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# Metal Oxide Nanocluster-Modified TiO<sub>2</sub> as Solar Activated Photocatalyst Materials

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**Abstract.** In this review we describe our work on new TiO<sub>2</sub> based photocatalysts. The key concept in our work is to form new composite structures by the modification of rutile and anatase TiO<sub>2</sub> with nanoclusters of metals oxides and our density functional theory (DFT) level simulations are validated by experimental work synthesizing and characterizing surface modified TiO<sub>2</sub>. We use DFT to show that nanoclusters of different metal oxides, TiO<sub>2</sub>, SnO/SnO<sub>2</sub>, PbO/PbO<sub>2</sub>, NiO and CuO can be adsorbed at rutile and anatase surfaces and can induce red shifts in the absorption edge to enable visible light absorption which is the first key requirement for a practical photocatalyst. We furthermore determine the origin of the red shift and discuss the factors influencing this shift and the fate of excited electrons and holes. For p-block metal oxides we show how the oxidation state of Sn and Pb can be used to tune both the magnitude of the red shift and also its mechanism. Finally, aiming to make our models more realistic, we present some new results on the stability of water at rutile and anatase surfaces and the effect of water on oxygen vacancy formation and on nanocluster modification. These nanocluster-modified TiO<sub>2</sub> structures form the basis of a new class of photocatalysts which will be useful in oxidation reactions and with the suitable choice of nanocluster modified can be applied to CO<sub>2</sub> reduction.

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## I. INTRODUCTION

TiO<sub>2</sub>-based photocatalysts are of great interest as catalysts to decompose pollutants in air or water and for the oxidation of water, which arises from its strong ability to oxidise molecules. [1–5] In addition it is attractive due to its abundance in nature, high stability, and non-toxicity. [1–5] Unfortunately, rutile and anatase TiO<sub>2</sub> have band gaps larger than 3 eV, so that they only absorb UV-light which is ca. 3% of solar energy incident on the earth.[6, 7] Finding materials that absorb light in a wider wavelength range, particularly in the visible region of the electromagnetic spectrum (400 nm - 750 nm) will maximise use of the incident solar energy and is therefore the first key requirement in the efficient use of solar radiation. An important problem is that even if TiO<sub>2</sub> can be made visible-light active, this can come at the expense of its UV activity. We therefore seek to impart visible-light activity to TiO<sub>2</sub> but without reducing its UV-light activity. Visible light absorption can be induced in TiO<sub>2</sub>, by doping TiO<sub>2</sub> with transition metal cations and non-metal anions or both (so-called co-doping) and this has been widely studied, with extensive reports and reviews of this important topic available [8–12] The role of doping is to modify the electronic structure of TiO<sub>2</sub>, generally by introducing new states into

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the energy gap between the valence band and the conduction band. These tend to be in-gap electronic states which are usually localised.

Some key examples of doping of  $\text{TiO}_2$  include: N-doping, where the N dopant is substituted onto an oxygen site, as proposed firstly by Asahi et al [13] and studied in extensive work by Di Valentin et al [14–16]. The general effect of N-doping is to introduce new dopant-derived states into the energy gap between the valence and conduction bands of  $\text{TiO}_2$  which reduces the energy gap compared to undoped  $\text{TiO}_2$ .

Long and English have presented extensive studies of cation doped  $\text{TiO}_2$  [17–19] and anion doped  $\text{TiO}_2$  [20, 21] and studied the mechanism behind the reduction of the band gap of  $\text{TiO}_2$  with doping. Nb-doping of  $\text{TiO}_2$  has also been widely studied with DFT [22–24]. Umebayashi *et al.* [25] presented an extensive study of metal dopants in  $\text{TiO}_2$  and found occupied levels in the  $\text{TiO}_2$  energy gap with the electrons localised around the dopant. Regarding anionic dopants, Di Valentin et al. [26] have presented a study of anion doped anatase showing how the change in anion from B to C to N to F induces anion-derived electronic states in the  $\text{TiO}_2$  energy gap for B-, C- and N-doping and reduced  $\text{Ti}^{3+}$  states in the gap for F-doping.

In the studies of doped  $\text{TiO}_2$ , a red shift in light absorption to longer wavelength can be achieved [10–12] but this can come at the cost of the new dopant-induced electronic states acting a trap sites that will enhance recombination of charge carriers, thus ultimately reducing activity. Co-doping [8, 9, 27, 28] can alleviate this issue by choosing metal and non-metal dopants that will passivate each other while still modifying the electronic structure to induce a red shift.

In addition, in some modified  $\text{TiO}_2$  systems, while a visible-light response has been demonstrated, this can be at the cost of the UV-activity as described in work on  $\text{Fe}_2\text{O}_3\text{-TiO}_2$  [29, 30] where reduced UV activity compared to unmodified  $\text{TiO}_2$  is seen. Additionally in [31–33] there is a smaller UV enhancement compared to enhancement of visible light activity. This is relevant, as UV activity is still important in many applications, particularly for indoor applications. This problem arises as described above, where doping  $\text{TiO}_2$  with an aliovalent metal cation results in formation of defect levels in the bulk that act as recombination centres. There are also other problems associated with doping, including reproducibility, stability and knowing if the dopant has been incorporated. Therefore ensuring effective charge separation is the next key requirement to achieve compatibility between visible- and UV- activities of  $\text{TiO}_2$ . Finally, while doping of  $\text{TiO}_2$  is clearly an active and important field, it is not the subject of this review and we will refer the reader to the reviews in refs [10–12, 34].

In  $\text{TiO}_2$ -photo-catalyzed decomposition of organic pollutants, organic pollutants are oxidised by valence band (VB) holes and oxygen can be reduced by conduction band (CB) electrons [35]. Unfortunately, the driving force for the one-electron reduction of  $\text{O}_2$  (the redox potential for  $E^0(\text{O}_2/\text{O}_2^-) = -0.28$  V vs. standard hydrogen electrode, SHE) by electrons excited into the CB (CB energy =  $-0.53$  V at pH 7) is small. Thus in order to boost photocatalytic activity of  $\text{TiO}_2$  we seek to enhance the oxygen reduction reaction.  $\text{TiO}_2$  photocatalysts are based on the anatase and rutile polymorphs of  $\text{TiO}_2$ ; composites of rutile and anatase are also known, in particular Degussa P25,[36]. When we consider the photocatalytic decomposition of organics, the UV-activity of anatase is higher when compared to rutile. [37, 38] In visible light active  $\text{TiO}_2$ -based photocatalysts, the VB maximum should be raised up to induce visible light absorption, but not so high as to reduce the oxidising power of the holes and at the same time the position of the CB should not be modified downwards. For water splitting using  $\text{TiO}_2$  based photocatalysts, the VB and CB levels must straddle the potentials for water oxidation and reduction, which places a further constraint on the choice of materials as photocatalysts.

A well studied and interesting approach for inducing visible-light activity in  $\text{TiO}_2$  is to couple it with nano-structured metal chalcogenide semiconductors such as CdS, as described in refs [39, 40]. An interesting and

key feature of these metal chalcogenides is that their band edges (that determine the redox ability) can be varied over a wide range by controlling the particle size, that is the well known size quantization effect. This can enable efficient visible-light-induced interfacial electron transfer from the metal chalcogenide nano-particles (NPs, which absorb the visible light) to the  $\text{TiO}_2$  semiconductor in a sensitization effect. These composite NP- $\text{TiO}_2$  structures are promising materials for visible-light photocatalysts but they will be unsuitable for environmental and aqueous applications because the oxidation ability of the VB-holes in metal sulfides is weak and the metal sulfide NPs undergo photo-irradiation induced dissolution. On the other hand, visible-light absorbing oxides such as  $\text{Fe}_2\text{O}_3$  are more stable, but there is a smaller range over which the band energies can be controlled by size quantization. In a nanoscale composite system, denoted oxide-NP/ $\text{TiO}_2$ , the energy band alignments can inhibit interfacial electron transfer from the oxide-NP to  $\text{TiO}_2$  as exemplified by the coupling of  $\text{TiO}_2$  with  $\text{Fe}_2\text{O}_3$  NPs in which the UV-light activity of  $\text{TiO}_2$  declines, but without any visible-light response.[29, 30, 41]

Despite this, the change in electronic properties with the size of a metal oxide on going from the nano to molecular scale is an interesting scientific issue and the photocatalytic activity of an oxide- $\text{TiO}_2$  coupled system is interesting from the perspective of use as a catalyst. In this vein, Kisch and co-workers photosensitized  $\text{TiO}_2$  using the impregnation method to graft metal halides such as the  $\text{PtCl}_6^{2-}$  complex on  $\text{TiO}_2$  [42].

This is an interesting approach because a visible-light response is induced by a simple synthesis approach and without introducing impurity or vacancy levels that promote recombination. Hashimoto and co-workers and Ohno and co-workers have presented a number of papers demonstrating an approach to grafting 3d metal ions including  $\text{Cr}^{3+}$ , [43]  $\text{Cu}^{2+}$ , [44]  $\text{Fe}^{3+}$  [45, 46] onto  $\text{TiO}_2$ . Grafting these 3d metal ions onto rutile  $\text{TiO}_2$  can result in a high level of visible-light activity, but this effect is less significant for anatase; however, the surface modification idea is still quite attractive and is an alternative to the usual doping approach [46].

Our experimental collaborators in the group of Prof Tada developed a modification technique called chemisorption-calcination cycle (CCC), where a metal complex is adsorbed through strong chemical bonds and the organic ligand is then oxidized by post-heating, which allows for preparation of very small supported nanoclusters and ultrathin oxide films [47]. This experimental activity has worked together with our density functional theory (DFT) simulations, to provide a novel approach to modify  $\text{TiO}_2$  for enhanced visible and UV photocatalytic activity for degradation of organic molecules. The present review summarizes our DFT studies metal oxide nanocluster modified  $\text{TiO}_2$  (rutile and anatase), and the results are compared experiments. Section II describes the simulation methodology. Sections III is concerned with properties of MOs/ $\text{TiO}_2$ . Section IV presents new results on water- $\text{TiO}_2$  and the final Section provides a perspective on these new photocatalysts.

In our work using DFT simulations, we have successfully modified  $\text{TiO}_2$  anatase and rutile surfaces with metal oxide nanoclusters (NCs) can be used to develop potential photocatalysts with some favourable properties. Namely

- (1) modifying the light absorption properties of  $\text{TiO}_2$ , in many cases with predicted visible light absorption
- (2) facilitate the spatial separation of photogenerated electrons and holes which will be useful in reducing charge recombination
- (3) increase reactivity towards molecules such as CO by making it easier to form oxygen vacancies compared to unmodified  $\text{TiO}_2$ .

In this article we describe our previous results on a series of metal oxide nanocluster modified rutile and anatase systems, making comparisons with experiments from the group of Tada and co-workers, highlighting the effect of the oxide NCs on modifying the light absorption characteristics and electron-hole separation. Given that many experiments on photocatalysts are performed in aqueous solution or if water splitting is the application of interest, we need to go beyond simple ideal  $\text{TiO}_2$  surfaces, which we term “dry” and consider the influence of water present on the  $\text{TiO}_2$  surface, which we term “wet”. Thus, we also present some new results on the stability of water on rutile and anatase at different surface coverages and how the wet surface can change the characteristics of NC modified  $\text{TiO}_2$  compared to a dry surface by way of an explicit example. The study of water adsorption at  $\text{TiO}_2$  surfaces has been presented in many studies and reviews [48–58]. Competition between molecular and dissociative adsorption of water is well studied for a single water molecule, while at higher coverages, mixed molecular/dissociated adsorption is usually observed.

The process of adsorption is, in general, determined by specific surface features like atomic coordination and surface defects, and in particular oxygen vacancies, which have been shown to play a fundamental role, not only in the adsorption process, but also in the reactivity of the surface with respect of specific reactions (e.g. potential site for  $\text{CO}_2$  activation)[59, 60]. The presence and concentration of oxygen vacancies may depend on a number of factors, such as structural features, or the environmental conditions. While structural features only depend on the kind of crystal considered, environmental conditions depend on a number of physical-chemical parameters of the atmosphere and on the specific working condition of the process. Typically the oxygen in the atmosphere plays a fundamental role in the oxidation level of a given surface. However there is another crucial element which may play a crucial role. Water molecules present in the atmosphere may not only actively play a fundamental role in catalytic performance of  $\text{CO}_2$  reduction, but also determine the oxidation level of the surface. However, the interplay of  $\text{H}_2\text{O}$  and surface oxygen vacancy under realistic environmental conditions is not completely clear. In view of this, a detailed analysis of water interaction with rutile (110) and anatase (101)  $\text{TiO}_2$  surfaces, both stoichiometric and reduced, is crucial to determine their photo-catalytic properties.

We also investigate the interaction of water and oxygen vacancies, and the dependence of surface reduction on the water coverage on the surface. Although there have been a number of computational studies to analyse water adsorption on  $\text{TiO}_2$  under vacuum conditions [48–58], in the present work we intend to examine the water- $\text{TiO}_2$  system in contact with two gas reservoirs, water vapour and oxygen, using *ab initio* atomistic thermodynamics, to reproduce realistic environmental conditions, as exemplified by our earlier work on  $\text{CeO}_2$  [61, 62]. This analysis allows us to examine the effect of the composition of the atmosphere on water adsorption and oxygen vacancy formation energies. We will present a systematic DFT based analysis of  $\text{H}_2\text{O}$  adsorption on the stoichiometric and reduced rutile (110) and anatase (101)  $\text{TiO}_2$  surfaces, using our DFT+U set-up described in Section II, with a Hubbard U correction on Ti 3d and O 2p electronic states (so that the photoexcited states can ultimately be consistently described for water covered surfaces). We compute water adsorption energies in vacuum and their dependence on the water coverage. Also, we analyse the effect of the water on the surface reduction.

## II. REVIEW OF COMPUTATIONAL METHODS

In building models of metal oxide nanocluster modified  $\text{TiO}_2$ , we use a slab model of  $\text{TiO}_2$  rutile (110) and anatase (101) and (001) surfaces, as shown in 1 1. A plane wave basis set is used to describe valence electronic wave functions using the VASP.5.2 code.[63]

The kinetic energy cut-off is 400 eV and to describe the core-valence interaction we apply Blöchl's projector augmented wave (PAW) approach.[64] For Ti, we use 4 valence electrons throughout, having demonstrated in a number of papers that the important properties of interest for our work are not affected by using a 4 valence electron or 12 valence electron potential[65, 66] and for oxygen a 6 valence electron potential is used. In the oxide nanocluster modifiers we use the following valence electron potentials: for Ti, Sn, Pb, Ni, Cu and H - 4, 4, 4, 10, 11 and 1 valence electrons respectively. k-point sampling is performed using the Monkhorst-Pack scheme, with a  $(2 \times 2 \times 1)$  sampling grid. Throughout our work the Perdew-Wang 91 (PW91) approximation for the exchange-correlation functional is used.[67] Similar to studies of point defects in  $\text{TiO}_2$  bulk and surfaces,[68–71] we use DFT corrected for on-site Coulomb interactions, the so-called DFT+U,[72] where U describes the Coulomb repulsion between electrons and is widely used in describing systems where localised electronic states are present; this includes well known systems such as oxygen vacancies in rutile (110), oxygen vacancies in  $\text{CeO}_2$  and the ground state of transition metal monoxides. In these particular systems, the removal of a neutral oxygen vacancy will result in transfer of two electrons back to the oxide and these will reside on metal cations, thus reducing them, e.g.  $\text{Ti}^{4+} + e^- \rightarrow \text{Ti}^{3+}$ . The accepted understanding of this process is that the two electrons localise on two different metal sites in the oxide. Thus there are two reduced  $\text{Ti}^{3+}$  species in  $\text{TiO}_2$  with one oxygen vacancy. There are well known difficulties with using standard approximate LDA and GGA functionals to attempt to describe this process and in the last decade, the DFT+U approach has proven rather popular and reasonably effective in describing metal oxides with such partially occupied electronic states, in particular for  $\text{TiO}_2$ . Since we have systems where partially occupied  $\text{Ti}_{3d}$  states are present, such as oxygen vacancy structures or photoexcited systems (described later), we apply DFT+U to the  $\text{Ti}_{3d}$  states, with a value of  $U^{\text{Ti}_{3d}} = 4.5$  eV; for oxygen, to describe the hole in the valence band formed upon excitation, we apply  $U^{\text{O}_{2p}} = 5.5$  eV [73].

In our models, the rutile and anatase surfaces we have chosen are rutile (110) and anatase (001); for water adsorption we also examine anatase (101). Both surfaces are non-polar and the models are perfect surfaces, with no defects, such as oxygen vacancies, or hydroxyls, which can be present on real  $\text{TiO}_2$  surfaces; work on such surface models is ongoing and some initial results are presented in Section IV of this review. Anatase (001) is accepted to be more active in photocatalysis than the most stable (101) surface and work in [74, 75] discusses how different schemes such as F-adsorption and Ag incorporation influences the stability of anatase (001).

For the rutile (110) surface, we use  $(4 \times 2)$  and  $(4 \times 4)$  expansions of the surface supercell to accommodate non-interacting nanoclusters of different sizes. For anatase (001) and (101), we use a  $(4 \times 2)$  expansion of the surface supercell which is sufficient to isolate the adsorbed nanoclusters. The slab models are 6 O-Ti-O layers (18 atomic layers) thick for rutile (110), 6 O-Ti-O layers (18 atomic layers) thick for anatase (001), and 4 O-Ti-O layers (12 atomic layers) thick for anatase (101), with a 12 Å vacuum gap used throughout. All calculations are spin polarised with no restrictions on the overall spin. The convergence criteria for the energy and forces are  $10^{-5}$  eV and  $10^{-2}$  eV/Å<sup>2</sup>, respectively.

The metal oxide nanocluster modifiers have been adsorbed on the  $\text{TiO}_2$  surface in different configurations and relaxed with no constraints on the ionic positions of the dopant or the surface layers. For each configuration,

the adsorption energy of the metal oxide NC on rutile or anatase  $\text{TiO}_2$  is calculated from:

$$E_{\text{ads}} = E_{\text{MO}_x-\text{TiO}_2} - [E_{\text{MO}_x} + E_{\text{TiO}_2}], \quad (1)$$

where  $\text{MO}_x$  is the metal oxide nanocluster and  $\text{TiO}_2$  signifies rutile or anatase surfaces. Throughout this paper, a negative adsorption energy signifies that formation of an adsorbed NC on  $\text{TiO}_2$  or water adsorption on  $\text{TiO}_2$  are favoured.

We have extensively studied metal oxide nanoclusters with diameters less than 1 nm. This arises principally from the computational cost associated with the size of the nanocluster and the  $\text{TiO}_2$  surface needed to accommodate the nanocluster: systems with between 300 and 900 atoms have been considered to allow  $\text{TiO}_2$  nanoclusters up to 1.5 nm to be investigated. Larger oxide nanocluster modifiers (up to 3 nm) are being investigated but incur significant computational expense.

We have also studied a simple model for the photoexcited state of a selection of nanocluster modified  $\text{TiO}_2$  to examine two questions. The first is where the photoexcited electron and hole will be localised: in the surface, in the bulk, on the nanocluster and will localisation be favoured on any particular Ti or O species. Second, can the localisation and energies associated with electron and hole trapping be predicted from a simple ground state DOS analysis. To answer these questions, we have employed a model in which a triplet electronic state is imposed, as discussed in detail in refs. [73, 76–78] In this model an electron is “excited” to the CB with a corresponding hole in the VB by imposing a triplet electronic state. In determining the trapping (or relaxation) and the singlet-triplet excitation energies, we require the following calculations:

1. Single point energy evaluation of the triplet electronic state at the singlet geometry, giving  $E_{\text{unrelaxed}}$ ;
2. Full ionic relaxation of the triplet electronic state,  $E_{\text{relaxed}}$ ;

We add a dipole correction perpendicular to the surface plane to the total energies. Within this computational set-up, the following energies are calculated:

*The singlet-triplet vertical (unrelaxed) excitation energy:*

$$E_{\text{vertical}} = E_{\text{singlet}} - E_{\text{unrelaxed}},$$

in which the singlet ground state is fully relaxed and the triplet state is fixed at the singlet geometry, corresponding to the VB-CB energy gap obtained from the PEDOS.

*The singlet-triplet vertical excitation energy:*

$$E_{\text{excite}} = E_{\text{singlet}} - E_{\text{relaxed}},$$

where the singlet and triplet electronic states are fully relaxed. The change in this energy with respect to unmodified  $\text{TiO}_2$  allows us to examine the effect of the surface modification on light absorption in  $\text{TiO}_2$ , which gives a crude approximation to the excitation energy.

*The triplet relaxation (carrier trapping) energy:*

$$E_{\text{relax}} = E_{\text{relaxed}} - E_{\text{unrelaxed}},$$

where the first energy is the relaxed triplet electronic state and the second energy is the triplet electronic state fixed at the singlet geometry.  $E_{\text{relax}}$  is the energy gain after structural relaxation, when the electron and hole are trapped at their metal and oxygen sites. In considering these energies, the usual band gap underestimation is present arising using our DFT+U set-up, in which the values of U have been chosen to localise electrons and holes rather than reproduce the band gap of bulk TiO<sub>2</sub>; the latter is a somewhat dubious approach. The relaxed singlet-triplet energy difference is smaller than the simple valence-conduction band energy gap, as it includes ionic relaxations and polaron formation in the response to “exciting” the electron. The vertical, unrelaxed singlet-triplet energy difference corresponds to the ground state VB-CB gap and this has also been discussed for rutile (110).[78]

This means that the key quantity is the comparison of the computed energies between different structures. We can state with confidence that a reduction in the singlet-triplet energy difference arising from surface modification of a metal oxide, relative to the unmodified metal oxide, will correspond to light absorption at lower energies in the modified oxide.

In Section IV, we will discuss the effect of water on oxygen vacancy formation and TiO<sub>2</sub> nanocluster adsorption. In this investigation, the surface energy, with adsorbed water, is calculated by taking into account the thermodynamic equilibrium of surface-adsorbate and the atmosphere.[62] The resulting surface energy is described as follows:

$$\gamma(p_i, T) = \frac{1}{2A} \left[ G - \sum_i N_i \mu_i(p_i, T) \right] \quad . \quad (2)$$

By using Eq.2 we can write the surface energy as a function of the chemical potential of the species in the atmosphere. In our case we consider an environment containing either only oxygen, or both water and oxygen.

The explicit account of pressure and temperature can be calculated from the expression of the chemical potential:

$$\mu_i(p, T) = \left[ E_i + \tilde{\mu}_i(p^0, T) + k_B T \ln \left( \frac{p_i}{p^0} \right) \right] \quad . \quad (3)$$

Here, the limit of the chemical potential are calculated to be:

$$\frac{1}{2} \Delta H_f(p = 0, T = 0\text{K}) < \Delta \mu_i(p, T) < 0 \quad , \quad (4)$$

where  $\Delta H_f(p = 0, T = 0\text{K})$  is the heat of formation of TiO<sub>2</sub> and  $\Delta \mu_i(p, T) = \mu_i(p, T) - \frac{1}{2} E_{\text{O}_2}$ . A detailed and explicit analysis of the equations can be found elsewhere.[62, 79, 80]

### III. REVIEW OF DFT STUDIES OF METAL OXIDE NANOCLUSTER MODIFIED TiO<sub>2</sub>

#### A. The modification of rutile (110) with TiO<sub>2</sub> Nanoclusters

We begin by describing our work on our model system of TiO<sub>2</sub> NC modified rutile (110) for which full discussions are presented in refs. [73, 77, 81].



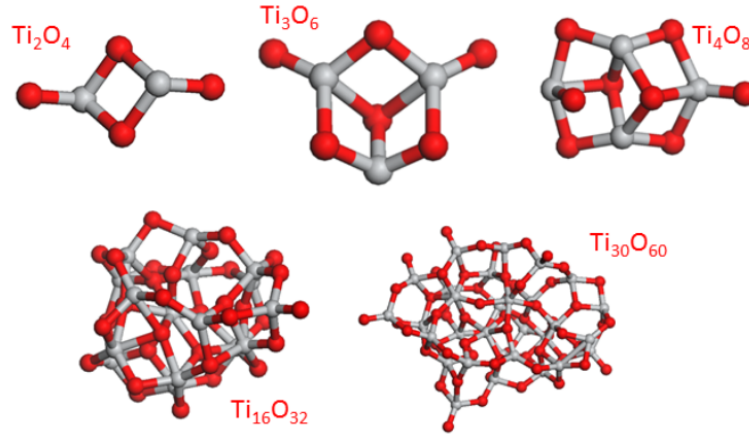


Figure 1: (Color online) Atomic structure of free  $\text{TiO}_2$  nanoclusters. In this and all subsequent figures, Ti atoms are represented by grey spheres and oxygen atoms by red spheres.

Figure 1 shows the atomic structure of a series of  $\text{TiO}_2$  nanoclusters that we have studied. We note here that the interesting features of the NCs are (1) there are low coordinated Ti and O sites in these nanoclusters; in particular we note singly coordinated terminal titanyl oxygen species and 3 and 4-fold coordinated Ti not found in bulk  $\text{TiO}_2$ . (2) At this length scale the atomic structure depends on the composition of the nanocluster. (3) The electronic properties, e.g. the valence band (VB) to conduction band (CB) energy gaps depend on the composition of the nanocluster.[82, 83] These features of  $\text{TiO}_2$  NCs need to be kept in mind in discussing the effect of  $\text{TiO}_2$  NC modification of  $\text{TiO}_2$  surfaces.

Figure 2 shows the atomic structure of a selection of  $\text{TiO}_2$  NC modified rutile (110) structures along with the computed adsorption energies referenced to a gas phase nanocluster and modified rutile (110) surface. The adsorption of the NCs at rutile (11) is favourable in all cases, as indicated by the large, negative adsorption energies, with the magnitude of the adsorption energy depending on the exact composition of the nanocluster. We also find that in contrast to gas phase  $\text{TiO}_2$  nanoclusters, it is thermodynamically less favourable for these clusters to aggregate so that they are expected to remain adsorbed as discrete nanoclusters rather than forming larger particles. The origin of this is most likely the strong binding of each nanocluster to the rutile (110) surface. Adsorption is accompanied by the formation of new Ti-O bonds between the nanocluster and the rutile (110) surface – these bonds are formed between 5-fold coordinated surface Ti atoms and oxygen in the nanocluster and between initially low coordinated Ti atoms in the nanocluster and bridging oxygen atoms on the rutile (110) surface. When we examine different adsorption modes of a given nanocluster composition at the rutile (110) surface there is a correlation between the magnitude of the adsorption energy and the number of new Ti-O bonds formed – with an increase in the latter, the adsorption of the NCs becomes stronger. While the detailed description of the atomic geometries are given in refs. [77, 81]

here we point out the following:

- that the new Ti-O bonds formed at the interface of the surface and the nanoclusters show similar Ti-O distances to bulk  $\text{TiO}_2$
- the originally 5-fold coordinated Ti atoms in the surface that bind to oxygen from the NCs are pulled out of the surface layer

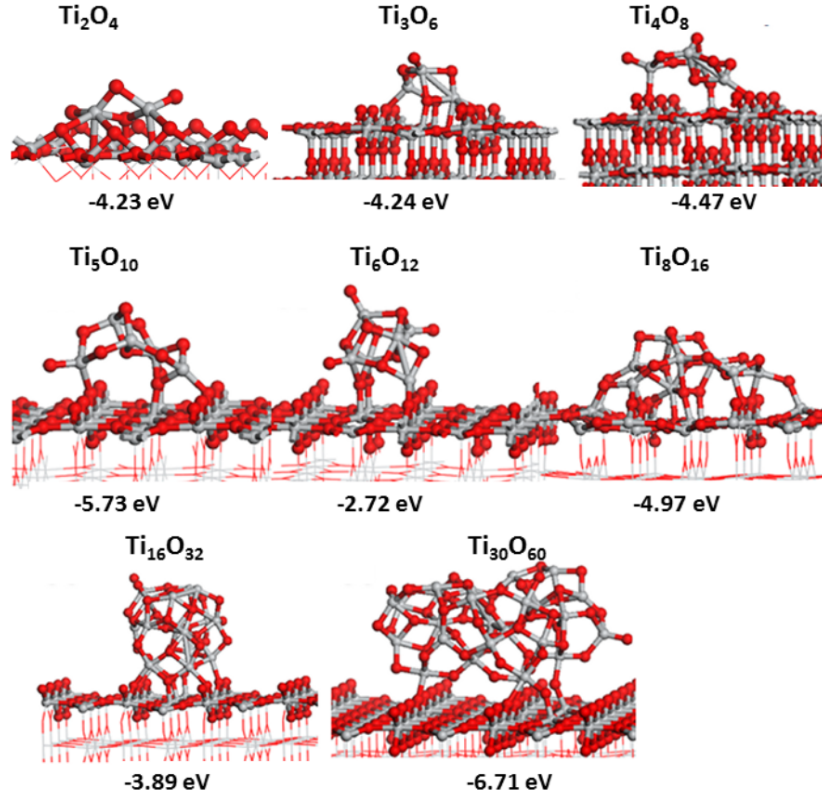


Figure 2: (Color online) Atomic structure of  $\text{TiO}_2$  NC modified rutile (110). The composition ( $\text{Ti}_x\text{O}_{2x}$ ) is given above each structure and shown under each structure are the computed adsorption energies in eV. Reproduced from reference [81], 2011 by permission of the PCCP Owner Societies and from ref. [77], 2013 Reproduced with permission from the Royal Society of Chemistry

- with the exception of the  $\text{Ti}_8\text{O}_{16}$  NC, all adsorbed nanoclusters keep at least one terminal, that is singly coordinated, titanyl oxygen species, which has very short Ti-O distances of 1.66 – 1.69 Å

In our work on metal oxide NC surface modified  $\text{TiO}_2$  a key result is the effect of the NC modification on light absorption of  $\text{TiO}_2$  and whether the energy required to promote an electron from an occupied to an empty state, which will induce a red or blue shift in the absorption edge, is changed by the NC modification. We will discuss this point in terms of the potential shift in the absorption edge that would be measured by the UV-vis experiment, that is whether or not there will be a red shift towards the visible after surface modification. Given the inherent band gap underestimation with the DFT+U set-up used in our work what is important is whether there is a predicted red shift in the absorption edge and we will focus our discussion on this point throughout. Figure 3 shows the electronic density of states projected onto  $\text{Ti}_{3d}$  and  $\text{O}_{2p}$  states (PEDOS) for the  $\text{TiO}_2$  NC modified rutile (110) surface for a selection of  $\text{TiO}_2$  NCs. The PEDOS is shown for NC derived Ti and O species and surface derived Ti and O species. The first observation is that the surface VB to CB gap is unchanged from the bare (110) surface upon modification with the nanoclusters. The second observation is that after modification with these nanoclusters, there are new states present above the valence band edge of the rutile (110) surface and these originate from the  $\text{TiO}_2$  nanoclusters. These new states push the valence band edge of the composite NC-surface system to higher energy and therefore will lead to a red shift in the absorption edge compared to the unmodified rutile (110) surface. The magnitude of the shift depends on the composition of the  $\text{TiO}_2$  nanocluster. For example the  $\text{Ti}_3\text{O}_6$  NC shifts the VB edge of the composite structure by 1.3 eV over unmodified rutile (110), while the  $\text{Ti}_{16}\text{O}_{32}$  modification induces a smaller shift of 0.4 eV. In all composite

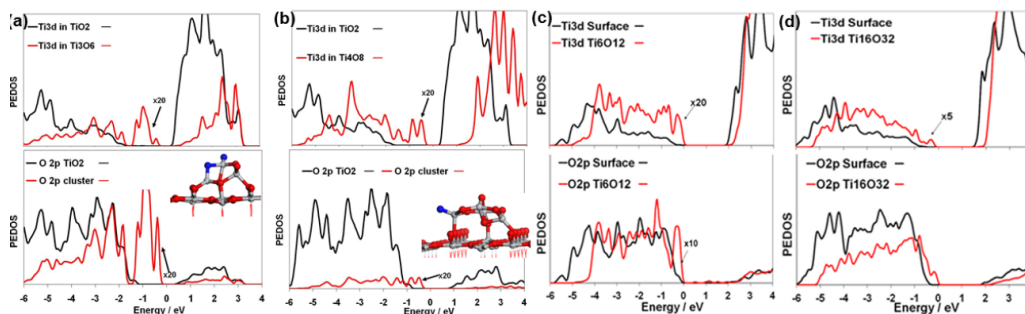


Figure 3: (Color online) Projected density of states for  $\text{TiO}_2$  NC modified rutile  $\text{TiO}_2$  (110). Reproduced from reference [81], 2011 by permission of the PCCP Owner Societies and from ref. [77], 2013 Reproduced with permission from the Royal Society of Chemistry

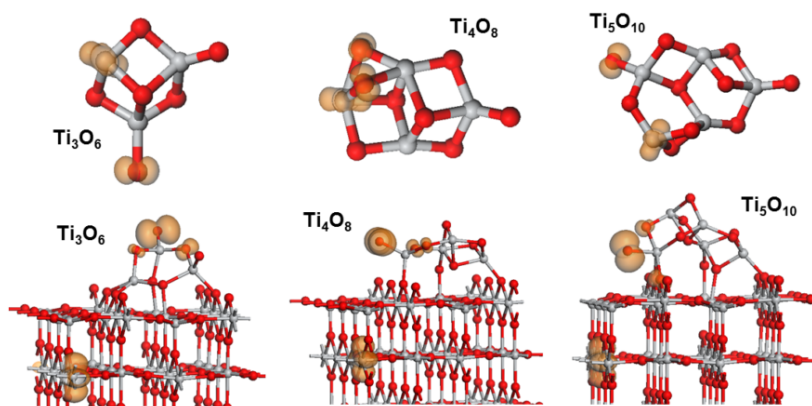


Figure 4: (Color online) Spin density plots for a selection of free  $\text{TiO}_2$  nanoclusters  $\text{Ti}_3\text{O}_6$ ,  $\text{Ti}_4\text{O}_8$  and  $\text{Ti}_5\text{O}_{10}$  (top panel) and for the same  $\text{TiO}_2$  nanoclusters supported on rutile (110) (bottom panel). The spin density isosurfaces enclose spin densities of  $0.02 \text{ eV}/\text{\AA}^3$ . Reproduced from ref. [73], 2014, with permission from the American Chemical Society

structures, the bottom of the conduction band is derived from empty  $\text{Ti}_{3d}$  states from the rutile (110) surface. For the examples of  $\text{Ti}_3\text{O}_6$  and  $\text{Ti}_4\text{O}_8$  nanoclusters, we also show in Fig. 3 the oxygen atoms of the nanocluster that contribute most significantly to the new nanocluster derived  $\text{O}_{2p}$  states that push the VB edge to higher energy. It is important to note that these oxygen atoms are those with the lowest coordination in the respective  $\text{TiO}_2$  nanoclusters and we will return to this point in later sections of this review. A final observation which is the second crucial factor for the photocatalytic properties of these structures concerns the fate of photoexcited electrons and holes. As described in detail in refs. [73, 84, 85], we have used a simple model to examine the nature of the photoexcited state. This is constructed by imposing a triplet electronic state on the system which means that an electron is now in the previously empty conduction band and there is a hole in the valence band and we can therefore investigate the nature of the photoexcited state in these structures.

Figure 4 shows the spin density plots (spin density plots show where the two unpaired electrons are located) for a selection of free  $\text{TiO}_2$  nanoclusters (top panel) and for the same  $\text{TiO}_2$  nanoclusters supported on rutile (110) (bottom panel). For the free nanoclusters the hole localises on a low coordinated oxygen atom, e.g. for  $\text{Ti}_3\text{O}_6$  and  $\text{Ti}_5\text{O}_{10}$  (as well as other larger nanoclusters, see ref. [73]) the hole is found on the singly coordinated titanyl oxygen. For  $\text{Ti}_4\text{O}_8$ , the hole spreads over two two-coordinated oxygen atoms; interestingly, this was also found using TDDFT simulations in the work of Berardo *et al.*[86]

The electron is localised onto a low coordinated Ti atom in all cases – for these NCs the Ti atom is 3 coordinated. As discussed in ref. [73], Bader charges and spin magnetisation confirm localisation of a hole (with

an  $O_{2p^5}$  configuration) and an electron (giving reduced  $Ti^{3+}$  on the oxygen and Ti atoms just described. In addition, the resulting  $Ti^{3+}-O^{2-}$  and  $Ti^{4+}-O^-$  distances are elongated which is well known for such polaronic states. When these NCs are supported on the rutile (110) surface the hole and electron are now localised onto the  $TiO_2$  nanocluster and the rutile (110) surface, respectively. This spatial separation of hole and electron upon excitation is predicted from the analysis of the character of the valence band and conduction band PEDOS in the ground state. Similar to the free nanoclusters, the hole localises onto a titanyl oxygen where one is available. This highlights the key role of low coordinated oxygen sites in hole localisation. The hole and electron separation has the potential consequence that upon excitation charge recombination will be reduced over the unmodified rutile (110) surface which can enhance photocatalytic activity.

### B. The modification of rutile (110) and anatase (001) with Transition Metal Oxide Nanoclusters

In a number of papers with the group of Tada[87–90], we have studied the modification of rutile and anatase  $TiO_2$  surfaces with nanoclusters of transition metal oxides. These include  $NiO$ [87] and  $CuO$ [90], while we also published an early DFT study of  $FeO_x$  modified rutile (110), [91]. The choice of these  $FeO_x$  is motivated initially by the work from Tada *et al.*[40][92] and Libera *et al.*[93] who fabricated  $FeO_x$ -modified  $TiO_2$  materials and found visible light absorption and enhanced photocatalytic activity compared to unmodified  $TiO_2$ . The choice of other modifiers comes from our collaboration with Tada. In this section we describe our simulation results on these systems, making comparisons to experimental work where available.

Figure 5 shows the relaxed atomic structure and computed adsorption energies (referenced to free  $FeO_x$  and bare rutile (110) surface) for the  $Fe_2O_2$  and  $Fe_2O_3$  nanocluster modification of rutile (110). Negative adsorption energies in figure 5 show that iron oxide nanoclusters are stable upon adsorption at the rutile (110) surface. The magnitude of the adsorption energy indicates that these adsorbed nanoclusters should be thermally stable. In the most stable adsorption structure of  $Fe_2O_3$ , the O and Fe atoms from iron oxide are arranged in a zig-zag pattern: O-Fe-O-Fe-O. Such a structure is also typical of the structure of the most stable gas phase  $Fe_2O_3$  cluster. Each O interacts with a 5-fold coordinated Ti atom lying along a row in the rutile (110) surface; this binding site is known for oxygen adsorbed on the rutile (110) surface. In adsorbed  $Fe_2O_3$  the Fe-O distances are 1.82 Å and 1.93 Å; the two Fe cations bind with the second oxygen. The Fe-O distances to  $TiO_2$  oxygen lie in the range 2.02 – 2.09 Å and the Ti-O distances involving oxygen from the  $Fe_2O_3$  nanocluster lie in the range 2.1 – 2.2 Å.

In  $(FeO)_2$ , the Fe cations are bound to two bridging oxygen on rutile (110); the Fe-O distances are 2.11, 2.29 Å. Oxygen from the  $(FeO)_2$  nanocluster binds to a 5-fold coordinated surface Ti atom, with a Ti-O distance of 1.89 Å. The Fe-O distances in the iron oxide cluster are 2.04 and 2.12 Å.

The PEDOS plots of  $FeO_x$  adsorbed at  $TiO_2$  shown in Fig. 5 show that adsorption of the nanocluster results in the formation of new electronic states, derived from the adsorbed  $FeO_x$  nanoclusters. These new states lie above the original rutile (110) valence band edge. Therefore, iron oxide adsorption at the rutile (110) surface has pushed the top of the valence band upwards in energy. The bottom of the conduction band is dominated by the empty  $Ti_{3d}$  states originating from surface Ti atoms. The consequence of the interaction between iron-oxide nanoclusters and  $TiO_2$  is that as a result of the shift of the valence band to higher energy, the band gap of the composite structure will be narrowed by comparison with unmodified  $TiO_2$  and there is a red shift in light absorption; we predict a shift of around 0.3 eV that suggests visible light absorption will be possible.

Finally, the positions of the  $FeO_x$  and rutile (110) electronic states is proposed to facilitate charge separation

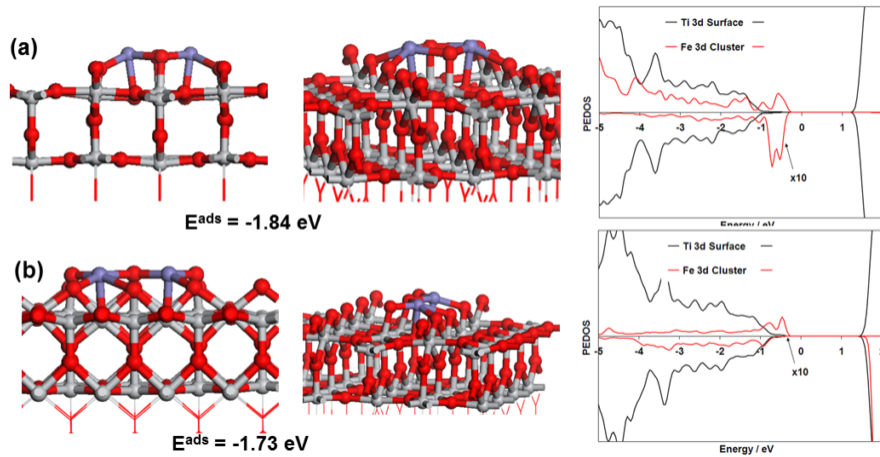


Figure 5: (Color online) (a): Atomic structure, computed adsorption energy and  $\text{Ti}_{3d}/\text{Fe}_{3d}$  PEDOS for rutile  $\text{TiO}_2$  (110) modified with an  $(\text{FeO})_2$  nanocluster. (b) Atomic structure, computed adsorption energy and  $\text{Ti}_{3d}/\text{Fe}_{3d}$  PEDOS for rutile  $\text{TiO}_2$  (110) modified with an  $\text{Fe}_2\text{O}_3$  nanocluster. Reproduced from reference [91], 2011 by permission of the PCCP Owner Societies

after excitation. Upon absorption of visible light, excited electrons will be found on the  $\text{TiO}_2$  support and the valence band holes will be found on the iron oxide nanocluster. Thus, electrons and holes will be naturally spatially separated and this will reduce the possibility of electron-hole recombination.

Together with the group of Tada *et al.* we presented two combined modelling and experimental studies of NiO-modified  $\text{TiO}_2$  and CuO-modified  $\text{TiO}_2$ . [87, 90]

The atomic structure and PEDOS for examples of  $\text{Ni}_4\text{O}_4$ -nanocluster modified anatase (001) and rutile (110) are shown in Fig. 6 (a) and (b). Both NiO nanoclusters adsorb at the  $\text{TiO}_2$  surfaces with strong gains in energy again indicating formation of new surface-nanocluster bonds. When anatase is modified with the  $\text{Ni}_4\text{O}_4$  nanocluster there are six new bonds formed and a surface oxygen atom is pulled out of the surface by 0.19 Å. In the Ni-O nanoclusters Ni-O distances are shorter when compared to Ni-O distances in bulk NiO, e.g. 1.95 Å in  $\text{Ni}_4\text{O}_4$ , compared to 2.10 Å in bulk NiO. On rutile (110), adsorption of the  $\text{Ni}_4\text{O}_4$  nanocluster results in formation of eight new bonds; three of the Ni atoms create four new Ni-O bonds to bridging surface O atoms. The shortest Ni-O distance is 1.96 Å and the longest Ni-O distance is 2.22 Å. Two bonds are from oxygen in the nanocluster binding with Ti in the surface. In these structures, any surface Ti atoms that bind to oxygen from the nanocluster are pulled out of the surface plane, with displacements of  $\sim 0.5$  Å.

Fig. 6 shows the PEDOS for  $\text{Ni}_{3d}$  and  $\text{Ti}_{3d}$  states for the examples of the  $\text{Ni}_4\text{O}_4$  nanocluster rutile and anatase surfaces. The PEDOS shows that by modifying  $\text{TiO}_2$  with NiO, new electronic states derived from the NiO nanocluster are introduced and these lie above the top of the valence band edge of the anatase and rutile surfaces. This shifts the valence band edge of nanocluster-modified  $\text{TiO}_2$  system to higher energy when compared to the unmodified surfaces. The bottom of the conduction band of the NiO-modified surfaces is dominated by surface  $\text{Ti}_{3d}$  states. In ref. [87], we showed that a closer examination of the conduction band states for some NiO-modified anatase structures indicates that there are structures, e.g.  $\text{Ni}_2\text{O}_2$ , in which empty NiO cluster levels are present at the conduction band minimum. Thus, the nature of the valence and conduction band edges can depend on the composition of the NiO nanocluster modifier.

Fig. 7 shows the atomic structure and PEDOS for anatase (001) and rutile (110) modified with CuO nanoclusters with different compositions, namely CuO and  $\text{Cu}_4\text{O}_4$ . On the  $\text{TiO}_2$  surfaces the computed adsorption energies indicate a strong adsorption of CuO nanoclusters and this is driven by formation of



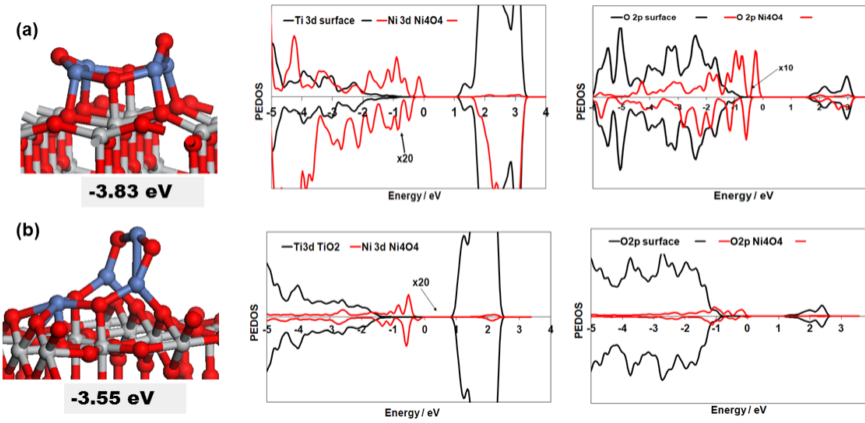


Figure 6: (Color online) (a): Atomic structure, computed adsorption energy and Ti<sub>3d</sub>/Ni<sub>3d</sub> and O<sub>2p</sub> PEDOS for anatase TiO<sub>2</sub> (001) modified with an (NiO)<sub>2</sub> nanocluster. (b) Atomic structure, computed adsorption energy and Ti<sub>3d</sub>/Fe<sub>3d</sub> and O<sub>2p</sub> PEDOS for rutile TiO<sub>2</sub> (110) modified with an (NiO)<sub>4</sub> nanocluster. Reproduced from ref. [87], 2013, with permission from the American Chemical Society

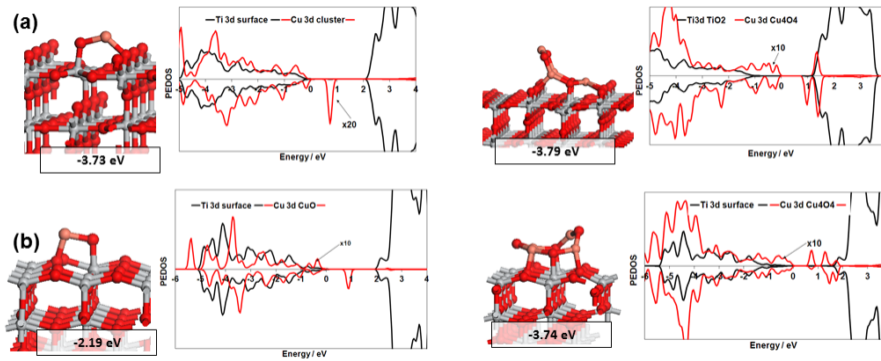


Figure 7: (Color online) (a): Atomic structure, computed adsorption energy and Ti<sub>3d</sub>/Cu<sub>3d</sub> and O<sub>2p</sub> PEDOS for rutile TiO<sub>2</sub> (110) modified with CuO and (CuO)<sub>4</sub> nanoclusters. (b) Atomic structure, computed adsorption energy and Ti<sub>3d</sub>/Cu<sub>3d</sub> and O<sub>2p</sub> PEDOS for anatase TiO<sub>2</sub> (001) modified with CuO and (CuO)<sub>4</sub> nanoclusters. Reproduced from ref. [90], 2013, with permission from the American Chemical Society

interfacial Cu-O and Ti-O bonds between the nanoclusters and the surface.

On Rutile (110), the smallest CuO nanocluster has a Cu-O distance of 1.79 Å to bridging surface oxygen and a Cu-O distance of 1.76 Å in the nanocluster. A 5-fold coordinated surface Ti binds to oxygen in the nanocluster, with a distance of 1.87 Å. The adsorbed (CuO)<sub>4</sub> nanocluster displays bonding between three Cu atoms in the nanocluster and 2-fold bridging oxygen atoms in the surface. The resulting Cu-O distances to the surface are 1.95, 1.96 and 2.1 Å. 5-fold coordinated surface Ti atoms bind to two oxygen atoms of the nanocluster, giving Ti-O distances of 1.91 Å. In the adsorbed nanocluster Cu-O distances lie in the range of 1.73 to 1.95 Å.

On anatase (001), the CuO nanocluster adsorbed with the Cu atom coordinated to a 2-fold coordinated oxygen in the surface and the Cu-O distance is 1.91 Å. Oxygen in the CuO nanocluster binds to a 5-fold coordinated surface Ti, with a Ti-O distance of 1.80 Å. This particular oxygen and a surface Ti atom are displaced away from the surface layer by 0.17 and 0.34 Å, respectively, upon interaction with CuO. The Cu-O distance in the nanocluster is 1.76 Å.

Upon adsorption of the (CuO)<sub>4</sub> nanocluster, three nanocluster Cu atoms form new Cu-O bonds to the 2-coordinated surface oxygen. The Cu-O distances are 1.88, 1.91 and 1.91 Å. These surface oxygen are

displaced upwards out of the surface plan. Two nanocluster oxygen atoms bind to Ti atoms on the surface, with Ti-O distances of 1.94 and 2.03 Å. The Cu-O distances in the nanocluster can be divided into two groups. In the first group, that involves low coordinated oxygen that do not bind to atoms in the surface, the Cu-O distances are in the range 1.76 – 1.78 Å. The second group, is those oxygen binding to Ti in the surface and the Cu-O distances are 1.86 – 1.89 Å.

Fig. 7 also shows the PEDOS for CuO and (CuO)<sub>4</sub> nanoclusters adsorbed on the anatase and rutile surfaces. The PEDOS show that CuO nanocluster modification of anatase and rutile surfaces can cause a significant change in the TiO<sub>2</sub> energy bands at both the valence and conduction band edges. Furthermore the VB and CB modification strongly dependent on the size of the CuO nanocluster, as discussed in ref. [90].

For modification of anatase and rutile with a CuO nanocluster ( $n = 1$ ) there are new, unoccupied Cu<sub>3d</sub> states in the VB-CB gap and these are consistent with the presence of a Cu<sup>2+</sup> oxidation state. If we now consider the impact of the CuO-loading (CuO nanocluster size) on rutile (110), there is some interesting behavior: namely, with increasing CuO nanocluster size, there is a significant upwards shift in the top of VB, which is  $\sim 0.7$  eV for Cu<sub>4</sub>O<sub>4</sub>-modified rutile (110). The origin of this is the CuO derived occupied states that are found at higher energy than the surface VB edge. At the same time, the empty Cu<sup>2+</sup> states, previously in the energy gap, are shifted upwards in energy to approach the TiO<sub>2</sub> CB minimum.

While an increase in CuO loading (size) induces a narrowing of the band gap compared to unmodified TiO<sub>2</sub>, the precise origin of the band gap narrowing depends on the CuO loading. Given this CuO loading dependence in the origin of the band gap change in CuO-modified, this provides an interesting possibility that control of CuO loading is a useful tool to tune the mechanism of the band gap reduction and, additionally, the nature of the photoexcitation, which will determine the activity of the photogenerated electrons and holes.

We now discuss the results from experimental studies of FeO<sub>x</sub>, NiO- and CuO-modified TiO<sub>2</sub>. We briefly recall that in the work of Tada *et al.*, the metal oxide nanocluster modifiers are loaded onto the TiO<sub>2</sub> through the CCC approach in which an organometallic precursor of the target metal is adsorbed onto TiO<sub>2</sub> and the system is calcined, which removes the organic ligand and oxidises the metal. Repeated application of this cycle increases the loading of the metal oxide modifier. Fig. 8 shows some key results from experimental work [40, 87, 89, 90], namely the UV-vis absorption spectrum and valence band edge XPS spectrum of NiO-modified TiO<sub>2</sub> (Figs. 8 (a), (b) and (c)) and the UV-vis and valence band edge XPS spectrum of CuO-modified TiO<sub>2</sub> (Figs. 8 (d), (e) and (f)).

For FeO<sub>x</sub>-modified TiO<sub>2</sub>, Jin *et al.* [92] showed a red shift in the absorption edge to longer wavelength. Valence Band Photoemission Spectroscopy was used to show that the top of the VB moves to higher energies upon modification of TiO<sub>2</sub> with the FeO<sub>x</sub> nanoclusters. This is consistent with our DFT results that show, irrespective of the Fe oxidation states (2+ or 3+), an upwards shift of the valence band edge when TiO<sub>2</sub> is modified with iron oxide nanoclusters. It is also important to note that the experiments show a clear shift of the VB edge to higher energy and not the appearance of a new dopant-induced electronic state in the TiO<sub>2</sub> VB to CB energy gap; the latter is the usual situation with respect to substitutional doping in TiO<sub>2</sub>.

For NiO-modified TiO<sub>2</sub> the experimental work shows a red shift in the absorption edge with NiO loading on TiO<sub>2</sub>. The VB photoemission spectrum shows a clear upwards shift of the valence band edge with increased NiO loading. Again, these results are consistent with the results of from DFT level simulations that indicate the VB edge moves to higher energy with NiO modification. The rise in the VB edge is large enough to induce

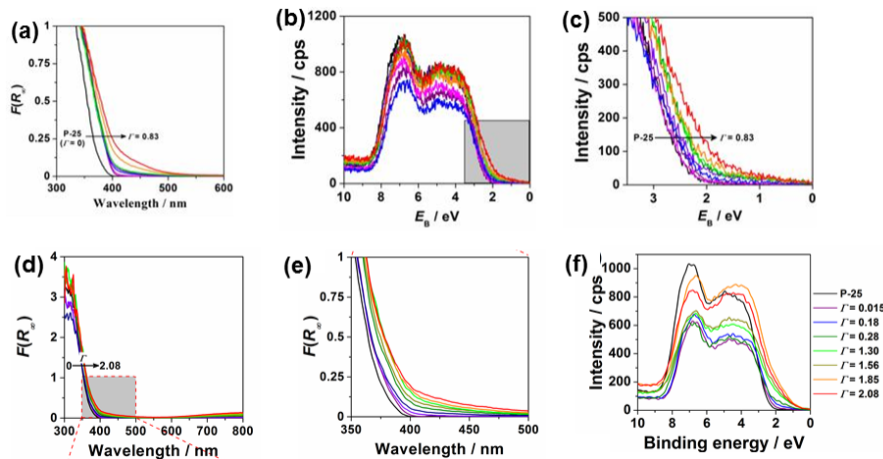


Figure 8: (Color online) (a) UV-vis absorption spectrum, (b) Valence band XPS spectrum, with a zoom into the shaded region shown in part (c) for NiO nanocluster modified  $\text{TiO}_2$ . (d) UV-vis absorption spectrum, with a zoom into the shaded region shown in part (e) and (f) Valence band XPS spectrum for CuO nanocluster modified  $\text{TiO}_2$ . Reproduced from refs. [87, 90], 2013, with permission from the American Chemical Society

a red shift in the absorption edge, but at higher loadings the shift is so large that the oxidative power of the holes is reduced and the photocatalytic activity of NiO-modified  $\text{TiO}_2$  shows a maximum as a function of NiO loading. In fact, this is a phenomenon that has been observed in experimental work.

For CuO-modified  $\text{TiO}_2$ , upon increasing the CuO loading on  $\text{TiO}_2$ , there is a significant red shift in the absorption edge to longer wavelength, thus inducing visible light absorption. An interesting point is the effect of the CuO loading on the electronic structure and the origin of the red shift. The valence band photoelectron spectroscopy results in 8 (d) suggest that there are two distinct regimes that depend on the CuO loading. At the lowest CuO loadings, there is a small upshift of the valence band edge. There is then a significant increase in the upshift of the VB edge at loadings larger than  $1.30 \text{ Cu} / \text{nm}^2$ . The red shift in the absorption edge appears to increase with CuO loading, with a different dependence. Our simulation results suggest that for low CuO coverages the empty Cu 3d states characteristic of  $\text{Cu}^{2+}$  lie below the energy of the conduction band, with an insignificant upshift of the valence band edge; thus the excitation is from the  $\text{TiO}_2$  VB to the empty Cu 3d states. When the CuO coverage increases, the CuO electronic states move to higher energy so that the VB edge is shifted to higher energy by virtue of the presence of CuO derived states and the empty in-gap Cu 3d states shift to energies above the  $\text{TiO}_2$  conduction band edge. This can be seen in the strong upwards shift of the valence band edge from the VB photoelectron spectroscopy results at higher CuO loadings.

Identifying the presence of the metal oxide nanocluster modifier on  $\text{TiO}_2$  is very challenging as techniques such as TEM or X-Ray diffraction will not allow the modifier to be characterised. To date, the effect of the oxide modifier on the key properties for photocatalysis have been examined and these are used to characterise the successful modification of  $\text{TiO}_2$ . It is important to remember that for many surface modified  $\text{TiO}_2$  systems, experimental results [32, 35, 40, 92] show that in the composite system, there is a clear shift of the valence band edge to higher energy relative to unmodified  $\text{TiO}_2$ . Also, some studies have compared modified  $\text{TiO}_2$  to the bulk metal oxide modifier, e.g.  $\text{SnO}_2$  [89] and  $\text{PbO}$  [94], which is discussed below. In these cases, it is demonstrated that bulk phases of the metal oxide modifier are not present.

If we compare to doping, in which new dopant-induced electronic states are formed in the  $\text{TiO}_2$  band gap rather than shifting the valence (or conduction) band edge, then we can see a clear difference between the two modifications of  $\text{TiO}_2$ : doping results in formation of localised electronic states that can enhance recombination,



but surface modification avoids this potentially fatal problem. In fact photoluminescence data for modified  $\text{TiO}_2$ , e.g. ref. [40] shows that the typical  $\text{TiO}_2$  photoluminescence feature is quenched when the surface modification scheme is used.

### C. Effect of Metal Oxidation State: $\text{SnO}/\text{SnO}_2$ and $\text{PbO}/\text{PbO}_2$ Nanocluster Modified Anatase (001)

Another interesting phenomenon in the choice of metal oxide modifier is the ability to tune the resulting red shift and the nature of the photoexcited state by the choice of the oxidation state of the metal oxide nanocluster modifier. We have investigated in detail two examples and experimental data is now also available to support our findings. The oxides of interest here are (1)  $\text{SnO}/\text{SnO}_2$  and (2)  $\text{PbO}/\text{PbO}_2$ . Both Sn and Pb have +2 and +4 oxidation states, with the +2 oxidation state having an electronic configuration:  $s^2p^2$ . The +2 oxidation state of both cations is key to the well known stereochemical lone pair in the bulk  $\text{SnO}$  and  $\text{PbO}$  systems which are characterised by a distorted atomic structure as described in a number of publications.[95–97]

To examine the effect of the Sn oxidation state on  $\text{SnO}_x$ -modified  $\text{TiO}_2$  Fig. 9 shows the atomic structure and the PEDOS of  $\text{Sn}_2\text{O}_4$  and  $\text{Sn}_2\text{O}_2$  modified anatase (001), and we discuss the differences between the Sn oxidation states; results for other  $\text{SnO}_x$  nanoclusters are described in detail in refs. [77, 89].

The  $\text{SnO}_2$  and  $\text{Sn}_2\text{O}_2$  nanoclusters adsorb strongly at the anatase (001) surface with adsorption energies of  $-5.29$  eV and  $-2.92$  eV that indicate a strong interaction between the  $\text{SnO}_x$  nanoclusters and the anatase (001) surface. This is particularly clear when one sees that oxygen atoms in the anatase (001) surface are strongly displaced from their surface sites to interact with the  $\text{SnO}_x$  nanoclusters. For the  $\text{Sn}_2\text{O}_4$  cluster, the displaced surface oxygen and oxygen from the nanocluster are found in similar bonding environments; the surface oxygen atoms are displaced by  $1.11$  Å. Sn-O distances are  $2.10 - 2.15$  Å. The adsorption of the  $\text{Sn}_2\text{O}_2$  nanocluster results in formation of new cluster-surface bonds; the distances between Sn in the nanocluster and oxygen in the surface are  $2.11$  and  $2.15$  Å in  $\text{Sn}_2\text{O}_2$ . For comparison the Sn-O distances in  $\text{SnO}$  litharge are  $2.26$  Å and the Sn-O distances in  $\text{Sn}_2\text{TiO}_4$  are  $2.09$  and  $2.21$  Å so the smaller  $\text{SnO}$  cluster has Sn-O distances similar to litharge.

The most significant effect of the Sn oxidation state is seen in the changes to the electronic structure of anatase upon modification with the  $\text{SnO}_x$  nanoclusters, as shown in the PEDOS plots in Fig. 9. The  $\text{SnO}_2$  nanocluster modification results in no significant changes to the valance or conduction band edges; there is a small Sn 5s contribution to the bottom of the conduction band and an  $\text{O}_{2p}$  contribution from  $\text{SnO}_2$  oxygen atoms around 1 eV below the valence band edge. Thus, modification with  $\text{SnO}_2$  should result in no shift in the absorption edge compared to unmodified anatase. There is a different effect arising from modification with  $\text{SnO}$  in which  $\text{SnO}$ -derived states are present above the valence band edge of anatase; for this particular nanocluster a small red shift of 0.3 eV would be expected which would push light absorption towards the visible region. The effect of the  $\text{Sn}^{2+}$  oxidation state is to induce states derived from the  $\text{Sn}_{5s}\text{-O}_{2p}$  interaction that are typical of this oxidation state of Sn and have been widely discussed in terms of the stereochemical lone pair in  $\text{SnX}$  ( $X = \text{O}, \text{S}, \text{Se}$ ) solids.

For  $\text{PbO}$ ,  $\text{PbO}_2$  nanoclusters ( $\text{Pb}_2\text{O}_2$ ,  $\text{Pb}_2\text{O}_4$ ), the atomic structures of modified anatase are shown in Fig. 10.[85] The computed adsorption energies are  $-6.68$  eV and  $-6.64$  eV for  $\text{Pb}_2\text{O}_2$  and  $\text{Pb}_2\text{O}_4$  nanocluster adsorption, respectively. This again illustrates a strong interaction between the nanocluster and  $\text{TiO}_2$ . The key point for our discussion is the effect that the Pb oxidation state has on the electronic structure of  $\text{PbO}_x$ -modified

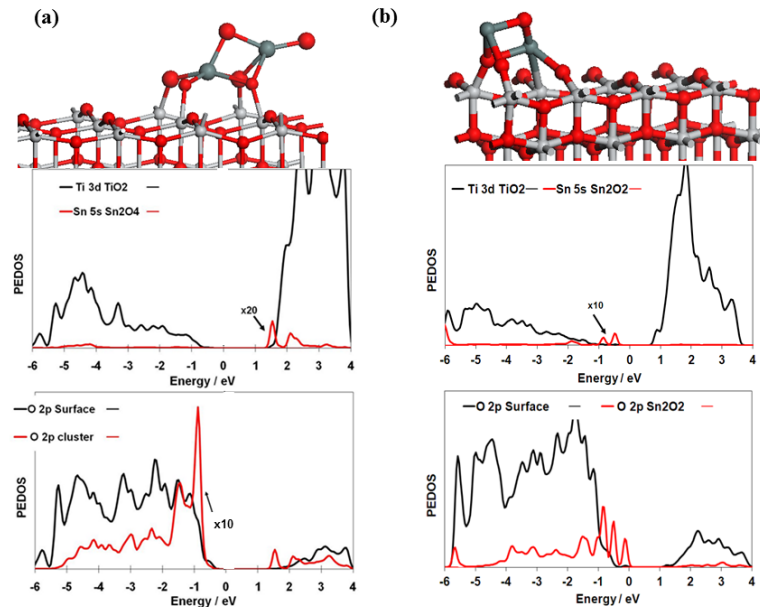


Figure 9: (Color online) (a): Atomic structure and  $\text{Ti}_{3d}/\text{Sn}_{5s}$  and  $\text{O}_{2p}$  PEDOS for  $\text{SnO}_2$  modified anatase (001). (b): Atomic structure and  $\text{Ti}_{3d}/\text{Sn}_{5s}$  and  $\text{O}_{2p}$  PEDOS for  $\text{SnO}$  modified anatase (001). Reproduced from ref. [89], 2013, with permission from the American Chemical Society and ref. [98], 2012, with permission from the Royal Society of Chemistry

anatase its role in determining the nature of the photocatalytic properties. For  $\text{PbO}$ -modified anatase, there are new  $\text{PbO}$  derived  $\text{Pb } 6s$  and  $\text{O}_{2p}$  states found lying above the anatase valence band edge. The presence of these states will shift light absorption to longer wavelength, potentially into the visible region. By contrast when the  $\text{PbO}_2$  nanocluster is adsorbed at anatase (001) there are empty  $\text{Pb } 6s$  states lying below the empty  $\text{Ti}3d$  conduction band states of anatase. This will also result in a red shift in light absorption but the mechanism in the red shift will depend on the oxidation state of  $\text{Pb}$ .

The final aspect of these modified  $\text{TiO}_2$  structures to consider is the nature of the photoexcited state and for this Fig. 11 shows the spin density plot for the relaxed triplet excited state for the example of  $\text{SnO}$ -anatase (001). This plot shows that the electron resides on a  $\text{Ti}$  atom from anatase and the hole resides on  $\text{Sn-O}$  moieties in the  $\text{SnO}$  nanocluster. The electron and hole localisation are consistent with the composition of the valence and conduction band edges of the  $\text{SnO}$ -anatase system.

While the DFT results described above give clear predictions of the effect of  $\text{SnO}_x$  and  $\text{PbO}_x$  nanocluster modification of anatase  $\text{TiO}_2$ , these need to be confronted by experiment. Therefore, in Fig. 12 we show experimental results for  $\text{SnO}_2$ -modified  $\text{TiO}_2$  (Fig. 12 (a)),  $\text{SnO}$ -modified  $\text{TiO}_2$  (Fig. 12 (b)) and  $\text{PbO}$ -modified anatase  $\text{TiO}_2$  (Fig. 12 (c)).

Figure 12 (a) shows that there is no change in the absorption edge of anatase  $\text{TiO}_2$  when modified with  $\text{SnO}_2$ ; while one  $\text{SnO}_2$  loading is shown, this result is found for all loadings considered. The second important result is that the VB edge from VB XPS spectroscopy is hardly changed after modification with  $\text{SnO}_2$  [88]. Comparison of the photoelectron spectrum of  $\text{SnO}$  and  $\text{SnO}_2$  shows that these phases are not present. There is therefore, no shift in the absorption edge when using  $\text{SnO}_2$  as the modifier of anatase, consistent with our DFT results. While there is no visible light activity in this composite system there is some enhancement of UV activity.

Figure 12 (b) shows experimental results for the UV-vis and the valence band photoelectron spectroscopy spectrum for  $\text{SnO}$ -modified  $\text{TiO}_2$  from ref. [99].  $\text{SnO}$ -modified  $\text{TiO}_2$  is prepared by adding titanium tertbutoxide

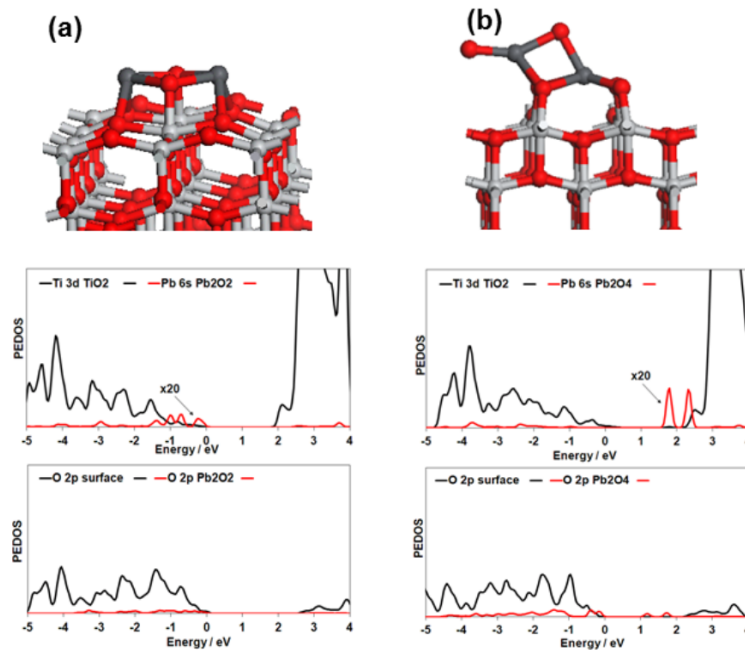


Figure 10: (Color online) (a): Atomic structure and  $\text{Ti}_{3d}/\text{Pbm } 6s$  and  $\text{O}_{2p}$  PEDOS for PbO modified anatase (001). (b): Atomic structure and  $\text{Ti}_{3d}/\text{Pb } 6s$  and  $\text{O}_{2p}$  PEDOS for PbO<sub>2</sub> modified anatase (001). Reproduced from ref. [85], 2013, with permission from the Royal Society of Chemistry

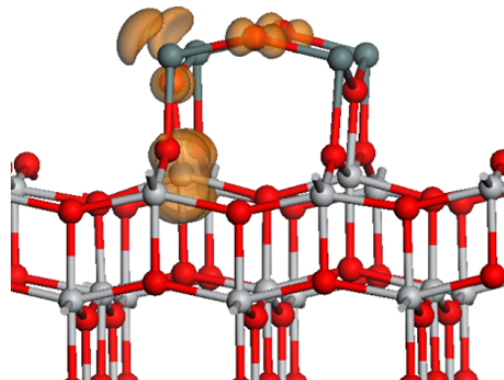


Figure 11: (Color online) Spin density plot (enclosing isosurfaces of  $0.02 \text{ eV}/\text{\AA}$ ) for the relaxed triplet electronic state of SnO modified anatase (001). The spin density plots show the location of the photoexcited electron and hole. Reproduced from ref. [84], 2013, with permission from the Royal Society of Chemistry

( $\text{Ti}(\text{OBu})_4$ ) to aqueous tin chloride in which the  $\text{Ti}(\text{OBu})_4$  is hydrolysed. The effect of the SnO modification of  $\text{TiO}_2$  is to introduce a significant red shift in the absorption edge when compared with unmodified  $\text{TiO}_2$  (which is indicated by "inf" in the spectrum of Fig. 12 (b)). From this work, the magnitude of the red shift can be 0.73 eV which is a significant change and will induce visible light absorption. This shift is also consistent with the large reduction in the energy gap predicted from our simulations. The VB photoelectron spectrum also shows that the valence band edge of the composite system is shifted to higher energy compared to unmodified  $\text{TiO}_2$ , which is the origin of the red shift in the absorption edge and is also consistent with the shift in the valence band found from our simulation results.

Figure 12 (c) shows the UV-vis spectrum and the valence band photoelectron spectrum for PbO-modified  $\text{TiO}_2$  from ref [94]. PbO-modified  $\text{TiO}_2$  is prepared by using aerosol assisted CVD to deposit  $\text{TiO}_2$  on glass and

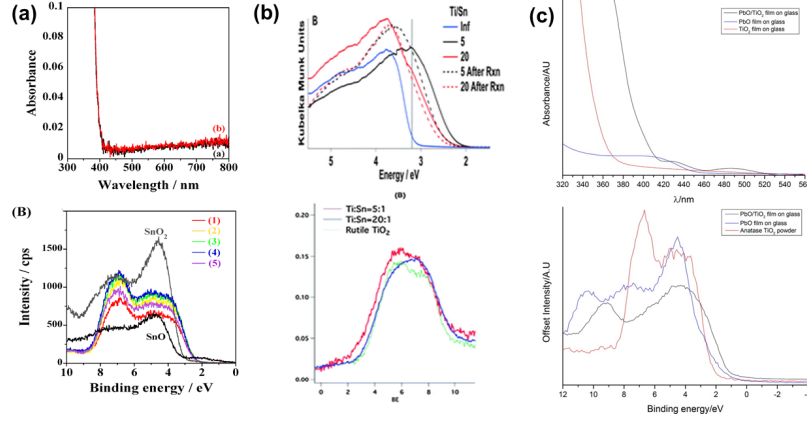


Figure 12: (Color online) (a): UV-vis and valence band XPS spectra for  $\text{SnO}_2$ -modified anatase  $\text{TiO}_2$ , (b):UV-vis and valence band XPS spectra for  $\text{SnO}$ -modified  $\text{TiO}_2$  (c): UV-vis and valence band XPS spectra for  $\text{PbO}$ -modified anatase  $\text{TiO}_2$ . Reproduced from ref. [89], 2013, and ref. [94], 2014, with permission from the American Chemical Society. Reproduced from ref. [99], 2013, by permission of the PCCP owner societies

then deposit litharge structured  $\text{PbO}$  on  $\text{TiO}_2$ . The UV-vis spectrum shows that modification of anatase with  $\text{PbO}$  results in a significant red shift of the absorption edge to longer wavelength. Comparison with unmodified  $\text{TiO}_2$  and pure  $\text{PbO}$  films shows that the  $\text{PbO}$  modification clearly changes the light absorption characteristics of  $\text{TiO}_2$ . The valence band photoelectron spectrum shows that the VB edge of  $\text{PbO-TiO}_2$  shifts to higher energy after  $\text{PbO}$  modification and a significant shift of 1 eV in the valence band edge is found so the impact of  $\text{PbO}$  modification is rather strong. This work also showed that no  $\text{PbO}_2$  phases are present, which is important to show the stability of the  $\text{PbO}$ -modified  $\text{TiO}_2$  composite system.

In a final example of modifying a non- $\text{TiO}_2$  material, Boppana *et al.*[100] also examined  $\text{ZnGa}_2\text{O}_4$  modified with  $\text{SnO}$  and  $\text{SnO}_2$  and found that any visible light absorption (or a shift from unmodified  $\text{ZnGa}_2\text{O}_4$ ) was entirely due to the presence of  $\text{SnO}$ , with no shift found when only  $\text{SnO}_2$  was used.

When comparing the DFT simulations to the, so far limited, experimental work, it is clear that there is a good correspondence between the findings of DFT simulations of these p-block metal oxide nanocluster modified systems and the available experimental results. A particularly interesting insight is that the oxidation state of Sn or Pb can be used to control both the appearance of a shift in the absorption edge and the mechanism underlying this shift.

#### IV. ADSORPTION OF WATER AT RUTILE AND ANATASE $\text{TiO}_2$ SURFACES

##### A. Water on stoichiometric and reduced rutile(110) and anatase (101)

###### 1. Geometries

Firstly, we consider the adsorption of water molecules on the rutile (110) and anatase (101) stoichiometric surfaces. In a  $(2 \times 2)$  surface expansion, eight titanium sites are available on the surface layer of both surfaces for water adsorption. When a single  $\text{H}_2\text{O}$  molecule is adsorbed on the surfaces, resulting in a 1/8 monolayer (ML) coverage, we found non dissociative molecular adsorption on both anatase (101) and rutile (110) surfaces, which is consistent with the literature [56] After geometry relaxation the Ti-O distance is 2.18 Å in rutile and

2.12 Å for anatase. When the coverage increases to 3/8 we found a different trend for the two cases, and, while a non dissociative adsorption is found on rutile, partial water dissociation takes place on anatase. For 3/8 ML coverage the Ti-O distances are 2.15, 2.18, 2.23 Å in rutile (110), while for anatase (101) the same bond distance measured to be 2.15 and 2.16 Å. When six water molecule have been adsorbed on the same surface (6/8ML), then, a spontaneous dissociative adsorption takes place on both surfaces, and bond-lengths of 1.97 Å and 0.97 Å has been obtained for Ti-O and H-O bonds, respectively, in rutile (110), while the same values are 1.90 Å and 0.97 Å in anatase (101). An illustration of the dissociative and non dissociative adsorption at different coverages on rutile and anatase surface is shown in Figs. 13 and 14. The O-H distances obtained here after hydroxylation are comparable with other works in literature.[62]

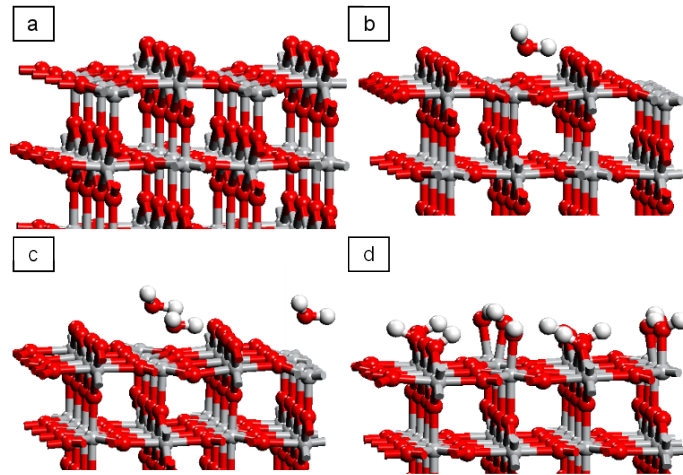


Figure 13: (Color online) Atomic structure of rutile  $\text{TiO}_2(110)$  stoichiometric surface under (a) dry conditions and with water adsorbed at (b) 1/8, (c) 3/8 and (d) 6/8 ML coverages. Gray, red and white spheres represent titanium, oxygen and hydrogen, respectively

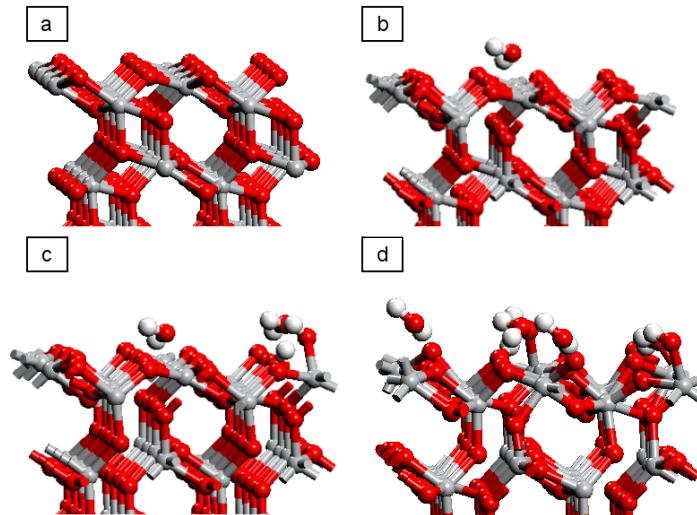


Figure 14: (Color online) Atomic structure of anatase  $\text{TiO}_2(101)$  stoichiometric surface under (a) dry conditions and with water adsorbed at (b) 1/8, (c) 3/8 and (d) 6/8 ML coverages. Gray, red and white spheres represent titanium, oxygen and hydrogen, respectively

## 2. Water Adsorption Energies

In order to analyse the effect of a humid environment on the oxygen vacancy formation energy and the adsorption of  $\text{TiO}_2$  nanoclusters, it is fundamental to first understand the effect of the water coverage on the adsorption energy on  $\text{TiO}_2$ . Adsorption energies are calculated as follows:

$$E_{\text{ads}} = E_{\text{surf+mol}} - E_{\text{surf}} - E_{\text{mol}} \quad (5)$$

Our calculations show that the water adsorption energy on both surfaces strongly depends on the water coverage, with a larger adsorption energy at low coverages, as shown in Tabs. I and II, consistent with previous work in the literature[56, 101, 102]. We found that the water adsorption energy on rutile changes from  $-1.19$  eV to  $-2.19$  eV for 6/8 and 1/8 ML, respectively. On anatase for 6/8 and 1/8 ML coverage we found adsorption energies of  $-0.61$  and  $-1.46$  eV.

Table I: Adsorption energies (in eV per molecule) of  $\text{H}_2\text{O}$  at different coverages on the stoichiometric ("Stoich") and defective rutile  $\text{TiO}_2(110)$  surface at 1/8, 3/8 and 6/8 ML

Coverage	coverages		
	Stoic.	$\text{V}_{\text{O-I}}$	$\text{V}_{\text{O-I}} - \text{V}_{\text{O-II}}$
1/8ML	$-2.19$	$-0.86$	$-1.13$
3/8ML	$-1.45$	$-0.94$	$-1.06$
6/8ML	$-1.19$	$-0.89$	$-0.98$

Table II: Adsorption energies (in eV per molecule) of  $\text{H}_2\text{O}$  at different coverages on the stoichiometric ("Stoich") and defective anatase  $\text{TiO}_2(101)$  surface at 1/8, 3/8 and 6/8 ML

Coverage	coverages		
	Stoic.	$\text{V}_{\text{O-I}}$	$\text{V}_{\text{O-I}} - \text{V}_{\text{O-II}}$
1/8ML	$-1.46$	$-1.14$	$-1.83$
3/8ML	$-0.62$	$-0.61$	$-0.49$
6/8ML	$-0.61$	$-0.48$	$-0.50$

Having calculated the binding energies, we use them to analyse water desorption as a function of the chemical potential of the oxygen in the atmosphere by means of *ab initio* thermodynamics. In this case we consider the system to be in thermodynamic equilibrium with an oxygen and a water (in its gas phase) reservoirs, in order to simulate a realistic humid environment. Surface energies and relative water adsorption energies are then calculated considering the surface in contact with two gas reservoirs, which are both in thermodynamic equilibrium with the surface but not in equilibrium with each other. In this study we consider the chemical potential of the water as independent ( $\Delta\mu_{\text{H}_2\text{O}}$ ), and we do not consider the components of water molecule (i.e. oxygen and hydrogen) as independent variables. This has been done in order to investigate water desorption as a function of atmospheric temperature and pressure, without considering a complete hydroxylation of the surfaces, see Fig. 15. For a fixed value of the chemical potential of the water we are able to extrapolate the trend of surface energies as a function of the chemical potential of the oxygen. Here the environmental conditions are considered to have a considerable concentration of water in the atmosphere. The limit for the chemical chemical

potential of water molecule in high concentration is  $-0.91$  eV and our calculation are computed in the region right before that value. This condition considers water condensation on a given surface.[62]

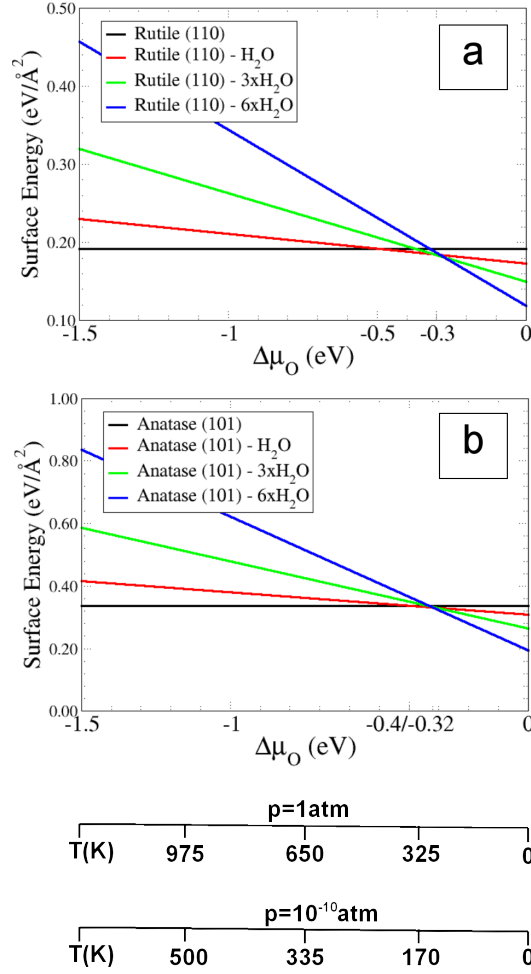


Figure 15: (Color online) Phase diagram of water desorption on rutile (110) (a) and anatase (101) (b) TiO<sub>2</sub> surface, calculated as a function of the chemical potential of the oxygen on the atmosphere.

For rutile (110) surface, we find desorption of all water at  $\Delta\mu_O \approx -0.50$  eV, when water is abundant in the atmosphere. This would correspond to a temperature of about  $T \approx 450$  K when the atmospheric pressure is  $p=1\text{atm}$ , while the same value calculated at  $p=10^{-10}\text{atm}$  becomes  $T \approx 250$  K. There is another transition to be considered. At  $\Delta\mu_O \approx -0.30$  eV we found a transition between high water coverage and low water coverage, namely from 6/8 to 1/8 ML, which means that at  $p=1\text{atm}$  and  $p=10^{-10}\text{atm}$  the transition temperatures are  $T=260$  K and  $T=160$  K, respectively. For anatase (101) total desorption of water at  $\Delta\mu_O \approx -0.40$  eV, which correspond to a temperature of about  $T \approx 350$  K and  $T \approx 200$  K when  $p=1\text{atm}$  and  $p=10^{-10}\text{atm}$ , respectively. In

this case the transition from high water coverage and low water coverage occurs at  $\Delta\mu_{\text{O}} = -0.32$  eV, which is very similar to the one obtained for rutile ( $\Delta\mu_{\text{O}} = -0.32$  eV). Such transitions might become crucial to understand the properties of nanocluster modified surfaces as we discuss in section IV B.

### 3. Vacancy formation

As previously pointed out, vacancies may be crucial in determining the reactivity of a given surface, not only representing a possible adsorption site, but also actively participating in the reaction (i.e. providing a “source” of electrons available for  $\text{CO}_2$  activation). We investigate several vacancy configurations created on the stoichiometric (clean) surface as well as the surface with water adsorbed at different coverages under our DFT+U set-up described in Section 2.

The electronic spin-density (calculated at  $0.07 \text{ e}/\text{\AA}$ ) represented in Figs. 16 and 17, for one and two vacancies, respectively, and shows the electron localisation at Ti sites on the rutile (110) surface for the different water coverages, with the typical d-orbital configuration.

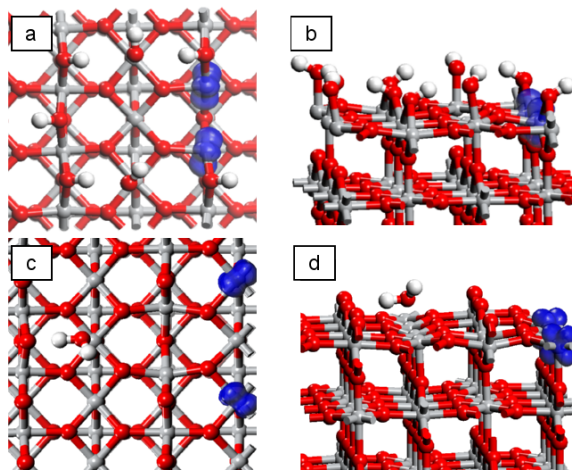


Figure 16: (Color online) Top and side view of spin-density of rutile  $\text{TiO}_2(110)$  with one oxygen vacancy at  $1/8$  ML ((a) and (b)) and  $6/8$  ML ((c) and (d)) of adsorbed water. Gray, red and white spheres represent titanium, oxygen and hydrogen, respectively, while the blue area shows isosurfaces of spin density, calculated at  $0.07 \text{ e}/\text{\AA}$

We analyse the vacancy formation energy as a function of the water coverage and we find that it is strongly influenced by the coverage. Vacancy formation energies are calculated as follows:

$$E_{\text{vac}} = E_{\text{surf+vac}} - E_{\text{surf}} - \frac{1}{2}E_{\text{O}_2} \quad (6)$$

In our study we did not allow a dissociative adsorption to take place directly on the top of the vacancy site, which means that the vacancy sites are never occupied by a hydroxyl group. This allows us to isolate and analyse vacancy interaction with atmospheric molecules. Interestingly, different trends in the vacancy formation energy are found on rutile and anatase when one or two vacancies are created in the proximity of a water adsorption site. For rutile (110), with an increase in the water coverage, the single vacancy formation energy increases, while the di-vacancy formation energy decreases (shown in Tab. III). When we introduce the effect of an oxidizing environment, considering the surfaces in equilibrium with an oxygen gas reservoir, this reflects in a phase diagram where the energy of the phase transition from low reduction to high reduction would eventually



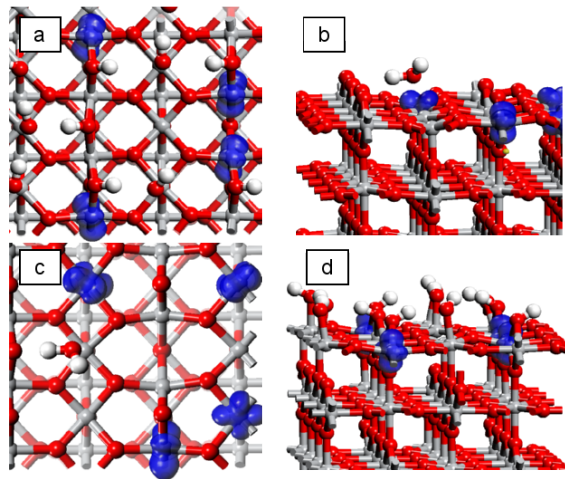


Figure 17: (Color online) Top and side view of spin-density of rutile  $\text{TiO}_2(110)$  with two oxygen vacancy at 1/8 ML ((a) and (b)) and 6/8 ML ((c) and (d)) of adsorbed water. Gray, red and white spheres represent titanium, oxygen and hydrogen, respectively, while the blue area shows isosurfaces of spin density, calculated at  $0.07 \text{ e}/\text{\AA}$

lead to the same value of the chemical potential of oxygen (i.e.  $\Delta\mu_{\text{O}} = -1.42 \text{ eV}$  shown in Fig. 18). If we consider the atmospheric pressure to be  $p = 1 \text{ atm}$  and  $p = 10^{-10} \text{ atm}$ , this value corresponds to temperatures  $T \approx 1150 \text{ K}$  and  $T \approx 700 \text{ K}$ , respectively. At low water coverage we found the two values, high vacancy density and low vacancy density, to be  $\Delta\mu_{\text{O}} = -1.63 \text{ eV}$  and  $\Delta\mu_{\text{O}} = -0.68 \text{ eV}$ .

We found a different trend in anatase: with an increase in water coverage, the single vacancy formation energy is only slightly affected with a value between  $1.51 \text{ eV}$  and  $1.56 \text{ eV}$  (shown in Tab. IV). Although there is a deviation from the trend for 1/8 ML coverage, when the energy becomes larger (i.e.  $1.83 \text{ eV}$ ). On the other hand, the di-vacancy formation energy increases with coverage from  $2.16 \text{ eV}$  to  $2.84 \text{ eV}$ . We also found a deviation from the trend when two vacancies are created near a single adsorbed water molecule ( $1.97 \text{ eV}$ ).

At high water coverage, the vacancy formation energy on anatase (101) surface is different than rutile (110) surface, with the value of  $\Delta\mu_{\text{O}} = -1.03 \text{ eV}$ , corresponding to  $T \approx 920 \text{ K}$  and  $T \approx 560 \text{ K}$ , when the atmospheric pressure is  $p = 1 \text{ atm}$  and  $p = 10^{-10} \text{ atm}$ , respectively. Also, for anatase, at low water coverage we found that the two values, high vacancy density and low vacancy density, are relatively close if compared to the rutile surface (i.e.  $\Delta\mu_{\text{O}} = -0.86 \text{ eV}$  and  $\Delta\mu_{\text{O}} = -0.60 \text{ eV}$  shown in Fig. 19).

Table III: Oxygen vacancy formation energies at different surface water coverages on the rutile  $\text{TiO}_2(110)$  surface. Adsorption energies are calculated for an isolated ( $\text{V}_{\text{O-I}}$ ) or two close ( $\text{V}_{\text{O-I}} - \text{V}_{\text{O-II}}$ ) vacancies, at 0, 1/8, 3/8 and 6/8 ML of water, and the energy is expressed in eV per vacancy.

Coverage	$\text{V}_{\text{O-I}}$	$\text{V}_{\text{O-I}} - \text{V}_{\text{O-II}}$
0 ML	1.08	1.69
1/8 ML	2.40	1.55
3/8 ML	2.60	1.51
6/8 ML	2.85	1.41

For both rutile and anatase surfaces the value of  $\Delta\mu_{\text{O}}$  at which a single vacancy is formed decreases with increasing water coverage, as shown in Figure 18. Interestingly, we found the opposite trend in the formation of the second vacancy as a function of the chemical potential of the oxygen. When the water coverage increases  $\Delta\mu_{\text{O}}$  decreases in rutile but it increases in anatase, as shown in 19. This result indicates a complex interplay

Table IV: Oxygen vacancy formation energies at different water coverages on the anatase  $\text{TiO}_2(101)$  surface. Adsorption energies are calculated for an isolated ( $\text{V}_{\text{O-I}}$ ) or two close ( $\text{V}_{\text{O-I}} - \text{V}_{\text{O-II}}$ ) vacancies, at 0, 1/8, 3/8 and 6/8 ML of water, and the energy is expressed in eV per vacancy.

Coverage	$\text{V}_{\text{O-I}}$	$\text{V}_{\text{O-I}} - \text{V}_{\text{O-II}}$
0 ML	1.51	2.16
1/8ML	1.83	1.97
3/8ML	1.55	2.53
6/8ML	1.56	2.84

between water adsorption and vacancy formation in wet conditions that may be important in the photocatalytic activity of  $\text{TiO}_2$ .

### B. $\text{TiO}_2$ Nanocluster Modification of Wet Rutile(110)

Nanocluster modified rutile (110)  $\text{TiO}_2$  surfaces have been analysed as described in Section 3. We found that the modified rutile surface can show a smaller band gap after electronic states appear on the top of valence band. This would be extremely beneficial in order to improve the photo-catalytic properties of rutile (110). However, here we want include realistic environmental conditions, which might lead to an extremely different behaviour of the same structure. Here, we consider the adsorption of  $\text{Ti}_4\text{O}_8$  nanocluster on the wet rutile (110) surface. Two situations are examined: low and high water coverage (4/8 and 6/8 ML). We calculated adsorption energies of the cluster in these conditions to be  $-5.80$  eV and  $-5.66$  eV with a partial hydroxylation of the surfaces. The adsorption energies are higher than that calculated on the dry surface ( $-3.53$  eV), indicating a more stable configuration of the cluster on wet surfaces.[73]

As shown in the previous section, a coverage of water molecules might exist on rutile (110) until high temperatures. However, a different behaviour is apparent for high and low water coverages. As shown in Fig. 20, the analysis of the PEDOS shows a water coverage dependence. While at low water coverage an effect of the NC, which creates new states above the valence band may still exist (albeit smaller than on the dry surface), the same effect completely disappears for a high water coverage, so that there is no red shift in light absorption predicted for this system. The origin of this is due to the NC oxygen atoms that at higher water coverages become hydroxylated due to hydrogen migration from adsorbed water to the  $\text{TiO}_2$  nanocluster. By passivating the titanyl oxygen in the nanocluster this removes the high energy VB states originating from the nanocluster pushing them into the VB region.

## V. CONCLUSION

By considering the relative energies of electronic states of metal oxides-coupled to  $\text{TiO}_2$ , we can examine the mechanisms of visible light photocatalytic activity in novel metal oxide modified  $\text{TiO}_2$ . Figure 21 compares the nano-(left side) and molecular (right side)  $\text{Fe}_2\text{O}_3$ - $\text{TiO}_2$  heterojunction composite structures. In the nano-coupled system, the energy bands of the individual components are unmodified; a similar scenario operates for molecular dye and quantum dot sensitised  $\text{TiO}_2$ . Upon absorption of visible light, an electron in  $\text{Fe}_2\text{O}_3$  (band gap of 2.2 eV) is excited. However, the CB-electrons will lie too low in energy to transfer to  $\text{TiO}_2$  CB and because the CB minimum of  $\text{Fe}_2\text{O}_3$  is more positive than the reduction potential of  $\text{O}_2$  ( $E^0(\text{O}_2/\text{O}_2^-) = -0.20$

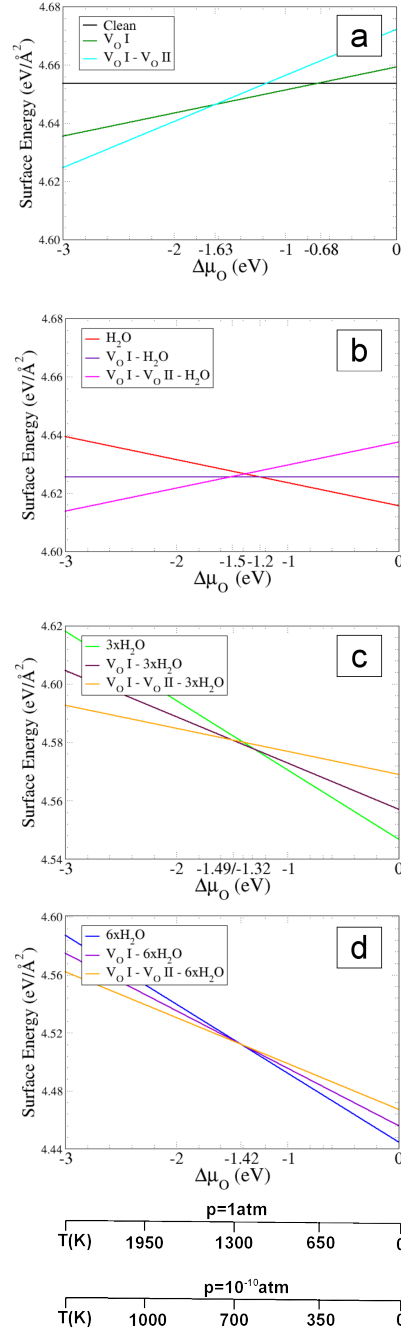


Figure 18: (Color online) Phase diagram of rutile  $\text{TiO}_2(110)$  stoichiometric (a) and reduced ((b), (c) and (d)) surfaces at different water coverages as a function of the chemical potential of atmospheric oxygen.

$V$ ,  $E_{\text{CB}}(\text{Fe}_2\text{O}_3) = +0.24 \text{ V}$ ) the CB electron will ultimately recombine with VB-holes. Thus, while visible light absorption takes place in this nano-coupled system it does not result in visible-light photocatalytic activity. In QD and dye sensitised  $\text{TiO}_2$ , the sensitizer CB energy is higher than that of  $\text{TiO}_2$ , and the excited electron transfers from the QD or dye to  $\text{TiO}_2$ . However, QD- and dye-sensitized  $\text{TiO}_2$  can suffer from oxidation and deactivation of the QD or stability issues, which puts limits on the practical use of this materials system in photocatalysis.

In contrast, and this is the significant advantage afforded by molecular scale nanocluster modification of  $\text{TiO}_2$ ,  $\text{TiO}_2$  surface modification using molecular-scale metal oxide nanoclusters generally moves the VB-maximum

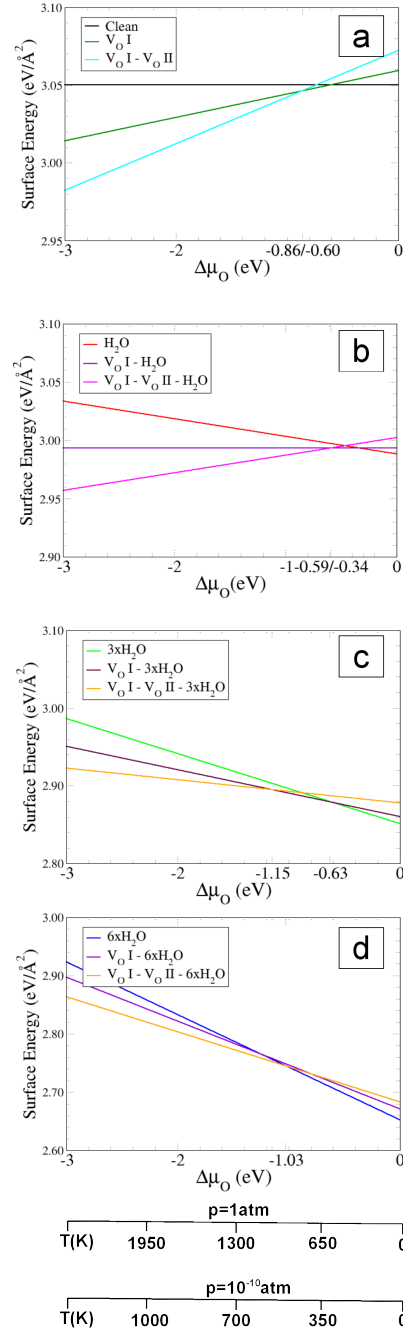


Figure 19: (Color online) Phase diagram of anatase  $\text{TiO}_2(101)$  stoichiometric (a) and reduced ((b), (c) and (d)) surfaces at different water coverages as a function of the chemical potential of atmospheric oxygen.

to higher energy, with no change to the CB-minimum (there are of course systems where the opposite occurs, but the mechanism is the same). The origin of this is as a result of the strong electronic coupling between the nanocluster and the surface through formation of new M-O-Ti interfacial bonds arising from the strong interaction between the  $\text{MO}_x$ -nanocluster and  $\text{TiO}_2$ .

An important practical question is that of the stability of the photocatalyst. The experimental work to date on modified  $\text{TiO}_2$  [32, 35, 40, 92, 94, 99] indicates good stability of these composite structures under typical experimental conditions. In addition, the study in Ref. [103] indicated good stability of the composite  $\text{NiO-TiO}_2$  structure over multiple cycles.

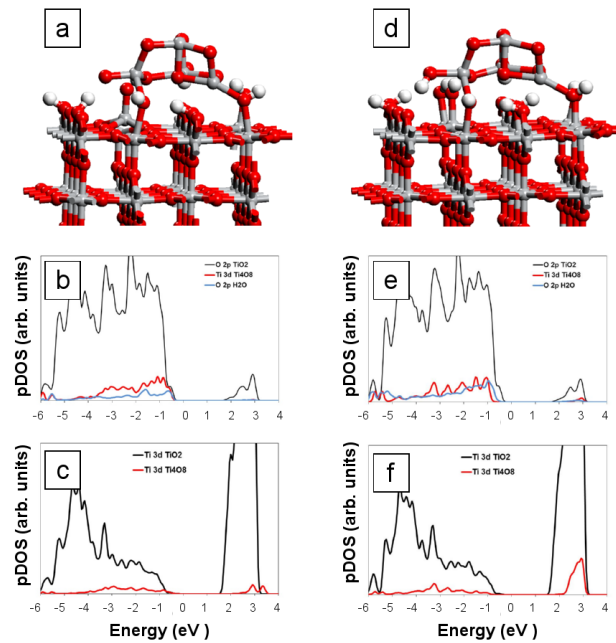


Figure 20: (Color online)  $\text{TiO}_2$  nanocluster adsorbed on rutile(110) wet surface and their calculated projected Density of States at water coverage of 4/8 ML ((a), (b) and (c)) and 6/8 ML ((d), (e) and (f)). Here gray, red and white spheres represent titanium, oxygen and hydrogen, respectively. In (b) and (e) the black, red and gray lines represent  $\text{O}_{2p}$  of the surface,  $\text{Ti}_{3d}$  of the cluster and  $\text{O}_{2p}$  of the water on the surface, respectively. In (c) and (f) the black and red lines represent the  $\text{Ti}_{3d}$  of the surface and cluster, respectively.

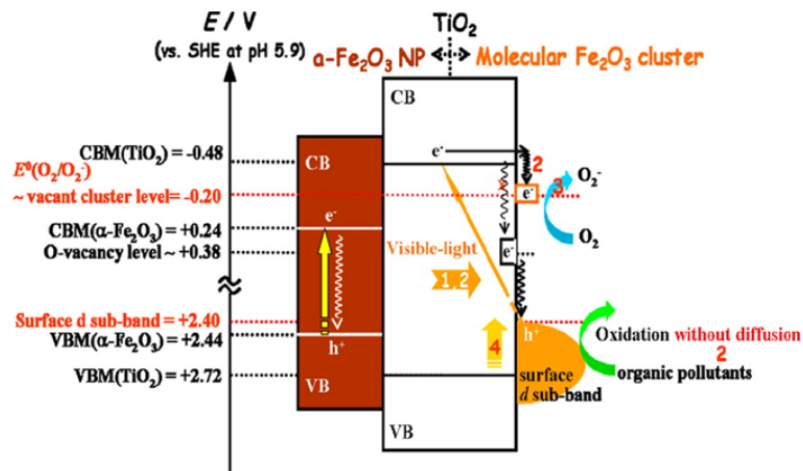


Figure 21: (Color Online) Schematic representation of the nano-scale (left side) and molecular scale (right side)  $\text{Fe}_2\text{O}_3$ - $\text{TiO}_2$  heterojunction composite structures. Reproduced from ref. [32], 2014, with permission from the American Chemical Society

The red shift of light absorption into the visible region, addresses the first major requirement for these photocatalysts. Absorption of visible-light results in electronic excitation from the highest energy occupied, nanocluster derived VB states into the CB states of the  $\text{TiO}_2$  support, to generate charge carriers.

The crucial factors in surface modification of  $\text{TiO}_2$  by  $\text{MO}_x$  clusters are as follows: First, from the experimental perspective, precise control of the loading of  $\text{MO}_x$  clusters on  $\text{TiO}_2$  is important to induce visible-light activity. If hole oxidation of a target molecule takes place, e.g. 2-naphthol, then a loading of the oxide nanoclusters that is too high will lower the oxidizing power of the photogenerated holes; this will arise from the upwards shift

in the top of VB, which can be too high so as to reduce the hole oxidising power and reduce the activity. An optimum loading of the oxide nanocluster modifier is therefore present and this depends on the identity of the nanocluster modifier and the targetted molecules.

Second, the DFT simulations indicate that the cluster size (or coverage) affects the catalytic activities of MOs/TiO<sub>2</sub>. Third, the effect of surface modification on the photocatalytic activity of TiO<sub>2</sub> can also depend on the crystal form of TiO<sub>2</sub>. Grafting of Fe<sup>3+</sup> ions results in visible-light activity for rutile, but little activity for anatase. In our own work, the surface modification of rutile TiO<sub>2</sub> with SnO<sub>2</sub> clusters increases the UV-light activity, but there is no such effect for modified anatase. Our simulations of model systems show that even though SnO<sub>2</sub> nanoclusters adsorb strongly onto surfaces of both anatase and rutile, the density of states show significant differences between anatase and rutile: on rutile, the CB edge is modified due to new SnO<sub>2</sub> states at the CB edge, increasing light absorption but for anatase, SnO<sub>2</sub> states lie above the TiO<sub>2</sub> CB with no effect as a result of SnO<sub>2</sub> modification. On the other hand, for other metal oxides, such as NiO or PbO, the crystal form of TiO<sub>2</sub> has little effect.

It is well known that the properties of metal oxide nanoclusters in the nm size regime depend on the composition and the number of atoms in the nanocluster and this will impact on the properties of surface modified TiO<sub>2</sub>. To explore this in detail requires significant effort to study a wide range of metal oxide nanocluster sizes which is beyond the scope of the work carried out to date. However, in general, for the sub-1 nm diameter nanoclusters we find that once the nanocluster binds to the TiO<sub>2</sub> surfaces, the absorption edge and electronic structure are favourably modified, so that for this size of nanocluster the exact size may not be so crucial in determining the properties of modified TiO<sub>2</sub>.

Our first results on the effect of water adsorption at rutile and anatase surfaces show that the stability of different water coverages depends on the crystal form of TiO<sub>2</sub>. The formation of oxygen vacancies depends on the crystal form, the water coverage and the vacancy concentration. Particularly interesting from the perspective of nanocluster modified TiO<sub>2</sub> is that for the case of a TiO<sub>2</sub> nanocluster on water-covered rutile the presence of water can entirely kill the effect of the nanocluster modification on the band gap, effectively giving no red shift in light absorption. This is traced back to mobile hydrogen from water migrating to low coordinated oxygen sites, passivating these sites and shifting the corresponding VB states to lower energy.

In summary, combined DFT simulation and experimental work has shown that molecular scale metal oxides of 3d transition metals and d<sup>10</sup> metals (Sn, Pb) can be formed on TiO<sub>2</sub> rutile and anatase. We have presented insights into the effect of the nanocluster modification of TiO<sub>2</sub> on structure, light absorption and charge carrier localization, giving useful guidelines to enhance the photocatalytic (UV and visible-light) activity of TiO<sub>2</sub>, which will be useful for oxidative degradation of organic pollutants or CO<sub>2</sub> reduction. Spectroscopic experiments and DFT simulations have shown that surface modification with MO<sub>x</sub> nanoclusters can shift the VB-maximum of TiO<sub>2</sub> to higher energy due to the formation of multiple new interfacial metal-O-Ti bonds; there also are oxides which shift the CB edge upon TiO<sub>2</sub> modification. The interfacial electron transfer accompanied by visible-light absorption enhances charge separation, with hole localization on the nanocluster modifier and electron localization on the TiO<sub>2</sub> support (or vice versa if the CB edge is shifted). This new nanoscale coupling of molecular scale metal oxide nanoclusters and TiO<sub>2</sub> shows great promise as a solar environmental photocatalyst that can be used to purify water and air or to reduce CO<sub>2</sub>.

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