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Modelling the changes in viscosity during thermal treatment of milk protein concentrate using kinetic data

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2	Modelling the changes in viscosity during thermal treatment of milk protein			
4	concentrate using kinetic data			
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#### 29 Abstract

30 This work aimed to model the effect of heat treatment on viscosity of milk protein 31 concentrate (MPC) using kinetic data. MPC obtained after ultrafiltration was subjected to 32 different heat treatments with time-temperature. Heat treatment at high temperature and short 33 time (i.e., 100 or 120°C×30 s) led to a significant increase in viscosity in MPC systems. 34 Second-order reaction kinetic models proved a better fit than zero- or first-order models when fitted for viscosity response to heat treatment. A distinct deviation in the slope of the 35 36 Arrhenius plot at 77.9°C correlated to a significant increase in the rate of viscosity development at temperatures above this, confirming the transition of protein denaturation 37 38 from the unfolding to the aggregation stage. This study demonstrated that heat-induced 39 viscosity of MPC as a result of protein denaturation/aggregation can be successfully modelled in response to thermal treatment, providing useful new information in predicting the effect of 40 41 thermal treatment on viscosity of MPC. 42 43 44 45 46 47 48 49

50 Keywords: Milk protein concentrate, reaction kinetics, viscosity, modelling, heat stability,

51 heat treatment

#### 53 **1. Introduction**

54 Milk protein concentrate (MPC) ingredients are generally obtained by ultrafiltration of pasteurized skim milk, often followed by diafiltration with water to remove additional 55 56 minerals and lactose (Martin et al., 2010). MPC ingredients are an excellent source of protein with good nutritional, sensory and functional properties in many food applications (Banach et 57 58 al., 2014; Huffman and Harper, 1999). MPC ingredients contain a high protein to total solids ratio, while the ratio of caseins to whey proteins is similar to that of the original skim milk 59 (Bastian et al., 1991; Green et al., 1984). Following filtration, heat treatment (high 60 temperature - short time) of liquid MPC is frequently carried out to inactivate microbiological 61 62 organisms. However, such heat treatments result in a number of physicochemical changes in 63 the liquid concentrate, in particular, denaturation and aggregation of proteins leading to an 64 increase in viscosity and possible gelation (Murphy et al., 2013; Singh and Havea, 2003; Walstra and Jenness, 1984). High viscosity of the concentrate also leads to adverse effects in 65 the manufacturing process such as a reduction in pump efficiencies, fouling on evaporation 66 67 distribution plates/tubes of calandria, and thereby effectively limiting the total solids level achievable prior to spray drying. This in turn affects the droplet size during atomization and 68 hence affects properties of the final powder (Bienvenue et al., 2003; Crowley et al., 2014; 69 70 Fryer, 1989; Schuck et al., 2005; Schuck et al., 2007).

Many previous studies have shown the significant effect of heat treatment temperature on whey protein denaturation (Anema et al., 2004; Anema and McKenna, 1996; Buggy et al., 2017; Kehoe et al., 2011; Oldfield et al., 2005; Oldfield et al., 1998) and subsequently viscosity of the concentrates such as skim concentrate (Anema et al., 2014) or concentrates containing different proportions of MPC and whey protein concentrate (Souza et al., 2015). Means of predicting and modelling the influence of heat treatment on whey protein denaturation of dairy ingredients, particularly whey proteins in whole milk (Anema and

78 McKenna, 1996), whey proteins in skim milk (Oldfield et al., 1998) or skim milk with 79 adjusted concentration of whey protein (Oldfield et al., 2005), whey proteins in high protein concentrates (Wolz and Kulozik, 2015) and heat denaturation of  $\beta$ -lactoglobulin ( $\beta$ -lg) 80 81 (Loveday, 2016) has previously been investigated using reaction kinetics. In such models, 82 measurement of residual native protein concentration, relative to its initial concentration, as a function of time at a given temperature, is commonly used to determine the kinetic 83 parameters of protein denaturation (Anema and McKenna, 1996; Kehoe et al., 2011; Oldfield 84 et al., 1998). The rate of heat-induced whey protein denaturation is assumed to be 85 proportional to the denaturation rate constant at a specific temperature and the concentration 86 87 of native protein (Anema and McKenna, 1996; Oldfield et al., 1998; Petit et al., 2011).

88 Furthermore, the Arrhenius relationship has been used to describe the dependence of 89 denaturation rate of native whey protein, particularly  $\beta$ -lg and  $\alpha$ -lactalbumin ( $\alpha$ -la) on 90 temperature (Anema and McKenna, 1996; Oldfield et al., 2005; Oldfield et al., 1998; Wolz 91 and Kulozik, 2015). In these studies, the Arrhenius plots of protein denaturation rate constant 92 were found to be linear within a certain temperature range, while there was a noticeable break in the plotted relationship at a temperature defined as the critical temperature  $(T_c)$  (Anema 93 94 and McKenna, 1996; Oldfield et al., 1998; Tolkach and Kulozik, 2007; Wolz and Kulozik, 2015).  $T_c$  has been generally found to be in the range 78-85°C (Anema and McKenna, 1996; 95 Oldfield et al., 1998; Tolkach and Kulozik, 2007; Wolz and Kulozik, 2015). Denaturation of 96 whey protein is, in fact, a two-step process involving unfolding of native protein, followed by 97 98 aggregation of protein (Brodkorb et al., 2016; Mulvihill and Donovan, 1987; Petit et al., 2011; Tolkach and Kulozik, 2007). Below  $T_c$ , the rate of protein denaturation is limited by 99 100 the unfolding of the proteins, whereas at temperatures  $> T_c$ , the rate is limited by their 101 aggregation (Brodkorb et al., 2016; Petit et al., 2011). Although reaction kinetics have been 102 extensively applied to model thermal denaturation of whey proteins (Anema and McKenna,

103 1996; Oldfield et al., 2005; Oldfield et al., 1998; Wolz and Kulozik, 2015), modelling the
104 viscosity changes of casein/whey protein systems due to heat treatment has not been the
105 subject of previously published work. The objective of this study was to develop a model,
106 which would allow quantification of the effect of heat treatment on the viscosity of MPC,
107 obtained directly after ultrafiltration of skim milk, and thus allow determination of reaction
108 kinetics of heat-induced denaturation.

109

110 **2.** Materials and Methods

111 2.1. Preparation of milk protein concentrate

112 MPC was produced by ultrafiltration (UF) of pasteurized skim milk at 12°C using 10 kDa 113 molecular weight cut-off, spiral-wound, polymeric membranes and at a volume concentration factor of 5 in a local commercial dairy processing plant. The membrane filtration plant, 114 operating under continuous mode, had a final UF retentate total solids (TS) content of 19.8% 115 (w/w), pH=6.7 at 20 °C. The protein, fat, ash, and lactose contents were 87.3, 1.12, 7.04 and 116 2.15% (w/w, dry basis), respectively. The protein composition of the liquid MPC was as 117 follows:  $\kappa$ -casein 1.48%, w/w,  $\alpha_{s2}$ -casein 1.75%, w/w,  $\alpha_{s1}$ -casein 5.98%, w/w,  $\beta$ -casein 118 5.55%, w/w, α-lactalbumin 0.52%, w/w, β-lactoglobulin 2.09%, w/w. The MPC liquid 119 concentrate obtained directly after membrane filtration was subjected to a number of heat 120 121 treatment temperatures as outlined in Fig. 1. Samples in triplicate were heated at 85, 100 or 122 120 °C with holding times of 15, 30, 60 or 200 s, and immediately cooled to 45 °C using a pilot scale Microthemics tubular heat exchanger (MicroThermics, NC, USA). 123

124

#### 125 2.2. Viscosity measurements of milk protein concentrate

126 Viscosity of MPC obtained directly after UF and post-heat treatment (Section 2.1) 127 were measured at 45 °C using a controlled-stress rheometer (AR2000ex Rheometer, TA

Instruments, Crawley, UK), equipped with a concentric cylinder geometry and Peltier controlled heating system to replicate the temperature of the evaporation stage before spray drying. Measurements were performed over a shear rate ramp ranging from 10 1/s to 300 1/s over 5 min and held at 300 1/s for 5 min. Note: At a shear rate of 300 1/s, heat-induced viscosities were found to be constant for all samples over 5 min (Appendix Fig. A1). All measurements were carried out in triplicate.

Viscosity was also measured as a function of temperature in the range from 55 to 75 134 °C. The MPC liquid samples obtained after UF were subjected to storage under isothermal 135 136 conditions in the concentric cylinder geometry of the rheometer at different temperatures of 55, 60, 65, 70 or 75 °C. To avoid water evaporation during the measurement, three drops of 137 tetradecane were added on top of the sample immediately after loading. Samples were rapidly 138 heated to the controlled temperature and subsequently viscosity was recorded at a constant 139 140 shear rate of 300 1/s over 5 min. The rate of viscosity increase due to heat treatment was represented as the slope of the curve at the time when viscosity initially increased (i.e., the 141 142 rate > zero) (see Appendix Fig. A2). At 55 and 60 °C, a slight decrease in viscosity over time 143 indicated thinning behaviour of the MPC liquid concentrate (Appendix Fig. A2 A and B); 144 therefore, a linear fit was applied at the time (>100 s) when viscosity over time was observed 145 to be linear. In Appendix Fig. A2 C. D. and E. a linear fit was applied at the time when viscosity initially increased. The rate of viscosity increase due to heat treatment was 146 147 represented as the slope of the fitted curve (Appendix Fig. A.2 F). All measurements were 148 carried out in triplicate.

149

150 2.3. Polyacrylamide gel electrophoresis

Protein profiles of MPC before and after thermal treatment (as defined in Section 2.1)
were determined by polyacrylamide gel electrophoresis (PAGE) (Buggy et al., 2017). The

153 samples were dissolved to create reducing and non-reducing conditions in a lithium dodecyl 154 sulphate (LDS) buffer, pH 8.4 with 10 µL of the sample added to wells in a 12% Bis-Tris Nu-PAGE Gel and electrophoresis was carried out using an X-Cell Surelock electrophoresis unit 155 156 (Novex Technologies). The samples were prepared to contain 1 µg protein per µL of sample buffer solution. After electrophoresis, the gels were stained overnight using 0.05% (w/v) 157 Coomassie brilliant blue R-250 in 25 % (v/v) isopropanol and 10 % (v/v) acetic acid. After 158 staining, the gels were de-stained using a 10 % (v/v) isopropanol and 10 % (v/v) acetic acid 159 160 solution until a clear background was achieved.

161

162 2.4. Modelling viscosity increase during heat treatment by reaction kinetics

163 The rate of protein denaturation was calculated using the following reaction kinetics164 model (Kehoe et al., 2011):

 $165 \quad \frac{\partial C_P}{\partial t} = -k_T C_P^n \tag{1}$ 

where *n* is the reaction order,  $k_T ((\%)^{1-n}/s)$  is the overall rate constant of protein denaturation at temperature *T* (K),  $C_p$  (%, w/w) is the native protein content of the concentrate prior to heat treatment, *t* (s) is the holding time. Models were constructed based on zero (n=0), first (n=1) and second (n=2) order reaction kinetics (see Appendix A, B and C).

Heating milk proteins at high temperature causes irreversible protein denaturation leading to aggregation and increases in concentrate viscosity (Anema et al., 2014; Souza et al., 2015). In this study, the increase rate of viscosity was assumed to be a linear response to the rate of protein denaturation.

174 
$$\frac{\partial \eta}{\partial t} = -\alpha \frac{\partial C_P}{\partial t} = \alpha k_T C_P^n$$
 (2)

175 where  $\eta$  (mPa.s) is the viscosity of the concentrate,  $\alpha$  (mPa.s/%) is the coefficient 176 representing response of the viscosity to protein denaturation. At a constant temperature, *T*,

177 viscosity increase due to heat treatment time is shown in Table 1. Further details of equation178 derivations in Table 1 are described in Appendix A, B and C.

179

180 2.5. Arrhenius relationship between the rate of viscosity increase and heat treatment
181 temperature

182 An Arrhenius plot involving the logarithm of relative rate of viscosity increase and 183 the inverse of heat treatment temperature 1/T (1/K) was used to investigate the effect of 184 temperature on the viscosity rate constant and its response to heat treatment. The relative rate 185 of viscosity increase at time zero was defined as follows:

186 
$$v_{\eta,0} = \frac{\partial \eta}{\eta_0 \partial t}\Big|_{t0}$$
(3)

187 where  $\eta_0$  (mPa.s) is the viscosity of the concentrate at the initial time zero.

For low temperature heat treatments (65, 70 and 75 °C), the initial rate of viscosity increase,  $\frac{\partial \eta}{\partial t}\Big|_{t0}$ , was determined from the slope of viscosity as a function of time at time  $t_0$ when viscosity initially increased. Note that the negative rates of increase in viscosity at 50 and 60 °C were due to temperature-induced thinning behaviour and were disregarded.

For high temperature heat treatments (85, 100 and 120 °C), the relative rate of viscosity increase from time zero was calculated from the second order model as follows:

194 
$$v_{\eta,0} = \frac{\partial \eta}{\eta_0 \partial t} \Big|_{t0} = \left(\frac{\eta_m}{\eta_0} - 1\right) k_T C_0$$
 (4)

- 195 where the parameters of the model are described in Table 1.
- 196

#### 197 2.6. Effect of protein content on the viscosity of milk protein concentrate

The MPC liquid concentrate described in Section 2.1 (19.8% TS and 17.3%, w/w, protein) was diluted to 13.8% TS (12.1 % w/w, protein) with a dilution factor of 0.7. The diluted concentrate was then heat treated at 120 °C with holding times of 15, 30 and 60 s, and

201 immediately cooled to 45 °C using a pilot scale Microthemics tubular heat exchanger. Subsequently, the post-heat treatment MPC samples were concentrated back to their original 202 203 TS (19.8% TS) using forward osmosis (FO) membrane system (FO Mode Micro pilot unit, evapEOs, Ederna SAS, Toulouse, France). The FO system was equipped with e+ membranes 204 205 that allowed water to permeate from the liquid MPC across the membrane to the draw solution (25 L, H<sub>2</sub>Os<sup>TM</sup>, E326, H<sub>2</sub>O, Ederna SAS, Toulouse, France). Both liquid MPC and 206 draw solution were continuously circulated until TS content of the liquid MPC reached 207 19.8% (w/w). The temperature during filtration was controlled at 20°C using a Huber cooling 208 209 system (Pilot ONE, Offenburg, Germany).

Viscosity of MPC obtained after FO concentration were measured using a shear rate 210 211 ramp ranging from 10 to 300 1/s over 5 min and held at 300 1/s for 5 min at 45 °C using a controlled stress rheometer equipped with a concentric cylinder geometry and Peltier-212 213 controlled heating system. All measurements were carried out in triplicate. Viscosity 214 measurements of MPC heated at 12.1% (w/w) protein were then compared to those heated at 17.3% (w/w) protein. Finally, the model of heat induced viscosity described in Section 2.4 215 216 (Table 1) was used to estimate the effect of protein content on the viscosity of MPC at 12.1% 217 (w/w, protein). Since the MPC liquid concentrate was diluted by the factor of 0.7, the rate constant of viscosity response  $k_{120}C_{0, P=12.1\%}$  in the second-order kinetic model was assumed to 218 be equal to  $0.7 \cdot k_{120} C_{0, P=17.3\%}$  where  $C_{0, P=12.1\%}$  and  $C_{0, P=17.3\%}$  are the initial levels of native milk 219 220 proteins in the concentrates containing 12.1 and 17.3% (w/w) total protein, respectively.

221

#### 222 2.7. Statistical analysis and parameter estimation

Heat induced viscosity data were analysed using one-way analysis of variance (ANOVA), with post hoc Tukey analysis using SPSS statistics software (SPSS V.18, IBM, New York, US).

The parameters of the model described in Section 2.4 and 2.5 were estimated by minimising the sum square difference between the viscosity values at different heat treatments predicted by the model (Eq. 5 or Eq. 6 in Table 1) and the measured ones using a nonlinear estimation programme written in Matlab (The Mathworks, Inc., Natick, USA). In the model,  $R^2$  which is defined in Appendix D, was used to evaluate the goodness of fit of the model.

- 232
- 233 **3.** Results and discussion

234 3.1. Effect of heat treatment on the protein profile of milk protein concentrate

235 SDS-PAGE protein profiles of MPC samples under non-reducing and reducing 236 conditions before and after heat treatment are shown in Fig. 2. Whey protein bands can be 237 observed for the control (unheated) and are also present, although more faint, for the samples 238 subjected to heat treatment regimes of 85 and 100 °C for 30 s under non-reducing conditions. However, higher heat treatment temperatures/times resulted in complete loss of native  $\beta$ -lg 239 240 and  $\alpha$ -la bands in the non-reducing SDS-PAGE gel (Fig. 2; lanes 4-9). Furthermore, the 241 presence of aggregated protein material in the stacking gel of the non-reducing SDS-PAGE gel indicated that temperatures  $\geq 85$  °C resulted in the formation of large disulphide-linked 242 243 protein aggregates. Many previous studies (Anema and McKenna, 1996; Oldfield et al., 2005; 244 Oldfield et al., 1998; Petit et al., 2011) have reported the rapid denaturation of whey proteins at temperatures greater than 78 °C. Loss of native whey protein indicated by SDS-PAGE 245 246 analysis (non-reducing lanes, Fig. 2) revealed the large extent of irreversible protein 247 denaturation at high heat treatment temperatures (i.e., 80–120 °C). These high heat treatment temperatures are typical of those used in the manufacture of MPC ingredients, which are used 248 249 not only to comply with microbiological specifications but also to impart certain functionality requirements for the end-user. 250

251

252

#### 3.2. Effect of heat treatment on the viscosity of milk protein concentrate

253 The apparent viscosity of MPC samples, taken after indirect tubular heating decreased 254 with increasing shear rate (Appendix Fig. A3 A). However, the ratio of heat-induced viscosities to that of the unheated control sample were shown to be relatively constant over 255 256 different shear rates (Appendix Fig. A3 B), indicating that the effect of heat-induced viscosity was independent of shear rate. Furthermore, the viscosity was shown to increase with 257 258 increasing heat treatment temperature and holding time (Fig. 3 and Appendix Table A1). The viscosity values of all heat treated MPC samples were significantly (P < 0.05) greater than 259 that of the control sample (Fig. 3). MPC heat treated at 120 °C for 15 to 30 s had significantly 260 261 (P < 0.05) higher viscosity than those heated at 85 °C and 100 °C over the same time period. Interestingly, heat-induced viscosity levelled off in MPC samples heated at 120 °C for 262 extended holding times (i.e., 30 to 200 s; Fig. 3). The results indicated that once protein 263 264 denaturation and aggregation occurred due to the high temperature (i.e., 120 °C), holding the product for longer did not significantly (P > 0.05) increase the viscosity. 265

266

#### 267 3.3. Modelling the viscosity increase due to protein denaturation

The heat treatment temperatures described in Section 3.1 were all  $\geq$  85 °C, where the 268 269 protein denaturation rate was considered to be limited by their aggregation rate (Brodkorb et al., 2016; Petit et al., 2011). The viscosity of the MPC at time zero ( $\eta_0 = 8.45$  mPa.s) was 270 271 measured prior to heat treatment. The parameters and their 95% confidence intervals estimated by first- and second-order reaction kinetic models are shown in Table 2. The 272 second-order model had a better fit compared to the first-order model, with an  $R^2$  value of 273 0.91 and 0.87, respectively. Estimated rate constants ( $k_T C_0$ ) at 100 and 120 °C were 274 significantly higher (2.65 and 18.9 fold, respectively), compared to at 85 °C. The zero-order 275

276 model indicated a linear response between viscosity and time at a constant temperature T277 (Appendix Fig. A4). However, since the experimental viscosity data showed a non-linear response to heat treatment time (Fig. 3), the zero-order model was not suitable ( $R^2$ =0.55) and 278 therefore disregarded. A number of previous studies (Anema, 2016; Anema and McKenna, 279 280 1996; Oldfield et al., 2005; Oldfield et al., 1998; Wolz and Kulozik, 2015) have examined the reaction kinetics involved in whey protein denaturation and aggregation based on the level of 281 residual native whey protein using first- and second-order kinetics. However, the present 282 283 study has shown that by measuring viscosity and its response to thermal treatment a secondorder model can be applied to predict increases in product viscosity during high temperature-284 285 short time thermal processing. In addition, the response of MPC viscosity to heat treatment 286 was also carried out at shear rates of 100 and 200 1/s (Appendix Fig. A5) and indicated similar trends in the response of the measured and predicted heat-induced viscosities at the 287 288 two different shear rates. This shows the robustness of the model, indicating that the shear rate used during viscosity measurement is not a factor in predicting heat-induced viscosity. 289

290 Viscosity of MPC heat treated (120 °C for 15, 30 or 60 s) at two different protein concentrations (i.e., 12.1 or 17.3%, w/w) are shown in Fig. 4. Thermal treatment of the MPC 291 sample with a protein content of 12.1% (w/w) at 120 °C and subsequently concentrated to a 292 protein content of 17.3% (w/w) had a significantly lower viscosity, compared to MPC heated 293 at 120 °C at 17.3% (w/w) protein across all holding times (Fig. 4). In fact, protein 294 295 denaturation rate was found to increase with increasing total protein concentration (Law and Leaver, 1997; Wolz and Kulozik, 2015). Values of denaturation rate of  $\alpha$ -la and  $\beta$ -lg were 296 297 found to increase by 84 and 92% when doubling concentration of total protein in skim milk heated at 80 °C (Law and Leaver, 1997). Wolz and Kulozik (2015) proposed that high protein 298 299 content of concentrates induced a faster thermal denaturation, most likely due to the increased probability of collisions between whey protein molecules. Our results confirmed promotion 300

301 of protein aggregation at high protein content resulting in large heat-induced viscosity of 302 MPC. Overall, the model developed in this study showed it was possible to predict heat-303 induced viscosity in relation to the initial protein content of the MPC.

304

305 3.4. Heat-induced viscosity changes in the protein unfolding temperature range (55-75

306 °*C*)

Viscosity measurements of MPC liquid concentrate measured at 55, 60, 65, 70 and 75 307 308 °C are shown in Fig. 5. Over this temperature range, the rate of protein denaturation was 309 relatively low and limited by the rate of unfolding (Brodkorb et al., 2016; Petit et al., 2011). A decrease in viscosity was observed with increasing temperature from 55 to 70 °C, 310 confirming a negative correlation between viscosity and temperature (Fig. 5A). A slight 311 312 decrease in viscosity (by < 4.5% of their initial values) was also found when MPC was 313 measured at 55 and 60 °C as a function of time, as shown by the negative values in the slope of the curve (Appendix Fig. A2 A and B) and in the rate of viscosity increase (Fig. 5B). Non-314 315 Newtonian shear thinning behaviour of micellar casein concentrate was previously reported 316 to be affected by both concentration and temperature, but less pronounced at temperatures above 60 °C (Appendix Fig. A2) (Sauer et al., 2012). Therefore, increase in viscosity 317 318 observed in this study for the viscosity-time profiles at 65, 70 and 75 °C was due to protein denaturation/aggregation (Fig. 5). The rate of increase in viscosity by protein 319 320 denaturation/aggregation was represented as the slope of the curve at the time when viscosity began to increase (Appendix Fig. A2 C, D and E). This rate of increase in viscosity, as a 321 322 function of temperature is calculated and shown in Fig. 5B and Appendix Table A2. The 323 negative rates of increase in viscosity at 50 and 60 °C due to shear thinning behaviour were disregarded and only the positive rates at 65, 70 and 75 °C were further considered for the 324 325 Arrhenius plot in the unfolding-limited temperature range.

326

#### 327 3.5. Temperature dependence of viscosity increase due to protein denaturation

The Arrhenius relationship is commonly used to describe temperature dependence on 328 329 protein denaturation rate (Anema and McKenna, 1996; Oldfield et al., 2005; Oldfield et al., 1998; Wolz and Kulozik, 2015). In this study, the Arrhenius relationship was extended 330 331 further to describe the relationship between temperature and the rate of increase in viscosity (Fig. 6). The slope of  $\ln(v_{\eta,0})$  as a function of 1/T represents the activation energy,  $E_{a}$ , 332 333 indicating the changing rate of increase in viscosity as a response to temperature. Interestingly, the Arrhenius plot showed two regions in which the  $E_a$  differed. Estimated  $E_a$ 334 was 99.0 kJ/mol and 442 kJ/mol in the high and low temperature regions, respectively. The 335 336 critical temperature  $(T_c)$  was determined as the intersection point of the two fitted linear plots 337 of  $\ln(v_{n,0})$ , as a function of 1/T.

The sharp deviation in the Arrhenius plot was found at  $T_c$  equal to 77.9 °C (Fig. 6) and 338 was similar to that of  $\beta$ -lg denaturation (78 °C), described by Tolkach and Kulozik (2007) 339 340 and Blanpain-Avet et al. (2016). The deviation from the linear model in the Arrhenius plot is due to a shift from the protein unfolding-limited rate to the aggregation-limited rate at  $T_{\rm c}$ 341 342 (Petit et al., 2011). In fact, Anema and McKenna (1996) showed a significant change in the Arrhenius plot at a  $T_c$  of ~80 °C for denaturation of  $\alpha$ -lac and 85 °C for the denaturation of 343 different variants of  $\beta$ -lg. Similarly, Oldfield et al. (1998) indicated that whey protein ( $\beta$ -lg. 344 and  $\alpha$ -lac) denaturation showed a break in the Arrhenius plot at approximately 80 to 90 °C. 345

The relationship between the protein denaturation rate and temperature is also presented by the activation energy ( $E_a$ ) (Anema and McKenna, 1996; Oldfield et al., 1998; Petit et al., 2011).  $E_a$  values obtained from this study compared to previous literature are shown in Appendix Table A3. Previous studies used powder ingredients such as, rehydrated whole milk, skim milk and  $\beta$ -lg dispersions by described by Anema and McKenna (1996),

Oldfield et al. (1998) and Tolkach and Kulozik (2007), respectively. Differences in  $E_a$  values may be explained by differences in protein ingredients and their thermal history during manufacture.

Future work may examine the correlation between protein aggregation and heatinduced viscosity based on the level of protein-protein interactions, particularly between  $\beta$ -lg and  $\kappa$ -casein. In addition, an extension of the model taking into account pH, minerals and calcium ion activity would be useful in understanding the mechanisms responsible for heatinduced changes in viscosity of MPC systems.

359

#### 360 **5.** Conclusion

361 Modelling the reaction kinetics of milk protein denaturation using viscosity data from the thermal treatment of MPC proved successful. Therefore, the effect of thermal heat 362 treatment regimes on milk protein viscosity can be predicted and used to set limits both in 363 364 terms of heat treatment temperature and holding times. In order to effectively model this data 365 the viscosity changes due to protein denaturation a second-order reaction model proved superior over zero- or first-order reaction models. Furthermore, the use of an Arrhenius plot 366 to profile the rate of viscosity increase in response to temperature confirmed the transition of 367 protein denaturation behaviour from the unfolding to the aggregation state. This study could 368 be used to simulate and/or optimise the effect of heat treatment in order to minimise protein 369 370 denaturation and to avoid increases in product viscosity during evaporation in MPC 371 manufacture, helping to improve process efficiency and product quality.

- 373
- 374
- 375

376	Appendices				
377	Appendix A				
378	Zero order reaction kinetics				
379	From Eq. 1, the rate of protein denaturation follow zero order reaction $(n = 0)$ could be				
380	written as				
381	$\frac{\partial c_P}{\partial t} = -k_T \tag{A1}$				
382	At a constant temperature <i>T</i> , Eq. (A1) could be rewritten as				
383	$C_P = -C_0 k_T \tag{A2}$				
384	where $C_0$ (%, w/w) is the initial native protein level (at $t = 0$ ) of the concentrate subjected to				
385	heat treatment.				
386	At the constant temperature $T$ , Eq. (2) could be integrated as follows				
387	$\int_0^t \partial \eta = \int_0^t \alpha k_T \partial t = \int_0^t \alpha k_T \partial t \tag{A3}$				
388	The viscosity $\eta$ of the concentrate at the time <i>t</i> in Eq. (A3) could be solved as:				
389	$\eta = \eta_0 + \int_0^t \alpha k_T \partial t = \eta_0 + \alpha k_T t \tag{A4}$				
390	where $\eta_0$ (mPa.s) is the viscosity of the concentrate at the initial time.				
391					
392	Appendix B				
393	First order reaction kinetics				
394	From Eq. 1, the rate of protein denaturation assumed to follow first order reaction $(n = 1)$				
395	could be written as				
396	$\frac{\partial C_P}{\partial t} = -k_T C_P \tag{B1}$				
397	At a constant temperature $T$ , Eq. (B2) could be rewritten as				
398	$C_P = C_0 \exp(-k_T t) \tag{B3}$				

399	where $C_0$ (%, w/w) is the initial native protein level (at $t = 0$ ) of the concentrate subjected to			
400	heat treatment.			
401	At the constant temperature $T$ , Eq. (2) could be integrated as follows			
402	$\int_{0}^{t} \partial \eta = \int_{0}^{t} \alpha k_{T} C_{P} \partial t = \int_{0}^{t} \alpha k_{T} C_{0} \exp(-k_{T} t) \partial t $ (B3)			
403	The viscosity $\eta$ of the concentrate at the time <i>t</i> in Eq. (B4) could be solved as:			
404	$\eta = \eta_0 + \int_0^t \alpha k_T C_0 \exp(-k_T t) \partial t = \eta_0 + \alpha C_0 (1 - \exp(-k_T t)) $ (B5)			
405	where $\eta_0$ (mPa.s) is the viscosity of the concentrate at the initial time.			
406	Eq. (B5) could be rewritten as follows			
407	$\eta = \eta_0 + (\eta_m - \eta_0)(1 - \exp(-k_T t))$ (B6)			
408	and $\eta_m = \eta_0 + \alpha C_0$ (B7)			
409	where $\eta_m$ (mPa.s) is the maximal viscosity at temperature <i>T</i> due to heat treatment (the			
410	asymptotic viscosity as <i>t</i> approaches infinity).			
411				
412	Appendix C			
413	Second order reaction kinetics			

- 414 The rate of protein denaturation assumed to follow second order reaction (n = 2) could be
- 415 written as

416 
$$\frac{\partial C_P}{\partial t} = -k_T C_P^2$$
 (C1)

- 417 At a constant temperature T, the concentration of native protein  $C_P$  at time t could be
- 418 rewritten as
- 419  $C_P = \frac{C_0}{1 + k_T C_0 t}$  (C2)
- 420 where  $C_0$  (%, w/w) is the initial native protein level (at t = 0) of the concentrate subjected to
- 421 heat treatment.
- 422 At the constant temperature T, Eq. (2) could be integrated as follows

423 
$$\int_0^t \partial \eta = \int_0^t \alpha k_T C_P \partial t = \int_0^t \alpha k_T \frac{C_0}{1 + k_T C_0 t} \partial t$$
(C3)

424 The viscosity  $\eta$  of the concentrate at the time *t* in Eq. (C3) could be expressed as:

425 
$$\eta = \eta_0 + \int_0^t \alpha k_T \frac{c_0}{1 + k_T c_0 t} \partial t = \eta_0 + \alpha C_0 \left( 1 - \frac{1}{1 + k_T c_0 t} \right)$$
 (C4)

426 where  $\eta_0$  (mPa.s) is the viscosity of the concentrate at the initial time.

427 The viscosity  $\eta$  in Eq. (C4) could be rewritten as follows

428 
$$\eta = \eta_0 + \alpha C_0 \left( 1 - \frac{1}{1 + k_T C_0 t} \right) = \eta_0 + (\eta_m - \eta_0) \left( 1 - \frac{1}{1 + k_T C_0 t} \right)$$
  
429 and  $\eta_m = \eta_0 + \alpha C_0$  (C6)

430 where  $\eta_0$  (mPa.s) is the viscosity of the concentration at the initial time,  $\eta_m$  (mPa.s) is the 431 maximal viscosity at temperature *T* due to heat treatment (the asymptotic viscosity as *t* 432 approaches infinity).

433

#### 434 Appendix D

435 Criterion for goodness of fit of the model

- 436  $R^2$  is a statistical analysis of how close the fitted model to data is. The  $R^2$  is defined as:
- $437 R^2 = 1 \frac{ss_{res}}{ss_{tot}} (D1)$

438 where  $SS_{res}$  and  $SS_{tot}$  are the regression sum of squares and the total sum of squares of the

- 439 data, respectively.  $SS_{res}$  and  $SS_{tot}$  are defined as:
- 440  $SS_{res} = \sum (y_i f_i)$  (D2)
- 441 and  $SS_{tot} = \sum (y_i \bar{y})$  (D3)

442 where  $y_i$ ,  $\bar{y}$  and  $f_i$  are the measured data, the mean of the measured data and the predicted 443 value by the model, respectively.

### 445 Appendix Table A1. Apparent viscosity (shear rate 300 1/s; 45 °C) of MPC subjected to

Sample ID	Heat treatment	Viscosity (mPa.s)
1(*)	-	8.45±0.89 <sup>a</sup>
2	85°C×30s	11.53±1.78 <sup>b</sup>
3	85°C×60s	13.63±1.1 <sup>b</sup>
4	85°C×200s	15.47±0.02 <sup>c</sup>
5	100°C×30s	14.09±0.13 <sup>c</sup>
6	100°C×60s	16.54±0.95 <sup>d</sup>
7	100°C×200s	17.35±0.33 <sup>de</sup>
8	120°C×15s	16.67±0.43 <sup>d</sup>
9	120°C×30s	20.11±0.44 <sup>e</sup>
10	120°C×60s	19.51±0.66 <sup>de</sup>
11	120°C×200s	20.06±0.17 <sup>e</sup>

446 different heat treatments.

<sup>a</sup>Values presented are the means of data ± standard deviations of triplicate measurements;
values within a column not sharing a common superscript differ significantly (P< 0.05).</li>

- 450 Appendix Table A2 Relative rate of viscosity increase  $(v_{\eta,0})$  of MPC as a function of
- 451 temperature.

T (°C)	$v_{\eta,0}$ (relative unit)
55	(-7.02±0.89)×10 <sup>-5a</sup>
60	(-4.37±0.33)×10 <sup>-5a</sup>
65	(1.71±0.46)×10 <sup>-5a</sup>
70	(2.70±0.03)×10 <sup>-4a</sup>
75	$(1.57\pm0.51)\times10^{-3b}$

452 <sup>a</sup>Values presented are the means of data  $\pm$  standard deviations of triplicate measurements;

453 values within a column not sharing a common superscript differ significantly (P < 0.05).

### 455 Appendix Table A3. Activation energy of whey protein denaturation (taken from Anema

456 and McKenna (1996); Anema (2016), Oldfield et al. (1998); Tolkach and Kulozik (2007)),

Model system	Protein	$T_c$	Activation	energy ((kJ/mol)	Source
		(°C)	Unfolding	Aggregation	-
Reconstituted	β-lg A	-	263.49	51.14	Anema and
whole milk			(70-85 °C)	(100-115 °C)	McKenna
	β-lg B	-	296.46	33.87	(1996)
			(70-85 °C)	(100-115 °C)	
	α-lac	-	195.11	57.51	
			(70-80 °C)	(85-115 °C)	
Skim milk	β-lg A	-	285.5	58.5	Oldfield et al.
			(70-90 °C)	(95-130 °C)	(1998)
	β-lg B	-	296.7	44.0	
			(70-90 °C)	(95-130 °C)	
	α-lac	-	203.3	52.9	
			(70-80 °C)	(85-130 °C)	
Reconstituted	β-lg	78	313.9	80.8	Tolkach and
$\beta$ -lg powder					Kulozik (2007)
Reconstituted	Native	85	287.14	61.39	Anema (2016)
whole milk	whey				
	protein				
MPC liquid	-	78.7	477.0	51.8	Current study
concentrate			(65-75 °C)	(85-120 °C)	

457  $T_c$  is the critical temperature in the Arrhenius plot, defining the aggregation-limited and the 458 unfolding-limited range.



Appendix Fig. A1 Typical apparent viscosity and shear rate as a function of time at 45 °C of
liquid MPCs subjected to different heat treatments . Measurements were performed over a
shear rate ramp ranging from 10 1/s to 300 1/s over 300 s and held at 300 1/s from 300 to
600s. At a shear rate of 300 1/s, viscosity was found to be constant over 300 s.



467 **Appendix Fig. A2**. Viscosity of liquid MPC as a function of time (A - E) and its relative rate 468 of viscosity increase  $(v_{\eta,0})$  (F). The samples were measured at 55 (A), 60 (B), 65 (C), 70 (D) 469 or 75 °C (E) for 5 min at a constant shear rate of 300 1/s. Symbols indicate experimental data 470 points while lines correspond to the linear fit of the data.

466



473 **Appendix Fig. A.3**. Heat-induced viscosity of liquid MPC as a function of shear rate (A) and 474 its relative value to the control sample  $(\eta/\eta_0)$  (B), where  $\eta$  and  $\eta_0$  are the viscosity of the 475 sample subjected to the heat treatment and that of the unheated sample, respectively.



477 **Appendix Fig. A.4.** Fitted model viscosity of liquid MPC to heat treatment under zero-order 478 reaction kinetics. Symbols ( $\Box$ ), (o) and (×) indicate experimental data points while dashed 479 dotted (- ·), dashed (- -) and solid (—) lines represent the fitted model at 85, 100 and 120 480 °C, respectively. Bars present standard errors of the triplicate measurements.



482

**Appendix Fig. A5** Modelled viscosity of liquid MPC to heat treatment at the shear rate of 100 1/s (A) and 200 1/s. Symbols indicate experimental data points while solid lines represent the second-order models. Bars present standard errors of the triplicate measurements. In the model, the ratio  $\eta_{m}/\eta_0$  at a specific shear rate was considered to be constant (2.44). Values of  $\eta_0$  at different shear rates were obtained from the measurement while the rate constants of viscosity response to temperature were obtained from Appendix Table A3.

491

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- 575

#### 576 Figure legends

- 577 **Fig. 1.** Schematic diagram describing heat treatment of liquid milk protein concentrates
- 578 Fig. 2. Non-reducing and reducing sodium dodecylsulfate polyacrylamide gel electrophoresis
- 579 (SDS-PAGE) protein analysis of liquid MPC before and after thermal heat treatment (AP:
- 580 aggregated protein;  $\beta$ -lg :  $\beta$ -lactoglobulin).

**Fig. 3.** Viscosity of liquid milk protein concentrates before and after thermal heat treatment using an indirect tubular heat exchanger. Viscosity measurements were performed at a shear rate of 300 1/s at 45 °C. Error bars were obtained from triplicate trials. Modelled viscosity of liquid MPC as a function of heat treatment temperature and holding times under (A) firstorder reaction kinetics and (B) second-order reaction kinetics. Symbols indicate experimental data points and solid lines represent the fitted model.

**Fig. 4.** Viscosity of milk protein concentrate heat treated at 120 °C for 15, 30 or 60 s at 17.3%, w/w, protein (×) or 12.1%, w/w, protein (○). The sample diluted to 12.1%, w/w, protein was concentrated back to 17.1%, w/w, protein prior to viscosity analysis. Symbols and the solid line (—) represent the experimentally measured data points and the second-order reaction kinetics model, respectively. Modelled parameters are shown in Table 1. Error bars represent standard deviations of triplicate measurements.

- **Fig. 5.** Viscosity of liquid MPC as a function of time (A) and its relative rate of viscosity increase  $(v_{\eta,0})$  as a function of temperature (B). Liquid MPC was obtained after ultrafiltration and heated at 55 (o), 60 ( $\Box$ ), 65 (+), 70 ( $\Delta$ ) or 75 °C (×) for 5 min at a constant shear rate of 300 1/s. Bars represent standard errors of triplicate values.
- 597 **Fig. 6.** Arrhenius plot for the logarithm of relative rate of viscosity increase  $\ln(v_{\eta,0})$  as a 598 function of 1/T.  $v_{\eta,0}$  is defined in Eq. (3). Symbols indicate experimentally determined data 599 points while lines correspond to the linear regression fit of the data. In the aggregation-600 limited temperature area,  $v_{\eta,0}$  was calculated from Eq. (4) while in the unfolding-limited

- 601 temperature area,  $v_{\eta,0}$  was determined from the slope of viscosity as a function of time (see
- 602 Fig. 5B).  $T_c$  is the critical temperature in the Arrhenius plot, defining the aggregation-limited
- 603 and the unfolding-limited regions.

#### 1 Tables

2 **Table 1.** First- and second-order reaction kinetics as a function of the modelled viscosity response to heat treatment

CERTE

	First order	Second order
Rate of protein denaturation	$\frac{\partial c_P}{\partial t} = -k_T C_P$	$\frac{\partial C_P}{\partial t} = -k_T C_P^2$
Rate of viscosity change	$\frac{\partial \eta}{\partial t} = -\alpha  \frac{\partial C_P}{\partial t}$	$\frac{\partial \eta}{\partial t} = -\alpha \frac{\partial C_P}{\partial t}$
Heat induced viscosity as a function of time $(t)$ at temperature $(T)$	$\eta = \eta_0 + (\eta_m - \eta_0)(1 - \exp(-k_T t))$ (Eq. 5)	$\eta = \eta_0 + (\eta_m - \eta_0) \left( 1 - \frac{1}{1 + k_T C_0 t} \right)$ (Eq. 6)

 $k_T$  is the overall rate constant for protein denaturation at temperature T(K);  $C_p(\%, w/w)$  is the native protein concentration of the concentrate; t(s) is the time;  $C_0(\%, w/w)$  is the initial native protein concentration;  $\eta$  (mPa.s) is the viscosity of the concentrate;  $\eta_0$  (mPa.s) is the viscosity of the concentrate at time zero prior to heat treatment;  $\eta_m$  (mPa.s) is the maximum viscosity due to heat treatment;  $\alpha$  (mPa.s / %) is the coefficient representing response of viscosity to protein denaturation. Details of equation derivations in Table 1 are described in Appendices B and C.

Model parameters	First order	Second order
Maximum viscosity due to heat treatment	$\eta_m$ (mPa.s) = 19.77±0.60	$\eta_m (mPa.s) = 20.6 \pm 0.84$
Rate constant of viscosity response at 120 °C	$k_{120}(1/s) = (9.65 \pm 2.4) \times 10^{-2a}$	$k_{120}C_0(1/s) = (19.1 \pm 0.90) \times 10^{-2a}$
Rate constant of viscosity response at 100 °C	$k_{100} (1/s) = (2.03 \pm 0.67) \times 10^{-2b}$	$k_{100} C_0(1/s) = (2.68 \pm 1.16) \times 10^{-2b}$
Rate constant of viscosity response at 85 °C	$k_{85}(1/s) = (7.52 \pm 2.55) \times 10^{-3c}$	$k_{85} C_0 (1/s) = (1.01 \pm 0.4) \times 10^{-2c}$
	$R^2 = 0.87$	$R^2 = 0.91$

 $\pm$  95% confidence interval.

 $\eta_{_m}$  (mPa.s) is the maximum viscosity due to heat treatment.

 $k_T^{m}$  is the overall rate constant of protein denaturation at temperature  $T(\mathbf{K})$ 

 $C_o$  (%, w/w) is the initial native protein concentration

Values of the rate constant within a column not sharing a common superscript differ significantly (P< 0.05).

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### Highlights

- Heat treatment (≥75°C) caused a significant increase in viscosity of MPC
- A model was developed to describe the effect of heat treatment on MPC viscosity
- Second-order kinetics proved a good fit for viscosity response to heat treatment
- The Arrhenius plot showed the transition from protein unfolding to aggregation

other the second