collected in the collection chamber as detailed in  
166 Fig. 1, transferred to zip-sealed low density polyethylene bags (VWR International, Leuven,  
167 Belgium), followed by vacuum packing in heat-sealed polyamide/polyethylene bags (Fispak  
168 Ltd., Dublin, Ireland) with a moisture permeability of 2.6 g m<sup>-2</sup>.d. The powders were stored  
169 in the dark at ambient conditions (i.e., ~20°C) until further analyses within 4 weeks of spray  
170 drying. Powder recovery was calculated on a TS basis (i.e., [Final powder product TS/feed  
171 liquid TS] ×100) from the total amount of powder obtained in the collection chamber. Losses

172 on drying were due to unrecoverable powder, which stuck to the wall of the dryer main  
173 chamber or fell and accumulated at the base of the main chamber during spray-drying (Fig.  
174 1). Powder stickiness was visually assessed based on the extent of wall coating by powder in  
175 the cyclone, in order to provide information on particle cohesion arising from surface  
176 characteristics (Fig. 1).

#### 177 2.4. Particle size distribution

178 Particle size distribution (PSD) of the emulsions immediately after homogenisation and after  
179 powder reconstitution (i.e., 12%, w/v, TS) was measured using a laser light diffraction unit  
180 (Mastersizer 3000, Malvern Instruments Ltd, Worcestershire, UK) equipped with a 300 RF  
181 (reverse fourier) lens, an LED light source ( $\lambda$  of 470 nm) and a He-Ne laser ( $\lambda$  of 633 nm) as  
182 detailed by Drapala et al. (2016b). The size distribution of the model infant formula powders  
183 was measured using a Mastersizer 3000 equipped with a dry powder dispenser cell (Aero S).  
184 Approximately 3.0 g of powder was placed in the feed hopper, containing a ball bearing to  
185 facilitate powder flow, with the feed pressure set at 1 bar, powder flow rate at 40-70% and  
186 the hopper height at 2 mm. All measurements were taken at 1-2% obscuration. The  
187 background and sample measurement duration was set at 20 s with the material refractive and  
188 absorption indexes of 1.46 and 0.01, respectively.

#### 189 2.5. Rheological measurements

190 The apparent viscosity of emulsions was measured at 20°C using a rotational viscometer  
191 (Haake RotoVisco 1, Thermo Fisher Scientific, MA, USA) equipped with a cylindrical  
192 double gap cup and rotor (DG43, Thermo Fisher Scientific, MA, USA) as described by  
193 Mulcahy, Mulvihill and O'Mahony (2016). The shear rate was increased from 0 to 300 s<sup>-1</sup>  
194 over 5 min, held at 300 s<sup>-1</sup> for 2 min and decreased to 0 s<sup>-1</sup> over 5 min; the average apparent  
195 viscosity was determined at 300 s<sup>-1</sup> ( $\eta_{300}$ ) for each emulsion. The power law of shear stress  
196 ( $\tau$ ) versus shear rate ( $\dot{\gamma}$ ) was used to obtain flow curves and the flow behaviour parameters

197 consistency coefficient ( $K$ ) and flow behaviour index ( $n$ ) as detailed by Anema, Lowe, Lee,  
198 and Klostermeyer (2014). The flow behaviour index ( $n$ ) values are used to describe the flow  
199 behaviour of liquid samples where  $n < 1$ ,  $n > 1$  and  $n = 1$  indicate shear-thinning, shear-  
200 thickening and Newtonian flow behaviour, respectively.

## 201 2.6. Composition and colour analyses of powders

202 The chemical composition of the model infant formula powders was determined using  
203 standard International Dairy Federation (IDF) methods as detailed by Drapala, Auty,  
204 Mulvihill, and O'Mahony (2015). Colour of the powders was measured using a pre-calibrated  
205 colorimeter (Minolta Chroma Meter CR-400, Minolta Ltd., Milton Keynes, U.K.) equipped  
206 with a granular-materials attachment CR-A50. Colour was expressed using the Commission  
207 Internationale de l'Eclairage (CIE) colour chromaticity  $L^* a^* b^*$  scale ( $L$  = dark/light,  $a$  =  
208 red/green,  $b$  = yellow/blue).

## 209 2.7. Powder wettability

210 The sessile drop goniometric method was used to determine the wettability of powders. All  
211 powders were compressed for 10 s at 78.4 MPa using a manual press (15 ton Manual  
212 Hydraulic Press, Specac Ltd., Orpington, UK) to form pellets (13 mm diameter); all pellets  
213 had a density of  $1.08 (\pm 0.05) \text{ g cm}^{-3}$ . Subsequently, the mean contact angle ( $\theta$ ) was  
214 determined directly using an optical tensiometer (Attension Theta, Biolin Scientific,  
215 Stockholm, Sweden); a drop (10  $\mu\text{l}$ ) of ultrapure water was formed and deposited on top of a  
216 powder pellet and the reduction in contact angle during the first 30 s was recorded using a  
217 high-resolution digital camera (15 frames per second) and processed using image analysis  
218 software (OneAttension, Biolin Scientific).

## 219 2.8. Surface composition of powders

220 Surface free fat content of powders was determined using the GEA Niro analytical method  
221 (GEA Niro, 2005) as described by McCarthy et al. (2013) with modified quantities of powder  
222 (5.0 g), petroleum ether (30 mL) and filtrate (15 mL) used. Elemental composition of powder  
223 surfaces was determined by X-ray photoelectron spectroscopy (XPS; Kratos Axis 165, Kratos  
224 Analytical, UK) as detailed by McCarthy et al. (2013). A matrix formula was used to  
225 calculate relative amounts of protein, fat and carbohydrate on the powder surface, as detailed  
226 by Fäldt, Bergenstahl, and Carlsson (1993).

## 227 2.9. Microstructure of powders

### 228 2.9.1. Confocal laser scanning microscopy

229 Confocal laser scanning microscopy (CLSM) analysis of powder particles was performed  
230 using a confocal laser scanning microscope (TCS SP, Leica Microsystems CMS GmbH,  
231 Wetzlar, Germany). Powders were deposited onto a glass slide and excess sample was  
232 removed with compressed air. The powder samples were stained with a mixture (3:1) of Nile  
233 Red (0.10 g L<sup>-1</sup> in polyethylene glycol) and Fast Green (0.01 g L<sup>-1</sup> in water) fluorescent dyes  
234 (Sigma Aldrich, Wicklow, Ireland) to label the fat and protein components of the powders,  
235 respectively. Visualisation of oil and protein in the powders was carried out using an Ar laser  
236 (excitation = 488 nm, emission = 500-530 nm) and He – Ne laser (excitation = 633 nm,  
237 emission = 650-700 nm), respectively. At least 3 representative images of each sample were  
238 taken using 63 × oil immersion objective.

### 239 2.9.2. Scanning electron microscopy

240 Scanning electron microscopy (SEM) analysis of powders was performed using a scanning  
241 electron microscope (JSM – 5510, Jeol Ltd., Tokyo, Japan). Samples were mounted on  
242 double-sided carbon tape, attached to SEM stubs, and then sputter-coated with  
243 gold/palladium (10 nm; Emitech K550X, Ashford, UK). Representative micrographs were

244 taken at 5 kV at 1000 × (i.e., overview of powder population) and 3000 × (i.e., shape and  
245 surface topography of powder particles) magnifications. At least three specimens of each  
246 sample were observed to obtain representative micrographs of samples.

#### 247 2.10. Statistical data analysis

248 All powders were prepared in three independent trials and all measurements were carried out  
249 in at least duplicate. Analysis of variance (ANOVA) was carried out using the Minitab® 16  
250 (Minitab Ltd., Coventry, UK, 2010) statistical analysis package. The Tuckey method was  
251 used to obtain grouping information. The level of significance was determined at  $P < 0.05$ .

### 252 3. Results

#### 253 3.1. Emulsion characteristics

254 The emulsions had TS levels ranging from 32.2 to 32.7% prior to spray-drying (Table 1).  
255 Particle size analysis showed that all emulsions had oil globules with mean volume diameters  
256 ( $D_{4,3}$ ) less than 1  $\mu\text{m}$  and no statistically-significant differences in  $D_{4,3}$  were found between  
257 the emulsions (Table 1). Similarly, no significant differences in the apparent viscosity ( $\eta_{300}$ )  
258 were observed between  $\text{WPI}_e$ ,  $\text{WPH}_e$ ,  $\text{WPH+CIT}_e$  and  $\text{WPH+LEC}_e$  emulsions; however, the  
259  $\eta_{300}$  for the  $\text{WPH - MD}_e$  emulsion was significantly lower than that of the  $\text{WPI}_e$ , and  
260  $\text{WPH+CIT}_e$  emulsions (Table 1). Analysis of the flow behaviour showed no significant  
261 differences between emulsions, where most emulsions displayed a shear-thinning behaviour  
262 (i.e.,  $n < 1$ ) (Table 1). A reduction in the viscosity during shearing (i.e., shear-thinning) of  
263 protein solutions is, generally, a result of spatial rearrangement of protein molecules in the  
264 liquid and of disruptions in their steady-state interactions (Walstra, Wouters, & Geurts,  
265 2006); in emulsions, shear-thinning can be associated with flocculation of oil droplets (Xu,  
266 Wang, Jiang, Yuan, & Gao, 2012). Additionally, in a concentrated emulsion system (i.e., TS  
267 = 32%), packing of oil globules is denser than in a dilute emulsion (i.e.,  $\text{TS} \leq 12\%$ ) and

268 interactions between its constituents, as monitored by flow behaviour analysis, can be also  
269 related to physical contact between molecules located at the interfaces of oil globules  
270 (O'Mahony, et al., 2017). The formation of ternary complexes between unadsorbed  
271 protein/peptides, CITREM and maltodextrin (Drapala et al., 2016b; Semenova, Myasoedova,  
272 & Antipova, 2001) in the WPH+CIT<sub>e</sub> emulsion, or the presence of intact whey protein in the  
273 serum phase and at the interfaces of oil globules in the WPI<sub>e</sub> emulsion, is likely to have  
274 contributed to higher viscosity of these emulsions, compared to the other samples.

### 275 3.2. Drying performance

276 Fig. 2 illustrates differences in drying behaviour between liquid concentrates/powders as  
277 evidenced by different levels of wall-coating (i.e., multilayer particle cohesion) by fine  
278 powder particles in the cyclone of the spray dryer. The extent of this coating is assumed to be  
279 directly related to powder stickiness; the observed stickiness can be divided into 3 groups  
280 based on the level of coating, i.e., non-sticky (negligible coating), moderately sticky (partial  
281 coating) and very sticky (complete coating) (Fig. 2; Table 3). Using this classification, the  
282 WPI<sub>p</sub> and WPH<sub>p</sub> powders were moderately sticky, WPH+CIT<sub>p</sub> and WPH+LEC<sub>p</sub> powders  
283 were very sticky and the WPH-MD<sub>p</sub> powder was non – sticky.

284 Differences in the stickiness of powders had a direct impact on the powder recovery (i.e.,  
285 product yield; Table 3); the recovery of product was lower for products with higher level of  
286 stickiness. Powders containing non-protein emulsifiers (WPH+LEC<sub>p</sub> and WPH+CIT<sub>p</sub>)  
287 displayed the lowest powder recovery (18.1 and 21.3%, respectively) followed by WPI<sub>p</sub>  
288 (22.0%), WPH<sub>p</sub> (26.1%) and WPH-MD<sub>p</sub> (55.3%). It should be noted that in order to facilitate  
289 the use of industry-relevant drying temperatures (i.e., 170°C and 90-95°C for inlet and outlet,  
290 respectively) high feed flow rate (95-100%) and relatively low aspirator power (80-90%)  
291 conditions were used. These conditions caused deposition of higher-moisture particles at the  
292 periphery of the atomised feed jet on the inner wall of the main drying chamber (Fig. 1) and

293 contributed to the low powder yield. Sticking of powders to the inner wall of a spray dryer is  
294 a common challenge in industry and it directly affects the product yield and drying efficiency  
295 (i.e., cleaning and down-time). In high-fat powders (e.g., infant formulae) stickiness is  
296 strongly related to the powder surface composition, while in low-fat, protein-dominant  
297 powders, it is generally related to the efficiency of water removal and glass transition  
298 properties of the system (Kelly et al., 2014). Generally, the more fat at the powder surface the  
299 greater the challenges with powder stickiness (Sharma, Jana, & Chavan, 2012; Paterson et al.,  
300 2007).

301 The highest levels of stickiness in this study were observed for powders containing lipid-  
302 based emulsifiers (CITREM and lecithin) while the powder containing the protein-based  
303 conjugate displayed the lowest stickiness. The physicochemical characteristics of CITREM  
304 and lecithin have directly affected cohesiveness (i.e., stickiness) of powders; their high  
305 mobility and surface activity facilitates rapid migration to the surface of emulsion droplets  
306 formed on atomisation and their relatively low melting temperatures (55-65°C) make them  
307 plastic and adhesive under the environmental conditions of spray-drying. Similarly, the  
308 surface active WPH – MD conjugate can also rapidly move to and adsorb at the surface of  
309 atomised droplets (O'Mahony et al., 2017).

### 310 3.3. Powder analyses

#### 311 3.3.1. Composition and colour of powders

312 Compositional analysis of powders showed that the measured levels (Table 2) were in line  
313 with the target levels for all samples (i.e., 12.1 – 12.7% protein, 26.9 – 29.0% fat and 56.1 –  
314 58.8% carbohydrate). No significant differences were found in the fat, carbohydrate or  
315 moisture content between the powders. No significant differences in colour were found  
316 between WPI<sub>p</sub>, WPH<sub>p</sub> and WPH+CIT<sub>p</sub> powders; these powders had high L\* and low b\*  
317 values compared to the WPH-MD<sub>p</sub> and WPH+LEC<sub>p</sub> powders (Table 2). These differences

318 were most likely due to the presence of melanoidins (conjugation products) and carotenoids  
319 (naturally present in lecithin) in the WPH-MD<sub>p</sub> and WPH+LEC<sub>p</sub> powders, respectively (Liu,  
320 Ru, & Ding, 2012; McSweeney, 2008; Scholfield, 1981) as previously reported by Drapala et  
321 al. (2016b).

### 322 3.3.2. Particle size distribution of powders

323 All powders had relatively small particles (i.e., D<sub>4,3</sub> of 14.2 – 41.1 μm; Table 3). The biggest  
324 particles were observed for the WPH+LEC<sub>p</sub>, followed by the WPH+CIT<sub>p</sub>, WPI<sub>p</sub>, WPH<sub>p</sub> and  
325 WPH-MD<sub>p</sub> powders (Table 3, Fig. 3B). In addition, powders containing lipid-based  
326 surfactants, WPH+LEC<sub>p</sub> and WPH+CIT<sub>p</sub>, had a distinct shoulder on the higher end (i.e., at  
327 ~100 μm) of the size range, with a notable proportion of the particle population (i.e., 7.78 and  
328 4.05%, respectively) in these powders having diameter >100 μm (Fig. 3B; Table 3). A much  
329 smaller shoulder was also present in the WPI<sub>p</sub> and smaller still in the WPH<sub>p</sub> powders (i.e.,  
330 2.93 and 2.26% of particle population were >100 μm, respectively). The WPH-MD<sub>p</sub> powder  
331 had a monomodal profile with the narrowest size distribution, where the majority (i.e., ~99%)  
332 of particles had diameters <40 μm (Fig. 3B); this sample also had the largest proportion of  
333 fine particles (i.e., 19.9% of total population had diameter <5 μm; Table 3). The greater  
334 proportion of small particles in the WPH-MD<sub>p</sub> powder, compared to the other powders is  
335 likely related to this liquid concentrate feed having the lowest viscosity of all samples  
336 (Pisecky, 2012). Relationship between feed viscosity and the size of particles in the resultant  
337 powder was also reported by Crowley, Gazi, Kelly, Huppertz, and O'Mahony (2014), where  
338 increase in the particle size followed the increase in feed viscosity.

### 339 3.3.3. Powder wettability

340 The results for contact angle ( $\theta$ ) analysis showed that the highest  $\theta$  was observed for  
341 WPH+CIT<sub>p</sub>, followed by WPI<sub>p</sub> > WPH+LEC<sub>p</sub> > WPH-MD<sub>p</sub> > WPH<sub>p</sub> (Table 3). Generally,  
342 the more hydrophobic the surface (i.e., surface of powder pellet), the lower is its affinity for

343 interactions with water and, effectively, the higher the  $\theta$  between the droplet of water placed  
344 on that surface. Thus, the contact angle analysis is often used to study the affinity of powders  
345 for interactions with water, providing information on powder wettability (i.e., lower  $\theta$  =  
346 better wettability). The differences in wettability between the WPI<sub>p</sub> and WPH<sub>p</sub> powders,  
347 evidenced by different  $\theta$ , were most likely directly related to differences in the physical state  
348 of protein (i.e., native *vs* hydrolysed, respectively). Solubility is generally enhanced by  
349 protein hydrolysis due to partial disruption of protein secondary and tertiary structure  
350 resulting in increased water access and faster hydration in hydrolysed, compared with intact,  
351 protein-based powders (Banach et al., 2013; Chobert, Bertrand-Harb, & Nicolas, 1988; Kelly,  
352 O'Mahony, Kelly, & O'Callaghan, 2016; Panyam & Kilara, 1996). Longer wettability times  
353 for model infant formula powders based on intact whey protein compared to partially  
354 hydrolysed whey protein were reported previously by Murphy et al. (2015). Wettability of the  
355 WPH-MD<sub>p</sub> was similar to that observed for the WPH<sub>p</sub> (Table 3). The better powder  
356 wettability observed for the WPH+LEC<sub>p</sub>, compared to the WPH+CIT<sub>p</sub>, was likely due to the  
357 differences in the nature of the two surfactants; CITREM and lecithin are anionic and  
358 zwitterionic (i.e., amphoteric) surfactants, respectively (McSweeney 2008). Lecithin is often  
359 coated onto the surface of the powders in a fluidised bed to facilitate improved solubility (i.e.,  
360 instantisation) (Hammes, Englert, Zapata Norena, & Medeiros Cardozo, 2015).

#### 361 3.3.4. Surface composition of powders

362 No significant differences were found in the free fat content for all powders due to large  
363 standard deviations, especially observed for the WPH+LEC<sub>p</sub> powder (Table 3). A trend was  
364 observed, where free fat content was generally higher, for the WPH+CIT<sub>p</sub>, WPH<sub>p</sub> and  
365 WPH+LEC<sub>p</sub> powders (i.e., 20.0, 22.9 and 25.4%, w/w, free fat, respectively), compared to  
366 the WPH-MD<sub>p</sub> and WPI<sub>p</sub> powders (i.e., 13.3 and 14.1%, w/w, free fat, respectively).

367 Table 3 shows differences in the surface composition (i.e., as measured using XPS) between  
368 the spray-dried model IF powders prepared in this study. The level of protein at the surface  
369 was highest for the WPI<sub>p</sub> powder followed by WPH<sub>p</sub>, WPH-MD<sub>p</sub>, WPH+LEC<sub>p</sub> and  
370 WPH+CIT<sub>p</sub> powders. The highest levels of surface fat were found in the WPH+CIT<sub>p</sub> and  
371 WPH+LEC<sub>p</sub> powders. The amount of carbohydrate present at the surface was significantly  
372 higher for the WPH-MD<sub>p</sub> powder compared to the 2 powders containing lipid-based  
373 surfactants (i.e., WPH+LEC<sub>p</sub> and WPH+CIT<sub>p</sub>).

374 The differences between the surface fat composition as measured by the solvent extraction  
375 and by the XPS methods can be explained by the different principles underpinning these  
376 methods. For the solvent extraction method the results are presented as the weight of  
377 extractable fat as a % of the powder sample weight; conversely in the XPS method, the  
378 results are presented as the % of surface area of the powder particle occupied by fat. For the  
379 XPS method only a 10 nm depth of the surface of the powder particle is analysed (Kim,  
380 Chen, & Pearce, 2009). **Conversely, the solvent extraction approach extracts fat present at the**  
381 **surface of the powder particle as well as fat present at other locations within its interior.**  
382 **According to a model proposed by Buma (1971) the solvent-extractable free fat for dairy**  
383 **powders consists of surface fat, outer layer fat from fat globules within the surface layer of**  
384 **the particle, capillary fat constituted by fat globules that can be reached by the solvent**  
385 **through capillary forces, and dissolution fat consisting of fat reached by solvent through holes**  
386 **left by already extracted fat. A range of solvent extraction-based methods for assessment of**  
387 **the amount of free or surface fat in spray-dried emulsions, reported in the scientific literature,**  
388 **were compiled by Roos and Vega (2006) and it was shown that these methods use different**  
389 **solvent types (petroleum ether, hexane, pentane and carbon tetrachloride) solvent-to-powder**  
390 **ratios (5:1 – 40:1) and powder-solvent contact times (30 s – 48 h). The solvent extraction**  
391 **method used in this study (GEA Niro, 2005) for quantification of the surface free fat in the**

392 milk powders, with an extraction time of 15 min, could have led to the extraction of lipid  
393 material in addition to surface fat alone (i.e., fat from the surface and from the interior of the  
394 powder particles).

### 395 3.3.5. Microstructure of powders

#### 396 3.3.5.1. Scanning electron microscopy

397 Fig. 4 A and B illustrate the detailed morphology (shape and structure) of the spray-dried  
398 model IF powders. Differences between samples were mainly manifested by the extent of  
399 particle agglomeration (i.e., spontaneous agglomeration of primary particles) and the  
400 topography of the particle surfaces in the powders. Powders containing lipid-based  
401 emulsifiers, WPH+CIT<sub>p</sub> and WPH+LEC<sub>p</sub>, displayed the greatest extent of particle  
402 agglomeration, followed by WPI<sub>p</sub>, WPH<sub>p</sub> and WPH-MD<sub>p</sub> (Fig. 4A). Such agglomeration is  
403 generally caused by extensive particle cohesion (i.e., sticking) and is evidenced by the  
404 presence of 'bunch of grape'-type agglomerates (Pisecky, 2012), as observed in this study for  
405 the WPH+CIT<sub>p</sub>, WPH+LEC<sub>p</sub> and, to a lesser extent, WPI<sub>p</sub> powders (Fig. 4A). ). These  
406 observations closely match the particle size distribution data discussed in Section 3.3.2. and  
407 indicate cohesive interactions between particles during spray-drying.

408 The surface topography was also different between the powders; smooth surfaces were  
409 observed for the WPI<sub>p</sub> and to a lesser extent for WPH-MD<sub>p</sub> while the powder particles in the  
410 WPH<sub>p</sub>, WPH+CIT<sub>p</sub> and WPH+LEC<sub>p</sub> had an uneven surface with numerous bumps (WPH<sub>p</sub>) or  
411 craters (WPH+CIT<sub>p</sub> and WPH+LEC<sub>p</sub>) present on the surface (Fig. 4B). The presence of crater  
412 – like structures on the surface of spray – dried emulsions/powders has been associated with  
413 broken oil globules resulting in high levels of surface fat (Drusch & Berg, 2008).

414 Additionally, WPH – MD<sub>p</sub> powder particles appeared to be partially collapsed (i.e.,  
415 shrivelled) unlike particles in the other powders. Such shrivelled/buckled structures in spray-  
416 dried powders has been linked with temperature-dependent changes in the volume of

417 occluded air (i.e., inflation followed by deflation of intra-particle air as the particle moves  
418 from hot toward the cooler regions of the dryer) (Walton & Mumford, 1999) and with the  
419 mechanical properties of the skin layer of the drying particles (Sadek et al., 2015, 2016).

#### 420 3.3.5.1. Confocal laser scanning microscopy

421 Powders produced in the current study had generally similar particle structures, where  
422 individual oil droplets were homogeneously distributed within a protein-carbohydrate network  
423 (Fig. 4C). The only exception was the WPH<sub>p</sub> powder, where the oil phase appeared to be  
424 largely present as irregular and extensive oil pools. Differences in the size of oil droplets  
425 within the powder matrix were observed; powders containing lipid-based surfactants,  
426 WPH+CIT<sub>p</sub> and WPH+LEC<sub>p</sub> had markedly bigger (2-3  $\mu\text{m}$ ) oil droplets embedded in the  
427 powder structure, compared to apparently smaller ( $\leq 1 \mu\text{m}$ ) oil droplets in the WPI<sub>p</sub> and  
428 WPH-MD<sub>p</sub> powders. Pools of oil or large oil droplets observed in CLSM micrographs can be  
429 related to poor stability of these emulsions to processing. Additionally, 'empty' regions were  
430 observed in the centre of the WPH-MD<sub>p</sub> powder (Fig. 4C); these regions most likely indicate  
431 the presence of internal air pockets (i.e., vacuoles) in particles of this powder as discussed in  
432 Section 3.3.5.1. Formation of vacuoles and shrivelling of powder particles have been shown  
433 to take place concomitantly (Sadek et al., 2015) and is strongly linked to the surface  
434 composition of the droplet and, effectively, its drying kinetics (Nijdam & Langrish, 2006;  
435 Vignolles et al., 2007).

#### 436 3.3.6. Particle size distribution after reconstitution of powders

437 Notable differences were observed in the PSD between the reconstituted IF powders (Table  
438 3; Fig. 3C); the mean volume diameter ( $D_{4,3}$ ) and the value for the 90% quantile of the size  
439 distribution ( $D_{v,0.9}$ ) were higher for all reconstituted powders compared to the emulsions prior  
440 to spray drying (Tables 1 and 3; Fig. 3A and C). The observed increases in  $D_{4,3}$  and  $D_{v,0.9}$   
441 were most pronounced for the WPH<sub>p</sub> and WPH+CIT<sub>p</sub> powders (i.e., increases in  $D_{4,3}$  and

442  $D_{v,0.9}$  to  $\geq 5 \mu\text{m}$  and  $>13 \mu\text{m}$ , respectively); only a limited increase was observed for the  
443 WPH-MD<sub>p</sub> powder (i.e.,  $D_{4,3} < 1 \mu\text{m}$  and  $D_{v,0.9} < 2 \mu\text{m}$ ) (Table 3). The  $D_{4,3}$  and  $D_{v,0.9}$   
444 parameters are particularly sensitive to changes at the large particle periphery of the size  
445 distribution and their increase can be used as an indicator of associations between the larger  
446 components in a system (i.e., coalescence and/or flocculation of oil globules in this case).  
447 These differences reflect different stabilities of the corresponding formulations to the spray-  
448 drying conditions (i.e., stability of oil globules against coalescence in a concentrated  
449 emulsion system and stability to high heat and high shear stress in the atomiser chamber and  
450 upon atomisation) and support the CLSM observations (see Section 3.3.5.1).

#### 451 **4. Discussion**

452 The stability of emulsions to spray-drying was different for the studied formulations, as  
453 illustrated by the size distribution of oil globules in the powder matrix and in the  
454 reconstituted emulsions. These differences can be explained by the properties of the  
455 emulsifier systems used in these formulations, and their effect on stabilising emulsions  
456 against globule coalescence or heat-induced flocculation during processing. During spray-  
457 drying, emulsion-based systems are subjected to considerable stresses which can cause  
458 protein aggregation, breaking and coalescence of oil globules; this can lead to high surface  
459 free fat content and, effectively, undesirable properties of the resultant powder. Emulsions  
460 stabilised by high molecular weight ( $M_w$ ) surfactants (e.g., protein) usually have thick and  
461 elastic interfacial films and are more stable to stress, compared to those stabilised by low  $M_w$   
462 surfactants (e.g., CITREM, lecithin), which are prone to coalescence when forced in a close  
463 contact (Taneja et al., 2013). Formulations based on WPH often display poor thermal  
464 stability, due to exposure of reactive sites (e.g., free sulphhydryl groups) at the surfaces of oil  
465 globules and in the bulk phase, often resulting in bridging flocculation of oil globules  
466 (Agboola, Singh, Munro, Dalgleish, & Singh, 1998; Drapala et al., 2016a). Such behaviour

467 was also reported in the current study, where oil pools in the WPH<sub>p</sub> powder matrix and large  
468 oil globules in this powder after reconstitution were present.

469 CITREM and lecithin are often added to improve thermal stability of WPH-based emulsions;  
470 however, their presence can lead to competitive destabilisation, where protein/peptide-based  
471 surfactants are displaced from the interfaces by smaller surfactants, promoting coalescence of  
472 oil globules (Drapala et al., 2016a; Kaltsa, Paximada, Mandala, & Scholten, 2014; Mackie,  
473 Gunning, Wilde, & Morris, 1999; Van Aken, 2003; Wilde, Mackie, Husband, Gunning, &  
474 Morris, 2004). This was observed in the current study for CITREM- and lecithin-containing  
475 powders, where large oil globules were observed in the powder matrix and in the  
476 reconstituted emulsions (Fig. 4C, Table 3). In addition, topographical features observed for  
477 samples containing lipid-based emulsifiers (i.e., craters; Fig. 4B) indicated that coalescence  
478 of oil globules resulted in the presence of damaged oil globules at the powder surface  
479 (Drusch & Berg, 2008). It is generally accepted that strong steric stabilisation of oil globules,  
480 provided by protein-carbohydrate conjugates, can greatly limit these forms of destabilisation  
481 (O'Mahony et al., 2017; Oliver, Melton, & Stanley, 2006). The presence of WPH-MD  
482 conjugate in emulsions prevents interactions between individual oil globules and interactions  
483 with bulk protein/peptides, resulting in enhanced stability. Results presented in the current  
484 study show that superior stability of emulsions to spray-drying was achieved when the WPH-  
485 MD conjugate was present in the formulation, compared to formulations containing CITREM  
486 or lecithin.

487 In an emulsion, surface active molecules (e.g., protein, peptides, lecithin, CITREM,  
488 conjugates) are adsorbed at the oil/water interface, where they stabilise oil globules; these  
489 compounds are, generally, also abundant in the emulsion bulk phase as they are present in  
490 excess of the concentration required for oil stabilisation. Upon atomisation, a new interface  
491 (water/air) is formed at the surface of the atomised droplets and, during very short time

492 scales, surface active components move from the bulk to this new surface, adsorb and  
493 rearrange (Munoz-Ibanez et al., 2016). Smaller surfactants move and adsorb faster due to  
494 their higher mobility compared to large surfactants (Landstrom, Alsins, & Bergenstahl,  
495 2000). Similar to the stabilisation of oil globules, the composition and structure of interfacial  
496 layer of atomised droplets dictate their potential for interactions (i.e., stickiness,  
497 agglomeration) (Nijdam & Langrish, 2006); in effect, surface composition and  
498 physicochemical properties of the resulting powder are largely dependent on the surfactant  
499 system of the emulsion. The high surface fat level observed for the WPH+CIT<sub>p</sub> and  
500 WPH+LEC<sub>p</sub> powders and the high surface maltodextrin level observed for the WPH-MD<sub>p</sub>  
501 powder, could indicate preferential adsorption of lipid-based and conjugate-based  
502 emulsifiers, respectively, at the surfaces of atomised droplets in these powders. Owing to the  
503 different surface compositions, powders displayed different propensity for interactions  
504 between individual atomised droplets/particles (i.e., primary spontaneous agglomeration) and  
505 with the wall of the spray dryer (as measured by powder build-up in the cyclone). It is  
506 generally recognised that high levels of surface free fat cause challenges with cohesive  
507 interactions of powders (Jayasundera et al., 2009; Vega & Roos, 2006). Similarly, in the  
508 current study, the likely preferential presence of lipid-based emulsifiers on the surface of  
509 some of the powders may have contributed to greater cohesiveness and, effectively, could  
510 have promoted agglomeration and powder build-up, compared to the other powders.

511 Properties of the feed and drying kinetics generally govern the shape of powder particles  
512 (Walton & Mumford, 1999). Distinctive shrivelled particles observed for the WPH-MD<sub>p</sub>  
513 powder were likely related to significantly lower viscosity of that emulsion, compared to the  
514 other emulsions (i.e., at the same TS content), effectively, impacting the rate of water  
515 removal. Additionally, the more hydrophilic nature of the surface of atomised  
516 droplets/powder particles for the WPH-MD<sub>p</sub> system, resulting from higher surface

517 maltodextrin content, compared to the other samples could have promoted faster water  
518 removal as evidenced by the lower moisture content of the resultant powder. According to a  
519 study by Sheu and Rosenberg (1998), surface indentation for whey protein-based powders  
520 was promoted by high drying rates, leading to wall solidification before the onset of particle  
521 inflation. With progressive water removal during drying of a dairy-based system, a skin layer  
522 is formed at the droplet surface and its properties further affect the kinetics of drying and the  
523 final shape of the dried particles. Sadek et al. (2015) presented a model for mechanical  
524 properties of skin layer of a droplet during drying, where, depending on protein type present  
525 at the surface (i.e., whey protein or micellar casein), the mechanical properties of the skin  
526 were different and affected the shape of the resultant dried particles. Those authors showed  
527 that in casein micelle-dominant skins, the elastic modulus increased faster and the protein  
528 skin reached the plasticity region earlier, producing shrivelled particles with ductile and  
529 plastic skin, while it took longer for the whey protein-dominant skin to reach the plasticity  
530 region, giving round particles with brittle and plastic skins. Particle indentation for whey  
531 protein-based powders was reported to be linked to the ratio of protein to maltodextrin at the  
532 surface of powder particles (Rosenberg & Young, 1993; Sheu & Rosenberg, 1998), where  
533 surface indentation was inversely related to the proportion of whey protein in the particle  
534 skin. In the study by Sheu and Rosenberg (1998), the authors showed that increasing the  
535 maltodextrin proportion in the skin decreased its elasticity and, effectively led to the  
536 formation of shrivelled powder particles. Such shrivelled morphology was observed in this  
537 study for the WPH-MD<sub>p</sub> powder particles. In addition, the presence of vacuoles observed in  
538 the WPH-MD<sub>p</sub> powder sample supports its fit to the model proposed by Sadek et al. (2015),  
539 where vacuole formation and particle shrivelling were concomitant. With rapid water  
540 removal from the atomised droplets during spray-drying, less latent heat energy is required  
541 due to lower moisture content, and the energy (i.e., temperature) acting on the non-water

542 powder components is increased. This, effectively, can result in increased inflation of the  
543 droplet due to the expanding volume of air occluded within, followed by particle collapse  
544 (i.e., deflation) as the particles moves away from the heat source, resulting in a shrivelled  
545 hollow powder particle (Hecht & King, 2000; Walton & Mumford, 1999). The use of  
546 different emulsifier systems resulted in different surface composition of the resultant powders  
547 as well as different quality of reconstituted emulsions. It was demonstrated that the  
548 differences in powder surface composition influenced the kinetics of drying for these  
549 formulations and governed the cohesive interactions between atomised droplets/powder  
550 particles. Effectively, the presence of lipid-based emulsifiers (i.e., CITREM or lecithin) in  
551 formulations greatly increased the cohesive interactions resulting in extensive spontaneous  
552 primary agglomeration and, effectively, reduced product yield. On the other hand, when the  
553 conjugate-based emulsifier was present in the formulation, these cohesive interactions were  
554 markedly reduced.

## 555 **5. Conclusions**

556 The current study demonstrated that using the WPH-MD conjugate in the formulation of  
557 emulsion-based model IF powder improved its processing stability and affected the surface  
558 composition of resultant powder. The use of the conjugate in the formulation gave powder  
559 with decreased surface fat and increased surface carbohydrate levels, compared to systems  
560 containing lipid-based emulsifiers (i.e., CITREM or lecithin). In effect, conjugate-based  
561 powders displayed reduced cohesive behaviour, resulting in decreased agglomeration and  
562 markedly higher product yield; the opposite was observed for the powders containing lipid-  
563 based emulsifiers. This study showed that the surface composition of an emulsion-based  
564 powder and, effectively, its drying performance and final product characteristics were greatly  
565 improved by utilisation of interactions between the two components of the formulation (i.e.,  
566 protein and carbohydrate). A significant potential was accentuated for conjugate-based

567 emulsifiers for applications in emulsion-based powders, where powder cohesion is a  
568 challenge.

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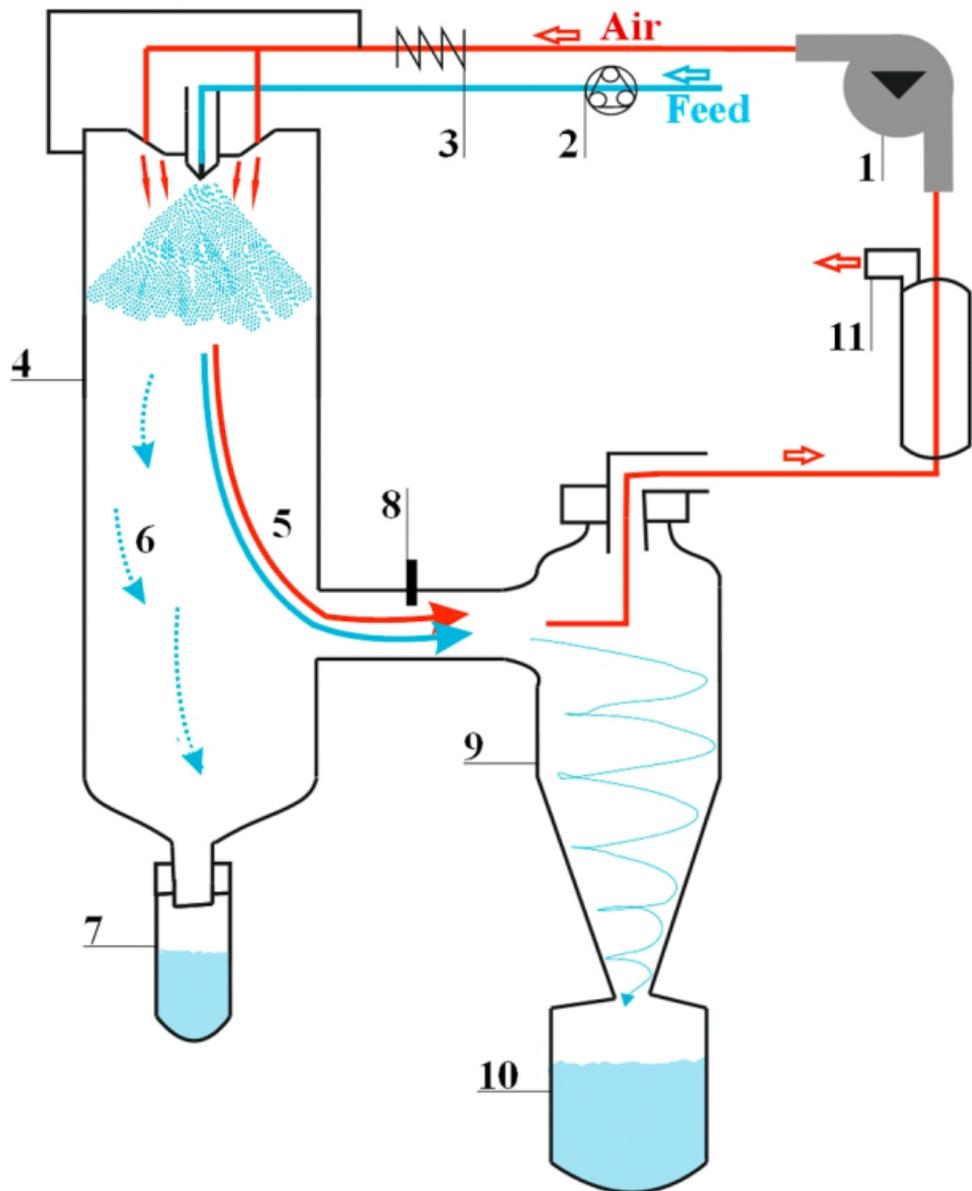
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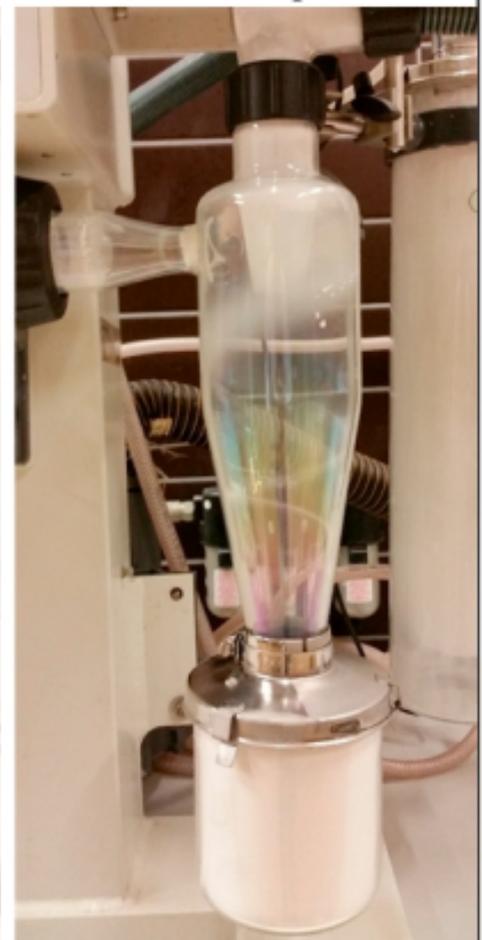
**Figure 1.** Schematic diagram showing the set-up and the principle of operation for the laboratory-scale BÜCHI B-191 spray drier. The inlet temperature is regulated directly by the power of the heater (3) and the outlet temperature (measured at 8) is regulated indirectly by controlling the feed flow rate (2) and the air flow (1). Feed is introduced into the main drying chamber (4) by a 2-fluid nozzle atomiser, where it is rapidly dried by heated air; dried particles are pulled into the cyclone (9) by the means of an aspirator (1). Large and heavy particles (i.e., wet lumps and scorched particles, falling off the build-up around the nozzle and around hot air inlets, respectively) are separated from the powder by means of the air pull and gravity (5 and 6, respectively). By design, air pull is insufficient to move larger and heavier particles into the cyclone, making them fall into the waste collection container (7) at the bottom of the dryer main chamber. Dried powder particles are further separated from fines in the cyclone and the final powder is collected in the powder collection container (10) at the bottom of the cyclone. The clarified air is exhausted at the top of bag filter (11).

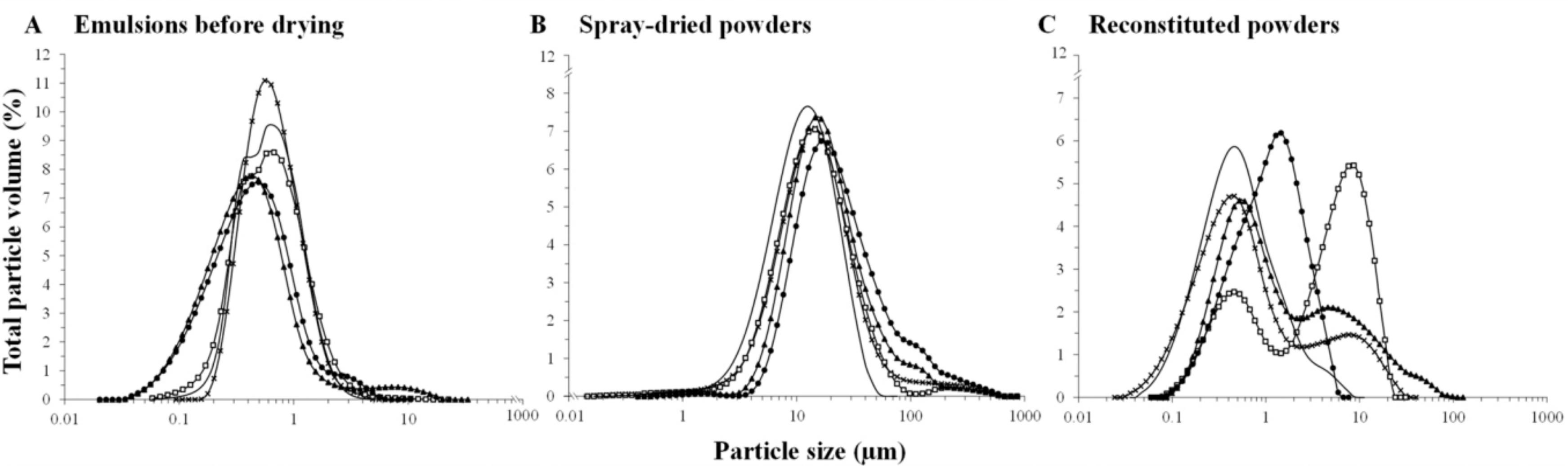
**Figure 2.** Differences in the build-up of fine powder on the wall of the cyclone during spray-drying of powders (<sub>p</sub>) containing different emulsifier systems: whey protein isolate (WPI<sub>p</sub>), whey protein hydrolysate (WPH<sub>p</sub>), WPH + CITREM (WPH+CIT<sub>p</sub>), WPH + lecithin (WPH+LEC<sub>p</sub>) and WPH-maltodextrin conjugate (WPH-MD<sub>p</sub>). The powders were produced using a laboratory-scale spray dryer (BÜCHI B-191). The photographs were taken ~30 min after starting the drying run for all powders.

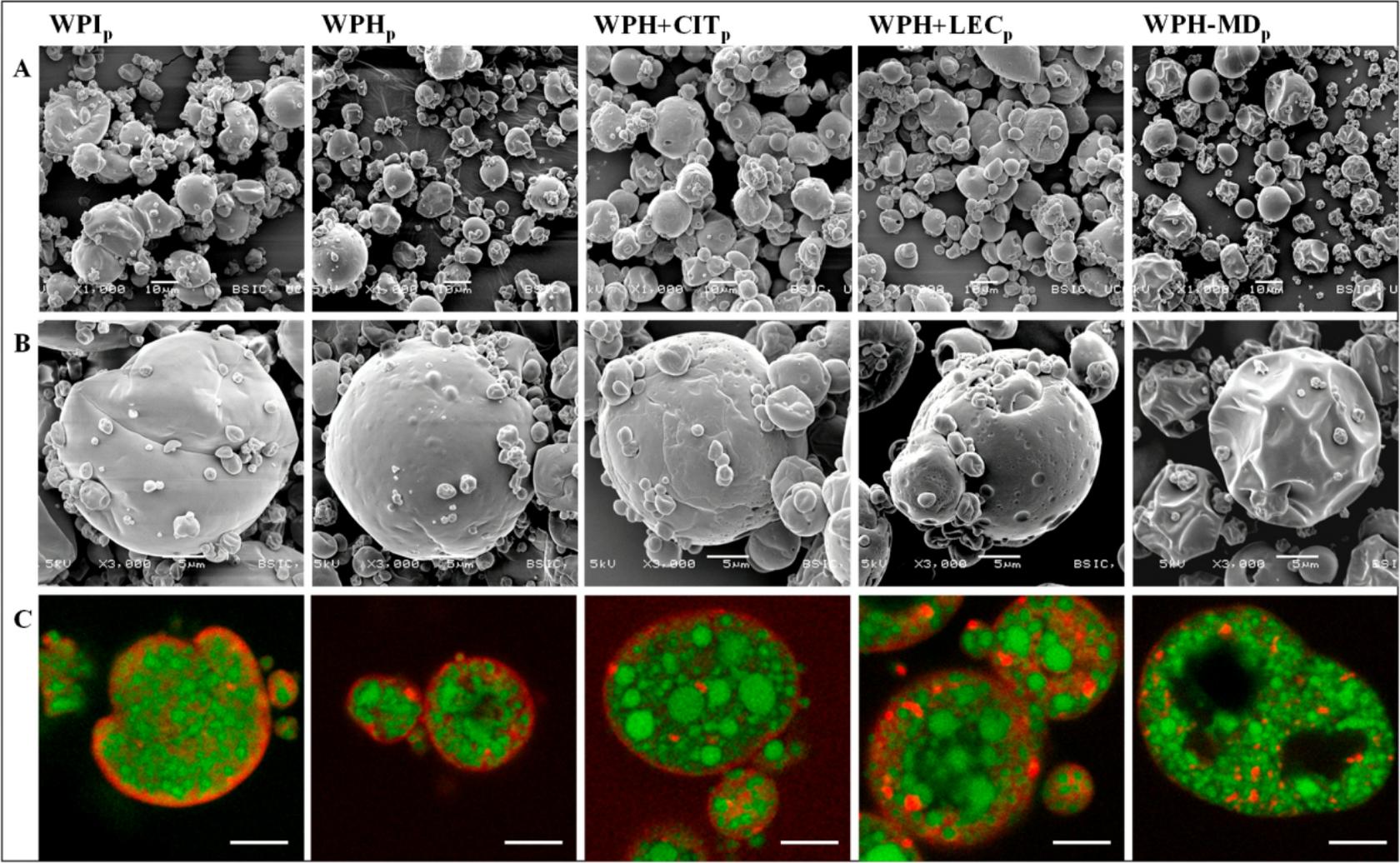
**Figure 3.** Particle size distribution for (A) homogenised emulsions (dryer feeds), model infant formula powders (B) after spray-drying and (C) after powder reconstitution. The formulations contained different emulsifier systems: (×) whey protein isolate, (□) whey protein hydrolysate, (▲) WPH + CITREM, (●) WPH + lecithin and (–) WPH-maltodextrin conjugate. The powders were produced using a laboratory-scale spray dryer (BÜCHI B-191).

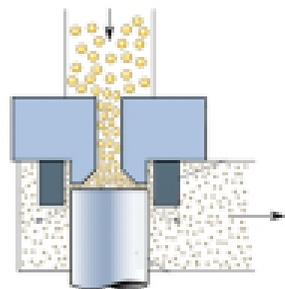
**Figure 4.** Scanning electron microscope (SEM; A and B) and confocal laser scanning microscope (CLSM; C) images of model infant formula powders (<sub>p</sub>) containing different emulsifier systems: whey protein isolate (WPI<sub>p</sub>), whey protein hydrolysate (WPH<sub>p</sub>), WPH + CITREM (WPH+CIT<sub>p</sub>), WPH + lecithin (WPH+LEC<sub>p</sub>) and WPH-maltodextrin conjugate (WPH-MD<sub>p</sub>). For the CLSM analysis powders were labelled with Nile Red:Fast Green (3:1) and the micrographs show distribution of oil droplets (green) and protein particles (red). Scale bar for the CLSM micrographs = 5 μm. The powders were produced using a laboratory scale spray dryer (BÜCHI B-191).



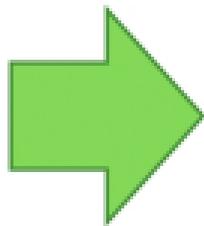
**WPI<sub>p</sub>****WPH<sub>p</sub>****WPH+CIT<sub>p</sub>****WPH+LEC<sub>p</sub>****WPH-MD<sub>p</sub>**



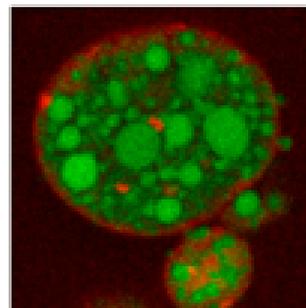
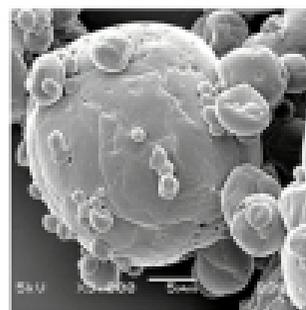
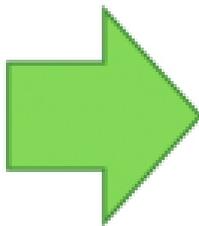




Homogenization



Spray Drying



**Emulsifiers:**  
**Protein**  
**Lipid**  
**Conjugate**

→ **Emulsion Stability**

→ **Processing Performance**

→ **Powder Properties**

**Table 1.** Characteristics of emulsions prepared using different emulsifiers; whey protein isolate (WPI<sub>e</sub>), whey protein hydrolysate (WPH<sub>e</sub>), WPH + CITREM (WPH+CIT<sub>e</sub>), WPH + lecithin (WPH+LEC<sub>e</sub>) and WPH-maltodextrin conjugate (WPH-MD<sub>e</sub>), used to produce model infant formula powders.

Emulsion characteristics		Emulsions				
		WPI <sub>e</sub>	WPH <sub>e</sub>	WPH+CIT <sub>e</sub>	WPH+LEC <sub>e</sub>	WPH – MD <sub>e</sub>
<b>Total solids content</b>	(%, w/w)	32.6 ± 0.16 <sup>a</sup>	32.2 ± 0.69 <sup>a</sup>	32.5 ± 0.10 <sup>a</sup>	32.2 ± 0.04 <sup>a</sup>	32.7 ± 0.18 <sup>a</sup>
<b>PSD<sup>1</sup></b> (µm)	<b>D<sub>4,3</sub></b>	0.76 ± 0.05 <sup>a</sup>	0.78 ± 0.14 <sup>a</sup>	0.81 ± 0.21 <sup>a</sup>	0.58 ± 0.06 <sup>a</sup>	0.67 ± 0.05 <sup>a</sup>
	<b>D<sub>v,0.1</sub></b>	0.25 ± 0.07 <sup>a</sup>	0.21 ± 0.04 <sup>a</sup>	0.11 ± 0.07 <sup>a</sup>	0.15 ± 0.01 <sup>a</sup>	0.24 ± 0.05 <sup>a</sup>
	<b>D<sub>v,0.5</sub></b>	0.55 ± 0.06 <sup>a</sup>	0.55 ± 0.01 <sup>a</sup>	0.38 ± 0.08 <sup>a</sup>	0.46 ± 0.12 <sup>a</sup>	0.55 ± 0.03 <sup>a</sup>
	<b>D<sub>v,0.9</sub></b>	1.26 ± 0.10 <sup>a</sup>	1.40 ± 0.12 <sup>a</sup>	1.07 ± 0.07 <sup>a</sup>	1.52 ± 0.85 <sup>a</sup>	1.23 ± 0.04 <sup>a</sup>
<b>Flow behaviour<sup>2</sup></b>	<b>η<sub>300</sub></b> (mPa.s)	13.5 ± 0.55 <sup>a</sup>	11.9 ± 1.27 <sup>ab</sup>	13.0 ± 0.49 <sup>a</sup>	11.9 ± 0.24 <sup>ab</sup>	10.9 ± 0.31 <sup>b</sup>
	<b>K</b> (Pa.s <sup>n</sup> ; x10 <sup>2</sup> )	1.57 ± 0.19 <sup>a</sup>	1.18 ± 0.22 <sup>a</sup>	2.92 ± 0.87 <sup>a</sup>	1.64 ± 1.25 <sup>a</sup>	2.19 ± 0.50 <sup>a</sup>
	<b>n</b>	0.97 ± 0.02 <sup>a</sup>	1.00 ± 0.02 <sup>a</sup>	0.85 ± 0.06 <sup>a</sup>	0.98 ± 0.16 <sup>a</sup>	0.87 ± 0.05 <sup>a</sup>

<sup>1</sup> Particle size distribution parameters:  $D_{4,3}$ , volume mean diameter of oil globules;  $D_{v,0.1}$ ,  $D_{v,0.5}$ , and  $D_{v,0.9}$  representing particle size in the 10%, 50% and 90% quantiles of the distribution.

<sup>2</sup> Flow behaviour parameters; ( $\eta_{300}$ ) apparent viscosity measured at 300 s<sup>-1</sup>; (K) consistency coefficient; (n) flow behaviour index.

(<sup>a-b</sup>) Values for a given parameter (i.e., within each row) for all powders, not sharing a common superscript differed significantly ( $P < 0.05$ ).

**Table 2.** Composition and colour of model infant formula powders (<sub>p</sub>) produced with different emulsifier systems: whey protein isolate (WPI<sub>p</sub>), whey protein hydrolysate (WPH<sub>p</sub>), WPH + CITREM (WPH+CIT<sub>p</sub>), WPH + lecithin (WPH+LEC<sub>p</sub>) and WPH-maltodextrin conjugate (WPH-MD<sub>p</sub>). The powders were produced using a laboratory-scale spray dryer (BÜCHI B-191).

Powder	Composition (% w/w)					Colour coordinates		
	Protein	Fat	Carbohydrate	Ash	Moisture	L*	a*	b*
WPI <sub>p</sub>	12.1 ± 0.21 <sup>a</sup>	28.4 ± 1.33 <sup>a</sup>	57.7 ± 0.99 <sup>a</sup>	0.52 ± 0.17 <sup>a</sup>	1.73 ± 0.35 <sup>a</sup>	96.1 ± 0.26 <sup>a</sup>	-1.26 ± 0.09 <sup>b</sup>	3.15 ± 0.24 <sup>a</sup>
WPH <sub>p</sub>	12.6 ± 0.10 <sup>b</sup>	29.0 ± 1.58 <sup>a</sup>	56.1 ± 1.50 <sup>a</sup>	0.67 ± 0.10 <sup>ab</sup>	1.08 ± 0.66 <sup>a</sup>	96.3 ± 0.16 <sup>a</sup>	-1.30 ± 0.11 <sup>b</sup>	3.02 ± 0.15 <sup>a</sup>
WPH+CIT <sub>p</sub>	12.3 ± 0.13 <sup>ab</sup>	28.8 ± 0.34 <sup>a</sup>	56.6 ± 0.43 <sup>a</sup>	0.87 ± 0.19 <sup>ab</sup>	1.36 ± 0.91 <sup>a</sup>	95.8 ± 0.49 <sup>ab</sup>	-1.26 ± 0.06 <sup>b</sup>	3.35 ± 0.26 <sup>a</sup>
WPH+LEC <sub>p</sub>	12.7 ± 0.22 <sup>b</sup>	26.9 ± 2.44 <sup>a</sup>	58.2 ± 1.84 <sup>a</sup>	0.71 ± 0.13 <sup>ab</sup>	1.48 ± 0.34 <sup>a</sup>	93.8 ± 1.28 <sup>c</sup>	-1.96 ± 0.08 <sup>a</sup>	6.37 ± 0.25 <sup>c</sup>
WPH-MD <sub>p</sub>	12.5 ± 0.09 <sup>b</sup>	26.9 ± 2.56 <sup>a</sup>	58.8 ± 3.17 <sup>a</sup>	0.97 ± 0.13 <sup>b</sup>	0.89 ± 0.34 <sup>a</sup>	94.1 ± 0.52 <sup>bc</sup>	-0.85 ± 0.07 <sup>c</sup>	4.77 ± 0.38 <sup>b</sup>

*(a-c) Values for a given parameter (i.e., within each column) for all powders, not sharing a common superscript differed significantly ( $P < 0.05$ ).*

**Table 3.** Properties of spray dried model infant formula powders (<sub>p</sub>) prepared with different emulsifier systems: whey protein isolate (WPI<sub>p</sub>), whey protein hydrolysate (WPH<sub>p</sub>), WPH + CITREM (WPH+CIT<sub>p</sub>), WPH + lecithin (WPH+LEC<sub>p</sub>) and WPH-maltodextrin conjugate (WPH-MD<sub>p</sub>). The powders were produced using a laboratory-scale spray dryer (BÜCHI B-191).

Powder characteristics		WPI <sub>p</sub>	WPH <sub>p</sub>	WPH+CIT <sub>p</sub>	WPH+LEC <sub>p</sub>	WPH-MD <sub>p</sub>
Drying performance <sup>1</sup>	Powder recovery (%)	22.0 ± 6.59 <sup>a</sup>	26.1 ± 3.27 <sup>a</sup>	21.3 ± 6.67 <sup>a</sup>	18.1 ± 2.56 <sup>a</sup>	55.3 ± 10.8 <sup>b</sup>
	Stickiness (relative)	+	+	++	++	-
PSD (μm) Powders <sup>2</sup>	D <sub>4,3</sub>	26.5 ± 16.9 <sup>ab</sup>	25.4 ± 4.79 <sup>ab</sup>	30.8 ± 2.94 <sup>ab</sup>	41.1 ± 13.2 <sup>a</sup>	14.2 ± 4.79 <sup>b</sup>
	D <sub>v,0.1</sub>	5.75 ± 0.56 <sup>a</sup>	5.85 ± 0.21 <sup>a</sup>	7.87 ± 0.54 <sup>b</sup>	9.52 ± 0.73 <sup>c</sup>	4.76 ± 0.27 <sup>a</sup>
	D <sub>v,0.5</sub>	15.5 ± 2.29 <sup>ab</sup>	15.1 ± 0.33 <sup>ab</sup>	18.4 ± 1.64 <sup>bc</sup>	22.7 ± 2.41 <sup>c</sup>	12.2 ± 0.94 <sup>a</sup>
	D <sub>v,0.9</sub>	59.5 ± 48.3 <sup>a</sup>	40.4 ± 3.22 <sup>a</sup>	56.0 ± 15.4 <sup>a</sup>	95.1 ± 43.6 <sup>a</sup>	26.6 ± 2.33 <sup>a</sup>
	% <5 μm	10.5 ± 2.16 <sup>bc</sup>	13.5 ± 0.71 <sup>b</sup>	6.33 ± 1.64 <sup>cd</sup>	2.84 ± 0.81 <sup>d</sup>	19.9 ± 2.71 <sup>a</sup>
	% >100 μm	2.93 ± 6.92 <sup>a</sup>	2.26 ± 1.13 <sup>a</sup>	4.05 ± 0.93 <sup>a</sup>	7.78 ± 5.29 <sup>a</sup>	0.00 ± 0.00 <sup>a</sup>
	Contact angle (θ)	42.1 ± 0.08 <sup>b</sup>	36.9 ± 1.45 <sup>d</sup>	46.7 ± 1.00 <sup>a</sup>	40.5 ± 2.27 <sup>bc</sup>	37.2 ± 0.91 <sup>cd</sup>
Surface free fat (%)	14.1 ± 2.68 <sup>a</sup>	22.9 ± 4.85 <sup>a</sup>	20.0 ± 5.05 <sup>a</sup>	25.4 ± 17.9 <sup>a</sup>	13.3 ± 1.18 <sup>a</sup>	
Surface composition (%)	Protein	50.7 ± 6.42 <sup>a</sup>	37.1 ± 6.22 <sup>b</sup>	27.0 ± 2.81 <sup>b</sup>	29.1 ± 4.03 <sup>b</sup>	32.3 ± 2.02 <sup>b</sup>
	Fat	34.1 ± 9.42 <sup>a</sup>	50.9 ± 6.47 <sup>ab</sup>	64.2 ± 6.22 <sup>b</sup>	61.8 ± 6.82 <sup>b</sup>	50.0 ± 3.23 <sup>ab</sup>
	Carbohydrate	15.2 ± 3.02 <sup>ab</sup>	12.0 ± 0.91 <sup>ab</sup>	8.85 ± 3.50 <sup>b</sup>	9.12 ± 3.17 <sup>b</sup>	17.7 ± 1.61 <sup>a</sup>
PSD (μm) Reconstituted <sup>2</sup>	D <sub>4,3</sub>	2.42	5.72	5.00	1.47	0.84
	D <sub>v,0.1</sub>	0.15	0.35	0.31	0.35	0.17
	D <sub>v,0.5</sub>	0.57	4.68	1.10	1.18	0.51
	D <sub>v,0.9</sub>	8.02	13.3	14.4	3.07	1.82

<sup>1</sup> Drying performance describing powder recovery (%), w/w total solids, TS; powder TS/feed TS); stickiness classification: -, non-sticky; +, moderately sticky; ++, very sticky.

<sup>2</sup> Particle size distribution parameters: D<sub>4,3</sub>, volume mean diameter; D<sub>v,0.1</sub>, D<sub>v,0.5</sub>, and D<sub>v,0.9</sub> representing particle size in the 10%, 50% and 90% quantiles of the distribution. Particle size distribution analysis for reconstituted powders was carried out only on one trial.

(a-d) Values for a given parameter (i.e., within each row) for all powders, not sharing a common superscript differed significantly ( $P < 0.05$ ).