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University College Cork, Ireland Coláiste na hOllscoile Corcaigh Impact of a Compassionate Care Leadership Programme

2 Abstract

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Compassionate care delivery enhances patient satisfaction and quality of life and reduces nurse 3 4 burnout. This study measured the perceptions of nursing and midwifery leaders regarding the impact of the "Leaders for Compassionate Care Programme" on their personal development, 5 6 learning experience, service and care delivery, programme quality, and satisfaction with the programme. Seventy-nine leaders were surveyed using the Leaders for Compassionate Care 7 8 Outcomes Evaluation Questionnaire and the Leaders for Compassionate Care Evaluation Questionnaire. Participants' perceived ability to support peer learning, manage conflict, and 9 build trust with patients increased significantly following the programme ($p \le 0.001$). Over 80% 10 of participants reported that they were able to apply to practice what they had learned from the 11 12 programme and reported an increase in their motivation to lead in compassionate care delivery. 13 Various strategies are needed to improve compassionate care leadership and further research is 14 needed to explore the long-term impact of the programme.

- 15 Keywords: Compassion; care; leadership; midwifery; nursing; programme evaluation
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Compassionate care is defined as "a deep feeling of connectedness with the experience of human suffering that requires personal knowing of the suffering of others" (Peters 2006; p.38). Dewar et al. (2011) conceptualised compassionate care in terms of the relationship that exists between vulnerable human beings that must be nurtured so that one person perceives the vulnerability of the other person and responds to it in a meaningful way.

28 Effective leadership is vital to the delivery of safe, quality, and compassionate healthcare. In contrast, the lack of compassionate leadership has a negative impact on 29 healthcare outcomes and quality (McSherry and Pearce 2016). This was highlighted in two key 30 reports in the UK, namely Kirkup's (2015) Report of the Morecambe Bay Investigation and 31 the Mid Staffordshire National Health Service Foundation Trust inquiry (also known as the 32 Francis (2013) inquiry). Within these reports, the failure of several nursing leaders in their role 33 and responsibility to care was identified as one of the key contributors to detrimental, 34 neglectful, and systemic failures to safeguard a culture of safety, quality, and compassion 35 36 (McSherry and Pearce 2016). Therefore, the importance of promoting patient-centred compassionate leadership in healthcare was emphasised (Francis 2013; Kirkup 2015). 37

38 Literature Review

Coffey et al. (2019) conduced a mixed-method systematic review to summarise evidence from 15 studies aimed at preparing nurses to lead on and/or deliver compassionate care. Studies were published between January 2007 and February 2018 and sourced from four electronic databases: CINAHL, Medline, PsychINFO, and SocINDEX. The methodological quality of the included studies and the risk of bias per study outcome were measured and varied between weak and strong.

It was found that training and educating nurses and midwives to become leaders in
compassionate care delivery yields positive patient outcomes (Coffey et al. 2019). For instance,

in a pilot pre- and post-test study, Day (2014) explored the impact of the ENGAGE card 47 (Engaged by your senior team, Nurtured by your manager, Glad to come to work, 48 Acknowledged by your senior team, Guided by your manager, and Empowered to improve 49 patient care), improvement initiatives (i.e. nursing handover, safety briefings, and manager 50 responsibilities), and focus groups on patient and nursing (n=57) outcomes. It was found that 51 the incidence of pressure ulcers and falls dropped to zero and the overall experience of patients 52 53 was improved at three months post-test (Day 2014). Another intervention that yielded positive patient outcomes was delivered in the form of emotional touchpoints (i.e. coming into hospital, 54 55 going for tests, mealtimes, and so on) and associated negative and positive emotional words (Dewar et al. 2009). These were written on cards that were distributed to patients (n=16) and 56 their relatives (n=12). Participants reported that the touchpoints enabled them to get in touch 57 with the positive and negative aspects of their experiences and strengthen their relationships 58 59 (Dewar et al. 2009).

60 Compassionate care leadership education was also found to impact positively on nurses. Overall, there was a consensus across the reviewed studies regarding the positive role of 61 compassionate care leadership education in increasing nurses' sense of pride and ability to 62 reflect on practice, handle challenging situations, and obtain confidence to lead 63 compassionately (Coffey et al. 2019). Positive outcomes were linked to various factors such as 64 65 involving nurses from all levels in compassionate care leadership education (Bridges et al. 2017), and promoting a culture of compassionate care within healthcare organisations 66 (O'Driscoll et al. 2018). This helped increase nurses' commitment to offer compassionate care, 67 have a positive outlook regarding their role as leaders, and contribute to improving the patient 68 experience (Zubairu et al. 2017). For instance, Dewar and Cook (2014) found that nurses who 69 70 attended a 12-month leadership programme on compassionate care delivery reported heightened self-awareness, better relationships with colleagues, and greater ability to reflect on 71

practice. Similarly, Masterson et al. (2014) found that a compassionate care programme titled
"Enabling Compassionate Care in Practice" successfully increased nurses' knowledge,
understanding, and application of the 6Cs (*Care, Compassion, Courage, Competence, Communication, and Commitment*).

76 The "Leaders for Compassionate Care Programme"

In the UK, the Department of Health and Social Care (2015) stressed the importance of compassionate care leadership, education, and training. Similarly, the Health Services Executive (2015) which is the main provider of public health and social care services in Ireland, has care and compassion imbedded in its core values and emphasised the need to facilitate nursing and midwifery leaders to serve as advocates for compassionate care delivery (National Leadership and Innovation Centre 2017). As a result, the "Leaders for Compassionate Care Programme" (LCCP) was implemented.

The LCCP is a development programme for nursing and midwifery leaders facilitated 84 by the Florence Nightingale Foundation in the UK and launched in Ireland in July 2015. This 85 86 programme provides experienced and frontline nursing and midwifery leaders with time away from their organisations where, together with other leaders from a wide range of services and 87 specialties, participate in their own and each other's leadership development (National 88 Leadership and Innovation Centre 2016). The LCCP provides several opportunities for leaders 89 to learn about patient-focused quality improvement and compassionate leadership. The goal 90 from the LCCP is to empower leaders while supporting their teams in delivering high quality 91 and compassionate patient-centred care (National Leadership and Innovation Centre 2016). 92

93 The LCCP is offered over three days, is grounded in experiential learning, and is highly94 interactive. On the first day, leaders are introduced to each other and to the facilitators. The95 first session explores what "Leading for Compassionate Care" means to the leaders and aims

to elicit responsibilities and challenges faced in everyday practice. The second session is
conducted in groups and aims to explore the concepts of presence and personal impact. The
first day includes three plenary sessions discussing topics emerging from the conversations and
linking leadership to compassionate care delivery.

During the second day, leaders are divided into two groups; one group is introduced to quality improvement and equipped with tools and techniques to improve patient care and the second group is introduced to co-consulting in order to build their leadership practice experiment and get to know their learning partners. This is followed by the administration of the Myers Briggs Type Indicator personality inventory and a plenary session discussing the programme and arrangements for the third day.

The third and final day takes place six to eight weeks following the first two days. This day begins with a postcard exercise whereby various images are displayed on cards and leaders are asked to select two cards; the first card symbolises what has been going on for the leaders since the first day of the LCCP and the second card symbolises what they hope to gain from the third day. This is followed by an informal session on managing change where leaders share examples of changes that they have implemented following the LCCP and discuss the impact of the LCCP on their clinical practice.

113 The Evaluation

This study measured the perceptions of nursing and midwifery leaders regarding the impact of the LCCP on their personal development, learning experience, service and care delivery, programme quality, and satisfaction with the programme. Six programmes (each with approximately 30 nursing and midwifery leaders) were delivered between October 2015 and July 2016. Leaders were recruited directly through the seven geographically dispersed Hospital Group Chief Directors of Nursing and Midwifery in Ireland (National Leadership and

Innovation Centre for Nursing and Midwifery 2015). All the leaders who completed the three
days of the LCCP (n=168) were invited to participate in this study.

A cross-sectional descriptive survey incorporating a modified retrospective pre-test design was used (Allen and Nimon 2007). This was deemed most appropriate to determine the participants' perceptions and experiences of the programme. In addition, this design has utility when pre-test data are not available to assess change at post-test (Hill and Betz 2005).

Ethical approval to conduct the study was obtained from the Clinical Research Ethics 126 127 Committee and participants provided written informed consent. Data were collected between November 2016 and March 2017. Participants were provided with the option of either returning 128 the questionnaire by post or responding via the web-based survey platform SurveyMonkey[©]. 129 130 This strategy is known to yield higher response rates (Funkhouser et al. 2017). Postal surveys, 131 web-based surveys, and two e-mail reminders were sent by the organisation that offered the LCCP, rather than the researchers. This was attempted to maintain participant confidentiality 132 of and minimize intrusion. Fifty-four electronic and 25 postal surveys were completed, yielding 133 a sample size of 79 participants (47% response rate). 134

135 Data were collected using a structured questionnaire that was developed based on instruments previously used to evaluate the impact of educational programmes for nurses 136 (Drennan 2012; Hyde et al. 2016). Participants' demographic and professional data were 137 138 gathered using six items. The Leaders for Compassionate Care Outcomes Evaluation *Questionnaire (LCCOEQ)* contained 35 items based on course content that measured outcomes 139 related to four domains of leadership practice: understanding of context; introduction to skills 140 141 in quality improvement and management of change; personal development; and relational development. The Leaders in Compassionate Care Experience Questionnaire (LCCEQ) 142 contained 34 items and measured the participants' experiences and satisfaction with course 143

organisation, teaching, and workload. *LCCEQ* was developed based on the *Course Experience Questionnaire* (Byrne and Flood 2003).

Data were entered into IBM SPSS Statistics and analysed using descriptive and 146 inferential statistics. Data from LCCOEQ were not normally distrusted; therefore, the 147 Wilcoxon signed-rank test was used to compare the participants' scores before and after the 148 149 programme. The Bonferroni Correction; 0.25 was used as the critical level of significance to prevent against the possibility of a type I error ($\alpha = 0.25$). The items comprising the *LCCEQ* 150 were summated into eight scales measuring participants' experiences of good teaching; 151 appropriate assessment; preparation to lead compassionate care; workload; teaching support; 152 programme organisation; infrastructure; and satisfaction. In order to interpret and standardise 153 scores across the LCCEQ, the mean item scores were based on a linear transformation and were 154 recoded to range from 0 to 100, with higher scores indicating greater satisfaction. 155

156 Participant Characteristics

All but one participant were female. The mean age of participants was 46.09 years (SD=6.9). Participants reported that, on average, they had been qualified as nurses/midwives for 23.52 years (SD=7.5). The majority of participants were Clinical Nurse and Midwife Managers (92.5%, n=73). Participant characteristics are presented in **Table 1**.

161 Personal Development, Learning Experience, Service and Care Delivery

Out of a maximum score of 7, participants' perceived ability to show respect in their interactions with people increased significantly following the programme (mean before 5.86, SD=1.25 vs. mean after 6.78, SD=0.44; $p\leq0.001$). In addition, their perceived ability to demonstrate consideration and empathy in their communication and interaction with people showed a significant increase following the programme (mean before 5.56, SD=1.30 vs. mean after 6.63, SD=0.74; $p\leq0.001$). Participants made significant gains in all items related to the development of leadership capabilities. Of particular note was the high level of change that participants perceived in relation to developing and understanding themselves as leaders; this was one of the lowest rated capabilities before the programme (mean 3.96, SD=1.31), but increased significantly following the programme (mean 6.22, SD=1.02; $p \le 0.001$).

The development of leadership capabilities was also highly evident in the participants' perceived ability to apply leadership for quality improvement in practice (mean before 4.43, SD=1.40 vs. mean after 5.91, SD=1.23; p \leq 0.001) and implement leadership interventions that are effective and grounded in best practice (mean before 4.47, SD=1.44 vs. mean after 5.96, SD=1.25; p \leq 0.001) (**Table 2**).

178 Quality and Satisfaction with the Programme

Over 90% of participants agreed that they were able to apply what they learned on the 179 programme in practice. Moreover, over 80% of participants reported that the programme 180 increased their motivation to lead on compassionate care, enhanced their ability to work as 181 members of the multidisciplinary team, and equipped them with the skills needed to deliver 182 compassionate care. The highest levels of satisfaction related to the support received from the 183 programme facilitators; this was particularly the case in relation to linking theory to practice, 184 communicating effectively, encouraging group work, and fostering critical thinking (>90%). 185 Moreover, most participants agreed that the programme facilitators were good at explaining 186 content (96.2%) and made the subject interesting (96.2%). 187

188 The vast majority of participants agreed that the programme used problem-solving 189 approaches as opposed to rote recall or memorization of facts. Although there were relatively 190 high levels of satisfaction with the programme workload, responses in this domain were not as high as in the other domains. In addition, 76% of participants agreed that they received helpfulfeedback from the facilitators.

Overall, 96.2% of participants agreed that they enjoyed the programme and 88% reported that they felt confident to lead in compassionate care delivery. However, agreement was below 80% for the statement: "I have changed my attitude towards my work as a consequence of the programme," with 75.9% in agreement.

The mean scale scores on the *LCCEQ* indicated that participants were highly satisfied with: the quality of teaching (mean 82.27, SD=14.45); teaching support (mean 81.54, SD=13.94); preparation to lead compassionate care in practice (mean 77.16, SD=16.96); assessment (mean 74.57, SD=16.60); workload (mean 73.64, SD=12.49); organisation (mean 73.58, SD=15.85); and infrastructure (mean 70.89, SD=16.95) (**Table 3**).

202 Discussion

The LCCP and subsequent evaluation aimed to address major causes of failure in care, 203 204 namely the lack compassionate care delivery and lack of nursing leadership (Francis, 2013). 205 Moreover, the LCCP and findings from the present study helped meet several nursing recommendations from the Francis (2013) inquiry. These include: (i) building a "culture of 206 compassion and caring in nurse recruitment, training and education" (p. 76); (ii) increasing the 207 "focus in nurse training, education and professional development on the practical requirements 208 of delivering compassionate care in addition to the theory" (p. 105); and (iii) including 209 leadership training as part of the "training and continuing professional development for nurses" 210 211 (Francis 2013, p. 106).

Overall, positive and significant changes were reported following participation in the LCCP. These related to the participants' understanding of compassionate care delivery, preparedness to act as compassionate care leaders, and acquisition of new problem-solving skills. Moreover, participants were satisfied with the organisation of the programme, thecompetence of programme facilitators, teaching support, and workload.

217 Participants were predominantly in managerial roles and had extensive clinical experience. Enabling clinical leaders to undertake programmes such as the LCCP has been 218 219 identified as a crucial step in adopting and sustaining change and fostering patient centeredness 220 (Luxford et al. 2011; MacArthur et al. 2017). In fact, participants in the present study reported an increase in their ability to implement change and support their staff whilst offering 221 compassionate and patient-centred care. Nevertheless, Burston et al. (2011) recommended a 222 hybrid model of change involving both, top-down and bottom-up leadership. Similarly, Francis 223 (2013) stressed that offering training and continuing professional development opportunities 224 for nurses "should apply at all levels, from student to director" (p. 76). In fact, Bridges et al. 225 (2017) found that involving nurses from all levels in compassionate care leadership education 226 227 yielded a number of positive clinical outcomes. This highlights the importance of involving both, junior and senior nursing staff in initiatives such as the LCCP in the future. 228

Participants reported gaining abilities and building understandings in several areas. Of 229 note was the change that occurred in the participants' understanding of themselves as leaders, 230 231 implementing change, assuming authority, and supporting peer learning. The LCCP also positively affected the participants' perceived relationship with patients and their families. 232 233 Participants also reported that their perceived abilities to demonstrate consideration and empathy in interactions with patients and to build trust with patients and their relatives 234 increased significantly following the programme. These findings were echoed in a study 235 236 conducted by MacArthur et al. (2017) who evaluated the impact of a three-year initiative aimed at embedding compassionate care into clinical practice. It was found that wards that adopted 237 238 the programme reported an increase in caring conversations among the staff and between the staff, patients, and their relatives. Moreover, the three-year programme was successful in 239

eliciting the views of patients and their families, which is key to promoting holistic and person-centred care (MacArthur et al. 2017).

242 In the present study, participants were highly satisfied with their experience of the LCCP; this was particularly the case in relation to programme layout and the support offered 243 by the facilitators. Teaching support was also highly rated with the use of approaches that 244 245 facilitated critical thinking, reflection, and linking theory to practice. The role of professional education and training in developing compassionate practitioners had been highlighted in the 246 literature on compassionate care education (Bray et al. 2014; Lown 2014; Straughair 2012a, 247 2012b). For instance, a study exploring healthcare professionals' understanding of compassion 248 and the role of healthcare professionals as compassionate care educators, found that education 249 plays a key role in developing compassionate practitioners and promoting compassionate care 250 delivery (Bray et al. 2014). Similarly, Lown (2014) identified "teaching compassion" as an 251 essential commitment to fostering compassionate care in healthcare organisations and 252 Straughair (2012a, 2012b) highlighted the importance of educators as role models for 253 compassionate care delivery. The role of educators in fostering compassionate care was also 254 highlighted at undergraduate level and among novice nurses (Coffey et al. 2019; Smith et al. 255 256 2014).

In this study, high levels of satisfaction were evident in the preparation received to lead 257 compassionate care in practice, including the development of knowledge, skills and 258 competencies to deliver compassionate care, the ability to apply what was learned during the 259 programme to practice, and motivation to deliver compassionate care. Similarly, a 12-month 260 261 compassionate care leadership programme helped nurses influence clinical decision-making and enabled them to discuss tough issues (Dewar and Cook 2014). The LCCP also helped 262 participants engage in compassionate conversations, build better work relationships, and reflect 263 on their clinical practice. Another area of greatest growth in the present study was the change 264

in the participants' understanding of themselves as leaders and their level of confidence.
Similarly, a programme titled "Enabling Compassionate Care in Practice" was successful in
increasing nurses' courage and confidence to lead and to make positive changes in clinical
practice (Masterson et al. 2014).

269 This study is not without limitations; non-probability convenience sampling was used 270 to recruit study participants. Despite being commonly used in the nursing literature (Grove et al. 2015), this sampling strategy is known to increase the risk of self-selection bias. 271 Furthermore, despite using electronic and postal surveys with multiple reminders, 272 approximately half of the nursing and midwifery leaders who undertook the LCCP participated 273 in this study; thus, compromising the generalisability of findings. Finally, a retrospective pre-274 test approach was used to rate the participants' understandings and abilities before and after 275 the programme. Therefore, a longitudinal study and/or a pre-post study would help enhance 276 277 rigor. In addition, it is worth considering conducting a randomised controlled trial in order to evaluate the impact of the LCCP in comparison to no programme and/or alternative 278 programme(s). 279

Further research is recommended using a longitudinal 360-degree research 280 methodology to explore the long-term impact of the LCCP on leaders, healthcare organisation, 281 and patients. This research should also include outcomes for services and service users in 282 283 different healthcare settings using valid and reliable instruments and sample sizes to enhance generalisability. This could be achieved through using pre-existing frameworks for programme 284 evaluation. An example is the Kirkpatrick (1976) Model that uses four levels of programme 285 286 evaluation as follows: Level 1 (Reaction) evaluates the participants' response to the programme; Level 2 (Learning) measures knowledge and skill acquisition; Level 3 (Behaviour) 287 288 measures the application of knowledge into practice; and Level 4 (Results) measures the degree to which outcomes occur as a result of the programme. This model proved effective in a number 289

of nursing contexts including problem-based education (Clark et al. 2013), simulation
(Coffman et al. 2015), and cardiopulmonary resuscitation training (Dorri et al. 2016).

The organisation of future leaders in compassionate care programmes should reflect the work situation of nursing and midwifery leaders and their practical concerns in relation to programme delivery and layout. Moreover, given the positive outcomes achieved, high-level management (i.e. Directors and Chief Directors of Nursing and Midwifery) is encouraged to build an infrastructure that supports nurses and midwives from all levels to avail of programmes such as the LCCP periodically.

298 Conclusion

299 This study illustrates the role of programmes such as the LCCP in enabling nurses to lead change and better understand themselves, peers, patients, and their families. Overall, 300 participants were highly satisfied with the organisation, delivery, and outcomes of the 301 programme. In particular, leadership capabilities were highly developed and resulted in 302 participants reporting that they had developed the ability to apply these capabilities in clinical 303 304 practice. Study findings highlight the need to: (i) conduct a longitudinal study to capture the 305 long-term impact of the LCCP; (ii) compare outcomes from the LCCP to those from other programmes; (iii) evaluate the impact of the LCCP on healthcare organisations and patient 306 outcomes; and (iv) promote a culture and infrastructure that support nurses and midwives from 307 all levels to avail of programmes like the LCCP. 308

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