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University College Cork, Ireland Coláiste na hOllscoile Corcaigh

1	Regulated Phase Separation in
2	Nanopatterned Protein-Polysaccharide Thin Films by Spin Coating
3	
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15	Keywords: protein, polysaccharide, biopolymer, patterning, morphology, phase separation,
16	Ostwald ripening.
17	
18	Abstract
19	Patterned films are essential to the commonplace technologies of modern life. However, they
20	come at high cost to the planet, being produced from non-renewable, petrochemical-derived
21	polymers and utilising substrates that require harsh, top-down etching techniques.
22	Biopolymers offer a cheap, sustainable and viable alternative easily integrated into existing
23	production techniques. We describe a simple method for the production of patterned
24	biopolymer surfaces and the assignment of each biopolymer domain, which allows for selective

25 metal incorporation used in many patterning applications. Protein and polysaccharide domains 26 were identified by selective etching and metal incorporation; a first for biopolymer blends. 27 Morphologies akin to those observed with synthetic polymer blends and block-copolymers 28 were realised across a large range of feature diameter (200 nm to - 20 µm) and types (salami 29 structure, continuous, porous and droplet-matrix). The morphologies of the films were 30 tuneable with simple recipe changes, highlighting that these biopolymer blends are a feasible 31 alternative to traditional polymers when patterning surfaces. The protein to polysaccharide 32 ratio, viscosity, casting method and spin speed were found to influence the final film 33 morphology. High protein concentrations generally resulted in porous structures whereas 34 higher polysaccharide concentrations resulted in spherical discontinuous domains. Low spin 35 speed conditions resulted in growth of protuberances ranging from 200 nm to 22 µm in 36 diameter, while higher spin speeds resulted in more monodisperse features, with smaller 37 maximal diameter structures ranging from 300 nm to 12.5 µm.

38

39 Introduction

40 There is an urgent and growing need for micro- and nano-structured surfaces that can be 41 produced at low environmental and economic cost. Micro- and nano-structured surfaces are 42 essential to an array of advanced and emerging technologies. In 2016 the OECD identified 40 key emerging technologies for the future, including the "internet of things" smart devices, light 43 44 technologies, regenerative medicine and tissue engineering, nanomaterials, nanodevices, 45 carbon nanotubes, functional materials, synthetic biology, and marine and tidal power technologies. One fifth of these require patterned thin-films as integral components or as 46 47 essential aspects of their production processes [1].

49 Micro- and nano-structured surfaces occur throughout the natural world and exhibit a 50 range of useful properties; self-cleaning and hydrophobicity (lotus leaf)[2]; anti-reflectivity 51 (moth eyes)[3]; iridescence (butterfly wings)[3]; anti-ice formation (kale) [4]; and anti-fouling 52 (shark skin)[5], to name only a few. Current human manufacturing of equivalent surfaces uses 53 top-down and bottom-up approaches: top-down is expensive, wasteful, not readily scalable, 54 and generally restricted to planar surfaces.[3] Bottom-up requires the use of block co-polymers (BCPs) which can be expensive, are synthetically derived, require environmentally damaging 55 56 organic solvents and require intricate control of the polymer-surface interface via brush 57 layers.[6] Feature diameter and spacing is limited to sub-100 nm due to the kinetic penalties imposed on high molecular weight BCPs requiring long annealing times, limiting their 58 59 applications in the optics industry. Additionally, refining BCPs of a high molecular weight to 60 obtain a polydispersity index (PDI) close to 1 is difficult and costly.[3]

61 In contrast, to BCPs and other synthetic polymers, proteins innately have a PDI of 1, are 62 cheap, abundant, renewable, do not require the use of toxic solvents and are easy to 63 manufacture.[7] More generally, biopolymers (proteins and polysaccharides) are well-defined 64 with varied functionality[8], hydrophilic, photostable, nontoxic, biocompatible[9], [10] and 65 have predictable viscosities.[11] The domain sizes of features in polymer blends (synthetic 66 and biopolymer) have been shown to exceed to 10 µm, although feature size showed 67 considerable variance.[12]–[19] For decades biopolymer blends have been utilised in food 68 texturing[15], [16], [19], [20], with few notable examples using biopolymer blends beyond 69 this. [21], [22], [31], [32], [23]–[30] These, however, incorporated synthetic polymer additives, 70 biopolymer derivatives, and/or specialist enzymes for etching or functionalisation of patterned 71 surfaces. This renders these techniques either unsuitable for large scale manufacturing or 72 environmentally damaging. Protein blends are expected to become prevalent in electronic, optical, chemical, mechanical, biomedical and nanotech applications in the coming years.[33] 73

However, the use of biopolymer blend thin films in materials science for surface patterning isfurther limited by the relative infancy of the field.[31]

76 The aim of this study was the further development of bovine serum albumin (BSA) and 77 chitosan (Ch) blend thin films, using a protic solvent (formic acid, FA) to promote segregative 78 phase separation in a rapid and facile manner. Current efforts to replace BCPs involve the use 79 of synthetic polymer blends to generate patterns. However, as with BCPs, these are not 80 renewable. To offer an alternative, renewable solution to both BCPs and synthetic polymer 81 blends, biopolymer blend thin films must show that they can achieve similar patterns, using 82 established methods. To this end, BSA and Ch were chosen as our biopolymers. BSA and Ch 83 may be blended without fear of gelation when subjected to shear forces.[34] Ch is also antimicrobial[35], biocompatible and biodegradable, increasing the number of possible 84 applications.[36] Finally, both BSA[37] and Ch[38], [39] can selectively bind metals, similar 85 86 to BCPs. Synthetic polymer blends utilize selective removal of one polymer domain, followed 87 by deposition of a metal to generate a patterned hard mask. In our work, we successfully 88 removed the protein domain using a buffer solution, and selectively incorporated metal into the 89 polysaccharide domain. BSA-Ch blends achieve feature diameters comparable with synthetic 90 polymer blends.[3], [40], [41] This method could be easily employed in other studies of 91 biopolymer blends. Furthermore, this is the first time a hard mask has been produced with 92 bottom-up biopolymer blends. Lastly, we have successfully differentiated the growth 93 mechanisms occurring with dissimilar blend compositions.

95 Experimental

96 Biopolymers, Casting Solutions and Substrate

Low molecular weight chitosan (Ch, 50-190 kDa, > 75 % deacetylation) and bovine serum 97 98 albumin (BSA, lyophilised powder, \geq 96 %, molecular weight ~66 kDa) were purchased from 99 Sigma Aldrich. While the Ch we sourced was the deacetylated form of chitin (i.e. a chitin 100 derivative, which may be considered a semisynthetic), Ch may also be sourced from fungal 101 biomass without the need for derivatization.[42] Ch is renewable, and it is much more easily 102 solubilized than chitin. Hence it was chosen for this work. Low molecular weight chitosan 103 was chosen as it was shown previously shown to be easily solubilized in the FA, while not 104 being excessively viscous.[34] Substrates used in all cases were Fisherbrand[™] Microscopic 105 Slides with Ground Edges (plain) or planar substrates. Highly polished single-crystal silicon 106 <100> wafers (p-type, boron) with a native oxide layer of ~ 2 nm were also used. For FTIR, 107 XPS, water contact angle, and selective metal inclusion, samples were deposited on a Si 108 substrate. This was done to prevent any deformation of a glass substrate during annealing. The 109 solvent used was formic acid (FA), 98+ %, pure (ACROS OrganicsTM) and was diluted to 90 110 % w/v with distilled water before use. Casting solutions were prepared using 90 % formic acid 111 as the solvent to ensure that the biopolymers were below their isoelectric point in solution and 112 so, positively charged.

113

114 Solution Preparation

Biopolymers blend preparation may be found in our previous work, or in our Supporting Information. In short, stock solutions were made by dissolving biopolymers in 90 % FA, and stored at -20 °C. Before coating, stock solutions mixed with one another and diluted with fresh FA.[34] 5 solutions were prepared. 4 w/v% BSA 1 w/v% Ch (4:1 blend ratio), 2 w/v% BSA

- 119 1 w/v% Ch (2:1 blend ratio), 1 w/v% BSA 1 w/v% Ch (1:1 blend ratio), 1 w/v% BSA 2 w/v%
 120 Ch (1:2 blend ratio) and 1 w/v% BSA 4 w/v% Ch (1:4 blend ratio).
- 121

122 **Coating Preparation**

123 Thin-film Casting

Thin-films were prepared using a spin coater (Speciality Coating Systems, 6800 Spin Coater Series) to produce biopolymer solution coatings of uniform thickness. Standard conditions: 30 s spin time (ramp time 5 s, dwell 25 s). Substrates were glass slides onto which single biopolymer solutions were cast. Temperature and humidity was maintained at approx. 18 °C and 65 % relative humidity. Monitoring of humidity and ambient temperature was done by *HOBO MX Temp/RH Logger* sensor.

- 130
- 131 Atomic Force Microscopy (AFM)

132 Sample morphology was analysed by atomic force microscopy (AFM) using a Park 133 Systems, XE-100 instrument under ambient conditions in non-contact mode, and this methodology was used in our previous work.[34] Scans were performed in non-contact mode 134 with high resolution, silicon micro-cantilever tips. Topographic images were recorded at a 135 136 resonance frequency of 270-300 kHz. Images were analysed using Park XEI and Gwyddion, and resulting data analysed using Origin. Images were flattened by removal of the background 137 138 plane (using a first or second regression order). Features were then identified using the 139 Gwyddion watershed algorithm for analysis, and descriptive statistics calculated using 140 "Microcal Origin" software. Surface roughness (nm) and surface area ratios (%) were 141 measured using "XEI" software. RMS (root means square arithmetical mean roughness or 142 root means square average roughness) is the average between the height deviations and the mean line/surface, taken over the evaluation length/area. Surface area ratios (%) were 143

144 calculated by the following formula: Surface Area Ratio (%) = 100 (%) × (Geometric Area –
145 Surface Area) / (Geometric Area). Surface feature diameters were measured using the
146 Gwyddion watershed algorithm for scanning probe microscopy (approx. 1000 features). Film
147 thickness was determined by AFM. AFM height scans were performed on areas which had
148 been scratched to expose the underlying substrate.[26]

- 149
- 150 X-Ray photoelectron spectroscopy (XPS)

151 XPS spectra were acquired on an Oxford Applied Research Escabase XPS system equipped 152 with a CLASS VM 100 mm mean radius hemispherical electron energy analyser with a fivechannel detector arrangement in an analysis chamber with a base pressure of 10×10^{-10} mbar. 153 Survey scans were acquired between 0-1000 eV with a step size of 0.7 eV, a dwell time of 0.5 154 s and pass energy of 50 eV. Core level scans were acquired at the applicable binding energy 155 156 range for each core level, with a step size of 0.1 eV, dwell time of 0.1 s and pass energy of 20 157 eV averaged over 20 scans. A non-monochromated Al-kα x-ray source at 200 W power was 158 used for all scans. Multiplier voltage was maintained at 2.0 kV for all acquisitions. All spectra 159 were acquired at a take-off angle of 90° with respect to the analyser axis and were charge 160 corrected with respect to the C 1s photoelectric line by rigidly shifting the binding energy scale 161 to 285 eV. Data were processed using CasaXPS software where a Shirley background correction was applied and peaks were fitted to Voigt profiles. 162

163 Attenuated Total Reflection Fourier Transform Infra-Red (ATR-FTIR) Spectroscopy

Infrared spectra were recorded on a PerkinElmer Spectrum 2 FT-IR Spectrometer. PerkinElmer Spectrum v5.0.1 software was used to perform baseline corrections and evaluate spectra.
Each spectrum was scanned between 400 and 4000 cm⁻¹ with a resolution of 4 cm⁻¹ and a
minimum of 64 scans were collected and averaged in order to gain good quality spectra.

169 *Selective Etching*

A wet etch was used in order to selectively remove BSA over Ch due to its limited solubility. [43] Biopolymer blend films were crosslinked with a 20 wt% glutaraldehyde solution for 20 hr. Coated substrates were immersed in a buffered solution stirring for 20 hr at 300 rpm. Buffered solutions contained 200 mM Tris-HCl, pH 8.8. The substrate was then washed thoroughly with deionised water to remove residual salt. Finally, the substrate was washed with isopropanol alcohol and dried under nitrogen for analysis.

176

177 Selective metal inclusion

To confirm the results of the selective etching of BSA using a basic buffer solution, and identify 178 the Ch domain, selective inclusion of the metal into the Ch domain used. As a 1:1 BSA-Ch 179 blend used to identify the BSA domain using a selective etch, the 1:1 BSA-Ch blend was also 180 181 used for selective metal inclusion. 1:1 blend films were prepared as described in the Thin-film Casting section, producing a film with discontinuous spheres in a matrix. After casting, films 182 were crosslinked with a 20 wt% glutaraldehyde solution for 20 hr to prevent oversaturation of 183 184 metals in the Ch domain. 1 wt% FeCl₃ solutions were produced with anhydrous ethanol. 185 Biopolymer blend films were covered with 1 ml of metal solution for 15 s before spin coating. The films were then immediately spin coated for 30 s (3000 rpm, ramp time 5 s, dwell 25 s). 186 187 The samples were then oxidised in a furnace at 550 °C for 2 hr. Calcination at 800 °C for 20 188 hr was used to remove the biopolymer template and any residual organic residue. No other 189 processing steps were needed.

191 Water Contact Angle

Water contact angle measurements were obtained using the Ossila Contact Angle Goniometer (error $\pm 1^{\circ}$) and accompanying software Ossila Contact Angle v1.0. A deionised water droplet (5 µL) was delivered to the coated surface by a calibrated variable pipettor. Contact angles were measured in triplicate as a function of time. Measurements were taken at 10 s intervals over 160 s including measurements at 0 and 160 s.

197

198 **Results and Discussion**

199 Single Polymer Solution Thin-Films

AFM images (see **Figure S1** in Supporting Information) showed that thin-films cast from the two individual biopolymers (Ch and BSA) did not produce any phase separated patterns. Neat BSA films were totally featureless (**Figure S1**), while Ch showed partially aggregated structures, likely due to its limited solubility. Glass slides were smooth and featureless. This shows that features present in subsequent composite biopolymer films are due solely to the composite formation mechanisms and not due to structures from an individual biopolymer. This is consistent with our previous findings.[34]

207

208 BSA-Ch Thin-Films

209 Thin-films from Phase Separation of BSA-Ch-FA Solutions

Phase separation in polymer blend systems is the development of two distinct regions (phases) of polymers from an initially homogenous solution. Similar to oil and water, polymers which are incompatible separate from one another. Dissolving biopolymers in an acid protonates the polymer chains, promoting segregative phase separation.[15] Upon separation, topographical features develop as the film dries as the system attempts to minimize surface energy. Typically, spheres or pores are formed as they have the lowest surface area to volume ratio. These featuresgrow as the system continues to minimize total surface energy. [44], [45]

Figure 1 shows AFM images of BSA-Ch blend films. High resolution images each blend are
provided in Figure S2 – S6, with accompanying line profiles provided in Figure S7 – S11 in
Supporting Information). Pores formation in the 4:1 and 2:1 BSA-Ch blend formed through
different mechanisms. Pores are discussed in the supplementary information.

221 In the 4:1 BSA-Ch blend, increased spin speed inhibited protuberance (spherical bumps) 222 growth, resulting in smaller, more homogeneously dispersed spheres. Feature diameter and 223 density data (represented as mean±standard deviation, Figure 2 and Figure S12) shows 224 increased spin speed decreased protuberance diameter, and increased protuberance number per 225 area (protuberances/ μ m²). This follows the general trend observed for all films. Mean 226 protuberance diameter decreased from 2.91 µm (500 rpm) to 0.81 µm (4000 rpm) (Figure 1, 227 A1 – A5). The 4:1 BSA-Ch blend was the only blend to contain salami structures \geq 50 µm (Figure S13 in Supporting Information). Deposition at 500 rpm of the 4:1 blend resulted in 228 229 dewetting, attributed to the feature length approaching film thickness.[17] This is known to 230 occur during the latter stages of, and interfere with, phase separation. Low spin speeds when casting films allows more time for phase separation to occur, causing feature diameter to 231 232 exceed film thickness (Figure S12, Figure S14 in Supporting Information), leading to the 233 salami structure. The discontinuous salami domain is composed entirely of protuberances. 234 Pores are localised outside perimeter of the salami domains. The formation of the salami 235 morphology at this blend ratio may explain the variation in growth mechanism compared to a 236 high polysaccharide content blend (see below). This indicates that pores within the BSA 237 domain (and protuberances contained within the discontinuous Ch domain) are controlled by a 238 secondary phase separation process, which is consistent with our observations of film thickness [17], [46]. Lastly, in the 4:1 blend, higher spin coating speeds resulted in thinner samples, as 239

240 did blend solutions with lower viscosity (lower w/v% solutions, **Figure S14** in Supporting

241 Information for further details).



Figure 1: AFM image grid showing results of casting thin-films at 65 % relative humidity from specific Pr-Ps (protein-polysaccharide) solutions of BSA-Ch-FA at various spin speeds. Each image is 40 μ m × 40 μ m area (scale bar 10 μ m, shown in 4:1 blend at 500 rpm). In the image, bright areas are higher and dark areas are lower. Line profile (blue lines) may be found in each image and its corresponding **Figure S2** – **S6** in Supporting Information. Column A = 4 w/v% BSA and 1 w/v% Ch (4:1), column B = 2 w/v% BSA and 1 w/v% Ch (2:1), column C =

249 1 w/v% BSA and 1 w/v% Ch (1:1), column D = 1 w/v% BSA and 2 w/v% Ch (1:2), column E
250 = 1 w/v% BSA and 4 w/v% Ch (1:4). Row 1 = 500 rpm, row 2 = 1000 rpm, row 3 = 2000 rpm,
251 row 4 = 3000 rpm and row 5 = 4000 rpm.

At all spin speeds, protuberances follow a general trend of decreasing mean diameter with increasing spin speed (**Figure 2a**). As a result, BSA-Ch blend film root-mean-squared (RMS) roughness of the films and surface area ratio (%) decreases with increasing spin speed, discussed in more detail the Supporting Information (**Figure S15**). As protuberances are the desired morphology, a simple, predictable and efficient method of controlling feature diameter like this is highly advantageous.



Figure 2: Statistical analysis of BSA-Ch blends for feature diameter and feature number/area.
All but the 4:1 blend refers to protuberance measurements, with the 4:1 and 2:1 blend data
displaying both protuberance and pore data separately. The circular legend for the 4:1 blend
refers to feature diameter in the discontinuous domain, i.e. salami structure regions. A) Refers
to feature diameter plotted against spin speed while B) details features/µm² vs spin speed for
4:1, 2:1, 1:1, 1:2 and 1:4 blends respectively.

266 Figure 1 Column B contains the most visually distinct film structures, with the largest 267 protuberances of any blend formed. Figure 1, B1 and B2, show that increasing the film casting 268 spin speed of 2:1 BSA-Ch blends reduced mean protuberance diameters from 2.91 µm (500 269 rpm) to 1.60 µm (1000 rpm). The same increase in rpm also narrowed protuberance size 270 distribution (SD), from 1.5 μ m – 6.1 μ m to 0.7 μ m – 3.2 μ m. Spin speeds above 2000 rpm 271 produced a mixed porous/protuberant (Figure 1, B3 – B5). Protuberance diameter decreases 272 from 1000 rpm to 3000 rpm (1.6 μ m to 0.81 μ m). This reduction in protuberance diameter is 273 less than the initial reduction from 500 to 1000 rpm (**Figure 1**, B2 - B4). Protuberance mean 274 diameter increases at 4000 rpm (Figure 1, B5) to 0.99 µm. The increase in mean diameter is 275 likely due to shear at high speeds, as increased speeds should remove solvent quicker, 276 inhibiting growth. Spin speed thereby reduces the diameter of protuberances through faster 277 solvent evaporation and create larger ovoid protuberances (non-spherical protuberances 278 elongated on one axis) through shear forces, similar to the pore effect described in the 2:1 blend 279 [18]. The number of protuberances per area increased linearly from 500 rpm to 4000 rpm with 280 increased spin speed (Figure 2b). The submicron features in Figure 1 are smaller than most 281 biopolymer blends in the literature (typically 10 µm in diameter and above). We attribute our smaller features to the chosen biopolymers, spin speed, and chosen solvent.[12] 282

283 Solvents likely play a large role in forming the large features typically associated with 284 biopolymer thin-films morphologies and other structures produced from biopolymer blends. 285 Slowly evaporating blends produce large scale features.[23]^[47] Low vapour pressure (non-286 volatile) solvents evaporate slowly, while high vapour pressure (volatile) solvents evaporate 287 quickly producing smaller feature sizes. [47] [48] This may explain why biopolymer blends 288 produce large features, as water is the typical solvent. [12], [49]–[51] De Jong & van de Velde 289 segregatively phase separated whey protein/polysaccharide blends using water. Features were $5 - 10 \,\mu\text{m}$ in size.[12] PS/PEG blends use toluene, which is much more volatile than water, 290

producing features 200 – 400 nm in diameter.[40] Furthermore, using toluene as a solvent (less
volatile) produces larger features than chloroform (more volatile).[47]

293 When the quantities of protein and polysaccharide are approximately equal (1 w/v% BSA 294 to 1 w/v% Ch (Figure 1, Column C)) an intermediate state is seen, where larger, coalesced 295 features are observed, but a continuous phase is not sufficiently formed. Protuberance diameter 296 initially increases from approx. 1.40 µm to 1.67 µm with spin speed increase from 500 to 1000 297 rpm (Figure 2a). This is in conjunction with a sharp decrease in protuberances per area (Figure 2b) suggesting a growth process. From 1000 rpm to 4000 rpm (Figure 1, C2 - C5), 298 299 protuberance diameter decreases from $1.67 \,\mu m$ to $0.71 \,\mu m$, while the number per area increases 300 (Figure 2b). This data shows that reducing the time for solvent loss produces smaller 301 protuberances and that there is a large degree of control over pattern formation. However, 302 above 1000 rpm protuberances are more irregular and less circular in shape. While the 1:1 303 BSA-Ch blend produces smaller protuberances than previously discussed blends, higher speeds 304 introduce an undesired pattern inhomogeneity. Increasing spin-coating speed from 2000 rpm 305 to 4000 rpm results in increased average diameter of these irregular protuberances (2.6 - 6.0)306 μ m). These features appear similar to high spin speed 2:1 blends (Figure 1, B2 – B5). The 307 1:1 blend at 2000 rpm (Figure 1, C3) adopts a morphology similar to 2:1 blend at 1000 rpm 308 (Figure 1, B2) with larger protuberances interconnecting to form irregularly shaped ovoids. 309 3000 rpm (Figure 1, C4) produces flatter, larger, more branched features, similar to 2:1 BSA-310 Ch blends at 2000 rpm (Figure 1, B3). This effect is further exaggerated at 4000 rpm (Figure 311 1, C5). We attribute this to higher shear stresses on larger structures at higher spin speeds.

312

Reduction of the BSA ratio from 2:1 to 1:1 (Figure 1 Column C, Figure S4) results in
insufficient protein quantity to form a continuous phase as in Figure 1 B3, *i.e.* phase inversion

315 does not occur preventing the pseudo pores seen in the 2:1 blend. This indicates that high protein concentration is required for pore formation. The reduction of protein content to 1 316 317 w/v% produced a more monodisperse sample, and provided smaller feature diameters than 2:1 318 and 4:1 BSA-Ch blends, both desirable traits for patterning surfaces. Equally, the reduced BSA 319 content produced smaller protuberances, as did higher spin speeds. Complicating things 320 further, spin speeds above a certain maximum elongate the larger structures on the surface; that maximum is blend dependant. The reduction of BSA w/v% (in contrast to the 2:1 and 4:1 321 322 blends) produces smaller BSA domains as less material is present to form these domains. 323 While mean diameter reduces with increased spin speed, larger domains increase in diameters 324 under shear with increased spin speed. Never-the-less, the 1:1 BSA-Ch blend results 325 demonstrate the ability to easily control feature diameters and features/area. This is vital for 326 maximising applicability. Use of patterned biopolymer films in a broad range of applications 327 necessitates the ability to produce an equally broad range of features diameters and 328 frequencies.[33]

329

330 1:2 (Figure 1, Column D and Figure S5) and 1:4 blends (Figure 1, Column E and Figure S6) 331 follow the simplest, and near identical, trends. Protuberance diameter decreases linearly while 332 increasing spin speed for both blends, with diameters generally smaller for the 1:2 BSA-Ch 333 blend. Mean protuberance diameter ranges from 1.21 - 0.51 µm for 1:2 blends compared to 334 1.29 - 0.52 µm for 1:4 blends, with spin speeds increased from 500 to 4000 rpm. Both the 1:2 335 and 1:4 blends show feature diameters smaller than 1:1, 2:1 and 4:1 blends. The increased 336 concentration of the continuous phase (Ch) increases viscosity, limiting coalescence thereby reducing feature diameter.[33] The primary differences lie in the histograms for both blends, 337 338 with the 1:4 blends showing better defined peaks at higher spin speeds, indicating inhibited 339 growth.[52] Both blends exhibit little growth in protuberances per area until a large increase 340 is observed from 2000 - 3000 rpm (Figure 2b). This demonstrates that biopolymer blends may 341 be treated the same way as traditional polymer blends, meaning biopolymer blends can be 342 processed with pre-established techniques. Further, this method of producing a patterned surface with biopolymer blends is much quicker than previously discussed techniques, and 343 344 produces features of approximately 1 µm.[34] This is much smaller than other bottom-up 345 biopolymer techniques, and is similar to blends produced with synthetic polymers [40], [53]-346 [57].

347

348 Chemical characterisation of BSA-Ch blend films

349 Immersing coated substrates in a basic aqueous solution selectively dissolves the readily watersoluble BSA. This allows for selective removal of the protein. Figure 3a shows a neat the 1:1 350 351 BSA-Ch blend, while Figure 3b shows both the large and small BSA domains removed from 352 the Ch matrix. Line profile analysis shows that larger domains do not penetrate directly to the 353 substrate, but are suspended in the Ch matrix. Smaller spherical domains protrude much deeper 354 into the Ch domain confirming late stage dewetting. Many of the pores in Figure 3b contain 355 extruded rims extending from the surface, producing a crater shape. This provides insight into 356 the protuberances formation mechanism. Coalescence of droplets is generally broken into four 357 steps: (i) droplet approach, (ii) matrix drainage between droplets, (iii) breakup of the matrix 358 film and (iv) relaxation of coalesced droplet into spherical shape. [58]–[60] Drainage of the Ch 359 matrix is observed in smaller BSA protuberances in contact with larger BSA domains. 360 However, many pores retain this crater morphology (Figure 3c). Other work on polymer blends has shown these sharp, elevated rims occur when the continuous phase climbs around 361 362 the discontinuous droplets, *i.e.* pinning of the triple-phase protein-polysaccharide-air boundary, droplet breakup, and resultant inhibited growth. [61], [62] Note that the features in our etched
BSA-Ch blend are over thirty times smaller than those of polystyrene/poly(methyl
methacrylate) blends, and are equivalent to polyfluorene blends. This was seen in other blends
(Figure S16 in Supporting Information) with rim height approx. 40 nm.

367 When using BCPs to pattern metals, metal is incorporated in to a single domain due to 368 the different chemistries of each BCP block.[63] Ch is well known for its metal binding 369 capacity, due to the free electron pair on the amino group.[64]–[67] FeCl₃ was chosen as the 370 metal incorproate, as the amino group of Ch will chelate hard cations such as Fe³⁺.[68] Unlike 371 Ch, BSA binds to soft metal cations.[37] FeCl₃ was chosen as the metal incorporate for a 372 second reason. Metal anions can promote, or inhibit, the binding of metal cations to proteins. In particular, the Cl⁻ anion has a low affinity for BSA, as it is weakly hydrated. This effect is 373 described by the Hofmeister series.[69], [70] By choosing FeCl₃ as the metal precursor, both 374 375 the hard nature of the metal cation, and weakly hydrated nature of the metal counter-anion, 376 ensure that metal is incorporated only into the Ch domain. This allows for identification of the 377 Ch phase. Water was not chosen as a solvent for FeCl₃, as BSA is soluble in water. Using 378 water as a solvent for metal incorporation would result in the solubilization (and removal) of 379 BSA during the metal incoproation step, interfering with the identification of the Ch phase. 380 The use of anhydrous EtOH ensures the BSA domain is not solubilized. Though the number 381 of factors considered may seem excessive, trying to incoproate metal into a singular 382 biopolymer domain is no small feat. Figure 3d confirms that the continuous phase is Ch due 383 to the Fe₃O₄ uptake mirroring the BSA-Ch blend. Large BSA ovoid protuberances are reflected 384 as large irregularly shaped voids (large areas absent of metal uptake) in Figure 3d. Smaller 385 BSA protuberances are reflected as circular pores in the metallic film. Both the BSA etch and 386 metal incorporation do not reveal the bottom silicon substrate, indicating a thin layer of Ch 387 separates the BSA droplets from the substrate, blocking the Si surface, a feature observed in

- almost all polymer blends.[53] Any application this may have as a hard mask would require
- 389 perforation of the BSA domain to the Si substrate, as the Si must be accessible to the etchant.
- 390 To the best of our knowledge, purely lateral phase separation of a polymer blend has only been



Figure 3: AFM images and surface profiles of 1:1 BSA-Ch blends, 3000 rpm on planar silicon
substrates. A) Refers to blend before Tris-HCl etch. B) Refers to blend post selective etching.

395 *C)* Enhanced view of selectively etched BSA domains demonstrating extruded rim structure in
396 *Ch film. D)* Refers to blend post selective metal incorporation and calcination.

397 Figure 4A shows FTIR transmission spectra of the biopolymer blend after various 398 processes. This was done to confirm chemical changes in the sample after BSA removal, 399 crosslinking, and calcination. For clarity of comparison, a bare Si wafer, plain BSA, and plain 400 Ch were analysed so that their identifying peaks could be differentiated from any unique to the 401 blend films. The bare Si wafer (Figure 4A, spectra i) has standard identifying peaks at 514 (Si-402 O deformation)[71], 611 (Si–Si bond vibrations in the bulk)[72], 739 (O–H out of plane bending)[71], 891 (Si–O–H mode due to oxidation of upper silicon layer)[72] and 1108 cm⁻¹ 403 404 (Si–O–Si asymmetric stretching).[73] The shape and intensity of these peaks are similar for all samples. The neat BSA film spectrum (Figure 4A, spectra ii) contained a weak band at 405 406 1376 cm⁻¹ due to CH₃ symmetric bending.[74] The amide I, and amide II modes of BSA were observed at 1656 cm⁻¹ and 1544 cm⁻¹ respectively.[74] The amide II' transmission band is seen 407 at 1448 cm⁻¹.[75] The peak at 3208 cm⁻¹ can be assigned to asymmetric and symmetric H–O– 408 409 H stretching, resulting from residual water in the film after casting.[74] Peaks in the Ch spectra (Figure 4A, spectra iii) are observed at 1718 cm⁻¹, 1573 cm⁻¹ and 1374 cm⁻¹ corresponding to 410 411 the amide I, amide II, and amide III bands, respectively. [74], [76], [77] The peak at 1445 cm⁻ ¹ can be assigned to an N-H bending of Ch. [78], [79] 412

The 1:1 blend (**Figure 4A**, spectra iv) exhibited no new peaks indicating no new bonds occur. The Amide II peak for the non-crosslinked BSA film and the non-crosslinked Ch film becomes less prominent after crosslinking (**Figure 4A**, spectra v and vi). This indicates a reduction in free amines after crosslinking and the formation of a Schiff base.[80] After crosslinking, the 1:1 BSA-Ch blend (**Figure 4A**, spectra vii) has a peak at 3264 cm⁻¹: this is due to water retained in the film after nitrogen drying. The crosslinked 1:1 film's amide II 419 peaks are less prominent post crosslinking, confirming crosslinking occurred. As the peaks 420 appear similar to those in the neat biopolymer films after crosslinking, we can infer only 421 intramolecular crosslinking has occurred which would suggest no BSA remains on the film 422 after etching. This is expected as BSA is segregated from the Ch domain. However, some 423 small degree of intermolecular crosslinking may occur at the BSA-Ch interface, though this 424 may be below the detection limit of the machine.[10]





Figure 4: A) FTIR spectra of i) bare silicon wafer, ii) 1 w/v% BSA film 3000 rpm deposition,
iii) 1 w/v% Ch film 3000 rpm deposition, iv) 1:1 BSA-Ch blend film 3000 rpm deposition, v) 1
w/v% BSA film 3000 rpm deposition crosslinked, vi) 1 w/v% Ch film 3000 rpm deposition
crosslinked, vii) 1:1 BSA-Ch blend film 3000 rpm deposition crosslinked, viii) 1:1 BSA-Ch
blend crosslinked film after Tris-HCl etch and ix) porous iron oxide matrix after annealing and
calcination treatment. B) Shows the XPS Fe 2p spectra of iron porous matrix after annealing
and calcination treatment.

433 The spectra of the etched film (Figure 4A, spectra viii) after crosslinking retains the BSA 434 peaks. This suggests residual BSA on the surface due to intermolecular crosslinking, or after 435 washing. Calcination of the BSA-Ch blend after metal incorporation results in a spectra with 436 no characteristic peaks of BSA or Ch, indicating their removal (Figure 4A, spectra ix). The more prominent Si–O–Si band at 1108 cm⁻¹ after calcination indicates a thicker oxide layer 437 438 than the native oxide of the original Si wafer. This is further confirmed by the peak in the bare Si wafer (1237 cm⁻¹) shifting to 1245 cm⁻¹, which occurs when oxide thickness increases, a 439 440 consequence of the long calcination time and high temperature required to ensure complete 441 biopolymer removal.[81] This peak corresponds to the longitudinal optical phonon of SiO_2 (LO) around 1250 cm^{-1} , which occurs in thermal oxides.[82] Fe peaks were not observed at 442 630 cm⁻¹ or 540 cm⁻¹, characteristic of magnetite and hematite respectively. FTIR confirmed 443 444 successful crosslinking of the biopolymer film before etching and successful removal of the biopolymers after calcination.[83] 445

446 XPS was used to determine whether the iron present was predominantly hematite or 447 magnetite to confirm metal incorporation and oxidation (**Figure 4B**). The chemical 448 composition of the iron oxide matrix before/after annealing and calcination was confirmed by 449 Fe 2p XPS studies. Following calcination, the Fe 2p core level spectrum (see **Figure 4B**)

consists of two sharp peaks at 711.6 eV (Fe 2p_{3/2}) and at 725.7 eV (Fe 2p_{1/2}) which are 450 broadened due to the presence of Fe^{2+} and Fe^{3+} ions. Curve-fitting using Gaussian–Lorentzian 451 line shapes provides individual binding energies of 710.7/724.3 eV (assigned to Fe²⁺) and 452 712.0/726.0 eV (Fe³⁺) in agreement with literature reports.[84] The Fe³⁺/Fe²⁺ ratio was 453 454 estimated to be approx. 2:1, typical of magnetite. The C1s peak is nominal demonstrating the 455 effective removal of biopolymeric material during calcination, and is consistent with extraneous carbon species adsorbed during sample preparation (Figure S17 in Supporting 456 457 Information).

458 **Protuberance Growth in Blend Thin-Films**

459 Feature diameter determines the properties of a surface, such as pattern transferability, hydrophobicity, etc. SDs can be used provide insight into film features and their growth 460 461 mechanisms[52]. This allows control of feature formation to optimise films for specific 462 applications. Protuberance diameter data was extracted from AFM images and presented as 463 SDs in normalized frequency histograms (Figure 5). Information about pore diameter and mechanism of pore formation can be found in the Supporting Information (Figure S18). All 464 blends exhibit multimodal SDs with protuberances of large diameter at low spin speeds. 465 466 Increasing spin speed reduces the number of modes and shifts population weight to narrower 467 diameters, further indicating a nucleation and growth process. This also indicates that faster 468 spin speeds, up to certain thresholds, produce more homogenously distributed features of more 469 uniform diameter. This is crucial to production of effective patterned thin films. The 2:1 BSA-470 Ch blend at 4000 rpm (Figure 5b) is an exception to the above, exhibiting a bimodal SD with 471 peaks at 1.3 µm and 1.5 µm protuberance diameters. This is likely due to shear effects at higher 472 spin speeds.



Figure 5: Statistical analysis of BSA-Ch blends for protuberances and frequency of
protuberance sizes. Each curve based on approx. 1000 protuberance diameter measurements.
All but the 4:1 blend refers to protuberance measurements contained within the matrix, with
the 4:1 blend data displaying protuberance data for the continuous and discontinuous (salami
structure) domain. A – E displays feature frequency vs diameter of observed features for 4:1,
2:1, 1:1, 1:2 and 1:4 blends respectively.

482 Ostwald ripening occurs by the transfer of material from smaller features to larger 483 features by diffusion. The result is smaller features reducing in diameter while larger features 484 increase in diameter.[52] This is distinct from coalescence, where multiple spherical features 485 merge to form a larger version of the feature with lower surface area to volume ratio. Ostwald 486 ripening results in broader SDs and is undesirable. SDs arising from these processes have 487 distinct identifiable characteristics. Curve fitting may be used to determine the modality of the SD (either unimomodal or polymodal), identify the mode diameter (Mo), and centre of gravity 488 489 (Xc) of the identified peaks.[85] Typically, this includes the fitting of a lognormal curve to the 490 SD. [52], [85]–[88] Lognormal peak fitting was achieved using the non-linear least squares 491 method.[88], [89]



493 Figure 6: Protuberance SD of A) 4:1 BSA-Ch blend, 4000 rpm deposition, B) 4:1 BSA494 Ch blend, 500 rpm deposition, C) 1:4 BSA-Ch blend, 4000 rpm deposition and D) 1:4 BSA-Ch

blend, 500 rpm deposition. The black curve (solid) denotes the best unimodal or polymodal fit
with the distribution. The deconvolted peaks, shown in red (dashed), show the separate
populations in the SD.

498 The 4:1 blend exhibits coalescence characteristics; increased feature diameter and peak 499 broadening corresponding to longer evaporation times (Figure 6a and b). Additionally, the 500 SD transitions from a bimodal distribution to unimodal distribution.[90] In contrast, the 1:4 501 BSA-Ch blend exhibits Ostwald ripening characteristics; feature diameter increases with 502 longer evaporation time (**Figure 6c** and **d**), but formation of an extra peak (at approx. 0.3μ m) 503 is observed with longer drying time (Peak 1, Figure 6d), indicating production of smaller 504 particles, characteristic of Ostwald ripening. Increasing the concentration of Ch increased the 505 continuous phases viscosity, resulting in Ostwald ripening being the dominant growth 506 mechanism.[33]

507 These results show sub-micron features may be achieved using industrial standard 508 deposition techniques. This technique produces rapid pattern realisation, without requiring 509 extensive environmental controls such as temperature or humidity regulation. Feature diameter 510 and frequency/area are substantially similar to synthetic polymer blends. This means that 511 biopolymer blends could be incorporated into existing production processes for applications 512 where biopolymers offer a distinct advantage, with all the environmental and economic benefits that come with using renewable resources. Furthermore, assignment of the protein and 513 514 polysaccharide domain was possible due to selective etching and metal incorporation. Both 515 techniques show promise as a method of identification of each domain (inaccessible to typical 516 staining techniques at such a small scale). This is simply achieved by the use of a crosslinking 517 agent, aqueous buffer and cheap metal additive, avoiding the use of expensive or specialist 518 enzymes for identification. The attraction of these techniques is the ability to identify each 519 domain without reliance on highly sensitive surface specific methods. Furthermore, these 520 techniques compliment other facile surface probing techniques such as water contact angle 521 measurements, which show clear differences when analysing the various surfaces encountered 522 (Figure S19). Though incorporation and etching provides insight into the internal structure (and thereby formation mechanism) of the film, they do little to inform us of the chemical state 523 524 of the crosslinked blend at the interface. Higher sensitivity ATR-FTIR would be required to 525 determine if crosslinking occurs at the interface. Deconvolution of the SD clearly shows the 526 presence of 2 growth mechanisms (Ostwald ripening and coalescence). Understanding of the 527 growth mechanisms is invaluable when choosing protein:polysaccharide ratios for surface 528 patterning. Selective incorporation of a metal salt could further be enhanced by use of other 529 metal salt derivatives, varying weight percentage of the salt type or varying contact time with 530 the blend film. It would be interesting to see if other salts could show preference to the protein 531 domain when incorporating into the blend film.

532

533 Conclusion

534 Spin speed and blend ratio are major factors when determining feature diameter, growth mechanism and morphology. Blends generally showed a decrease in feature diameter and 535 536 roughness, while increasing in features per area with increasing spin speeds and polysaccharide 537 content. Faster drying times (high spin speeds) generally resulted in smaller features, while 538 longer drying times (low spin speeds) resulted in larger features. Simply put, faster spin speeds 539 increase the evaporation rate, limiting the amount of time features have to grow. Increasing 540 viscosity by increasing the relative Ch ratio reduced feature diameter due to lowered polymer 541 mobility. As Ch content is increased, it creates a viscous, honey-like matrix in which BSA 542 domain growth is hindered. Faster spin speeds resulted in more monodisperse blend SD's, 543 unless banding occurred due to shear effects at high speed. Protein:polysaccharide ratio played an important role in determining morphology. Increasing the relative BSA ratio resulted in 544 545 larger BSA domains, and banding of the BSA domain at high shear due to the difference in 546 viscosity between the two phases.[18] Selective etching and selective incorporation of the 547 metal salts in the Ch domain allowed for protuberances to be assigned as the BSA domain, a 548 first for biopolymer blends. Coalescence was inhibited in 1:4 BSA-Ch blends due to the increased viscosity of the blend, with feature growth described by Ostwald ripening. 4:1 blends 549 550 grew by a coalesence mechanism. 1:2 and 1:4 BSA-Ch blends have the smallest circular 551 protuberances. This is attributable to the blend solutions high viscosity and low amount of 552 BSA to form a discontinuous phase. The 1:1 blend smaller, circular features decrease in 553 diameter, while larger ovoid features increase in diameter at higher spin speeds (i.e. high shear). 554 This shows inhibited growth of the film morphology at higher spin speeds, and (similar to the 555 2:1 blend at 1000 rpm) an attempt to phase invert its morphology. Porous films are formed in 556 the 4:1 BSA-Ch blend. Pores decrease in diameter with increasing spin speed. Pores are 557 produced from a solvent rich phase. The 2:1 blend phase inverts at high spin speeds. After 558 phase inversion, pores increase in diameter with increasing spin speed due to high shear elongating their domains. 559

560 This work demonstrates that protein-polysaccharide blends can be used to rapidly produce 561 biopolymer thin films with sub-micron patterns, all without requiring extracting, refinement 562 and production of synthetic polymer precursors. Due to their patterns, these unique biopolymer 563 thin-films present a vast spectrum of possible applications. These range from simple applications including traditional packaging alternatives and smart foods production, to more 564 565 complex applications such as hydrophobic textile coatings, lithographic templates, 566 antireflective coatings, and state-of-the-art hierarchal designs used in biomedicine or responsive membranes.[22], [33] Feature growth mechanisms were identified through analysis 567

568 of the SD. We did not find any previous attempts into the literature to determine the growth 569 mechanism, which may be one reason biopolymer blends thus far have had such large feature 570 diameters. Not only do these blends use environmentally benign and economically cheap 571 biopolymers, but they have feature diameters on a scale with those of synthetic polymer blends, while utilizing industrially viable methods. This bottom-up method allows for instant pattern 572 573 production without the need for complex equipment and techniques such as e-beam lithography. Patterns may be produced using benchtop equipment, without the long annealing 574 575 times associated with synthetic polymers. Biopolymer blends are projected to play a pivotal in 576 future manufacturing of biomedical, electronic, sensor and optical components. [22], [32], [33] 577 Research of into the properties of biopolymer blends thin-film surface morphologies is an 578 emerging field, and our method for producing these blends in a controlled manner is a 579 progressive step in the adoption of these films in modern technologies.

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586 Supporting Information Available:

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588 References

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2:1 BSA-Ch, 500 rpm 4:1 BSA-Ch, 4000 rpm 5:1 BSA-Ch, 4000 rpm

863 Graphical Abstract

870 Supporting Information

871 Solution Preparation

Prior to dissolution, proteins, and polysaccharides were dried overnight at room temperature
under vacuum. Biopolymer stock solutions were made by solubilising Ch and BSA in 90 %
FA at 5 w/v%, 10 w/v%. These solutions were stirred in a closed vessel for 3 h at room
temperature. The solutions were then centrifuged at 13,000 rpm in a Beckman Coulter Avanti
J-26XPI centrifuge at 18 °C for 15 min and decanted. Following this, stock solutions were
stored at -20 °C for further use or used immediately. Stock solutions were diluted with fresh
FA and/or mixed with each other to produce coating solutions.



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Figure S1: AFM images showing results of casting neat thin-films at 65 % relative humidity at 2000 rpm prepared in the same manner as BSA-Ch blends. Biopolymer AFM images are red, glass substrate AFM image is grey. Shows 1% BSA film (left), 1% chitosan film (right) and glass substrate (bottom). Scale bars top left hand corner of each image.

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891 Figure S2: AFM images depicting the effect spin speed in ambient air (65 % RH) for the 892 4:1 BSA-Ch blend. Each image is 40 μ m × 40 μ m area (scale bar 10 μ m, shown in the 500 893 rpm image). Line profile denoted by blue line.





896 Figure S3: AFM images depicting the effect spin speed in ambient air (65 % RH) for the 897 4:1 BSA-Ch blend. Each image is 40 μ m × 40 μ m area (scale bar 10 μ m, shown in the 500 898 rpm image). Line profile denoted by blue line.





901 Figure S4: AFM images depicting the effect spin speed in ambient air (65 % RH) for the
902 1:1 BSA-Ch blend. Each image is 40 μm × 40 μm area (scale bar 10 μm, shown in the 500
903 rpm image). Line profile denoted by blue line.





906 Figure S5: AFM images depicting the effect spin speed in ambient air (65 % RH) for the 907 1:2 BSA-Ch blend. Each image is 40 μ m × 40 μ m area (scale bar 10 μ m, shown in the 500 908 rpm image). Line profile denoted by blue line.



Figure S6: AFM images depicting the effect spin speed in ambient air (65 % RH) for the

- 912 1:2 BSA-Ch blend. Each image is 40 μ m \times 40 μ m area (scale bar 10 μ m, shown in the 500
- *rpm image*). *Line profile denoted by blue line.*



Figure S7: 20 µm line profiles for all 4:1 BSA-Ch.











Figure S11: 20 µm line profiles for all 1:4 BSA-Ch.



Figure S12: Statistical analysis of BSA-Ch blends feature diameter plotted against spin speed.
All but the 2:1 blend refers to protuberance measurements, with the 2:1 blend data displaying
both protuberance and pore data separately. The circular legend for the 4:1 blend refers to
feature diameter in the discontinuous domain, i.e. salami structure regions.



Figure S13: A and B) 2D and 3D AFM images of 4:1 BSA-Ch blend salami structures,
inset scale bar 5 µm. C) Mechanism of occlusion of the discontinuous phase. Figure
S7C (i) shows homogenous solution before phase separation, (ii) shows blend phase
separation, (iii) shows elongated structures which may result from coalescence or high
shear forces and (iv) phase occlusion and adoption of salami structure.



982 Figure S14: Plots the average film thickness (nm) vs spin speed (rpm) for all BSA-Ch
983 blends.

Figure S14 shows the average film thickness of BSA-Ch blends. 1:1 BSA-Ch films are the thinnest, due to low solution viscosity. Doubling the BSA wt % in the 2:1 BSA-Ch blends increases film thickness due to increased solution viscosity. As Ch produces more viscous solutions in formic acid, the 1:2 BSA-Ch blend produces thicker films than the 1:1 or 2:1 BSA-Ch blends. Similarly, the 4:1 BSA-Ch blend is thicker than the 2:1 BSA-Ch blend. However, at higher spin speeds (\geq 2000 rpm) 1:2 BSA-Ch blends have equivalent film thickness measurements to 4:1 BSA-Ch blends. This is most likely due to faster evaporation during spin

coating resulting in more viscous solutions. This, in turn, would result in more Ch retained on
the substrate. As the most viscous solution, the 1:4 BSA-Ch films are the thickest. All blends
(with the exception of the 1:4 BSA-Ch blend) achieved minimal reduction in film thickness
with speeds exceeding 2000 rpm. The 4:1 BSA-Ch blend was the only blend to result in salami
structure formation.

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999 Figure S15: A) Plots the RMS roughness vs spin speed for all BSA-Ch blends. B) Plots
1000 the surface area ratio (%) vs spin speed for all BSA-Ch blends.

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1002 Figure S15a shows the BSA-Ch blend film RMS roughness as a function of spin speed 1003 RMS of polymer blends can affect coating properties such as for all samples. 1004 hydrophobicity[23] and wettability[91] and bacterial adhesion.[31] Therefore, tailorable RMS 1005 is desirable. In all blends, roughness decreased with increased spin speed. Slopes of the 4:1 1006 BSA-Ch blend were similar to the 2:1 blend, showing the sharpest reduction in RMS roughness 1007 from 500 rpm to 2000 rpm. For the 4:1 blend, this is likely due to a reduction in the diameter 1008 of all features as rpm increases. By contrast, the 2:1 blend loses large, tall features in favour 1009 of a smooth continuous BSA domain. At 4:1, 1:1, 1:2 and 1:4 ratios, protuberances become 58

1010 oblate, pancake-like structures with increasing spin speed, thereby reducing RMS roughness. 1011 This also occurs in the 2:1 BSA blend, but to a lesser degree. Transitions in spin speed from 1012 500 rpm to 1000 rpm reduced protuberance height from 4 μ m to 600 nm resulting in the largest 1013 decrease in RMS roughness (242 nm, **Figure S15a**). However, a smooth continuous domain 1014 appears to be the predominant feature when determining RMS roughness for this blend.

1015 Figure S15b plots surface area ratio (%) as a function of rotational speed in spin coating. 1016 In general, surface area ratio (%) is reduced with increased spin speed due to the reduced height 1017 of the structures. This result shows that aspect ratio of features can be tuned, allowing broader 1018 applicability. Higher aspect ratios are particularly useful for enhancing anti-reflective 1019 properties. This aligns with previous data seen with RMS roughness in **Figure S15a**. The 1:2 1020 blend deviates from the general observation by increasing surface area ratio (%) with spin 1021 speed. This is due to interconnects (necks) forming between individual protuberances. As spin 1022 speed is increased from 500 rpm to 1000 rpm (Figure 1, D1 and D2), protuberance growth is 1023 inhibited by faster spin speed (Figure 2a). Protuberances however appear interconnected by a 1024 wall structure, referred to as a neck (i.e. inhibited coalescence).[58] As viscosity increases (due 1025 to increased concentration of the continuous phase) coalescence is supressed. This is to be 1026 expected as the adoption of a spheroidal shape is impeded.[40] These structures become more 1027 numerous as spin speed increases to 2000 rpm (Figure 1, D3) and growth is further inhibited. 1028 These interconnects increase the surface area ratio (%) of the sample. This is further supported 1029 by interconnects becoming less prominent at speeds exceeding 2000 rpm, though not totally 1030 removed (Figure 1, D4 and D5). In contrast to 4:1, 2:1 and 1:1 blends, the 1:2 blend features 1031 are compacted together and are not as well resolved from one another.



Figure S16: AFM images and surface profiles of 1:4 BSA-Ch blends, 500 rpm on planar

1035 silicon substrates. Sample was etched using buffered solutions contained 200 mM Tris-

- 1036 HCl, pH 8.8 for 20 hrs after crosslinking with 20 wt% glutaraldehyde for 20 hr.

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Figure S17: Survey spectra of porous iron oxide matrix following calcination treatment.



1058 Pore Growth in Blend Thin-Films

1059 At biopolymer ratio of 4 w/v% BSA to 1 w/v% Ch, across all spin speeds (Figure 1, 1060 Column A and Figure S2), pores (spherical holes) formed. Two relationships between pores 1061 and spin speed were observed: as spin speed increased, the mean pore diameter (Figure 2a) 1062 decreased and the number of pores per unit area (pores / μ m², Figure 2b) increased. The mean 1063 pore diameter dropped from 1.14 μ m (500 rpm) to 0.25 μ m (4000 rpm), Figure 2a. Thus, pore formation at this biopolymer ratio occurs via an inhibited growth mechanism, *i.e.* a decrease in 1064 1065 pore diameter with faster solvent removal. [23], [34], [40] The mechanisms of pore formation 1066 vary for each blend, unlike protuberances which show a consistent formation mechanism. The 1067 2:1 BSA-Ch blend only forms "pseudo pores" (discontinuous indented regions caused by 1068 dewetting and phase inversion) at spin speeds \geq 3000 rpm, unlike in the 4:1 blend. [15], [18], 1069 [19], [34], [92], [93] An increase in spin speed increased pore diameter and decreased the 1070 numbers of pores per area (Figure 1, Column B and Figure S3). This is in contrast to the trend 1071 observed with the 4:1 BSA-Ch blend which showed a decrease in pore diameter and an increase 1072 in pores per area with increased spin speed (Figure 1, Column A) which suggests a secondary 1073 phase inversion rather than salami structure formation.[17], [94]

1074 Irregularly shaped pseudo pores are generated at \geq 3000 rpm as phase inversion occurs 1075 (Figure 1, B3) due to the BSA component forming a continuous phase. Differences between 1076 the protein and polysaccharide phase viscosities at the 2:1 blend ratio, and strong shear forces 1077 at high spin speeds are the cause of phase inversion and phase elongation.[18][92] These shear 1078 stress effects also contribute to the increased pore diameter, the decreased number of 1079 features/area, and the irregular pore shapes.[18], [34] The pseudo pores observed in the 2:1 1080 BSA-Ch blend are much larger than that of the pores caused by solvent-rich phase evaporation 1081 in the 4:1 blend (Figure 1 Column A and B, Figure 2A). This is due to pseudo pores arising 1082 during the BSA continuous phase formation and shear effects in the 2:1 blend, whereas "true"

pores in the 4:1 blend appear to be formed from a solvent rich phase and solvent evaporationupon film vitrification.

Figure 1, image B5 shows that 4000 rpm yields small, circular pores. The larger pores form longer continuous phases resulting in a minor increase of mean pore diameter. This indicates that the 2:1 BSA-Ch blend pore growth mechanism differs to that of the 4:1 blend, resulting from the formation of a continuous BSA phase.

1089 Pore diameter data was also extracted from AFM images and the corresponding 1090 normalized frequency histograms are shown in Figure S18. The 4:1 BSA-Ch blend pores 1091 exhibited similar growth patterns to protuberances. At low spin speeds, the blends exhibit 1092 multimodal SDs over a broad diameter range. Increasing spin speed reduces the number of 1093 modes and population weight shifts to a smaller diameter (Figure S18a). This suggests that the 1094 pores, like the protuberances, develop via nucleation and growth. The 2:1 BSA-Ch blend 1095 produces a multimodal pore SD at high spin speeds (Figure S18b). These pores are irregularly 1096 shaped and do not form via the same process as 4:1 BSA-Ch blend pores (Figure 1, Column 1097 A).[26] They are caused by the BSA phase inverting and forming a continuous domain.[15] 1098 As such, increasing spin speed to 4000 rpm does little to shift the pore diameter, though the 1099 blend exhibits more pronounced peaks at 1.4 µm, 1.8 µm, 2.4 µm and 2.8 µm. It must be 1100 stated, however, that phase separation of polymer blends at high humidity and resulting pore 1101 formation is poorly understood.[26] Furthermore, humidity is not typically monitored, 1102 regulated or even discussed in the majority of polymer blend literature. [95] If pores are the 1103 desired morphological structure, removal of the discontinuous domain may be a more reliable 1104 manner of achieving a porous matrix.[57]



Figure S18: Statistical analysis of BSA-Ch blends for feature diameter and frequency of
feature diameters. A) Displays feature frequency vs diameter of observed features for the 4:1
blends and B) displays feature frequency vs diameter of observed features for the 2:1 blends
respectively.



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Figure S19: Displays plot of average receding contact angle as a function of time for
BSA, Ch, BSA-Ch blend, Tris-HCl etched blend and porous iron oxide matrix.

1127 Figure S19 shows water contact angles of the various relevant surfaces to confirm 1128 chemical and morphological changes in the samples with processing. This is to done to confirm 1129 the removal of BSA and the formation of a metal oxide on the surface to demonstrate correct 1130 assignment of each domain. All tested surfaces displayed a reduction in measured contact 1131 angle after 160 s. The 1:1 BSA-Ch blend exhibited the largest water contact angle, starting at 1132 92° receding to 85°. This is unsurprising due to the rough nature of the blend surface and the 1133 incorporation of BSA, which is shown to have the second largest contact angle (85° – 79°).[13] 1134 While on its own, water contact angle measurements do not confirm the removal of BSA or formation of the metal oxide, these results compliment the findings of the etching, metalincorporation, FTIR and XPS.

The porous Ch matrix $(73^{\circ} - 64^{\circ})$ has a higher contact angle than the pristine Ch surface (42° – 32°): This is due to surface roughening caused by the pores. The reduction in the contact angle, compared to the 1:1 BSA–Ch, confirms the successful removal of BSA from the blend. Finally, the water contact angle of the iron oxide film (46° – 42°) indicates magnetite composition, with the increased roughness and presence of pores contributing to a slightly larger contact angle than the literature.[96] The changes in the morphology and surface chemistry are as expected, and support the data seen in the FTIR and XPS spectra (**Figure 4**).

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