

Title	Pterosaur integumentary structures with complex feather-like branching
Authors	Yang, Zixiao;Jiang, Baoyu;McNamara, Maria E.;Kearns, Stuart L.;Pittman, Michael;Kaye, Thomas G.;Orr, Patrick J.;Xu, Xing;Benton, Michael J.
Publication date	2018-12-17
Original Citation	Yang, Z., Jiang, B., McNamara, M. E., Kearns, S. L., Pittman, M., Kaye, T. G., Orr, P. J., Xu, X. and Benton, M. J. (2019) 'Pterosaur integumentary structures with complex feather-like branching', Nature Ecology & Evolution, 3(1), pp. 24-30. doi: 10.1038/s41559-018-0728-7
Type of publication	Article (peer-reviewed)
Link to publisher's version	https://www.nature.com/articles/s41559-018-0728-7 - 10.1038/ s41559-018-0728-7
Rights	© 2019 Springer Nature Publishing AG. This is a post-peer-review, pre-copyedit version of an article published in Nature Ecology & Evolution. The final authenticated version is available online at: https://doi.org/10.1038/s41559-018-0728-7
Download date	2025-06-01 10:52:13
Item downloaded from	https://hdl.handle.net/10468/8311



1 Pterosaur integumentary structures with complex feather-like branching

- 2 Zixiao Yang¹, Baoyu Jiang¹, Maria E. McNamara², Stuart L. Kearns³, Michael
- 3 Pittman⁴, Thomas G. Kaye⁵, Patrick J. Orr⁶, Xing Xu⁷, Michael J. Benton³

4

- 5 1. Center for Research and Education on Biological Evolution and Environments,
- 6 School of Earth Sciences and Engineering, Nanjing University, Nanjing 210023,
- 7 China
- 8 2. School of Biological, Earth and Environmental Sciences, University College Cork,
- 9 Cork T23 TK30, Ireland
- 3. Department of Earth Sciences, University of Bristol, Bristol BS8 1RJ, UK
- 4. Vertebrate Palaeontology Laboratory, Department of Earth Sciences, University of
- 12 Hong Kong, Pokfulam, Hong Kong, China
- 5. Foundation for Scientific Advancement, Sierra Vista, Arizona USA
- 6. UCD School of Earth Sciences, University College Dublin, Belfield, Dublin 4
- 15 D04V1W8, Ireland
- 7. Key Laboratory of Vertebrate Evolution and Human Origins, Institute of Vertebrate
- 17 Paleontology and Paleoanthropology, Chinese Academy of Sciences, Beijing 100044,
- 18 China

Pterosaurs were the first vertebrates to achieve true flapping flight, but in the absence of living representatives, many questions concerning their biology and lifestyle remain unresolved. Pycnofibres, the integumentary coverings of pterosaurs, are particularly enigmatic: although many reconstructions depict fur-like coverings composed of pycnofibres, their affinities and function are not fully understood. Here we report the preservation in two anurognathid pterosaur specimens of morphologically diverse pycnofibres that show diagnostic features of feathers, including non-vaned grouped filaments and bilaterally branched filaments, hitherto considered unique to maniraptoran dinosaurs, and preserved melanosomes with diverse geometries. These findings could imply that feathers had deep evolutionary origins in ancestral archosaurs, or that these structures arose independently in pterosaurs. The presence of feather-like structures suggests that anurognathids, and potentially other pterosaurs, possessed a dense filamentous covering that likely functioned in thermoregulation, tactile sensing, signalling, and aerodynamics. Feathers are the most complex integumentary appendages in vertebrates¹. Most feathers in modern birds possess an axial shaft from which branch lateral barbs and barbules. Much is known about the anatomy, developmental biology, and genomic regulation of these structures, but their deep evolutionary origin is controversial²⁻⁴. Feathers and feather-like integumentary structures have been reported in many theropod dinosaurs (including birds)^{3,5} and ornithischians such as *Psittacosaurus*⁶, Tianyulong⁷, and Kulindadromeus⁸. Feather-like or hair-like structures, termed

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

38

39

40

pycnofibres⁹, have also been reported in several pterosaur specimens⁹⁻¹³, but their nature is not resolved.

42

43

44

45

46

47

48

49

50

51

52

53

54

55

56

57

58

59

60

61

62

63

Here we report remarkably well-preserved pycnofibres in two anurognathid pterosaurs and demonstrate, using evidence from morphology, chemistry and macroevolutionary analyses, that the preserved pycnofibres bear key features of feathers: monofilaments, two types of non-vaned grouped filaments, bilaterally branched filaments that were previously considered unique to maniraptoran dinosaurs, and preserved melanosomes with diverse geometries. Both specimens studied are from the Middle-Late Jurassic Yanliao Biota (ca. 165–160 Mya¹⁴). NJU-57003 (Nanjing University) is a newly excavated specimen from the Mutoudeng locality and CAGS-Z070 (Institute of Geology, Chinese Academy of Geological Sciences), which has been noted briefly for its feather-like branched pycnofibres¹³, is from the Daohugou locality. Both specimens are near-complete and well-articulated, with extensive soft tissues (Figs. 1 and 2, and Supplementary Figs. 1-5). Both specimens are identified as anurognathids¹⁷ (see Supplementary text for osteological descriptions).

Preserved soft tissues include structural fibres (actinofibrils) and pycnofibres.

Structural fibres, common in the pterosaur wing membrane^{9,12,18}, are observed only in the posterior portion of the uropatagium in CAGS–Z070 (Fig. 1o–p). As reported elsewhere, they are parallel to subparallel and closely packed. Individual fibres are 0.08–0.11 mm wide (ca. 5 fibres per mm) and at least 1.9 mm long. Pycnofibres are preserved extensively in both pterosaur specimens (especially CAGS–Z070; Figs. 1

and 2, and Supplementary Figs. 1, 4 and 5) and are discriminated from structural 64 fibres based on their curved morphology and overlapping arrangement. In the 65 66 posterior portion of the uropatagium in CAGS–Z070, pycnofibres co-occur with structural fibres; oblique intersections reflect superposition of these features during 67 decay (Fig. 1o-p). 68 Pycnofibres are categorized here into four types. Type 1 occurs around the head, 69 70 neck, shoulder, torso, all four limbs and tail of both specimens (Figs. 1c-e, o-p, 2b-c and f). It comprises curved monofilaments that are 3.5–12.8 mm long and 70–430 μm 71 72 wide. Some short, distally tapering examples discriminate between dark-toned lateral margins and light-toned axial regions, especially near the filament base where the 73 light-toned axis is wider, suggesting a tube-like morphology (Fig. 1c-e). Type 2 is 74 75 preserved in the neck, proximal forelimb, plantar metatarsus and proximal tail regions of CAGS-Z070. It consists of bundles of curved filaments of similar length that 76 appear to form brush-like structures at the distal ends of thicker filaments (2.0–13.8 77 78 mm long and 80–180 µm wide) (Fig. 1f-h). The latter may represent individual thick 79 filaments or fused proximal regions of thinner distal filaments. Type 3 occurs around the head of CAGS-Z070. It comprises straight to slightly curved, distally tapered, 80 central filaments (4.5–7.0 mm long and 50–450 µm wide) with short lateral branches 81 82 that diverge from the central filament near the midpoint (Fig. 1i-k). There are five Type 3 filaments identified on the head, next to five similar filaments likely of the 83 84 same nature but obscured by overlapping filaments (Supplementary Fig. 5b). Type 4 occurs on the wing membrane of both specimens. It comprises tufts of curved 85

filaments (2.5–8.0 mm long and 70–130 μm wide) that diverge proximally (Figs. 1**l–n** 86 and 2d-e), in contrast to the clear separation between Type 1 filaments (Fig. 1o-p). 87 88 Filamentous integumentary structures in extant and fossil vertebrates commonly contain melanin-bearing organelles (melanosomes). Scanning electron microscopy 89 (SEM) of the filamentous structures of NJU-57003 reveals densely packed 90 microbodies 0.70 ± 0.11 µm long and 0.32 ± 0.05 µm wide (Fig. 2g-h, 91 Supplementary Figs. 4a–f, 6 and 7, and Supplementary Table 2). As with most 92 melanosome-rich fossil feathers¹⁹⁻²¹, energy dispersive X-ray spectroscopy (EDS) 93 94 spectra of the filaments are dominated by a major peak for carbon (Supplementary Fig. 8). These carbonaceous microbodies resemble fossil melanosomes in terms of 95 their geometry, dense packing, parallel alignment relative to the long axis of the 96 97 integumentary structure (i.e. barbules in Paraves), and preservation within the matrix of the filament (see Supplementary text). Most of the microbodies are oblate and 98 morphologically similar to those that are usually interpreted as phaeomelanosomes in 99 fossils¹⁹ (Fig. 2h). Rod-shaped examples, usually interpreted as eumelanosomes in 100 fossils¹⁹ (Fig. 2g), are rare. 101 102 Fourier transform infrared spectroscopy (FTIR) of samples of pterosaur filaments shows four major peaks unique to the filaments (Fig. 2i). These peaks are consistent 103 with the absorption regions of amide I at ca. 1650 cm⁻¹ (principally the C=O 104 asymmetric stretching vibration with some C-N bending), amide II at ca. 1540 cm⁻¹ 105 (a combination of N-H in-plane bending and C-N and C-C stretching as in indole 106 and pyrrole in melanin and amino acids), and aliphatic C-H stretching at $2850\ cm^{-1}$ 107

and 2918 cm⁻¹ ²². These peaks also occur in spectra obtained from extant feathers^{21,23}, fossil feathers of the paravian Anchiornis²⁰, and melanosomes isolated from human hair²⁴. Further, spectra of the pterosaur filaments more closely resemble those of pheomelanin-rich red human hair in the stronger absorption regions at ca. 2850 cm⁻¹ and 2918 cm⁻¹ and higher resolution in the region ca. 1500–1700 cm⁻¹ than those from eumelanin-rich black human hair and the ink sac of cuttlefish²⁴. This, together with the SEM results, suggests that the densely packed microbodies in the pterosaur filaments are preserved melanosomes. The amide I peak at 1650 cm⁻¹ is more consistent with α -keratin (characteristic of extant mammal hair²⁵) than β -keratin (the primary keratin in extant avian feathers^{22,26}). This signal may be original or diagenetic; the molecular configuration of keratin²⁶ and other proteins²⁷ can alter under mechanical stress and changes in hydration levels. The ultrastructural and chemical features of the pterosaur filaments confirm that they are hair-like or feather-like integumentary structures. The four types of filaments described here show distinct distributions and morphologies. They are separated clearly from the sedimentary matrix by sharp boundaries (Supplementary Fig. 4g-i). There is no evidence that one or more filament type(s) were generated taphonomically, e.g. through selective degradation or fossilization, or superimposition of filaments. For instance, although Type 1 and 4 filaments occur widely in both specimens, Type 4 occurs only in the wings, while Type 1 occupies the remaining body regions. Type 1 filaments are thus not degraded products of Type 4, and Type 4 filaments do not represent superimposed clusters of Type 1 filaments. Filament types

108

109

110

111

112

113

114

115

116

117

118

119

120

121

122

123

124

125

126

127

128

2 and 3 occur only in CAGS–Z070. Type 3 occurs only in the facial area and is 130 associated with Type 1, where Types 2 and 4 are not evident. Type 3 filaments are 131 thus not degraded Type 2 or 4 filaments. Central filaments of Type 3 are 132 morphologically identical to the short, distally tapering filaments of Type 1, but the 133 branching filaments are much thinner ($< 40 \mu m$ (Type 3) versus $> 70 \mu m$ (Type 1) 134 wide) and shorter (< 0.6 mm vs. > 3.5 mm long) than the latter. The branching 135 filaments are thus unlikely to reflect superimposition of clusters of Type 1 filaments. 136 In contrast, the distal ends of Type 2 filaments are similar, and have a similar 137 138 distribution pattern to, Type 1 filaments. An alternative interpretation, that Type 2 filaments might represent superimposition of Type 1 filaments at their proximal ends, 139 is unlikely (see detailed discussion in Supplementary text). Feathers and feather-like 140 141 integumentary structures have been reported in non-avian dinosaurs, although debate continues about their true nature². These structures have been ascribed to several 142 morphotypes, some absent in living birds^{3,5}, and provide a basis to analyse the 143 144 evolutionary significance of pterosaur pycnofibres. The pterosaur Type 1 filaments resemble monofilaments in the ornithischian dinosaurs *Tianyulong* and *Psittacosaurus* 145 and the coelurosaur Beipiaosaurus: unbranched, cylindrical structures with a midline 146 groove that widens towards the base (presumed in *Beipiaosaurus*)^{3,5}. The pterosaur 147 Type 2 filaments resemble the brush-like bundles of filaments in the coelurosaurs 148 Epidexipteryx and $Yi^{3,5,28}$: both comprise parallel filaments that unite proximally. The 149 150 morphology and circum-cranial distribution of pterosaur Type 3 filaments resemble bristles in modern birds¹, but surprisingly do not correspond to any reported 151

morphotype in non-avian dinosaurs. The Type 3 filaments recall bilaterally branched filaments in Sinornithosaurus, Anchiornis, and Dilong, but the latter filaments branch throughout their length rather than halfway along the central filament(s), as in the pterosaur structure^{3,5}. The pterosaur Type 4 filaments are identical to the radially branched, downy feather-like morphotype found widely in coelurosaurs such as Sinornithosaurus, Beipiaosaurus, Protarchaeopteryx, Caudipteryx, and Dilong^{3,5}. The filamentous integumentary structures in our anurognathid pterosaurs are thus remarkably similar to feathers and feather-like structures in non-avian dinosaurs. Intriguingly, cylindrical (Type 1), radially symmetrical branched (Types 2 and 4) and bilaterally symmetrical branched (Type 3) filaments clearly coexisted in individual animals; these structures may represent transitional forms in the evolution of feathers, as revealed by developmental studies^{3,5}. These new findings warrant revision of the origin of complex feather-like branching integumentary structures from Dinosauria to Avemetatarsalia, the wider clade that includes dinosaurs, pterosaurs, and close relatives^{4,29}. The early evolutionary history of bird feathers and homologous structures in dinosaurs, and the multiple complex pycnofibres of pterosaurs, is enigmatic. A previous study concluded that the common ancestor of these clades bore scales and not filamentous integumentary appendages², but this result emerged only when the filaments of pterosaurs were coded as non-homologous with those of dinosaurs. There are no morphological criteria, however, for such a determination. The presence of multiple pycnofibre types and their morphological, ultrastructural and chemical similarity to feathers and feather-like structures in various dinosaurian clades,

152

153

154

155

156

157

158

159

160

161

162

163

164

165

166

167

168

169

170

171

172

confirms their likely homology with filamentous structures in non-avian dinosaurs and birds. Comparative phylogenetic analysis produces equivocal results: maximum likelihood modelling of plausible ancestral states, against various combinations of branch length and character transition models (Supplementary text and Supplementary Fig. 9, Table 3), reveals various potential solutions. The statistically most likely result (Fig. 3 and Supplementary Table 3, highest log-likelihood value) shows that the avemetatarsalian ancestors of dinosaurs and pterosaurs possessed integumentary filaments, with highest likelihood of possessing monofilaments; tufts of filaments, and, especially, brush-type filaments, are less likely ancestral states. This confirms that feather-like structures arose in the Early or Middle Triassic. The alternative tree for Dinosauria, with Ornithischia and Theropoda paired as Ornithoscelida³⁰, produces an identical result. We present these modelling data with caution, however, for two reasons: (1) the tree rooting method can influence the result (Supplementary Table 3), favouring results in which either scales are the basal condition or where non-theropod featherlike structures and feathers evolved independently (Supplementary Figure 9, Table 3), and (2) there is no adequate way to model probabilities of evolution of all six feather types, or to model probabilities of transitions between the six different feather types. The discovery of multiple types of feather-like structures in pterosaurs has broad implications for our understanding of pterosaur biology and the functional origin of feather-like structures in Avemetatarsalia^{31,32}. Potential functions of these structures include insulation, tactile sensing, streamlining and coloration (primarily for

174

175

176

177

178

179

180

181

182

183

184

185

186

187

188

189

190

191

192

193

194

camouflage and signalling), as for bristles, down feathers and mammalian hairs³¹⁻³⁴. Type 1, 2 and 4 filaments could shape a filamentous covering around the body and wings (Fig. 4) that might have functioned in streamlining the body surface in order to reduce drag during flight, as for modern bat fur or avian covert feathers^{33,35}. Type 1 and 2 filaments occur in considerably high densities, particularly around the neck, shoulder, hindlimb and tail regions where the high degree of superposition prevents easy discrimination of adjacent fibres. This, along with the wide distribution and frayed appearance, resembles mammalian underfur adapted for thermal insulation^{36,35}. Despite the less dense packing of Type 4 filaments on the wings, the morphology of the structures is consistent with a thermoregulatory function: down feathers can achieve similar insulation as mammalian hair with only about half the mass, due to their air-trapping properties and high mechanical resilience, effective in retaining an insulating layer of still air³⁸. This may optimize the encumbrance of the large wing area to wing locomotion¹⁸. Type 3 filaments around the jaw (Fig. 4) may have had tactile functions in e.g. prey handling, information gathering during flight, navigating in nest cavities and on the ground at night, similar to bristles in birds³⁹.

212

213

214

215

216

196

197

198

199

200

201

202

203

204

205

206

207

208

209

210

211

Methods

Sampling. The specimen NJU–57003 is represented by two fragmented slabs, both containing original bone, fossilized soft tissues, and natural moulds of bones. Each slab was glued together along the fissures by fossil dealers with the fossil on the

surfaces untouched. The specimen CAGS–Z070 is represented by a single unbroken slab. Small flakes (1–3 mm wide) of samples with preserved integument and/or enclosing sediments were carefully removed from the inferred integumentary filaments from different parts of NJU–57003 (Supplementary Figs. 1a and 4a–c) using a dissecting scalpel. This method was used to avoid sampling from degraded products of other tissues, such as dermis, epidermis, or even internal organs. Most samples were not treated further; the remainder were sputter-coated with Au to enhance SEM resolution (Fig. 2g–h and Supplementary Figs. 4a–f and 6). All experiments described below were repeated in order to validate the results.

SEM. Samples were examined using a JEOL 8530F Hyperprobe at the School of Earth Sciences, University of Bristol, and a LEO 1530VP scanning electron microscope at the Technical Services Centre, Nanjing Institute of Geology and Palaeontology, Chinese Academy of Sciences. Both instruments were equipped with a secondary electron (SE) detector, a back-scattered electron (BSE) detector and an energy dispersive X-ray spectrometer (EDS).

Measurements of melanosomes. The geometry of melanosomes was measured from SEM images using the image-processing program ImageJ (available for download at http://rsbweb.nih.gov/ij/). We measured maximum short and long axis length of melanosomes that were oriented perpendicular to line of sight, and from these data we calculated mean and coefficient of variation (CV) of the long and short axis, and mean

aspect ratio (long:short axis). Based on the proposed taphonomic alteration of fossil 239 melanosome size (shrinkage up to ~20% in both length and diameter)^{40,41}, we 240 241 modelled potential diagenetic alteration by enlarging original measurements by 20%. 242 FTIR microspectroscopy. Samples of the filamentous tissues and the associated 243 sediments were removed separately from NJU-57003 and placed on a BaF₂ plate 244 without further treatment. The IR absorbance spectra were collected using a Thermo 245 iN10MX infrared microscope with a cooled MCT detector, at the School of Earth 246 247 Sciences, University of Bristol. The microscope was operated in transmission mode with a 15x15 micron aperture. 10 spectra were obtained from the filamentous tissues. 248 The spectra show consistent results and the example presented in Fig. 2 shows the 249 highest signal to noise ratio and was obtained with 2 cm⁻¹ resolution and 2000 scans. 250 251 Fluorescence microscopy. Selected areas with extensive soft tissue preservation in 252 253 NJU-57003 were investigated and photographed using a Zeiss Axio Imager Z2 microscope with a digital camera (AxioCam HRc) and a fluorescence illuminator 254 (514 nm LED) attached, at the Technical Services Centre, Nanjing Institute of 255 Geology and Palaeontology, Chinese Academy of Sciences. 256 257 Laser-stimulated fluorescence (LSF) imaging and data reduction protocol. LSF 258 images were collected using the protocol of Kaye et al. ^{15,16}. NJU-57003 was imaged 259 with a 405 nm 500 mw laser that was projected into a vertical line by a Laserline

Optics Canada lens. The laser line was swept repeatedly over the specimen during the exposure time for each image in a dark room. Images were captured with a Nikon D610 DSLR camera fitted with an appropriate long pass blocking filter in front of the lens to prevent image saturation by the laser. Standard laser safety protocols were followed during laser usage. The images were post processed in Photoshop CS6 for sharpness, colour balance and saturation.

267

268

269

270

271

272

273

274

275

276

277

278

279

280

281

282

266

261

262

263

264

265

Phylogenetic macroevolutionary analysis. In order to analyse the evolution of feather characters, data were compiled on known integumentary characters across dinosaurs and pterosaurs. The basic data were taken from the Supplementary data of Barrett et al. ², comprising 74 dinosaurs (33 ornithischians, seven sauropods and 44 theropods (including four Mesozoic birds)); to this dataset we added four pterosaurs. Barrett et al. ² scored taxa for three integumentary states (scales, filaments, feathers) in their macroevolutionary analyses. We checked and followed these basic categories and added three more; we then cross-referenced these six categories against the feather morphotypes defined by Xu et al. 42. The categories used herein are: scales (1; not included in Xu et al. ⁴²), monofilaments (2; morphotypes 1 and 2 in Xu et al. ⁴²), brush-like filaments associated with a planar basal feature (3; morphotypes 4 and 6 in Xu et al. ⁴²), tufts of filaments joined basally (4; morphotype 3 in Xu et al. ⁴²), open pennaceous vane, lacking secondary branching (5; morphotype 5 in Xu et al., 42), and closed pennaceous feathers comprising a rachis-like structure associated with lateral branches (barbs and barbules) (6). There was some uncertainty over feathers coded

herein as type 3, which could correspond to morphotype 6, or morphotypes 4 and 6 in Xu et al. ⁴². However, the only taxa coded with these as the most derived feather type are *Sordes pilosus* and *Beipiaosaurus inexpectus*. These taxa belong to separate clades and thus the calculation of ancestral states is not affected by how our feather type 3 is coded (i.e. whether treating morphotypes 4 and 6 of Xu et al. ⁴² in combination or separately).

283

284

285

286

287

288

289

290

291

292

293

294

295

296

297

298

299

300

301

302

303

304

As in previous studies², we used maximum-likelihood (ML) approaches to explore trait evolution. There are many methods to estimate ancestral states for continuous characters, but choices are more limited for discrete characters, such as here, where only ML estimation of ancestral states is appropriate⁴³. We calculated ML reconstructions of ancestral character states using the 'ace' function of the ape R package⁴⁴, with tree branch lengths estimated in terms of time, derived using the 'timePaleoPhy' function in the paleotree package⁴⁵ and the 'DatePhylo' function in the strap R package⁴⁶. These enabled us to assess results according to three methods of estimating branch lengths, the 'basic' method, which makes each internal node in a tree the age of its oldest descendant, the 'equal branch length' (equal) method, which adds a pre-determined branch length (often 1 Myr) to the tree root and then evenly distributes zero-length branches at the base of the tree, and the 'minimum branch length' (mbl) method, which minimizes inferred branching times and closely resembles the raw, time-calibrated tree. A problem with the 'basic' branch length estimation is that it results in many branch lengths of length zero, in cases where many related taxa are of the same age; in these cases, we added a line of code to make such zero branch lengths equal to 1/1000000 of the total tree length. A criticism of the mbl method is that it tends to extend terminal branching events back in time, especially when internal ghost lineages are extensive², but this is not the case here, and the base of the tree barely extends to the Triassic / Jurassic boundary.

We ran our analyses using three evolutionary models with different rates of transition between the specified number of character states (six here), namely "ER", an equal-rates model, "ARD", an all-rates-different model and "SYM", a symmetrical model. These were calculated using the 'ace' function in ape² and the 'add.simmap.legend' function of the R package 'phytools' ⁴⁷.

In a further series of analyses, we attempted to model the macroevolution of all traits, as coded (see Supplementary results), so coding multiple trait values for taxa that preserve multiple feather types. This did not shed much light on patterns of evolution of feather types because the multiple trait codings (e.g. 1,2 or 2,5,6) were each made into a new state, making 14 in all, and these were not linked. Therefore, the six multiply coded taxa that each had feather type 6 were represented as six independent states and their evolution tracked in those terms. Further, we attempted to separate the six characters, so they would track through the tree, whether recorded as singles or multiples in different taxa; however, we did not have the information to enable us to do this with confidence because of gaps in coding. In terms of reality, these multiply coded taxa still represent an incomplete sample of the true presence and absence of character states - by chance, many coelurosaurs are not coded for scales (1) or monofilaments (1), and yet it is likely they all had these epidermal

appendages. Therefore, attempting to run such multiple codings, with characters either as groups or coded independently, encounters so many gaps that the result is hard to interpret. Our approach is to code the most derived feather in each taxon, and that too is incomplete because of fossilization gaps, but at least it represents a minimal, or conservative, approach to trait coding and hence to the discoveries of macroevolutionary patterns of feather evolution; complete fossil data might show wider distributions of each feather type and hence deeper hypothesized points of origin. Complete coding of feather types would of course allow each trait to be tracked in a multiple-traits analysis.

Data availability

The data that support the findings of this study are available from the corresponding authors upon reasonable request.

References

- Lucas, A. M. S. & Peter, R. Avian anatomy: integument (U.S. Agricultural
 Research Service, Washington, 1972).
- 344 2 Barrett, P. M., Evans, D. C. & Campione, N. E. Evolution of dinosaur epidermal structures. *Biol. Lett.* **11**, 20150229 (2015).
- 346 3 Xu, X. *et al.* An integrative approach to understanding bird origins. *Science* **346**, 1253293 (2014).

- 348 4 Di-Poï, N. & Milinkovitch, M. C. The anatomical placode in reptile scale
- morphogenesis indicates shared ancestry among skin appendages in amniotes.
- 350 *Sci. Adv.* **2**, e1600708 (2016).
- 5 Chen, C. F. et al. Development, regeneration, and evolution of feathers. Ann. Rev.
- 352 *Anim. Biosci.* **3**, 169–195 (2015).
- Mayr, G., Pittman, M., Saitta, E., Kaye, T. G. & Vinther, J. Structure and
- homology of *Psittacosaurus* tail bristles. *Palaeontol.* **59**, 793–802 (2016).
- 355 7 Zheng, X. T., You, H. L., Xu, X. & Dong, Z. M. An Early Cretaceous
- heterodontosaurid dinosaur with filamentous integumentary structures. *Nature*
- **458**, 333–336 (2009).
- 358 8 Godefroit, P. et al. A Jurassic ornithischian dinosaur from Siberia with both
- feathers and scales. *Science* **345**, 451–455 (2014).
- 360 9 Kellner, A. W. et al. The soft tissue of Jeholopterus (Pterosauria, Anurognathidae,
- Batrachognathinae) and the structure of the pterosaur wing membrane. *Proc. Biol.*
- 362 *Sci.* **277**, 321–329 (2010).
- 363 10 Sharov, A. G. New flying reptiles from the Mesozoic of Kazakhstan and Kirgizia
- 364 (in Russian). Akad. nauk SSSR Paleont. Inst. Tr. 130, 104–113 (1971).
- 365 11 Czerkas, S. A. & Ji, Q. A new rhamphorhynchoid with a headcrest and complex
- integumentary structures. In: S. J. CZERKAS (Ed), Feathered dinosaurs and the
- origin of flight (Blanding, The Dinosaur Museum), 15–41 (2002).
- Unwin, D. M. & Bakhurina, N. N. Sordes pilosus and the nature of the pterosaur
- 369 flight apparatus. *Nature* **371**, 62–64 (1994).

- 370 13 Ji, Q. & Yuan, C. Discovery of two kinds of protofeathered pterosaurs in the
- Mesozoic Daohugou Biota in the Ningcheng region and its stratigraphic and
- 372 biologic significances. *Geol. Rev.* **48**, 221–224 (2002).
- 373 14 Xu, X., Zhou, Z., Sullivan, C., Wang, Y. & Ren, D. An updated review of the
- 374 Middle-Late Jurassic Yanliao Biota: chronology, taphonomy, paleontology and
- paleoecology. *Acta Geol. Sin. (Engl. Ed.)* **90**, 2229–2243 (2016).
- 376 15 Wang, X. et al. Basal paravian functional anatomy illuminated by high-detail
- 377 body outline. *Nat. Commun.* **8,** (2017).
- 378 16 Kaye, T. G. et al. Laser-stimulated fluorescence in paleontology. PloS one 10,
- 379 e0125923 (2015).
- Unwin, D. M. On the phylogeny and evolutionary history of pterosaurs. *Geol.*
- 381 Soc., London, Spec. Publ. 217, 139–190 (2003).
- 18 Frey, E., Tischlinger, H., Buchy, M. C., & Martill, D. M. New specimens of
- Pterosauria (Reptilia) with soft parts with implications for pterosaurian anatomy
- and locomotion. *Geol. Soc., London, Spec. Publ.* **217**, 233–266 (2003).
- 385 19 Lindgren, J. et al. Interpreting melanin-based coloration through deep time: a
- 386 critical review. *Proc. R. Soc. B* **282**, 20150614 (2015).
- 20 Lindgren, J. et al. Molecular composition and ultrastructure of Jurassic paravian
- see feathers. Sci. Rep. 5, 13520 (2015).
- 389 21 Barden, H. E. *et al.* Morphological and geochemical evidence of eumelanin
- preservation in the feathers of the Early Cretaceous bird, *Gansus yumenensis*.
- 391 *PLoS One* **6**, e25494 (2011).

- 392 22 Bendit, E. Infrared absorption spectrum of keratin. I. Spectra of α -, β -, and
- supercontracted keratin. *Biopolymers* **4**, 539–559 (1966).
- 394 23 Martinez-Hernandez, A. L., Velasco-Santos, C., De Icaza, M. & Castano, V. M.
- Microstructural characterisation of keratin fibres from chicken feathers. *Int. J.*
- 396 Envir. Pollut. 23, 162–178 (2005).
- 397 24 Liu, Y. et al. Comparison of structural and chemical properties of black and red
- human hair melanosomes. *Photochem. Photobiol.* **81**, 135–144 (2005).
- 399 25 Alibardi, L. Adaptation to the land: the skin of reptiles in comparison to that of
- amphibians and endotherm amniotes. J. Exp. Zool. 298B, 12–41 (2009).
- 401 26 Kreplak, L., Doucet, J., Dumas, P. & Briki, F. New aspects of the α-helix to β-
- sheet transition in stretched hard α-keratin fibers. *Biophys. J.* **87**, 640–647 (2004).
- 403 27 Yassine, W., Taib, N., Federman, S., Milochau, A., Castano, S., Sbi, W.
- Manigand, C., Laguerre, M., Desbat, B., Oda, R. & Lang, J. Reversible transition
- between α -helix and β -sheet conformation of a transmembrane domain. *Biochim*.
- 406 *Biophys. Acta Biomembranes.* **1788**, 1722–1730 (2009).
- 407 28 Xu, X. et al. A bizarre Jurassic maniraptoran theropod with preserved evidence of
- 408 membranous wings. *Nature* **521**, 70–73 (2015).
- 29 Donoghue, P. C. J. & Benton, M. J. Rocks and clocks: calibrating the Tree of Life
- using fossils and molecules. *Trends Ecol. Evol.* **22**, 424–431 (2007).
- 411 30 Baron, M. G., Norman, D. B. & Barrett, P. M. A new hypothesis of dinosaur
- relationships and early dinosaur evolution. *Nature* **543**, 501–506 (2017).
- 413 31 Persons IV, W. S. & Currie, P. J. Bristles before down: a new perspective on the

- functional origin of feathers. *Evolution* **69**, 857–862 (2015).
- 415 32 Ruxton, G. D., Persons IV, W. S. & Currie, P. J. A continued role for signaling
- functions in the early evolution of feathers. *Evolution* **71**, 797–799 (2017).
- 33 Bullen, R. D. & McKenzie, N. L. The pelage of bats (Chiroptera) and the
- presence of aerodynamic riblets: the effect on aerodynamic cleanliness. Zoology
- **111**, 279–286 (2008).
- 420 34 Caro, T. The adaptive significance of coloration in mammals. *BioScience* 55,
- 421 125–136 (2005).
- 422 35 Homberger, D. G., & de Silva, K. N. Functional microanatomy of the feather-
- bearing integument: implications for the evolution of birds and avian flight. *Amer.*
- 424 *Zool.* **40**, 553–574 (2000).
- 36 Scholander, P., Walters, V., Hock, R. & Irving, L. Body insulation of some arctic
- and tropical mammals and birds. *Biol. Bull.* **99**, 225–236 (1950).
- 427 37 Ling, J. K. Pelage and molting in wild mammals with special reference to aquatic
- 428 forms. Quart. Rev. Biol. 45, 16–54 (1970).
- 429 38 Gao, J., Yu, W. & Pan, N. Structures and properties of the goose down as a
- material for thermal insulation. *Text. Res. J.* **77**, 617–626 (2007).
- 431 39 Cunningham, S. J., Alley, M. R., & Castro, I. Facial bristle feather histology and
- morphology in New Zealand birds: implications for function. *J. Morphol.* 272,
- 433 118–128 (2011).
- 434 40 McNamara, M. E., Briggs, D. E. G., Orr, P. J., Field, D. J. & Wang, Z.
- Experimental maturation of feathers: implications for reconstructions of fossil

436		feather colour. Biol. Lett. 9, 20130184 (2013).
437	41	Colleary C, Dolocan A, Gardner J, et al. Chemical, experimental, and
438		morphological evidence for diagenetically altered melanin in exceptionally
439		preserved fossils. Proc. Natl. Acad. Sci. 112, 12592–12597 (2015).
440	42	Xu, X., Zheng, X. & You, H. Exceptional dinosaur fossils show ontogenetic
441		development of early feathers. <i>Nature</i> 464 , 1338–1341 (2010).
442	43	Pagel, M. Detecting correlated evolution on phylogenies: a general method for
443		the comparative analysis of discrete characters. <i>Proc. R. Soc. Lond. B</i> 255 , 37–45
444		(1994).
445	44	Paradis, E. Analysis of Phylogenetics and Evolution with R. (Springer Science &
446		Business Media, 2011).
447	45	Bapst, D. W. paleotree: paleontological and phylogenetic analyses of evolution. v.
448		2.3. See https://github.com/dwbapst/paleotree (2015).
449	46	Bell, M. A. & Lloyd, G. T. Strap: an R package for plotting phylogenies against
450		stratigraphy and assessing their stratigraphic congruence. <i>Palaeontol.</i> 58 , 379–
451		389 (2015).
452	47	Revell, L. J. phytools: an R package for phylogenetic comparative biology (and
453		other things). Methods Ecol. Evol. 3, 217–223 (2012).
454		

Supplementary Information is available in the online version of the paper.

Acknowledgements

458 We thank Qiang Ji, Shu'an Ji and Hao Huang for access to the specimen CAGS-Z070, as well as Simon C. Kohn, Yan Fang, Chunzhao Wang and Tong He for 459 laboratory assistance. This work was supported by the National Natural Science 460 Foundation of China (41672010; 41688103) and the Strategic Priority Research 461 Program (B) of the Chinese Academy of Sciences (XDB26000000) to B.Y.J., the 462 Research Grant Council of Hong Kong-General Research Fund (17103315) to M.P., 463 ERC-StG-2014-637691-ANICOLEVO to M.E.M., and Natural Environment 464 Research Council Standard Grant NE/1027630/1 to M.J.B. 465

466

467

457

Author Contributions

B.Y.J. and M.J.B. designed the research, Z.X.Y., B.Y.J. and X.X. systematically studied the specimens, Z.X.Y., S.L.K., M.E.M, and P.J.O. did the SEM analysis, Z.X.Y. and B.Y.J. did the FTIR analysis, M.P. and T.G.K. did the LSF imaging, data reduction and interpretation, M.J.B. did the maximum likelihood analyses, and Z.X.Y., B.Y.J., M.J.B., M.E.M, X.X. and P.J.O. wrote the paper; all authors approved the final draft of the paper.

474

475

Author Information

476 Reprints and permissions information is available at www.nature.com/reprints. The

authors declare no competing financial interests. Correspondence and requests for 477 materials should be addressed to B.Y.J. (byjiang@nju.edu.cn) or M.J.B. 478 479 (mike.benton@bristol.ac.uk). 480 Figure 1 | Integumentary filamentous structures in CAGS–Z070. a, Overview 481 shows extensive preservation of soft tissues. **b**–**p**, Details of the integumentary 482 filaments in the regions indicated in \mathbf{a} on the head and neck $(\mathbf{b}-\mathbf{d}, \mathbf{i}-\mathbf{j})$, forelimb $(\mathbf{f}-\mathbf{g})$, 483 wing $(\mathbf{l}-\mathbf{m})$ and tail $(\mathbf{o}-\mathbf{p})$, and illustrated reconstructions of the filaments (e: Type 1 484 485 filament; h: Type 2 filament; k: Type 3 filament; n: Type 4 filament). Scale bars: 20 mm in **a**; 10 mm in **b**; 500 µm in **c** and **i**; 100 µm in **d**; 1 mm in **f**, **l**, **m** and **p**; 200 µm 486 in \mathbf{g} and \mathbf{j} ; 5 mm in \mathbf{o} . 487 488 Figure 2 | Preservation, microstructure and chemistry of the integumentary 489 filamentous structures in NJU-57003. a, Laser-stimulated fluorescence^{6,15,16} image 490 highlights extensive preservation of soft tissues (black areas). b-f, Details of the 491 integumentary filaments in the regions indicated in A on the head and neck (b-c), 492 wing (d-e) and tail (f). g-h, Scanning electron micrographs of the monofilaments on 493 the neck and hindlimb of NJU-57003 (samples 10 and 39, respectively, 494 Supplementary Fig. 1a) show densely packed, elongate and oblate melanosomes. i, 495 FTIR absorbance spectra of the monofilaments, monofilaments with sediment matrix, 496 and sediment matrix in NJU-57003 (Sample 15, Supplementary Fig. 1a) compared 497

with spectra from a feather of Anchiornis (from ref. ²⁰), extant Marabou stork feather

(from ref. ²¹) and black and red human hair melanosomes (from ref. ²⁴). Scale bars: 20 mm in **a**; 1 mm in **b**, **c** and **e**; 5 mm in **d** and **f**; 1 μm in **g** and **h**.

501

502

503

504

505

506

507

508

509

510

511

512

513

514

515

499

500

Figure 3 | Phylogenetic comparative analysis of integumentary filament and feather evolution in pterosaurs and archosaurs. The phylogeny is scaled to geological time, with recorded terminal character states for each species, and estimated ancestral character states at the lower nodes. The model is the most likely of the maximum likelihood models, based on minimum-branch lengths (mbl) and transitions occurring as all-rates-different (ARD), but other results with lower likelihoods show scales as ancestral. The ancestral state reconstruction shows a combination of monofilaments, tuft-like filaments, and brush-type filaments as the ancestral state for Avemetatarsalia and for Dinosauria. The estimated ancestral state for Theropoda comprises all five feather states. Numbered small vertical arrows indicate earliest occurrences of feather types 2–6. Two hypotheses for timing of avian feather origins are indicated: A, early origin, at the base of Avemetatarsalia in the Early Triassic, or B, late origin, at the base of Maniraptora in the Early-Middle Jurassic.

516

517

518

Figure 4 | Reconstruction of one of the studied anurognathid pterosaurs, exhibiting diverse types of pycnofibres distributed in different body parts.