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Fundamental study on the improvement of the antifungal activity of *Lactobacillus reuteri* R29 through increased production of phenyllactic acid and reuterin

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- MRS was supplemented with phenylalanine or glycerol and fermented by Lactobacillus reuteri R29
- Accumulation of phenyllactic acid and reuterin was significantly increased
- Antifungal activity *in vitro* against common food spoilage fungi was significantly increased
- Antifungal performance of PLA was transferable in a bread system
- Antifungal activity of reuterin was not transferable in a bread system

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- 1 Fundamental study on the improvement of the antifungal
- 2 activity of Lactobacillus reuteri R29 through increased
- **3** production of phenyllactic acid and reuterin
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12 ABSTRACT

Lactic acid bacteria have shown great potential as bio-preservative agents to 13 maintain high food quality and safety. The strain Lactobacillus reuteri R29 is reported 14 to have a broad spectrum of antifungal activity and suitability for application in food 15 systems. Its antifungal activity is predominantly based on phenyllactic acid (PLA). 16 Furthermore, it is potentially a producer of reuterin, a potent antimicrobial agent. This 17 study focused on increasing the antifungal activity in vitro by supplementation of the 18 growth medium with phenylalanine and glycerol to increase the yield of PLA and 19 reuterin, respectively. For PLA, the addition of 1.5% phenylalanine (w/v) to MRS, 20 resulted in significantly increased accumulation of PLA and antifungal performance 21 against Fusarium culmorum. Supplementation of MRS with 500mM glycerol 22 combined with a reduced glucose content (1.5%) showed the highest reuterin 23 accumulation combined with fungal inhibition. To investigate the antifungal 24 25 performance in situ, these cell-free supernatants (cfs) were applied in a bread system. The application of PLA-enriched cfs resulted in significantly extended (4 26 days) microbial shelf life compared to the control. The reuterin-enriched medium did 27 not lead to significant shelf life extension. In conclusion, Lactobacillus reuteri R29 28 and its PLA-enriched cfs were found to be very promising alternatives for food bio-29 preservation. 30

Keywords: *Lactobacillus reuteri* R29, phenyllactic acid, reuterin, antifungal, bread
making

34 **1 Introduction**

Lactic acid bacteria (LAB) are key microorganisms used for the production of various 35 bakery products. They substantially improve nutritional and technological properties. 36 as well as the flavour. However, current research is predominantly focused on their 37 antimicrobial properties and potential applications as food bio-preservatives (Dalié, 38 Deschamps, & Richard-Forget, 2010). The use of such LAB has the potential to 39 replace chemical preservatives, such as calcium propionate, which would allow a 40 "clean label" and hence lead to higher consumer acceptance (Pawlowska, Zannini, 41 Coffey, & Arendt, 2012). In recent years, several strains of different genera have 42 been found to express antimicrobial activity in vitro and in situ against food spoilage 43 fungi (Axel et al., 2016; Crowley, Mahony, & Van Sinderen, 2013). 44

The antifungal activity of LAB is predominantly based on complex synergistic effects 45 between several compounds. The production of these compounds is highly 46 dependent on the strain and growth substrate. The exact interactions between the 47 bacterial metabolites which create this synergistic effect are not yet fully understood. 48 However, several metabolites that contribute to the antifungal activity have been 49 identified and characterised. These metabolites, amongst others, include carboxylic 50 acids (Ryan, Dal Bello, & Arendt, 2008) and 3-hydroxypropionaldehyde (3-HPA) also 51 known as reuterin (Lüthi-Peng, Dileme, & Puhan, 2002). 52

Recently, the production and activity of 3-phenyllactic acid (PLA) has received great attention (Mu, Yu, Zhu, Zhang, & Jiang, 2012). This broad-spectrum antimicrobial compound originates in LAB fermented products from the catabolism of phenylalanine (Phe). Thereby, the Phe first undergoes a transamination by transferring the amino group onto a keto-acid acceptor. The synthesised

phenylpyruvic acid is then reduced to PLA by a dehydrogenase (Vermeulen, Gánzle, Vogel, 2006). The antifungal activity of PLA is also dependent on a synergistic mechanism with other bacterial metabolites. Nevertheless, if the metabolic pathway yielding PLA is promoted, the antifungal activity is likely to increase. This could expand the field of application for LAB as bio-preservatives.

A very promising antimicrobial agent is the multicomponent system called reuterin, 63 which results from the conversion of glycerol to 3-HPA (Engels et al., 2016). The 64 antimicrobial activity of reuterin is, according to Schaefer et al. (2010), mainly based 65 on its reactivity with free thiol groups of proteins, inducing oxidative stress in the 66 target cells. It is understood that 3-HPA is released upon enzymatic dehydration of 67 glycerol. Recent research conducted by Engels et al. (2016) suggests a rapid in situ 68 conversion to acrolein, which is mainly responsible for the antimicrobial activity of the 69 reuterin system. However, if sufficient amounts of carbohydrates, in particular 70 71 glucose, are available, 3-HPA is further reduced to 1,3-propanediol which has no antimicrobial activity (Gänzle, 2015). Unfortunately, the research on reuterin so far 72 has mainly focused on its industrial use as precursor for the synthesis of acrolein, 73 not on food applications. To date, there are only two studies conducted by Gomez-74 Torres et al. (2014) and Ortiz-Rivera et al. (2017) available, investigating a possible 75 application of reuterin as an antibacterial agent in a food system. As Gänzle (2015) 76 observed, the applicability of reuterin, particularly in heat treated food systems, 77 remains unclear. Further research is required, in particular to elucidate the effect of 78 79 glycerol on bacterial growth and reuterin production.

A strain previously reported as demonstrating strong antifungal activity and suitability for food applications is *Lactobacillus reuteri* R29 (Axel et al., 2016; Oliveira et al.,

2015). Oliveira et al. (2015) demonstrated that the cell-free supernatant (cfs) of 82 medium fermented for 48 h with this strain showed antifungal activity against F. 83 84 *culmorum*, with PLA being the predominant antimicrobial compound produced. As it belongs to the species *L. reuteri*, the strain is likely to be a reuterin producer. To date 85 there have been no studies carried out investigating the ability of L. reuteri R29 to 86 produce and accumulate reuterin. Thus, the enhancement of the production and 87 accumulation of antifungal compounds, namely reuterin and PLA, in the bacterial cfs 88 represents a promising opportunity in particular, if this antifungal activity is applicable 89 90 in food systems.

Therefore, the aim of this study was to increase the *in vitro* antifungal activity of L. 91 reuteri R29 cfs. Different variations of the fermentation medium composition were 92 investigated to increase the accumulation of PLA and reuterin. F. culmorum was 93 used as indicator mould to determine the antifungal activity in vitro. Secondly, 94 95 characterisations regarding the heat stability of the most efficient cfs were evaluated. Finally, antifungal cfs delivering the best results were applied in the bread system to 96 obtain information regarding suitability for application in a food matrix. This study 97 provides important information regarding the potential of L. reuteri R29 cfs as 98 antifungal agent and its application as a bio-preservative agent, in the bread system. 99

100 **2 Materials and Methods**

101 2.1 Materials

Ingredients for microbiological media, chemicals and analytical standards used in
 this study were at least analytical grade and obtained from Sigma-Aldrich (Dublin,
 Ireland), unless otherwise stated. The analytical standards had a purity of ≥95%.

105 Wheat flour (bakers flour, Odlums, Dublin, Ireland), dry yeast (Puratos, Groot-106 Bijgaarden, Belgium), salt (Glacia British Salt Limited, Cheshire, UK), commercially 107 available sunflower oil, sodium stearoyl lactylate (SSL) (Danisco, Denmark) and 108 ascorbic acid (Storefast solutions, UK) were used for the baking trials.

109 2.2 Cultures, media and growth conditions

Fusarium culmorum TMW4.2043, *Aspergillus niger* FST4.21, *Penicillium expansum* FST 4.22 originated from the culture collection of School of Food and Nutritional Sciences, University College Cork (Cork, Ireland). Fungal spore suspensions were prepared according to the method described by (Oliveira, Mauch, Jacob, Waters, & Arendt, 2012).

Lactobacillus reuteri R29, originally isolated from human intestine, was obtained from
 the UCC culture collection (School of Food and Nutritional Sciences, University
 College Cork, Cork, Ireland). For long term storage, the culture was kept in
 commercial de Man Rogosa and Sharpe (MRS) broth containing 40% glycerol at 80°C. The culture was routinely refreshed on MRS agar plates (Fulka Chemie AG,
 Buchs, Switzerland) under anaerobic conditions at 37°C for 48 h.

121 2.3 Production of LAB cell-free supernatants

For production of 1-step fermented LAB cfs, a single colony, grown as described in 2.2, was inoculated in 5 mL MRS broth for 24 h at 37°C. Subsequently, a 1% inoculum into fresh fermentation medium (Table 1 and 2) was prepared and incubated at 37°C for the designated time. After fermentation, cell cultures were centrifuged (5,000 g, 15 min, 4°C) and the supernatant was sterile filtered through a 0.22 µm filter. The cfs obtained was stored at -20°C until further use.

The 2-step fermentation process was based on the method described by Doleyres et al. (2005) with the following modifications. After the first fermentation step, cells were washed and resuspended in sterile distilled water, containing 400 mM glycerol, at a final concentration of 10⁹ CFU/mL. The suspension was incubated for 2 h at 30°C and the cfs collected as described above.

133 2.4 Evaluation of *in vitro* antifungal activity and determination of minimal 134 inhibitory concentration (MIC₉₀)

135 Pre-trials were carried out to determine the ideal fungal spore concentration to investigate the increase of antifungal performance in the respective cell-free 136 supernatants. Thereby, a concentration of 10⁶ spores/mL as applied in the assay 137 described were chosen for the subsequent experiments (data not shown). To 138 determine the *in vitro* antifungal activity, 1 mL of *Fusarium culmorum*, Aspergillus 139 *niger* or *Penicillium expansum* spore solution (containing approximately 10⁶ spores) 140 was transferred into a 2 mL microcentrifuge tube and centrifuged at 3,000 g (10 min, 141 4°C). The supernatant was discarded and the spore pellet resuspended in 1.0 mL of 142 diluted LAB cfs (10%, v/v, in unfermented MRS). Subsequently, 200 µL aliquots were 143 pipetted into a 96-well microplate (Sarstedt AG and Co, Nurembrecht, Germany). To 144 control for the effect of condensation, each supernatant was also inoculated with 1 145 mL of sterile synthetic nutrient-poor bouillon, instead of fungal spore solution (blank). 146 The microplate was sealed with an optically clear seal for Q-PCR (Thermo Scientific, 147 Waltham, USA) and incubated in a Multiskan FC microplate-reader (Thermo 148 Scientific, Waltham, USA) for 5 days at 25°C. The optical density was recorded at 149 620 nm (OD620) every 2 h, with agitation in 4 s intervals. Values of each cfs 150 inoculated with spore solution were corrected by the respective mediums blank 151

value. Antifungal activity was calculated as the percentage of OD reduction
 compared to the respective 0 h fermented medium and expressed as "percentage of
 growth inhibition".

To determine the MIC₉₀ of PLA the substance was added to unfermented MRS-broth 155 to a final concentration of 20,000 ppm and the pH adjusted to match the fermented 156 medium. Due to the lack of a commercially available standard for reuterin, a serial 157 dilution of the 2-step fermented supernatant was used to determine the MIC₉₀. The 158 MIC₉₀ was defined as the minimal concentration of the respective antifungal 159 compound required to achieve at least 90% reduction of fungal growth after 120 h 160 under the assay conditions compared to a control medium without the antifungal 161 compound.2.5 Determination of antifungal fermentation products 162

163 **2.5.1 Determination of carboxylic antifungal compounds**

An Agilent 1260 high-performance liquid chromatography system equipped with an 164 ultraviolet-diode array detector (UV/DAD) was used to quantify antifungal carboxylic 165 compounds. Standard calibration curves for lactic and acetic acid (2 - 32 mM), as 166 well as 13 phenolic compounds (catechol, hydroxyphenyllactic acid. 4-167 hydroxybenzoic acid, hydrocaffeic acid, caffeic acid, phloretic acid, hydroferulic acid, 168 p-coumaric acid, ferulic acid, benzoic acid, salicylic acid, hydrocinnamic acid, 169 methylcinnamic acid, vanillic acid and 3-phenyllactic acid) were prepared, using five 170 different concentrations in duplicate. Calibration curves showed good linearity with 171 correlation coefficients of ≥0.999 for all compounds. Extraction of antifungal organic 172 acids (acetic acid and lactic acid) was carried out as described by Axel et al. (2016). 173 For separation of the acids a Hi-Plex H Column (300×7.7 mm, 8 µm; Agilent, Cork, 174 Ireland), equipped with a guard column (50×7.7 mm, 8 µm; Agilent, Cork, Ireland) 175

was used. Setting the UV/DAD at 210 nm, lactic and acetic acids in the cfs were
determined after elution with 0.004 M sulphuric acid at 65 °C with a flow of 0.5
mL/min. Injection volume used was 20 µL.

Antifungal phenolic compounds were extracted, using a QuEChERS approach, as 179 described by Peyer et al. (2016). Separation was carried out using a Gemini C_{18} 180 column (150 x 2.0 mm, 5 µm; Phenomenex, Macclesfield, UK), equipped with a 181 guard column (AF0-8497; Phenomenex, Macclesfield, UK). The mobile phase 182 consisted of A) H₂O with 0.1% FA and B) ACN with 0.1% FA. To ensure separation 183 from other compounds, a gradient flow was performed (0 - 5 min - 90% A, 30 min -184 80% A, 35 min – 60% A, 45 min – 5% A, 45 – 70 min – 90% A), using a flow rate of 185 0.2 mL/min at a temperature of 30°C. The volume injected was 10 µL and detection 186 carried out at a wavelength of 210 nm. 187

If necessary, samples were diluted prior to extraction to ensure peak areas were 188 within the calibration range. Identity of the peaks evaluated in the cfs samples was 189 confirmed as PLA by comparison of the UV/VIS spectrum with the standard solution. 190 The limit of detection (LOD) and the limit of quantification (LOQ) were determined 191 from the signal/ noise (s/n) ratio. The LOD was set for s/n of 3:1 and the LOQ was 192 set for s/n of 10:1. Recovery rates of the phenolic compounds were done with 3.0 193 mg/L of each analyte added in MRS and in chemically acidified MRS (pH 3 with 0.1 194 N HCI), and ranged from 89.1% (hydrocinnamic acid) to 118.1% (vanillic acid) of the 195 total spiked amount. 196

197 **2.5.3 Colorimetric assay for reuterin quantification**

The concentration of reuterin in the cfs of the 1- and 2-step fermentations was determined using the colorimetric assay described by Lüthi-Peng et al. (2002).

Calibration was carried out, using acrolein as standard in distilled water (0.1 - 6.0 mM) and MRS broth (0.2 - 10 mM). Both calibration curves showed good linearity with correlation coefficients of >0.999.

203 **2.6 Heat stability of antifungal fermentation products**

Heat stability of the antifungal supernatants was determined by subjecting the cfs to 100°C for 1 h. Subsequently, samples were cooled immediately and kept in the fridge until further use. Control samples (not heat treated) were kept in the fridge at all times. Analysis of *in vitro* antifungal activity and quantification of antifungal compounds was carried out as described in sections 2.4 and 2.5, respectively but only *F. culmorum* was used as indicator mould.

210 **2.7 Application of cfs in bread system and shelf life analysis**

For baking trials, water in the recipe was replaced by the respective LAB cell-free 211 supernatant. For preparation of breads 63% cfs, 3% yeast, 3% oil, 2% salt, 0.5% 212 SSL and 0.1% ascorbic acid (each based on flour weight) were used. Reactivation of 213 the yeast and dough preparation was carried out according to Heitmann et al. 214 (2015). The dough was then divided into 65 g portions, placed in non-stick baking 215 tins (dimensions: top inside, 50 mm x 90 mm; bottom outside, 45 mm x 85 mm; 216 inside depth, 30 mm; Sasa UK, Enfield Middlesex, UK) and proofed for 75 minutes 217 (30°C, 85% RH). Doughs were transferred into the oven (MIWE, condo, Arnstein, 218 Germany) and baked for 14 minutes at 210°C (top and bottom). Following baking the 219 bread loaves were removed from the tins immediately and cooled to room 220 temperature for at least 120 min before further use. 221

The microbial shelf life test was carried out according to Heitmann et al. (2015). The amount of fungal spoilage was visually recorded over 13 days for each slice, using a calliper to determine the fungal colony size. Based on the percentage of the total surface area of the slice where fungal outgrowth occurred, each slice was categorised every day as A) mould-free, B) <10% covered with mould, C) 11 – 25% covered with mould, D) 26 – 50% covered with mould or E) >50% covered with mould.

229 2.8 Statistical analysis

Baking trials and all analyses were carried out from three independent fermentations, 230 analysing each sample in duplicate. Statistical analysis was performed using Minitab 231 17 software. Data points were checked for outliers (Grubb's test) and evaluation of 232 significant differences was performed using one-way analysis of variances (ANOVA). 233 All differences were considered significant at P < 0.05. Where F-values were 234 significant, pairwise comparisons were carried out with the help of Tuckey Post Hoc 235 test to describe the statistical significance between the different fermentation media 236 and times. 237

238 3 Results and Discussion

3.1 Effect of phenylalanine on antifungal activity and production of
 phenyllactic acid

Fungal isolates of *F. culmorum*, *Aspergillus niger* and *Penicillium expansum* were used as indicator moulds to evaluate the impact of Phe supplementation on the antifungal activity *in vitro* and PLA production. The results obtained are summarised in Table 1. The sole addition of up to 2% Phe (w/v) to MRS broth had no noteworthy

effect on the fungal growth in the unfermented medium (0 h fermentation). The PLA 245 quantities for all 0 h fermented samples were below the limit of detection. Thus, all 246 247 media used for inoculation of the different fungal spores were generally suitable for their growth and any inhibition obtained in the fermented samples was due to the 248 antifungal metabolites produced by L. reuteri R29. Concentrations of antifungal 249 carboxylic and phenolic acids were determined for all samples analysed. However, 250 251 no significant differences (p < 0.05), apart from the PLA concentration, was found between any of the samples fermented for equal amounts of time (data not shown). 252

After 24 h of fermentation, all samples were found to contain substantial amounts of 253 PLA. In addition, the PLA quantities were in direct correlation to the amount of Phe 254 initially added. This confirms the findings of Rodríguez et al. (2012), that more PLA is 255 produced when more Phe is available during fermentation. The PLA level of the 256 unsupplemented MRS at this point (24 h) was 38.4±1.3 ppm. When challenged with 257 the fungal spores (10⁷ spores/mL) the antifungal activity of the cfs was relatively 258 poor. After 120 h no noteworthy inhibition was detected against either of the three 259 fungal isolates. The cfs obtained through fermentation of MRS+1.5% Phe and 260 MRS+2% Phe resulted after 120 h of incubation in significantly (p < 0.05) better 261 inhibition of fungal spore germination, compared to cfs produced from 262 unsupplemented MRS. But, it needs to be mentioned that the supernatants 263 presented stronger inhibition against F. culmorum than against A. niger and P. 264 expansum. Hence, it can be concluded that F. culmorum is the most sensitive of the 265 three fungal species towards PLA. Similarly, the concentration of PLA in the cfs was 266 found to be 3 times higher compared to the control. Addition of 0.5% or 1.0% Phe 267 was much less efficient in terms of PLA accumulation and antifungal activity. 268

Finally, after 48 h of fermentation, all cfs showed the best spore germination 269 inhibition for the respective medium. The fermentation of unsupplemented MRS 270 resulted in limited inhibition of fungal spore germination over 120 h of incubation. 271 Compared to the unfermented medium, spore inhibition rates of 18.4±1.4%, 272 2.2±0.4% and 3.1±0.4% were found against F. culmorum, A. niger and P. expansum, 273 respectively. In contrast, the addition of 1.0% Phe to the medium led to substantially 274 improved antifungal activity (60.3±3.2%) over 120 h when challenged against F. 275 culmorum. The most efficient medium composition, in terms of antifungal 276 277 performance, was the addition of 1.5% Phe. This cfs resulted in 84.1±3.2% inhibition of spore germination against F. culmorum after 120 h. When challenged against the 278 other fungal genera, spore germination inhibition was found to be less substantial, 279 yet significantly increased compared to the unsupplemented medium. In contrast, the 280 supplementation with higher amounts of Phe did not further improve the antifungal 281 performance. The addition of less than 1.0% Phe showed no positive effect on the 282 antifungal performance. In general, the quantities of PLA determined for each cfs 283 correlate well with its respective antifungal activity. Thus, up to the addition of 1.5% 284 Phe, the amounts of PLA increased in direct correlation with the level of Phe initially 285 added. But no further increase could be achieved by supplementation with higher 286 quantities of Phe. Furthermore, the concentration of PLA after 48 h of fermentation 287 was always the highest for the respective medium, compared with shorter 288 fermentation times. This also correlates with the findings of Oliveira et al. (2015) who 289 concluded that PLA is produced by resting cells. The reason behind this is the 290 promotion of amino acid metabolism under nitrogen poor conditions (Hazelwood, 291 Daran, van Maris, Pronk, & Dickinson, 2008), which yields the antifungal carboxylic 292 acids, like PLA. Thus, after consuming the majority of nitrogen during the initial 293

growth phase, the resting bacteria have to survive in a relatively nitrogen poor
environment. This leads to increased catabolism of Phe and hence the high release
of PLA.

Furthermore, it was evident that the antifungal activity of cfs obtained from *L. reuteri* 297 R29 was clearly related to the amounts of PLA accumulated in the medium. For all 298 supernatants tested, a significant increase in PLA yield also led to improved 299 antifungal performance. These results are in correlation with previous findings of 300 Oliveira et al. (2015), who reported the antimicrobial activity of PLA and its role as 301 lead antifungal substance produced by Lactobacillus reuteri R29. Conversely, Axel et 302 al. (2016) found that it is not necessarily the strain with the highest production of 303 antifungal compounds that shows the best antifungal activity, demonstrating the key 304 role of the type of the antifungal compounds and their synergistic effects. 305 Nevertheless, the increased accumulation of antifungal active compounds by L. 306 reuteri R29 improves the overall antifungal performance of this particular strain 307 (Valerio, Di Biase, Lattanzio, & Lavermicocca, 2016). Thus, the increased 308 accumulation of PLA, as the lead antifungal compound of L. reuteri R29, led to 309 improved antifungal activity of the cfs. This clearly demonstrates the great potential 310 of microbial produced PLA as an antifungal substance. 311

It has to be mentioned however, that MIC_{90} values of synthetic PLA in acidified MRS against all three fungi tested are more than 10 times higher compared to the amounts detected in the bacterial supernatants showing fungal inhibition. MIC_{90} values determined are 6,000 ppm against *F. culmorum* and 15,000 ppm against *A. niger* and *P. expansum* (data not shown). Considering the high concentration of 10⁷ fungal spores/mL these values are in good correlation with the findings of

Lavermicocca, Valerio, & Visconti (2003). After all, these findings highlight that PLA, although being of major importance for antifungal performance, is not the only component responsible. Instead the synergy of PLA with other bacterial metabolites resulting in antifungal performance is evident.

In conclusion, the addition of Phe to the bacterial growth medium resulted in a 322 supernatant which exhibited improved antifungal activity in vitro against fungal 323 spores belonging to the genera of Fusarium, Aspergillus and Penicillium. This effect 324 was clearly related to the higher PLA accumulation during LAB fermentation. The 325 capacity to transfer this functionality to a food system remains unclear and will be 326 investigated further in sections 3.3 and 3.4. This would allow the exploitation of the 327 antifungal nature of LAB, particularly in systems that are unsuitable for direct 328 bacterial fermentation. Thus, these results highlight novel and promising 329 perspectives for the use of LAB as bio-preservatives. 330

As the 48 h fermentation of MRS broth supplemented with 1.5% phenylalanine was found to be the best antifungal medium, it was carried forward for further investigation, as discussed in sections 3.3 and 3.4. The medium and supernatant will henceforth be referred to as PMRS and Pcfs, respectively.

335 3.2 Effect of glycerol and sugar content on antifungal activity and reuterin 336 production

Another promising antimicrobial compound with possible application in food systems and produced by certain strains of *Lactobacillus reuteri*, is the multicomponent system reuterin, with 3-hydroxy propionaldehyde (3-HPA) being the main active component. However, to date no study has demonstrated the ability of *Lactobacillus reuteri* R29 to produce reuterin via the bioconversion of glycerol. Various

combinations of glycerol and glucose in the growth medium were tested, in order to achieve the highest possible reuterin yield and the best antifungal activity *in vitro* against *F. culmorum*. Results for the different cfs, fermented for 0, 24 and 48 h, respectively are summarised in Table 2.

Firstly, the results show that all the unfermented media (0 h fermentation) provide a suitable substrate for spore germination of *F. culmorum*. The growth curve obtained for each respective medium was used as reference, in order to evaluate the antifungal activity of the respective fermentation cfs. Furthermore, concentrations of antifungal carboxylic and phenolic acids were determined for all samples, showing no substantial differences between any of the 24 and 48 h fermented samples were found.

After 24 h of fermentation, the cfs obtained from unmodified MRS contained no 353 detectable amounts of reuterin, as MRS broth contains no glycerol. However, MRS 354 supplemented with 250 – 1000 mM glycerol and a glucose content of 1.0 – 1.5% led 355 to cfs containing various concentrations of reuterin. Values determined ranged 356 between 12.5±0.1 mM (250 mM glycerol, 1.0% glucose) and 27.5±0.6 mM (500 mM 357 glycerol, 1.5% glucose). Any further increase of glucose in the medium resulted in 358 significantly lower reuterin concentrations, as the data for the media containing 2% 359 glucose (Table 2) demonstrate. This suggests that 1.5% glucose in the initial 360 medium is the ideal amount required for L. reuteri R29 to accumulate reuterin. At this 361 level of glucose, the bacteria are able to grow to high cell density in the medium, 362 resulting in a high glycerol conversion to reuterin. However, this concentration is low 363 enough to avoid expression of the 3-HPA reductase responsible for the reductive 364 effect on antifungal activity by removing reuterin from the system through its 365

conversion to 1,3-propanediol. Comparison of reuterin contents for media containing 366 similar amounts of glycerol but varying glucose contents suggests that in presence of 367 sufficient amounts of glucose the 3-HPA reductase is expressed already during the 368 first 24 h of fermentation, preventing the accumulation of reuterin. The ideal amount 369 of added glycerol was found to be 500 mM, as it resulted in the highest reuterin 370 concentrations for each respective level of glucose in the medium. The amount of 371 372 reuterin produced by *L. reuteri* R29 correlates well with the *in vitro* antifungal activity against fungal spores of F. culmorum, A. niger and P. expansum. As mentioned in 373 374 section 3.1 the cfs obtained from normal MRS broth showed, due to the high spore concentration, very low levels of inhibition against the three fungi after 120 h of 375 incubation. In contrast, the supernatants containing reuterin presented substantially 376 better spore germination inhibition, demonstrating the antifungal potential of reuterin. 377 The cfs with the highest reuterin concentration (500 mM glycerol, 1.5% glucose) 378 showed total inhibition of *F. culmorum* against 10⁷ spores/mL over 96 h of incubation 379 (data not shown), and, after 120 h still had 69±2% fungal inhibition. Similarly to the 380 Pcfs, inhibition of Aspergillus and Penicillium spores was found to be less substantial 381 but still significant compared to the control (unsupplemented MRS). In conclusion, it 382 is evident from these results that a higher reuterin concentration results in enhanced 383 antifungal performance of the cfs. 384

In contrast, after 48 h of fermentation, the amounts of reuterin and the antifungal 385 performance for each supernatant decreased substantially, compared to the 386 respective 24 h fermented medium. This may be due to the extremely high reactivity 387 of reuterin. As MRS broth is a complex medium, it provides plenty of potential 388 reaction opportunities for reuterin. Furthermore, if the fermentation time is too long 389 the reuterin acts as a self-inhibiting antimicrobial compound against the LAB in the 390 17

medium (Lüthi-Peng et al., 2002). Although *L. reuteri* R29 was shown to be able to
produce and accumulate reuterin, exact control of the fermentation parameters, such
as time and medium composition is crucial. As a consequence, cfs obtained after 48
h of fermentation showed no significant difference in antifungal performance
compared to the unsupplemented control.

Overall, the most efficient modification in terms of reuterin yield and in vitro 396 antifungal activity against F. culmorum was obtained by 24 h fermentation of MRS 397 supplemented with 500 mM glycerol and 1.5% glucose, instead of the conventional 398 2% glucose. This concentration appears to be the best compromise to allow 399 sufficient bacterial growth and at the same time inhibit the expression of 3-HPA 400 reductase. This correlates well with the findings of Lüthi-Peng et al. (2002). 401 Consequently, the modification of the bacterial growth medium to induce reuterin 402 production and accumulation was shown to be a promising method of improving the 403 404 suitability of *L. reuteri* R29 as a bio-preservative agent.

In addition, the possibility of a combined increase in PLA and reuterin accumulation during LAB fermentation was attempted. However, this resulted in a total loss of antifungal activity (data not shown) of the cfs, due to the high reactivity of reuterin with the free amino-group of the Phe, needed as pre-cursor for PLA (Hazelwood et al., 2008).

As reuterin has industrial relevance as pre-cursor for the production of acrolein, several studies have been conducted to increase the reuterin production by LAB. These studies solved the problem regarding the carbohydrate content by application of a 2-step fermentation process. Although this process leads to high reuterin accumulation, with the cfs of the first fermentation step, also antifungal compounds

produced by L. reuteri R29 are removed. Thus, it is uncertain if this process 415 ultimately leads to effective fungal inhibition when applied in vitro. Therefore, the 2-416 step fermentation method of Doleyres et al. (2005) was adopted and tested for both, 417 yield of reuterin and *in vitro* antifungal activity. Here, a reuterin content of up to 418 93.1±2.0 mM was achieved. Although this is much lower than the previously reported 419 176 mM (Doleyres et al., 2005) it equates to a more than 3 fold increase compared 420 to the yield in the 1 step fermentation procedure (27.5±0.6 mM). This relatively low 421 level of reuterin, compared to Doleyres et al. (2005), is most likely due to the use of a 422 423 different strain of Lactobacillus reuteri. When the cfs was applied in vitro in the antifungal assay, it resulted in a total inhibition of all fungi tested over 120 h of 424 incubation. Consequently, the significantly increased yield of reuterin in the 2-step 425 fermentation method fully compensated for the loss of carboxylic acids. In fact, the 426 cfs produced by this method had the highest in vitro antifungal activity of all the 427 treatments investigated in this study. 428

This 2-step fermented supernatant was further used to determine the MIC₉₀ of reuterin, as no commercial reuterin standards are available. Values found were 4 mM against *F. culmorum* and 8 mM against *A. niger* and *P. expansum* (data not shown). These values are in good correlation with the findings of Chung, Axelsson, Lindgren, & Dobrogosz (1989). Furthermore, it highlights the great potential of reuterin as antimicrobial and antifungal agent.

In conclusion, *Lactobacillus reuteri* R29 was shown to have the ability to metabolise glycerol to reuterin. This can be achieved using a 1 or 2 step fermentation procedure. Both approaches resulted in very high rates of fungal inhibition, when challenged against 10⁷ spores/mL over 120 h (Table 2). Thus, the modification of the

fermentation process of *L. reuteri* R29 towards the production of reuterin shows the 439 potential for bio-preservation. But the need to control parameters such as glucose 440 and glycerol content, and fermentation time carefully, to allow accumulation of 441 reuterin has to be kept in mind. For a successful application in a food system, the 442 high reactivity of reuterin might impose further challenges. This applies in particular, 443 if heat treatment is involved, as this would further increase the reactivity of reuterin. 444 Furthermore, there is the risk of acrolein formation due to thermal dehydration 445 (Gänzle, 2015). 446

447 **3.3 Heat stability of PLA and reuterin**

In order to get a more detailed picture with respect to the possible applications of the various antifungal cell-free supernatants obtained in sections 3.1 and 3.2, three selected media were subjected to heat treatment. In particular for use in food systems, a certain heat resistance would broaden the range of possible applications immensely. Therefore, the impact of heat treatment (1 h at 100°C) on PLA and reuterin content, and on the antifungal performance *in vitro* was determined, using *F. culmorum* as indication strain.

For the Pcfs, no significant reduction of inhibitory capacity against *F. culmorum* was 455 found. Even after 120 h the heat treated supernatant resulted in 80±3% of fungal 456 growth inhibition, compared to 84±3% for the not heat treated cfs (Figure 1A). 457 Chromatographic analysis of the heat treated supernatant further revealed no 458 substantial loss in PLA, compared to the unheated one (data not shown). 459 Consequently, this cfs can be considered as heat stable with regards to the 460 antifungal performance and the concentration of its lead antifungal substance, PLA. 461 This result is in good correlation with the findings of Cortés-Zavaleta, López-Malo, 462

Hernández-Mendoza, & García (2014). Thus, the fermentation of Phe enriched
medium by *L. reuteri* R29 appears to be very promising for the production of
antifungal cfs and use as a bio-preservative in food systems.

For the reuterin-containing supernatants different results were obtained, depending 466 on the fermentation method. For the cfs produced with the 2-step fermentation 467 procedure, no substantial reduction in antifungal performance (Figure 1B) or reuterin 468 content (Figure 1C) was observed. However, heat treatment of the cfs produced by 469 the 1-step fermentation procedure resulted in a complete loss of antifungal activity 470 (Figure 1D). Likewise, the concentration of reuterin in the cfs decreased from 471 27.5±0.6 mM to 0.5±0.1 mM, due to the heating process (Figure 1C). The reason for 472 these differences is in the constituents of the respective supernatant, as heating 473 primarily increases the reactivity of reuterin (Vollenweider, Evers, Zurbriggen, & 474 Lacroix, 2010). The 2-step fermentation produces a cfs that consists only of water, 475 glycerol and reuterin, and thus no adequate reactive partner is present to sequester 476 reuterin. Hence, the antifungal activity of this cfs was not notably effected by the 477 heating process. On the other hand, the 1-step fermentation produces a supernatant, 478 containing all the ingredients of MRS broth and several metabolites produced by the 479 bacteria which are potential reactive partners for the reuterin. Consequently, the 480 increase in reactivity due to heating resulted in a substantial decrease in reuterin 481 content and hence severely reduced antifungal activity. 482

To examine this topic further and evaluate the antifungal activity *in situ*, the reuterin enriched (1- and 2-step fermentation process) and the Pcfs were applied into a model system for food production that involves heat treatment, the bread making process.

487 **3.4 Effect of PLA and reuterin on bread microbial shelf life**

In order to investigate the antifungal activity *in situ*, it was attempted to transfer and exploit the increased antifungal activity (*in vitro*) in the food processing chain, using bread making as an example. Therefore, the water in the dough preparation was replaced with the cell-free supernatants and the effect on microbial shelf life was tested by challenge against environmental fungi. Thus, use of the *in vitro* fermented cfs enabled comparison of the *in situ* antifungal performance of the different supernatants without the influence of other ingredients on the bacterial growth.

As demonstrated in previous studies the application of LAB fermented media into a cereal food matrix is possible (Le Lay et al., 2016; Peyer et al., 2016; Russo et al., 2015; Saladino et al., 2016). Furthermore, the routinely use of LAB as starter cultures for sourdough bread shows that the acidity has no substantial negative impact on the product quality and taste (Pawlowska et al., 2012). Hence, application of bacterial cfs, despite the strong acidic pH, as promising perspective for natural extension of microbial shelf-life.

As shown in Figure 2A, the use of cfs obtained by fermentation of normal MRS (24 502 h) resulted in a microbial shelf life of 5 days. After 13 days of storage 83% of the 503 bread slices contained mould colonies (category B), whereas the remaining 17% 504 were still completely mould free. A very similar result was achieved for the same 505 medium, fermented for 48 h (Figure 2B). The microbial shelf life was determined to 4 506 days and after 13 days of storage 17% of the slices were still mould free. However, 507 the mould was found to spread slightly faster, than with the 24 h fermented 508 supernatant, as there were 6.5% of the slices in the "C" category (11 – 24% mouldy) 509 and the rest in "B". This outcome is interesting, as the antifungal activity in vitro was 510

evident after 48 h of fermentation. However, as the breads were challenged against 511 environmental fungi and not just one specific strain, variations in the antifungal 512 performance are possible. In particular, as the antifungal performance is based on a 513 synergistic mechanism, the amount of organic acids produced cannot serve as sole 514 indicator for antifungal activity. Thus, it is possible that the mixture of antifungal 515 compounds present after 24 h is more effective against certain environmental fungi 516 than after 48 h. These samples, served as controls in order to evaluate if the 517 increased antifungal activity as evident in *in vitro* trials could also translated *in situ*. 518

In comparison, the Pcfs (24 h, Figure 2C) did not significantly increase the shelf life, 519 compared to the respective control (MRS/24 h). The microbial shelf life was 520 determined to be 5 days and after 13 days, 19% of the slices were still mould free. At 521 the same time, 6.5% of the slices were mouldy to more than 10%. These differences 522 to the 24 h fermented control are not statistically significant (p<0.05). Thus, the 24 h 523 fermentation of Phe enriched MRS did not increase the antifungal performance in 524 situ. In contrast, after 48 h of fermentation, the Pcfs led to substantially increased 525 shelf life (Figure 2D) when compared to the respective control. The shelf life 526 increased from 4 to 8 days (100%), due to the use of Pcfs. In addition, the spread of 527 fungal outgrowth was found to be notably retarded and the number of mouldy slices 528 after 13 days of monitoring was, with 53%, significantly reduced also. This correlates 529 well with the results of the *in vitro* assays for the Pcfs against F. culmorum. These 530 results strengthen the conclusions previously made by Crowley et al. (2013), who 531 reported that LAB are, due to their antimicrobial acids, very promising candidates as 532 food bio-preservatives. In addition, this result demonstrates the broad antifungal 533 activity of PLA against various spoilage organisms in situ. Thus, the results obtained 534 in this study further prove the suitability of L. reuteri R29 as natural food 535

536 preservative, using bread making as an example of such an application. The use of 537 *L. reuteri* R29 as bio-preservative, in particular with emphasis on high PLA 538 production appears to be a promising alternative to conventional preservatives.

Despite showing less promising results in vitro after heat treatment, the reuterin 539 containing 1- and 2-step fermentations were also applied in the baking process. The 540 respective 24 h and 48 h 1-step fermented supernatants (Figures 2E and F, 541 respectively) were found to result in a shelf life increase of 1 day, compared to their 542 respective controls. Also the spread of the fungal outgrowth appeared to be slower. 543 However, these improvements were not statistically significant (p<0.05). Due to the 544 high reactivity of reuterin, in particular at high temperatures as shown in section 3.3, 545 it is likely that the reuterin reacted with other dough constituents. Thus, when the 546 microbial challenge test was carried out, no noteworthy levels of active reuterin were 547 present. This indicates that the breads obtained from this supernatant should 548 perform similar to the control in terms of microbial shelf life, as the normally 549 produced antifungal acids were present in both control and 1-step fermentation. 550 Hence, the outcome of the shelf life test, where no significant difference to the 551 control was found, is in good correlation with the previous results, as discussed in 552 section 3.3. 553

Finally, the 2-step fermented cfs showed the least antifungal activity of all samples, when applied to the baking process (Figure 2G). The shelf life decreased substantially by 2 days, compared to the 24 h MRS control. The fungal spread was also much faster than in any other sample, resulting in 42% of the bread slices being covered to more than 50% by fungi (category "E"), after 13 days of monitoring. Thus, the cfs obtained from the 2-step fermentation was found to be completely unsuitable

for in situ microbial preservation in bread. The explanation for this, again, is related 560 to the high reactivity of reuterin, in particular at high temperatures. Although this 561 supernatant showed heat stability when tested in vitro, the dough matrix is very 562 complex and thus provides plenty of reaction opportunities for the reuterin. In 563 particular, free thiol groups which are the main target in the inactivated microbes 564 present in the flour can interfere with the reuterins antimicrobial performance. 565 Despite the fact that levels of free thiol groups in a dough formulation as it was used 566 here are 10 – 100 times below the reuterin concentrations (Kohler, 2003; Reinbold, 567 568 Rychlik, Asam, Wieser, & Koehler, 2008) they are likely to compromise the antifungal activity significantly (Engels et al., 2016). Furthermore, the production process of this 569 cfs eliminates the other metabolites produced by L. reuteri R29, such as antifungal 570 acids. As a consequence, by losing the reuterin due to reactions with other dough 571 constituents, no active antifungal compounds were present anymore. Hence, the 572 outcome of an even further decreased shelf life, compared to the control. The results 573 of this study clearly demonstrate that reuterin is not suitable as food preservative if it 574 is subjected to heating. However, it may still be suitable for unheated foods like 575 salami or cheese, for which it has already been successfully applied (Gomez-Torres 576 et al., 2014; Ortiz-Rivera et al., 2017). 577

578 4. CONCLUSIONS

In conclusion, this study demonstrates three possibilities for improving the efficiency of antifungal LAB *in vitro*, using *Lactobacillus reuteri* R29 as an example. Further understanding regarding production and stability of antifungal compounds was obtained. In particular, the key role of PLA for the antifungal performance of *L. reuteri* R29 became evident. However, from the MIC₉₀ values of synthetic PLA it also

became evident that microbial PLA just in synergy with other bacterial metabolites 584 can serve as efficient antifungal agent. The results achieved *in vitro*, could only partly 585 be transferred into the bread making process. Reuterin, due to its high reactivity, in 586 particular at high temperatures (Vollenweider et al., 2010), did not lead to 587 satisfactory results in situ. In contrast, the supplementation with Phe, to increase the 588 production of PLA, was found to be very efficient in both *in vitro* and *in situ*. Hence, 589 590 Phe supplemented fermentation media should be considered as promising options to improve the antimicrobial performance of LAB during production of food, such as 591 bread or beverages. The proteolytic activity reported for L. reuteri R29 (Axel et al., 592 2016) also proposes the possibility to achieve this antifungal effect upon 593 supplementation with Phe rich proteins. This work shows the potential for further 594 exploitation of LAB as bio-preservatives, particularly in environments unsuitable for 595 bacterial fermentation, for example, during grain storage. This demonstrates the 596 potential to enlarge the field of application for the antimicrobial properties of LAB. 597 Further research is required on the *in situ* production of PLA, including its stability 598 and influence on sensory parameters. In addition, application of such methodologies 599 investigated in this study in further food systems will serve to increase our 600 knowledge in this increasingly pertinent area. 601

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	former entertions time of the		antifungal activity after 120 ho	urs of incubation [% growth inh	ibition against 10 ⁷ spores/
Medium	fermentation time [h]	PLA [ppm]	P. expansum	A. niger	F. culmorum
	0	_a	_a	_a	_a
MRS	24	38.4±1.3 ^b	1.2±0.2 ^b	0.2±0.1ª	1.5±0.2
	48	85.3 ±1.1	3.1±0.4°	2.2±0.4 ^b	18.4±1.4 ^c
	0	_a	_a	_a	_a
MRS + 0.5% Phe	24	41.6±1.8 ^b	1.4±0.1 ^b	3.2±0.5 ^{bc}	3.4±2.2 ^{bc}
The	48	103.2±2.3	3.6±0.3°	3.7±1.4°	14.1±2.8 ^c
MRS + 1.0% Phe	0	_a	_a	_a	_a
	24	57.5±1.5	2.2±0.3	2.8±1.4 ^b	6.1±2.5 ^c
	48	237.1±1.4	16.5±1.3	24.7±3.7	50.3±3.2
	0	_a	_a	_a	_a
MRS + 1.5% Phe	24	116.4±1.3°	8.3±0.8	11.0±0.5	25.4±3.1 ^d
	48	361.2±2.4 ^d	32.7±0.2 ^d	63.5±2.1 ^d	84.1±3.2 ^e
MRS + 2.0% Phe	0	_a	_a	_a	_a
	24	113,2±1.8°	14.1±2.5	16.0±1.1	27.1±2.1 ^d
	48	363.8±2.0 ^d	33.1±0.6 ^d	62.9±1.6 ^d	83.5±2.2 ^e

Table 1: Concentration (ppm) of phenyllactic acid (PLA) and antifungal activity over 120 h (% of growth inhibition) of cell-free supernatants of
 Lactobacillus reuteri R29 grown in MRS for 0, 24 or 48 h at 37°C in presence of various amounts of phenylalanine.[#]

728 # Results shown are mean values ± confidence interval. Values in one column followed by the same lower case letter are not significantly different, values

729 without letter of significance are significantly different from all other values in the same column (p<0.05)

A CX

730 *Table 2:* Concentration of reuterin (mM) and antifungal activity (% growth inhibition) against

731 Penicillium expansum, Aspergillus niger and Fusarium culmorum of cell-free supernatants of

Lactobacillus reuteri R29 grown in various MRS formulations, containing 1.0 – 2.0% of glucose
 and 250 – 1000mM of glycerol, for 0, 24 and 48h (1-step fermentation process) and for the 2-

734 step fermentation process (2h in water/glycerol).#

Medium fermented by <i>L</i> .	fermentatio n time [h]	reuterin [mM]	antifungal activity [% g	rowth inhibition aga spores/mL]	inst 10 ⁷ <i>F. culmoru</i>
reuteri R29			P. expansum	A. niger	F. culmorum
	0	_a	_a	_a	_a
Control (MRS)	24	_a	0.2±0.1	0.5±0.1 ^b	0.3±0.2ª
	48	_a	3.1±0.7 ^b	8.2±0.4°	18.4±1.4 ^b
MRS + 250mM	0	_a	_a	_a	_a
glycerol; 1.0%	24	12.5±0.1	18.3±1.7 ^d	22.5±0.2 ^d	39.5±2.7
glucose	48	_a	2.1±0.4 ^b	8.9±0.6°	8.4±1.2 ^c
MRS + 250mM	0	_a	_a	_a	_a
glycerol; 1.5%	24	20.5±1.1 ^b	17.0±1.2 ^d	24.5±1.3 ^d	55.8±3.1 ^d
glucose	48	0.4±0.1	3.5±0.4 ^b	3.5±0.6 ^e	8.7±2.1°
MRS + 250mM	0	_a	_a	_a	_a
glycerol; 2%	24	0.9±0.2	4.5±0.2 ^e	11.1±1.9 ^f	15.7±2.6 ^e
glucose	48	_a	2.2±0.3 ^b	1.8±0.5	14.6±0.1 ^e
MRS + 500mM	0	_a	_a	_a	_a
glycerol; 1.0%	24	18.6±0.3	35.6±1.6	21.8±0.1 ^d	58.7±2.3 ^d
glucose	48	0.2±0.1ª	2.4±0.2 ^b	3.3±0.3 ^e	8.8±0.6 ^c
MRS + 500mM	0	_a	_a	_a	_a
glycerol; 1.5%	24	27.5±0.6°	55.0±2.1	64.9±1.8	69.1±0.4
glucose	48	1.2±0.3 ^d	3.1±1.1 ^b	4.5±0.4 ^e	17.9±2.1 ^{be}
MRS + 500mM glycerol; 2% glucose	0	_a	_a	_a	_a
	24	1.3±0.2 ^d	8.4±0.5	7.6±0.9	19.1±1.5 ^e
	48	_a	2.8±0.7 ^b	2.2±0.5 ⁹	16.3±0.4 ^e
MRS + 1000mM glycerol; 1.0% glucose	0	_a	_a	_a	_a
	24	21.5±1.4 ^b	14.7±1.0	13.4±0.2 ^f	57.1±0.5 ^d
	48	0.3±0.1ª	_a	0.2±0.1 ^b	_a
MRS + 1000mM glycerol; 1.5% glucose	0	_a	_a	_a	_a
	24	26.6±0.3°	26.5±1.1	28.5±1.5 ^d	52.9±2.4 ^d
	48	0.5±0.4 ^a	1.0±0.1 ^c	1.4±0.2 ^h	18.6±1.9 ^{be}
MRS + 1000mM glycerol; 2% glucose	0	_a	_a	_a	_a
	24	1.4±0.1 ^d	3.6±0.7 ^e	2.6±0.1 ^g	18.1±1.6 ^{be}
	48	_a	0.8±0.2 ^c	1.2±0.2 ^h	17.5±1.2 ^{be}
Medium (2-step fermentatio reuterin fermentation time the fermentatio reuterin					inst 10 ⁷ <i>F. culmoru</i>
process)	n time [h]	[mM]	P. expansum	A. niger	F. culmorum

distilled water +	0 (control)	_a	_a	_a	_a
400mM glycerol	2 (sample)	93.1±2.0	100±0	100±0	100±0

Results shown are mean values ± confidence interval. Values in one column followed by the same
 lower case letter are not significantly different, values without letter of significance are significantly

different from all other values in the same column (p<0.05)

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Figure 1: *In vitro* antifungal activity against *F. culmorum* in A) MRS + 1.5% Phe (48h
fermented), B) 2-step fermentation supernatant, C) impact of heat treatment on
reuterin content of the cell-free supernatants and D) MRS + 500mM glycerol; 1.5%
glucose (24h fermented).

Figure 2: Shelf life of wheat bread against environmental mould during a 13-day storage period is indicated as the percentage of the total surface area of each slice, where fungal growth occurred. Mould-free slices (A), <10% mouldy (B), 10-24% mouldy (C), 25-49% mouldy (D) and >50% mouldy (E). Mean values are shown (n=3); error bars indicate standard deviations.



