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1 Influence of pre-crystallisation and water plasticization on flow properties of
2 lactose/WPI solids systems

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22 **Abstract**

23 This study investigated the influence of pre-crystallisation and water plasticization on flow
24 properties of lactose/ whey protein isolate (WPI) solids systems. Powder characteristics of
25 lactose/WPI mixtures with different amount of α -lactose monohydrate (1.01%, 11.18%,
26 29.20%, and 46.84%, w/w) were studied. Dairy powders with higher amounts of crystalline
27 lactose showed larger tapped bulk density and particle density. Morphological characteristics
28 study indicated dairy solids with higher crystallinity had less rounded shape and rougher
29 surface. Increasing protein content or crystalline lactose content could decrease the molecular
30 mobility of dairy solids. Flow function tests indicated that dairy solid with 11.18%
31 crystallinity was more easy-flowing than lactose/WPI mixtures with 1.01%, 29.20% and
32 46.84% crystallintiy at 0% and 44% relative humidity (RH) storage conditions. Furthermore,
33 dairy solids with higher amount of crystalline lactose showed better resistance to develop
34 cohesive at high RH storage conditions. The friction angle of dairy solid with 1.01%
35 crystallinity increased with increasing water content, while friction angles of lactose/WPI
36 mixtures with higher crystallinity decreased with increasing water content.

37 **Keywords:** Pre-crystallisation; Crystallinity; Mechanical properties; Flow properties

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44 **1. Introduction**

45 Flow properties of spray-dried dairy solids are very important in handling and processing
46 operations [1,2]. Previous studies indicated that flow properties depend on the composition
47 and physical properties of powders, such as particle size and shape, surface structure,
48 amorphous lactose content, and water content [3-8]. Stickiness and caking of powders usually
49 result from formation of liquid bridges between individual particles [9], and they are
50 responsible for impaired flow properties [10]. Many studies showed that powders with
51 greater amounts of amorphous components, such as amorphous lactose, were more sensitive
52 to absorbing moisture, giving rise to lumping and caking problems [2,4,11,12,13].

53 Lactose in dairy systems can exist in various crystalline and non-crystalline forms. The
54 crystalline state is a solid state having molecules well arranged in regular lattice. For lactose
55 in amorphous state, the molecular arrangement is disordered. Amorphous lactose is
56 thermodynamically unstable and hygroscopic, absorbing moisture from the surroundings and
57 subsequently plasticizing, while crystalline lactose is thermodynamically stable and
58 significantly less hygroscopic. Reducing stickiness in materials can be achieved through
59 partial or complete crystallisation of sticky components [14]. Bronlund and Paterson [15]
60 stated that crystalline lactose absorbed approximately 100 times less water than amorphous
61 lactose in the same conditions. Therefore, pre-crystallizing those amorphous materials during
62 processing may help to resolve the problem of product stickiness and stability during
63 subsequent storage [16].

64 Since lactose is around 70% of the dry matter in whey powder, the hygroscopicity of
65 lactose makes whey powder become sticky and adhere to the chamber walls during spray
66 drying [17]. Pre-crystallisation of lactose in whey concentrates before drying is a successful
67 remedial measure in manufacturing process, and is widely used in the production of whey

68 powder in dairy industry [18]. Powder hygroscopicity and caking are brought under control
69 by lowering the level of amorphous lactose.

70 Moreover, previous studies indicated that particle shape affected the bulk behaviour and
71 flow properties of dairy solids [5,19]. According to the study of Thomas et al. [20],
72 morphological changes, such as surface deformation, occurred due to the build-up of lactose
73 crystals in dairy powders. This difference in the particle shape of crystalline lactose and
74 amorphous lactose may influence the flow properties of dairy powders and subsequently
75 affect the handling and processing operations. Thus, comparing with amorphous lactose,
76 crystalline lactose shows different physical properties and water sorption behaviour during
77 processes of production and storage [15,19], which may finally influence the flow properties
78 of dairy solids.

79 However, how pre-crystallisation and crystalline components content, such as α -lactose
80 monohydrate, affect the flow properties of dairy solids has not been reported so far. The
81 objectives of this study were to investigate the effect of crystalline lactose content on the flow
82 properties of lactose/whey protein isolate (WPI) solids systems. Pre-crystallisation of lactose
83 before spray drying was used to prepare dairy solids with different amounts of crystalline
84 lactose in this study.

85 **2. Materials and methods**

86 **2.1. Materials**

87 α -lactose monohydrate (> 99% purity) was kindly **donated** by Arla Foods Ingredients
88 (Sønderhøj 10-12, 8260 Viby J, Denmark). WPI, containing 71% β -lactoglobulin and 12% α -
89 lactalbumin, was obtained from Davisco Food International (Le Sueur, MN, USA).

90 Aluminum oxide calcined powder and α -lactose ($\geq 99\%$ purity) were purchased from
91 Sigma–Aldrich (St. Louis, MO, USA).

92 **2.2. Powder preparation**

93 Solution of lactose and lactose/WPI mixtures at the ratio 4:1 were prepared in de-ionized
94 water at 65 °C in a water bath for 2 h with a stirring speed of 500 rpm. The total solid
95 concentration of lactose and lactose/WPI mixtures solution was 40% (w/w). Then the
96 solution of lactose/WPI mixtures was cooled to room temperature (20-22 °C) and kept at
97 room temperature (20-22 °C) for different hours to pre-crystallise. The stirring speed was 150
98 rpm during pre-crystallisation. The pre-crystallisation time for lactose/WPI mixtures was 0, 3,
99 15 and 20 h, respectively. They were defined as S2 (0 h), S3 (3 h), S4 (15 h) and S5 (20 h)
100 according to the pre-crystallisation time. Pure lactose without pre-crystallisation and WPI
101 were defined as S1 and S6, respectively. They were all spray-dried by an ANHYDRO spray
102 dryer with a centrifugal atomizer (Copenhagen, Denmark) at the Teagasc Food Research
103 Centre, Moorepark, Fermoy, Co. Cork, Ireland. The inlet air temperature was around $170 \pm$
104 2 °C and the outlet temperature around 90 ± 2 °C. Spray-dried solids were kept immediately
105 in evacuated desiccators over P₂O₅ at room temperature. Each analysis was carried out within
106 three months after spray-drying.

107 **2.3. Powder characterisation**

108 **2.3.1. Determination of α -lactose monohydrate content in spray-dried lactose/WPI** 109 **mixtures**

110 The content of α -lactose monohydrate (%C°) in spray-dried lactose/WPI mixtures was
111 determined according to the method of Schuck and Dolivet [21]. In this study, the content of
112 α -lactose monohydrate was used to represent the crystallinity of dairy solids. The water of

113 crystallisation (%) of a powder is the difference between total water and non-bound water.

114 The formula is as below:

$$115 \quad \% C^{\circ} = (BWL * 19/L) * 100 \quad (1)$$

116 Where

117 BWL: bound water content in the lactose (g/kg);

118 L: lactose content (g/kg).

119 The bound water content in lactose was calculated according to the following formula:

$$120 \quad BWL = TW - FW - (0.005 * WPC) \quad (2)$$

121 Where

122 BWL: bound water content in lactose (g/kg);

123 TW: total water content (g/kg);

124 FW: non-bound water content (g/kg);

125 WPC: whey protein content (g/kg); 0.005: 0.50 g of bound water per 100 g of whey protein.

126 Non-bound water content of lactose/WPI mixtures was measured using GEA Niro
127 analytical method A 1 c [22]. The total water content of lactose/WPI mixtures was
128 determined using a Karl Fischer Titration (Mettler Toledo International Inc., Im Langacher
129 Greifensee, Switzerland). Each analysis was carried out in triplicate.

130 **2.3.2. Powder characteristics**

131 Water content was determined using an HR83 Hologen Moisture Analyzer (Mettler Toledo
132 International Inc., Im Langacher Greifensee, Switzerland). Powder particle size distribution
133 and specific surface area (SSA) were determined by laser light scattering using a Malver
134 Mastersizer 3000 (Malvern Instruments Ltd., Worcestershire, UK). Powder sample was
135 added to the standard venturi disperser with a hopper gap of 2.5 mm and then fed into the
136 dispersion system. Compressed air at 0.75 bar was used to transport and suspend the powder
137 particles through the optical cell. A measurement time of 10 s was used, and background
138 measurements were made using air for 20 s. The laser obscuration level was at 2-10%.

139 **2.4. Bulk density, particle density and porosity**

140 Loose and tapped (100 taps) bulk densities (ρ_{tapped}) of lactose/WPI solids systems was
141 measured as per GEA Niro [23], using a Jolting volumeter (Funke Gerber, Berlin, Germany).
142 Particle density (ρ_p) was measured as per GEA Niro [24], using a Gas Pycnometer (Accupyc
143 II 1340 Gas Pycnometer, Micromeritics Instrument Corporation, USA). Since the definition
144 of porosity of a porous media corresponds to extra particle void space, the corresponding
145 porosity of dairy solids was calculated as Eq. (3):

$$146 \quad \varepsilon = 1 - \rho_{tapped} / \rho_p \quad (3)$$

147 **2.5. Morphological characteristics**

148 Morphological characteristics were determined using a Malvern Morphologi G3 S
149 (Malvern Instruments Ltd, Worcestershire, UK). 5 mm³ volume powder samples were
150 dispersed on the glass plate. 2.5× objective was used for the measurement in this study.
151 Circularity, convexity and elongation are three commonly used shape factors. One way to
152 measure shape is to quantify how close the shape is to a perfect circle. Circularity is the ratio
153 of perimeter of a circle with the same area as the particle divided by the perimeter of the
154 actual particle image. Several definitions of circularity could be used but for accuracy the
155 software reports HS Circularity (HS for high sensitivity) in addition to circularity. Circularity
156 has values in the range 0-1. A perfect circle has a circularity of 1 while a ‘spiky’ or irregular
157 object has a circularity value closer to 0. Circularity is sensitive to both overall form and
158 surface roughness. Elongation is defined as [1-aspect ratio] or [1- width/length]. As the name
159 suggests, it is a measure of elongation and again has values in the range 0-1. A shape
160 symmetrical in all axes, such as a circle or square, has an elongation value of 0; shapes with
161 large aspect ratios have an elongation closer to 1. Convexity is a measurement of the surface
162 roughness of a particle. It is calculated by dividing the convex hull perimeter by the actual
163 particle perimeter. A smooth shape has a convexity of 1 while a very ‘spiky’ or irregular

164 object has a convexity closer to 0. In this study, each sample was measured in triplicate to get
165 the average value.

166 **2.6. Powder preparation for flow function test**

167 Two moisture levels of lactose/WPI solids systems were prepared in a vacuum oven (OV-
168 12, Medline Industries, Inc., Mundelein, Illinois, USA). For dairy solids with low moisture
169 (LM) content, the powders were placed in a vacuum oven at 45 °C for 36 h. For dairy solids
170 with high moisture (HM) content, spray-dried dairy solids were firstly dried at 45 °C in a
171 vacuum oven for 36 h, and then equilibrated over saturated K₂CO₃ solution (giving 44%
172 relative humidity) at 25 °C for 48 h in a vacuum oven. During equilibration, all powders were
173 put in petri dishes with thickness around 8 mm. The final water content was measured in
174 triplicate using an HR83 Hologen Moisture Analyzer (Mettler Toledo International Inc., Im
175 Langacher Greifensee, Switzerland) before measuring the flow properties.

176 **2.7. Glass transition**

177 Glass transition temperatures, T_g (onset), of lactose and lactose/WPI mixtures were
178 determined using a differential scanning calorimeter (DSC Q2000, TA Instruments, Crawley,
179 UK). 10-15 mg of dairy solids was transferred to DSC aluminium pans (Tzero pan and lid,
180 Switzerland). Then DSC pans were hermetically sealed and samples were analysed. An
181 empty pan was used as a reference. At the first scan, the samples were heated over the glass
182 transition temperature region at 5 °C/min and then cooled at 10 °C/min to below glass
183 transition, a 2nd heating scan was then run to above the glass transition temperature at
184 5 °C/min. All measurements were carried out in duplicate. Glass transition temperatures were
185 determined using TA universal analysis software, version 5.1.2 (TA Instruments, Crawley,
186 UK).

187 **2.8. Dynamic mechanical analysis**

188 A dynamic mechanical analyser (DMA Q800, TA Instruments, Crawley, UK) was used, in
189 conjunction with a gas cooling accessory (GCA) tank, to determine dynamic mechanical
190 properties of spray-dried dairy solids. A rectangular stainless steel powder holder was
191 designed to generate a defined geometry to contain powder with inner dimensions of 60 mm
192 × 11 mm × 1 mm. A pre-weighed mass of dairy solids mixed with aluminum oxide calcined
193 powder at the ratio of 4:1 was evenly spread within this shallow container, and the upper lid
194 was then placed onto the top surface of the powder [25]. As aluminum oxide calcined powder
195 showed no effect on mechanical property results of dairy solids, it was added to protect dairy
196 powder from sticking on the powder holder during the heating test. The sample holder was
197 mounted in the instrument in a dual cantilever clamp so that during measurement, the DMA
198 oscillated the sample perpendicularly to the base plane of the sample holder by a vertical
199 motion of the middle clamp. The measurements were made at a heating rate of 2 °C /min
200 from 0 to 140 °C for dairy solids with low moisture content and from 0 to 120 °C for dairy
201 solids with high moisture content. DMA was operated by a sinusoidal deformation applied to
202 the powder sample holder at a fixed strain. The amplitude was 15 µm. During dynamic
203 heating, the samples were analysed for storage modulus (E') and loss modulus (E'') using
204 single frequency 1 Hz.

205 **2.9. Powder flow testing**

206 The flow function of lactose/WPI solids systems was determined using a Powder Flow
207 Tester (PFT) (Brookfield Engineering Laboratories, Inc., Middleboro, MA, USA). The axial
208 and torsional speeds for the PFT were 1.0 mm/s and 1 rev/h, respectively. Samples were
209 filled into the aluminium trough of the annular shear cell at room temperature (22-25 °C).
210 Curved- or flat-profiled shaping blades were used to level the powder surface in the trough

211 for flow- or wall friction-testing, respectively. The mass of the powder was recorded before
212 testing, with axial distance between the lid and the powder used to calculate changes in the
213 volume of powder during testing. Vane- or flat-profiled lids were attached to compression
214 plate of the PFT for flow- or wall friction-testing. Flowability, cohesion and bulk density
215 were measured using standard flow function test. Friction angle was determined using
216 standard wall friction test. For standard flow function test, the involved uniaxial normal
217 stresses were between 0.2 and 4.8 kPa. For standard wall friction test, ten normal stresses,
218 between 0.4 and 4.8 kPa, were applied to measure the wall friction angles.

219 **2.10. Statistical analysis**

220 Measurement of glass transition, and dynamic mechanical analysis were performed in
221 duplicate, with all other analysis performed in triplicate. Results were expressed as mean \pm
222 standard deviations (SD). One-way analysis of variance (ANOVA) was used to determine the
223 significant differences between the mean values of each test (Microsoft Office Excel 2010,
224 Microsoft, Inc., WA, USA). A significance level of $P < 0.05$ was used throughout the study.

225 **3. Results and discussion**

226 **3.1. Powder characterisation**

227 The powder characteristics of lactose/WPI solids systems are shown in Tab. 1. The amount
228 of crystalline lactose for lactose/WPI mixtures (S2-S5) increased with increasing the pre-
229 crystallised time (Tab. 1). After pre-crystallisation, four kinds of lactose/WPI mixtures with
230 different amounts of α -lactose monohydrate were ready for analysis. As the amount of
231 crystalline lactose was defined as the crystallinity of dairy solids in this study, the
232 crystallinity of lactose/WPI mixtures (S2-S5) were 1.01%, 11.18%, 29.20% and 46.84%,
233 respectively. Lactose (S1) was spray-dried without pre-crystallisation and was assumed to be

234 in the amorphous form. Particle size study showed that the particle size of S3, S4, and S5 was
235 higher than S2 (Tab. 1), which indicated that pre-crystallisation increased the particle size of
236 dairy solids. As specific surface area (SSA) values are typically inferred from particle size
237 data, S2 with 1.01% crystallinity showed the largest SSA value, while WPI powder gave the
238 smallest SSA value. It is well known that particle size influences flowability [6,26]. For
239 example, fine particles tend to be more cohesive and therefore less free-flowing, whereas
240 larger particles tend to be free flowing. Moreover, according to Fitzpatrick et al. [26], the
241 increased surface area per unit mass of powder means more surface area is available for
242 cohesive forces and frictional forces to resist flow. Therefore, the difference in particle size
243 and SSA values of lactose/WPI mixtures with different crystalline lactose content might
244 affect their flowability.

245 Powder density is an important characteristic for calculating the capacity of packaging
246 materials, containers, hoppers, bins, silos, and also for filling of the die of tableting machines
247 and for capsule filling. Pure lactose (S1) had the largest bulk density and particle density, and
248 the smallest porosity, while WPI powder (S6) gave the opposite results (Tab. 1). For
249 lactose/WPI mixtures (S2-S5), dairy solids with higher amount of crystalline lactose showed
250 larger loose bulk density, tapped bulk density and particle density (Tab. 1). Furthermore,
251 dairy solids with higher crystallinity showed lower porosity (Tab. 1).

252 **3.2. Morphological characteristics**

253 The particle shapes of lactose/WPI solids systems were investigated using a Morphologi
254 G3 S. The Morphologi G3 S reports a number of particle shape factors. In this study, three
255 morphological characteristics (circularity, elongation and convexity) were used to identify the
256 particle shape of lactose/WPI solids systems. The results in Tab. 2 showed that particles of
257 pure lactose (S1) were more circular than those of lactose/WPI mixtures and WPI. The
258 circularity of particle shape of lactose/WPI mixtures decreased as the crystallinity increased.

259 Moreover, particle shape of S5 with the highest amount of crystalline lactose had the lowest
260 ratio of width/length and the roughest surface. Those results indicated dairy solids with
261 higher amount of crystalline lactose had less rounded shape, and rougher surface. Fu et al. [19]
262 stated that particle shape significantly affected the flow characteristics of powder over a wide
263 range of stress conditions. Powders consisting of regularly shaped particles flow better than
264 those consisting of irregular shaped particles. Thus, different particle shape of lactose/WPI
265 solids systems may link to their flow behaviours in this study.

266 3.3. Glass transition

267 After storage at different humidity conditions, lactose/WPI solids systems with different
268 moisture content were prepared (Tab. 3). **There was no trend for the water content of**
269 **lactose/WPI mixtures with different crystallinity after storage at 44% RH, which might be**
270 **due to the presence of WPI in dairy powders weakening the effect of crystalline lactose on**
271 **water sorption behaviour of lactose/WPI mixtures.** Water activities of lactose/WPI solids
272 systems with low moisture (LM) content and high moisture (HM) content was around 0.11 a_w
273 and 0.33 a_w , respectively (Tab. 3). Lactose and lactose/WPI mixtures showed significant
274 difference in their glass transition temperatures after storage at different relative humidity
275 conditions (Tab. 3). Water plasticization depressed glass transition **temperatures** of lactose
276 and lactose/WPI mixtures. For lactose/WPI mixtures (S2-S5), S5 showed the lowest water
277 content after storage at 44% RH, which resulted **in** the highest T_g value of S5. This might be
278 **due to** the different water sorption behaviour of amorphous lactose and crystalline lactose.
279 Similar results were also stated by Fitzpatrick et al. [4]. According to their study, the powders
280 with larger amount of amorphous lactose were more sensitive to absorbing moisture when in
281 intimate contact with air. According to Fitzpatrick et al. [2], powders with amorphous
282 components, such as amorphous lactose, may become sticky if the powder temperature is

283 elevated above the components glass transition temperature and into the sticky temperature
284 region. This can lead to the powder becoming much more cohesive and eventually caking,
285 and can also cause a powder to adhere more to a surface. These indicated dairy solids with
286 46.84% crystallinity sorbed less water during storage, which might give higher T_g values and
287 protect them from stickiness and caking.

288 3.4. Mechanical properties

289 The mechanical properties of lactose/WPI solids systems storage at 0% and 44% RH were
290 measured using a DMA. Mechanical α -relaxation of lactose/WPI solids systems occurred
291 above the glass transition and was observed from a decrease in storage modulus and a peak in
292 the loss modulus (Fig. 1). At temperatures above the glass transition, large changes in
293 viscoelastic properties were expected [27,28]. The storage modulus of lactose/WPI solids
294 systems decreased slowly in the amorphous state, while it dropped sharply from the original
295 value at the glassy state to the value at the rubbery state in the glass transition region (Fig.
296 1A1 and 1B1). There was minor differences in the magnitude of storage modulus change for
297 lactose/WPI mixtures with low moisture content, while pure lactose (S1) with low moisture
298 content showed the most significant change in its storage modulus at the glass transition
299 region (Fig. 1A1). All dairy solids sorbed much water from air during storage at 44% RH
300 (Tab. 3), which resulted in lactose/WPI solids systems showed more significant change in
301 their storage modulus at the glass transition region. The storage modulus of pure lactose still
302 showed the most significant change after storage at 44% RH (Fig. 1B1). However, for
303 lactose/WPI mixtures, S5 with the highest crystallinity showed the smallest change of storage
304 modulus during the glass transition region (Fig. 1B1). Comparing S2 and S5, S2 with lower
305 crystallinity showed higher magnitude of storage modulus change than S5. The magnitudes
306 of modulus changes indicated mechanical α -relaxations which were relative to molecular

307 mobility [28,29]. Higher molecular mobility could contribute to the formation of inter-
308 particle bridges and stickiness [9,28]. Consequently, water plasticization could increase
309 molecular mobility of dairy solids, while increasing protein content or crystalline lactose
310 content of dairy solids could decrease the molecular mobility of dairy solids. In other words,
311 increasing protein content or crystalline lactose content might help dairy solids to delay the
312 formation of stickiness and caking and keep them free-flowing.

313 Stiffness of **materials** refers to the ability to carry stress without changing dimension [30].
314 For the measurement of unconstrained uniaxial tension or compression, Young's modulus
315 can be as a measure of the stiffness of a material. In this study, the change of storage modulus
316 could reflect the change of stiffness for dairy solids. The stiffness of spray-dried dairy solids
317 showed the same trend in change as storage modulus did when temperature increased from 0
318 to 120 °C. The results of storage modulus indicated that dairy solids with higher crystallinity
319 were stiffer at high moisture content, which might help dairy solids to maintain their
320 flowability after storage at high relative humidity environment.

321 The changes of loss modulus for lactose/WPI solids systems are shown in Fig. 1A2 and
322 1B2. Loss modulus of lactose/WPI solids systems showed minor changes in the amorphous
323 state and **the** rubbery state, while they increased dramatically and reached the peak values in
324 the glass transition region (Fig. 1B1 and 1B2). The magnitudes of loss modulus increased
325 with increasing water content of lactose/WPI solids systems. Although S2 and S5 showed
326 similar water content after storage at 44% RH, S5 with the highest amount of crystalline
327 lactose showed smaller magnitude of loss modulus change. In addition, in this study, the α -
328 relaxation temperatures, T_α , were taken from the temperatures of loss modulus peak (Tab. 3).
329 T_α values of lactose and lactose/WPI mixtures decreased as moisture content increased. S5
330 showed the highest T_α values, which might be due to its highest crystallinity and lower

331 moisture content. Those results of storage modulus and loss modulus indicated that the
332 crystallinity of dairy solids affected the mechanical properties of dairy solids.

333 **3.5. Flow properties**

334 Standard flow function test and standard wall friction test of lactose/WPI solids systems
335 were conducted using a Powder Flow Tester. The flowability results are shown in Fig. 2.
336 According to Schulze [6], the flowability of powders is usually stress-dependent. For
337 lactose/WPI solids systems with low moisture content, they were easy-flowing or cohesive
338 when the major principle consolidating stress was below 3 kPa, while they were all easy-
339 flowing at major principle consolidating stress > 3 kPa (Fig. 2A). However, for dairy solids
340 with high moisture content, lactose/WPI mixtures with 1.01%, 29.20% and 46.84% amount
341 of crystalline lactose fell into cohesive area even when major principle consolidating stress
342 was over 8 kPa. Therefore, increasing water content decreased flowability of dairy solids,
343 which might be due to the increase in liquid bridges and capillary forces acting between the
344 powder particles. For lactose/WPI mixtures (S2-S5), S3 with 11.18% crystallinity showed
345 more easy-flowing than S2, S4 and S5 after storage at 0% and 44% RH. This could also be
346 derived from the flow index results (Tab. 4). S3 gave higher flow index values than other
347 lactose/WPI mixtures. S4 and S5 with higher amount of crystalline lactose did not show
348 better flowability than S3. According to Fitzpatrick et al. [4], this might be due to the high
349 amount of crystalline lactose for S4 and S5, which gave rise to greater frictional resistance
350 between the particles or the differences in surface moisture contents of crystalline and
351 amorphous lactose producing differences in cohesion due to liquid bridging. In addition, S4
352 and S5 had smaller particle size and larger SSA values than S3 (Tab. 1), which meant they
353 had more surface area for cohesive forces and frictional forces to resist flow. Furthermore,
354 morphological study showed that dairy solids with lower crystallinity had more rounded

355 shape, and smoother surface (Tab. 2), which might result that S3 was more easy-flowing than
356 S4 and S5.

357 In addition, for lactose/WPI mixtures with low moisture content, S5 had a significant
358 higher ($P < 0.05$) critical stress value than other lactose/WPI mixtures (S2, S3, and S4) (Tab.
359 4), indicating that it had a tendency to develop cohesive arches which required greater stress
360 to collapse [6,8]. However, after storage at 44% RH, S5 gave a significant lower critical
361 stress value than other lactose/WPI mixtures (S2, S3, and S4). Moreover, D_{arching} value of S5
362 with low moisture content was significant higher than other lactose/WPI mixtures (S2, S3,
363 and S4), while S5 gave the opposite result after storage at 44% RH. As a result, S5 with the
364 highest crystallinity showed the smallest change in its critical stress and D_{arching} value with
365 increasing water content. It was clear from these results that dairy solids with higher
366 crystallinity showed better resistance to develop cohesive when storage at high relative
367 humidity conditions.

368 The bulk densities of lactose/WPI solids systems increased as major principle
369 consolidating stress increased (Fig. 3). All dairy solids became compressed on the application
370 of increasing major principle consolidating stress. There was only minor difference in the
371 bulk density of lactose/WPI mixtures with different crystallinity. Increasing water content
372 decreased the bulk density of lactose (S1) significantly, while the bulk densities of
373 lactose/WPI mixtures and WPI solids only showed minor decrease.

374 Wall friction is the dominant parameter in determining the minimum hopper angle
375 (between the hopper wall and the horizontal) required to ensure mass flow. In this study, the
376 wall friction angles of lactose/WPI solids systems were also determined at different normal
377 stresses using standard wall friction test (Fig. 4). For lactose/WPI mixtures with low moisture
378 content, friction angles increased as crystallinity was increased at 0.483 kPa (Fig. 4A).

379 However, for lactose/WPI mixtures with high moisture content, the friction angles decreased
380 with increasing crystallinity at 0.483 kPa (Fig. 4B). Comparing the friction angles of dairy
381 solids with different water content (Fig. 4A and 4B), the friction angles of S2 increased with
382 increasing water content, and the friction angles of S3, S4, and S5 decreased with increasing
383 water content. This might be due to that **amorphous powders (S2) were more cohesive with**
384 **increasing water content**. However, for S3, S4, and S5, the increased moisture might act as a
385 lubricant and decreased friction angles for partially crystallised powders [4].

386 Additionally, the effective angles of internal friction (δ_j) for lactose/WPI solids systems are
387 also shown in Tab. 4. δ_j values of lactose/WPI solids systems increased with increasing water
388 content. For lactose/WPI solids systems (S1-S6) with low water content, pure lactose (S1)
389 showed the smallest δ_j and WPI (S6) showed the largest δ_j , while the opposite result was
390 shown as water content increasing (Tab. 4). This result indicated increasing protein content
391 decreased the effect of water plasticization on the internal friction of dairy solids.

392 **4. Conclusions**

393 As amorphous lactose and crystalline lactose show different physical and mechanical
394 properties, this study investigated the influence of crystalline lactose content and water
395 plasticization on the flow properties of lactose/WPI solids systems. Particle size study
396 indicated that pre-crystallisation increased the particle size of dairy powders. SSA values
397 results showed that S2 with 1.01% crystallinity gave the largest SSA value, while WPI
398 powder showed the smallest SSA value. For lactose/WPI mixtures (S2-S5), dairy solids with
399 higher crystallinity **had** larger loose bulk density, tapped bulk density and particle density,
400 whereas they gave lower porosity. **Moreover, the crystallinity of dairy powders had a minor**
401 **effect on the particle shape**. Those differences in **particle size, SSA, bulk density, particle**
402 **density and particle shape resulting from the crystallinity might affect their flow properties**.

403 **The results of mechanical property study indicated that** water plasticization could increase
404 molecular mobility of dairy solids, while increasing protein content or crystalline lactose
405 content of dairy solids might decrease the molecular mobility of dairy solids and maintain
406 their stiffness. **Therefore, the presence of protein or crystalline lactose might protect dairy**
407 **solids from stickiness and caking at high relative humidity conditions.** Flow function test
408 showed that for lactose/WPI mixtures with different crystallinity, S3 with 11.18%
409 crystallinity was more easy-flowing than S2 (1.01% crystallinity), S4 (29.20% crystallinity)
410 and S5 (46.84% crystallinity) at 0% and 44% RH storage conditions. **Increasing water**
411 **content reduced the flowability of dairy solids with different levels of crystalline lactose.**
412 Moreover, dairy solids with higher crystallinity showed better resistance to develop cohesive
413 when they were at high relative humidity conditions. The friction angles of dairy solids with
414 higher crystallinity (S3, S4, and S5) decreased **with** increasing water content, while the
415 friction angles of S2 increased with increasing water content. Since pre-crystallisation of
416 lactose is widely used in the production of dairy powders, the findings in this study will be
417 very useful in handling and processing of dairy powders.

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517 **Figure captions**

518 **Figure 1** Storage modulus and loss modulus of lactose/WPI solids systems with low moisture
519 (LM) content (A1 and A2) and high moisture (HM) content (B1 and B2). S1-S6: S1:
520 amorphous lactose; S2-S5: lactose/WPI (4:1) mixtures with 1.01%, 11.18%, 29.20% and
521 46.84% crystallinity, respectively; S6: WPI.

522 **Figure 2** Flow function curves showing unconfined strength as a function of major principal
523 consolidating stress for lactose/WPI solids systems with low moisture (LM) content (A) and
524 high moisture (HM) content (B). S1-S6: S1: amorphous lactose; S2-S5: lactose/WPI (4:1)
525 mixtures with 1.01%, 11.18%, 29.20% and 46.84% crystallinity, respectively; S6: WPI.

526 **Figure 3** Bulk density as a function of major principal consolidating stress for lactose/WPI
527 solids systems with low moisture (LM) content (A) and high moisture (HM) content (B). S1-
528 S6: S1: amorphous lactose; S2-S5: lactose/WPI (4:1) mixtures with 1.01%, 11.18%, 29.20%
529 and 46.84% crystallinity, respectively; S6: WPI.

530 **Figure 4** Fiction angle as a function of normal stress for lactose/WPI solids systems with low
531 moisture (LM) content (A) and high moisture (HM) content (B). S1-S6: S1: amorphous
532 lactose; S2-S5: lactose/WPI (4:1) mixtures with 1.01%, 11.18%, 29.20% and 46.84%
533 crystallinity, respectively; S6: WPI.

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539 **Tables**

540 **Table 1** Physical characteristics of lactose/WPI solids systems

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| Systems | Crystallinity (%) | d_{50} (μm) | SSA (m^2/kg) | Loose bulk density (g/cm^3) | Tapped bulk density (g/cm^3) | Particle density (g/cm^3) | Porosity |
|----------------|--------------------------|---|--|---|--|---|-----------------------------|
| S1 | 0.00 | 29.25 ^a ±0.25 | 620.50 ^c ±6.50 | 0.5458 ^a ±0.0138 | 0.7110 ^a ±0.0096 | 1.2900 ^a ±0.0055 | 0.4488 ^c ±0.0074 |
| S2 | 1.01±0.58 | 22.85 ^d ±0.25 | 714.75 ^a ±7.45 | 0.3282 ^d ±0.0027 | 0.3807 ^d ±0.0027 | 1.2168 ^e ±0.0019 | 0.6871 ^b ±0.0023 |
| S3 | 11.18±0.97 | 25.35 ^b ±0.05 | 629.95 ^c ±0.85 | 0.3297 ^d ±0.0037 | 0.3731 ^e ±0.0023 | 1.2182 ^e ±0.0016 | 0.6778 ^c ±0.0020 |
| S4 | 29.20±0.92 | 25.20 ^b ±1.20 | 682.10 ^b ±9.30 | 0.3418 ^c ±0.0030 | 0.4022 ^c ±0.0044 | 1.2443 ^d ±0.0014 | 0.6768 ^c ±0.0012 |
| S5 | 46.84±1.11 | 23.85 ^c ±0.05 | 695.05 ^b ±1.05 | 0.3614 ^b ±0.0070 | 0.4226 ^b ±0.0036 | 1.2485 ^c ±0.0021 | 0.6615 ^d ±0.0031 |
| S6 | 0.00 | 26.10 ^b ±0.50 | 536.00 ^d ±6.60 | 0.1916 ^e ±0.0007 | 0.2381 ^f ±0.0015 | 1.2814 ^b ±0.0019 | 0.8142 ^a ±0.0018 |

542 ¹ S1-S6: S1: amorphous lactose; S2-S5: lactose/WPI mixtures at ratio 4:1 with 1.01%, 11.18%, 29.20% and 46.84% crystallinity, respectively;
543 S6: WPI.

544 ² Values are mean ± standard deviation (n=3).

545 ³ a-f Values within columns with different superscripts are significantly different at $P < 0.05$.

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551 **Table 2** Morphological characteristics of lactose/WPI solids systems

| Systems | Circularity | Elongation | Convexity |
|----------------|------------------------------|------------------------------|------------------------------|
| S1 | 0.9120 ^a ±0.0010 | 0.1590 ^c ±0.0010 | 0.9940 ^a ±0.0000 |
| S2 | 0.8593 ^b ±0.0074 | 0.2477 ^b ±0.0082 | 0.9920 ^b ±0.0008 |
| S3 | 0.8430 ^{bc} ±0.0123 | 0.2533 ^b ±0.0109 | 0.9900 ^{bc} ±0.0008 |
| S4 | 0.8473 ^{bc} ±0.0012 | 0.2507 ^{ab} ±0.0009 | 0.9903 ^{bc} ±0.0005 |
| S5 | 0.8350 ^c ±0.0071 | 0.2630 ^a ±0.0050 | 0.9890 ^c ±0.0008 |
| S6 | 0.8355 ^c ±0.0055 | 0.2570 ^a ±0.0030 | 0.9890 ^c ±0.0010 |

552 ¹ S1-S6: Table 1.

553 ² Values are mean ± standard deviation (n=3).

554 ³ a-c Values within columns with different superscripts are significantly different at *P* <0.05.

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568 **Table 3** Water content, m , water activity, a_w , glass transition, T_g , and α -relaxation
569 temperature, T_α of lactose/WPI solids systems storage at different humidity conditions (0%
570 RH and 44% RH).

| Systems | m | | a_w | | T_g (°C) | | T_α (°C) | |
|-----------|-----------|-----------|------------|------------|------------|----------|-----------------|-----------|
| | LM | HM | LM | HM | LM | HM | LM | HM |
| S1 | 1.35±0.01 | 4.67±0.02 | 0.16±0.001 | 0.34±0.002 | 72.0±0.1 | 50.0±0.2 | 122.1±0.04 | 69.6±0.02 |
| S2 | 2.21±0.25 | 4.80±0.21 | 0.09±0.001 | 0.32±0.001 | 68.9±0.2 | 47.8±0.0 | 126.6±0.03 | 69.7±0.03 |
| S3 | 2.34±0.15 | 6.14±0.08 | 0.09±0.002 | 0.35±0.003 | 66.1±0.1 | 35.9±0.2 | 125.1±0.05 | 63.2±0.04 |
| S4 | 2.40±0.04 | 6.22±0.11 | 0.11±0.001 | 0.34±0.000 | 67.3±0.3 | 37.0±0.1 | 127.0±0.02 | 58.6±0.04 |
| S5 | 2.11±0.04 | 4.78±0.12 | 0.09±0.003 | 0.33±0.003 | 71.4±0.2 | 48.8±0.4 | 126.3±0.02 | 73.2±0.05 |
| S6 | 3.49±0.01 | 7.87±0.06 | 0.09±0.001 | 0.33±0.000 | / | / | / | / |

571 ¹ S1-S6: Table 1.

572 ² Values are mean ± standard deviation (water content: n=3; T_g and T_α : n=2).

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584 **Table 4** Values relating to flow properties of lactose/WPI solids systems derived from standard flow function test by Powder Flow Tester

585 (D_{arching} : minimum outlet diameter to prevent arching; δ_J : effective angle of internal friction).

| Systems | Critical stress | | D_{arching} (m) | | Flow index | | δ_J | |
|-----------|---------------------------|---------------------------|----------------------------|---------------------------|-------------------------|--------------------------|------------------------|------------------------|
| | LM | HM | LM | HM | LM | HM | LM | HM |
| S1 | 0.154 ^b ±0.001 | 0.264 ^a ±0.001 | 0.060 ^{bc} ±0.001 | 0.113 ^b ±0.001 | 6.25 ^c ±0.01 | 4.17 ^{bc} ±0.01 | 36.3 ^d ±0.1 | 44.4 ^b ±0.1 |
| S2 | 0.099 ^e ±0.000 | 0.210 ^b ±0.003 | 0.056 ^c ±0.000 | 0.120 ^c ±0.002 | 4.17 ^a ±0.01 | 3.70 ^a ±0.00 | 40.7 ^b ±0.1 | 45.2 ^a ±0.0 |
| S3 | 0.096 ^e ±0.001 | 0.181 ^d ±0.001 | 0.056 ^c ±0.001 | 0.108 ^d ±0.002 | 5.56 ^b ±0.20 | 4.76 ^c ±0.00 | 39.4 ^c ±0.1 | 43.4 ^c ±0.0 |
| S4 | 0.106 ^d ±0.001 | 0.192 ^c ±0.001 | 0.056 ^c ±0.001 | 0.101 ^d ±0.001 | 4.35 ^a ±0.00 | 4.00 ^b ±0.01 | 40.1 ^c ±0.1 | 45.2 ^a ±0.0 |
| S5 | 0.122 ^c ±0.000 | 0.175 ^d ±0.001 | 0.064 ^b ±0.001 | 0.097 ^e ±0.001 | 4.55 ^a ±0.00 | 3.85 ^{ab} ±0.01 | 40.7 ^b ±0.1 | 44.0 ^b ±0.1 |
| S6 | 0.193 ^a ±0.001 | 0.216 ^b ±0.001 | 0.179 ^a ±0.001 | 0.199 ^a ±0.000 | 6.67 ^c ±0.00 | 6.25 ^d ±0.00 | 41.1 ^a ±0.1 | 40.7 ^c ±0.1 |

586 ¹ S1-S6: Table 1.

587 ² Values are mean ± standard deviation (n=3).

588 ³ ^{a-c} Values within columns with different superscripts are significantly different at $P < 0.05$.

