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# Recent advances of electrodeposition of Bi<sub>2</sub>Te<sub>3</sub> and its thermoelectric applications in miniaturized power generation and cooling

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12

#### 13 Abstract:

14 Thermoelectric (TE) technology enables direct conversion between thermal and electrical energy and thus has 15 been regarded as an alternative for energy harvesting and refrigeration. Bismuth telluride (Bi<sub>2</sub>Te<sub>3</sub>) is the most 16 widely used TE material at room temperature due to its high figure of merit ( $\sim$ 1). Since the first publication on 17the electrodeposition of Bi<sub>2</sub>Te<sub>3</sub> films in 1994, a large number of publications has emerged in the last two decades, 18 owing to its advantages such as simple and safe preparation, high deposition rate, convenient micromachining, 19 good compatibility to semiconductor microfabrication techniques, etc. This article reviews the recent research 20 progress in electrodeposition of Bi<sub>2</sub>Te<sub>3</sub> and its application in TE devices. Additionally, an outlook towards the 21 future development of this area has also been discussed.

22

Keywords: Thermoelectric; bismuth telluride; electrodeposition; nanocomposite; Bi<sub>2</sub>Te<sub>3</sub>-based ternary alloys;
 TEG

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#### 1 **0.** Introduction

11

13

Thermoelectrics (TEs) enable direct conversion from heat to electricity and vice versa and thus 2 have long been considered for use in low carbon alternative energy generation and recovery or solid-3 state Peltier coolers. The conversion efficiency of TE devices (TEDs), including TE generators (TEGs) 4 and TE coolers (TECs), is currently lower than traditional mechanical generators or compression-type 5 refrigerators. Nevertheless, they possess special advantages such as having no moving parts, being 6 reliable, safe, silent and scalable, thereby making them ideal for niche and distributed power 7 generation, such as powering deep space exploration, portable devices and wearable electronics, or 8 9 for energy recovery from waste heat; and for localized cooling applications, such as for cooling 5G stations, high-end chips, etc. [1]. The TE conversion efficiency ( $\eta$ ) of TEGs is given by [2]: 10

$$\eta_{max} = \frac{T_h - T_l}{T_h} \frac{\sqrt{1 + 2T} - 1}{\sqrt{1 + 2T} + T_l / T_h}$$
(1)

# 12 and the coefficient of performance (COP) of TECs is [3]:

- $COP_{max} = \frac{T_l}{T_h T_l} \frac{\sqrt{1 + ZT} T_h / T_l}{\sqrt{1 + ZT} + 1}$ (2)
- where  $T_h$  is the hot-side temperature,  $T_l$  is the cold-side temperature, and, ZT is the TE figure of merit of material at the ambient temperature  $T = (T_h + T_l)/2$  [4]:
- 16  $ZT = \frac{\sigma S^2 T}{k}$ (3)

17 where,  $\sigma$  is the electrical conductivity, *S* is the Seebeck coefficient, and  $\kappa$  is the thermal conductivity. 18  $\kappa$  has two components: the carrier transport part ( $\kappa_e$ ) and phonon transport part ( $\kappa_l$ ).  $\sigma S^2$  is defined as 19 the power factor (*PF*) and is the comprehensive indicator of a material's relevant electrical properties. 20 For traditional TE materials with *ZT* ~ 1,  $\eta$  of the corresponding TEGs is ~ 13% at T<sub>h</sub> = 900 K and T<sub>l</sub> 21 = 300 K. One has to boost *ZT* to ~ 3 for  $\eta$  to be ~30 %, so as to be competent against traditional generator [5–7]. Similarly, ZT should reach ~ 4, thereby the TECs can compete against traditional refrigeration at room temperature (RT) [8].

3 For near room-temperature applications, the most widely used TE material is bismuth telluride (Bi<sub>2</sub>Te<sub>3</sub>) and its alloys, with a  $ZT \sim 1$  at RT. The alloys of Bi<sub>2</sub>Te<sub>3</sub> are n-type when alloyed with bismuth 4 5 selenide (Bi<sub>2</sub>Se<sub>3</sub>) whereas being p-type with antimony telluride (Sb<sub>2</sub>Te<sub>3</sub>) [9-11]. Bi<sub>2</sub>Te<sub>3</sub> is 6 orthorhombic with a rhombohedral layered structure, exhibiting a bandgap of  $\sim 0.15$  eV. The 7 constituent atoms are arranged in the order of Te<sub>1</sub>-Bi-Te<sub>2</sub>-Bi-Te<sub>1</sub>, wherein Bi and Te<sub>1</sub> or Te<sub>2</sub> atoms are connected by covalent bonds, while adjacent Te<sub>1</sub> atoms interact with the Van der Waals forces (Figure 8 1(a)) [12]. The unit cell parameters of  $Bi_2Te_3$  at RT are a = 3.8 Å and c = 30.5 Å and its single crystal 9 cleavage plane is perpendicular to the c-axis direction [13]. The transport of electrons and phonons are 10 impeded to different degrees by the Van der Waals gap of Te<sub>1</sub>-Te<sub>1</sub>. The in-plane  $\sigma$  and  $\kappa$  are 3 ~ 7 times 11 12 and  $2 \sim 2.5$  times that of cross-plane ones, respectively, whereas the S is regarded as isotropic, resulting in a better TE performance perpendicular to the c axis [14–16]. As shown in Figure 1(b), Manzano et 13al. [13] deposited polycrystalline Bi<sub>2</sub>Te<sub>3</sub> films highly oriented along the [110] direction by pulsed 14 15 electrodeposition. The ratio between the out-of-plane and in-plane ZT is approximately 1.8, agreeing 16 well with single crystal bulk Bi<sub>2</sub>Te<sub>3</sub>.



Figure 1. (a) Crystal structure of quintuple  $Bi_2Te_3$ . (b) Preferential orientation of the pulse electrodeposited polycrystalline  $Bi_2Te_3$  film on a substrate [13].

1	So far researchers have synthesized Bi2Te3 thin films by a variety of methods, such as
2	solvothermal method (ST) [17–21], pulsed laser deposition (PLD) [22–26], thermal evaporation (EVP)
3	[27-31], magnetron sputtering (MS) [32-34], and electrodeposition (ED) [35,36]. From the viewpoint
4	of performance, as shown in Table 1, the Bi <sub>2</sub> Te <sub>3</sub> film prepared by ED showed a power factor of 30.2
5	$\mu$ W cm <sup>-1</sup> K <sup>-2</sup> according to the latest work [37], which is 1–2 orders of magnitude higher than that made
6	by solvothermal method (ST), better than that made by thermal evaporation (EVP) and magnetron
7	sputtering (MS), and comparable to that made by pulsed laser deposition (PLD). Therefore, ED is
8	competitive among all known methods to prepare Bi2Te3 films from the viewpoint of performance.
9	From the viewpoint of production process, firstly, the raw materials used in ED are oxides and salts,
10	which are of lower cost than the high-purity ( $\geq 3N$ ) Bi, Te metals or Bi <sub>2</sub> Te <sub>3</sub> alloys utilized in PLD,
11	EVP, and MS. Secondly, ED can be carried out in air at RT, while PLD, EVP and MS are conducted in
12	high vacuum ( $\leq 10^{-3}$ Pa) or high-purity inert gas ( $\geq 4$ N) at high temperatures. Thirdly, the PLD, EVP,
13	and MS methods demand evaporation of Bi and Te atoms ahead of deposition, entailing a larger energy
14	consumption for breaking chemical bonds. Fourthly, as ED process is driven by an electrical field, ED
15	can only take place on conducting areas, thus it can realize region-selective deposition for designed
16	patterns. Although the PLD, EVP and MS methods can also realize designed patterns by masking
17	unintended regions, the Bi2Te3 layers are also deposited on the masks (e.g. photoresist), which causes
18	a waste of raw materials. Fifthly, ED can be combined with complementary metal-oxide-
19	semiconductor (CMOS) technology to prepare high-power-density TEGs or TECs [38,39]. These
20	endow ED special advantages in the preparation of micro-TEDs, which is of great significance for
21	power generation or cooling of next-generation microelectronic and photonic devices. Considering all
22	the above factors, ED is a cost-effective preparation method of the Bi2Te3 films and more competent

1 to scale-up for the further development of micro-devices.

raw materials as well as conditions utilized.

3

Method	$\sigma$ (Scm <sup>-1</sup> )	S ( $\mu$ VK <sup>-1</sup> )	PF ( $\mu$ Wcm <sup>-1</sup> K <sup>-2</sup> )	<b>ΤΗΚ</b> (μm)	Raw materials	Atmosph ere	Т	Ref.
ED	399	-275	30.2	1.85				[37]
ED	500	-148	11.0	3.80	$TeO_2$ , $Na_2TeO_3$ , $P(NO_2)$ , $P(O_2)$	A :	рт	[36]
ED	2000	-100	20.1	-	$B1(NO_3)_3, B1_2O_3$ etc. (> 2N)	Alr	KI	[140]
ED	690	-146	14.7	3.00				[16]
PLD	1080	-160	27.7	1.50			• • • •	[23]
PLD	-	-	56.8	0.03	B1, Te, $B1_2Te_3$	Vac. ( $\leq$ 10 <sup>-3</sup> Pa)	200 ~ 600 °C	[26]
PLD	33000	-52	83.8	0.60	$target (\geq 510)$	10 I a)	000 C	[24]
EVP	670	-160	17.0	-	Bi, Te, Bi <sub>2</sub> Te <sub>3</sub>	Vac. (≤	220.00	[31]
EVP	500	-233	27.0	0.40	target ( $\geq$ 3N).	10 <sup>-3</sup> Pa)	~ 230 °C	[29]
MS	1020	-163	27.3	1.00	Bi, Te, Bi <sub>2</sub> Te <sub>3</sub>	Ar (≥	150 ~	[33]
MS	470	-148	10.2	1.00	target ( $\geq$ 3N)	4N)	300 °C	[34]
ST	120	-74	0.7	1.50	TeO <sub>2</sub> , Na <sub>2</sub> TeO <sub>3</sub> ,			[18]
ST	40	-300	3.5	40.00	Bi(NO <sub>3</sub> ) <sub>3</sub> , Bi <sub>2</sub> O <sub>3</sub>	Air	~200 °C	[19]
ST	120	-103	1.3	10.00	etc. ( $\geq 2N$ )			[20]

2 **Table 1.** RT TE properties and thickness of  $Bi_2Te_3$  thin films prepared by different methods, and the

Note: TE properties in *Ref.* 29 were measured at 83 °C. Vac.: vacuum, THK: thickness, T: temperature. 2N: 99 %,
3N: 99.9 %, 4N: 99.99 %.

The TE properties of an electrodeposited Bi<sub>2</sub>Te<sub>3</sub> film are strongly correlated to its composition 6 and microstructure, which are determined by the deposition process. Adjusting Bi<sup>III</sup>/Te<sup>IV</sup> ionic ratio 7  $(C_{Bi^{III}}/C_{Te^{IV}})$  can control the stoichiometric ratio in the product, thereby tuning the TE properties of 8 the film. Being away from the optimal  $C_{Bi^{III}}/C_{Te^{IV}}$ , miscellaneous phases such as BiTe and Bi<sub>2</sub>Te 9 other than Bi<sub>2</sub>Te<sub>3</sub> would be formed. Therefore, predesigning of  $C_{Bi^{III}}/C_{Te^{IV}}$  is essential for a high-10 property film. Utilizing appropriate deposition mode, potential and temperature, a specific electrolyte 11 system, including surfactant or annealing process can generate films that are smooth and dense, which 12 13 in turn can boost the TE properties. However, reviews of Bi<sub>2</sub>Te<sub>3</sub> electrodeposition are rare [40,41]; and

the ones that exist mainly summarize the ED processes of Bi<sub>2</sub>Te<sub>3</sub>, and discuss the microstructures and 1 properties of the products, without thoroughly commenting on the underlying relationships between 2 3 among processes, microstructures and properties, which are significant factors for process optimization. Meanwhile, several hundred update research articles on the ED of Bi<sub>2</sub>Te<sub>3</sub> have been published in recent 4 5 years. Accordingly, this work aims to provide for the reader a guidance for ED processes optimization, 6 to achieve high-performance Bi2Te3 films and their TEDs, based on a thorough analysis of the inter-7 relationship between processes, microstructures and properties. More than 200 recent and enlightening literature are cited or commented on in this critical review and underlying mechanisms are summarized 8 9 and future challenges proposed.

## 10 1. Mechanism

ED of Bi2Te3 is usually carried out using a three-electrode system. One loop composed of working 11 electrode (WE), counter electrode (CE) and power supply, is designed to transmit electrons and induce 12 an electrochemical reaction on the WE. The other loop composed of WE and reference electrode (RE), 13 is set to monitor the electrochemical reaction process on the WE. As shown in Figure 2, when an 14 external voltage is applied, the WE would be filled with electrons, and an electric field is thus 15 established between WE and CE. As a result, the Bi<sup>III</sup> and Te<sup>IV</sup> cations contained in the electrolyte (in 16 the form of  $Bi^{3+}$  and  $HTeO_2^+$ , respectively) would migrate to the WE, and be reduced on the surface of 17the WE. The general formula of the electrochemical reaction can be expressed as [42]: 18

19 
$$2 \operatorname{Bi}^{3+} + 3 \operatorname{HTeO}_2^+ + 18 \operatorname{e}^- + 9 \operatorname{H}^+ \to \operatorname{Bi}_2 \operatorname{Te}_3 + 6 \operatorname{H}_2 \operatorname{O}$$
 (4)



Figure 2. Schematic diagram showing ED process of Bi<sub>2</sub>Te<sub>3</sub> film.

The above equation is a complex reaction with reductions occurring of both  $Bi^{III}$  and  $Te^{IV}$  cations. It is thus important to illustrate the underlying reaction sequences to understand the deposition mechanisms. Firstly, the ionic mobilities (*m*) of  $Bi^{III}$  and  $Te^{IV}$  cations under a fixed temperature in the same electrolyte system are different, which can be expressed by the formula [43]:

(5)

7 
$$m = \frac{ez}{6\pi vr}$$

1

2

where e is the elementary charge, z is the ionic-charge number, v is the viscosity of the electrolyte, and 8 r is the ionic radius. In the same system (for example, 1M nitric acid, HNO<sub>3</sub>), Te<sup>IV</sup> cations (r = 0.2069 nm, z = 4) has a larger m than Bi<sup>III</sup> cations (r = 0.253 nm, z = 3) [44]. Secondly, since the electron 10 affinity of Te<sup>IV</sup> is larger than Bi<sup>III</sup>, the onset potential (potential at which the reduction is started, Eonset 11 [45]) of Te<sup>IV</sup> is thus more positive (Figure 3(a)), which means that the reduction of Te<sup>IV</sup> takes place at 12 first during co-deposition (Figure 3(b)). Thirdly, due to a lower work function in Bi (~ 4.0 eV) than 13that in Te (~ 4.22 eV) and the contribution of the interaction between Bi and Te, the underpotential 14 deposition of Bi would occur then, namely, Bi can be deposited on Te at a positive potential than its 15 thermodynamically reversible potential [46,47]. It is thus considered that Te<sup>IV</sup> cations are reduced to 16

 $1 ext{Te}^0$  on the WE at first, and then  $Bi^{III}$  cations are deposited and bonded with reduced  $Te^0$  to form  $Bi_2Te_3$ .

2 The ED reaction of  $Bi_2Te_3$  can then be separated into two steps [48]:

$$HTeO_2^+ + 4e^- + 3H^+ \rightarrow Te^0 + 2H_2O$$
 (6)

3

4

$$3\mathrm{Te}^{0} + 2\mathrm{Bi}^{3+} + 6\mathrm{e}^{-} \rightarrow \mathrm{Bi}_{2}\mathrm{Te}_{3} \tag{7}$$



5

Figure 3. (a) Cyclic voltammograms (CV) of WE in 10 mM Bi<sup>III</sup> or 10 mM Te<sup>IV</sup>/1M HNO<sub>3</sub> aqueous
solutions, respectively. (b) CV of WE in 10 mM Bi<sup>III</sup> plus 10 mM Te<sup>IV</sup>/1M HNO<sub>3</sub> aqueous solutions
(Co-deposition) [48]. Copyright 2009, Elsevier. Reprinted with permission.

However, one recent work raised a different mechanism. Bo et al. [49] deposited Bi<sup>III</sup> on 9 composite indium tin oxides (ITO)-gold and ITO-gold-tellurium electrodes, vis: (ITO)/Au and 10 ITO/Au/Te. It was found that elemental Te did not promote the deposition of Bi<sup>III</sup>, as their cyclic 11 voltammetry (CV) curves almost overlapped. Likewise, they deposited Te<sup>IV</sup> on ITO/Au and ITO/Au/Bi, 12 respectively. On the other hand, elemental Bi was apparently found to promote the deposition of Te<sup>IV</sup>, 13 as the *E*onset was positively shifted in the latter case. Therefore, they claimed that Bi<sup>III</sup> cations are first 14 reduced to Bi<sup>0</sup>, and then Bi<sup>0</sup> promotes the reduction of Te<sup>IV</sup>. However, we believe this experimental 15design needs further improvement to make it more convincing. Firstly, the Bi<sup>III</sup> and Te<sup>IV</sup> cations were 16 designed to be deposited on sputtered elemental Te and Bi films respectively in the experiment. The 17

Te and Bi are present in form of micro-grains in the film, which have far less surface energy than the Te<sup>0</sup> and Bi<sup>0</sup> in atomic state during ED of Bi<sub>2</sub>Te<sub>3</sub> [50,51]. In addition, it is reasonable that the  $E_{onset}$  is altered when the substrate changed from Au to Bi due to altered kinetics [52]. The *m* of Bi<sup>III</sup> and Te<sup>IV</sup> cations can also be affected by temperature, mechanical stirring and other factors [53–56]. Direct evidence of the deposition sequence remains an issue, for which new in-situ atomic-scale investigation technology is to be developed.

# 7 2. Electrolyte

8 The electrolyte is the medium that provides necessary ions and facilitates ionic motions for mass 9 transfer. Therefore, the electrolyte is one of the key factors that affects the TE properties of the 10 electrodeposited films. This section discusses the influence of electrolyte-related factors (impurities, 11 solvents, surfactants, temperature and ionic concentration, etc.) on the compositions, microstructures 12 as well as TE properties of the electrodeposited Bi<sub>2</sub>Te<sub>3</sub>.

# 13 2.1 Impurities

The  $Bi^{III}$  and  $Te^{IV}$  cations in the electrolyte are usually provided by Bi metal powder or bismuth nitrate ( $Bi(NO_3)_3$ ) salt, and Te or tellurium oxide ( $TeO_2$ ) powders respectively. However, the presence of impurities in the raw materials is inevitable, which potentially has an impact on the TE properties of the film that cannot be neglected. Manzano et al. [57] used Te and Bi powder with different purities to deposit  $Bi_2Te_3$  and investigated the influence of the impurities on the TE properties. Film A was made of 4N-purity raw materials with trace Se, Film B was made of 5N-purity materials and Film C was made of 4N-purity raw materials with a trace amount of alkali metal elements such as Na and K. 1 As shown in **Figure 4**, Film A had the best  $\sigma$  and *PF*, because trace Se doping induces vacancies, and 2 ionize electrons, thus increasing *n*. The electrical conductivity,  $\sigma$  can be calculated by the formula [16]:

3

$$\sigma = n e \mu \tag{8}$$

where *n* is the carrier concentration,  $\mu$  is the carrier mobility and *e* is the charge of an electron. As the 4 5 electronic structure is not altered by Se doping,  $\mu$  can be maintained, so that  $\sigma$  is increased [58]. Film C revealed the worst TE properties, due to the substitution of high valence Bi<sup>3+</sup> with low valence Na<sup>+</sup> 6 or K<sup>+</sup>, which introduces holes and point defects in Bi<sub>2</sub>Te<sub>3</sub>, thus reducing n and  $\mu$ , and consequently 7 lowering the  $\sigma$  [59–61]. The alkali metal elements such as Na and K usually exist in ordinary deionized 8 9 water, which are readily doped into the product by electrolyte bath. Hence, the impurity species in the raw materials have a greater impact on the TE properties than purity. As was reported, doping of 10 appropriate donor impurities, such as Se [58], Cu [62], etc. [63–64] into n-type Bi<sub>2</sub>Te<sub>3</sub>, could increase 11 12 *n* and optimize *PF*; otherwise, will deteriorate the TE properties [65–67]. One may expect optimizing the TE performance by purposely doping appropriate impurities into electrodeposited Bi<sub>2</sub>Te<sub>3</sub> by 13 electrolyte bath. 14



15

Figure 4. TE properties of Film A, B, C made of raw materials with 4N (with trace Se), 5N and 4N
(with trace K and Na) purity respectively [57].

# 1 2.2 Solvent systems

Aqueous solutions: Compared with Bi<sup>III</sup> cations, Te<sup>IV</sup> species exhibit much lower solubilities in 2 aqueous solution [68]. To ensure a higher  $C_{T\rho^{IV}}$ , ED of Bi<sub>2</sub>Te<sub>3</sub> is often carried out in a strong acidic 3 electrolyte, mainly in HNO<sub>3</sub>, where Te<sup>IV</sup> cations exist in the form of HTeO<sub>2</sub><sup>+</sup> with high solubility 4 (Figure 5 (a)). However, the maximum  $C_{Te^{IV}}$  in 1 M HNO<sub>3</sub> can only reach 50 mM, which is still 5 much lower than that of Bi<sup>III</sup> cations (~ 400 mM) [68,69]. The solution acidity is seldom realized by 6 hydrochloric acid (HCl) or sulfuric acid (H<sub>2</sub>SO<sub>4</sub>). Kang et al. [70] investigated the electrochemical 7 reduction behaviors of Bi<sup>III</sup> and Te<sup>IV</sup> cations in HNO<sub>3</sub> and HCl electrolytes. It has been found that a 8 higher HNO<sub>3</sub> concentration (a lower pH) leads to a higher  $C_{Te^{IV}}$ , thus promoting the reduction of Te<sup>IV</sup> 9 (Equation 6). However, too low pH values would have an adverse effect, because redundant H<sup>+</sup> cations 10 would adsorb on the deposited Te<sup>0</sup>, and impede the reduction of Bi<sup>III</sup> cations due to the Coulomb 11 repulsion. Moreover, the hydrogen evolution potential shifted positively when the pH value decreased. 12 It could coincide with the ED potential of Bi<sub>2</sub>Te<sub>3</sub>, so that the hydrogen evolution reaction occurs 13 concurrently with ED of Bi2Te3, engendering a loose and porous structure. Therefore, the 14 determination of an optimal HNO<sub>3</sub> concentration is of great significance. 15

In HCl electrolyte, Cl<sup>-</sup> anions would chelate with Bi<sup>III</sup> and Te<sup>IV</sup>, generating BiCl<sub>x</sub><sup>3-x</sup> and TeCl<sub>x</sub><sup>4-x</sup> complex ions, respectively (**Figure 5(d)**). The formation of the complex structure BiCl<sub>x</sub><sup>3-x</sup> makes the reduction of Bi<sup>III</sup> difficult, due to the presence of a coordination bond. On the contrary, the reduction of Te<sup>IV</sup> in the form of TeCl<sub>x</sub><sup>4-x</sup> becomes easier than HTeO<sub>2</sub><sup>+</sup> due to the ion bridging effect, which could hasten the electron flows from WE to the adsorbed cations [71]. Thus, increasing the HCl concentration is more effective for promoting the reduction of Te<sup>IV</sup> than HNO<sub>3</sub>. As shown in **Figure 5(b–c)**, the Bi<sub>2</sub>Te<sub>3</sub> electrodeposited in the HNO<sub>3</sub> system exhibits a needle-like morphology from the surface view and columnar grains with a preferred (1 1 0) orientation from the cross-sectional view. While the Bi<sub>2</sub>Te<sub>3</sub> electrodeposited in the HCl solution exhibits a nanocrystalline structure with ball-like morphology (Figure 5(e-f)). Because the nanocrystalline structures have a lager grain boundary density than the columnar structures, the  $\mu$  was depressed by 3 ~ 4 times, leading to decreased  $\sigma$  and *PF* (Table 2).



5

Figure 5. Schematic diagrams of the existing forms of Te<sup>IV</sup> and Bi<sup>III</sup> in (a) HNO<sub>3</sub> and (d) HCl aqueous
solution. (b, c) Surface and Cross-sectional SEM images of the deposited film in 0.7 M HNO<sub>3</sub> and (e,
f) 0.35 M HCl aqueous solution [70]. Copyright 2017, Elsevier. Reprinted with permission.

9	Regarding the H <sub>2</sub> SO <sub>4</sub> system, Heo et al. [72] electrodeposited Bi <sub>2</sub> Te <sub>3</sub> in the H <sub>2</sub> SO <sub>4</sub> system in 2006.
10	A maximum <i>PF</i> of 7.40 $\mu$ Wcm <sup>-1</sup> K <sup>-2</sup> was obtained, comparable to HNO <sub>3</sub> system ( <b>Table 2</b> ). However,
11	in H <sub>2</sub> SO <sub>4</sub> system, since the produced bismuth sulfate, $Bi_2(SO_4)_3$ , is very difficult to dissolve (~ 2 mM
12	at $pH = 0.5$ ), the yield of Bi <sub>2</sub> Te <sub>3</sub> is severely restricted at a certain volume of electrolyte. There has been
13	no follow-up report in H <sub>2</sub> SO <sub>4</sub> electrolyte systems since. Therefore, considering the ionic concentration
14	of the electrolyte, microstructure and TE performance of the Bi <sub>2</sub> Te <sub>3</sub> film, the HNO <sub>3</sub> solution is the best
15	choice to date. We believe that if one could develop a complexing agent that can form a complex with
16	Te <sup>IV</sup> while leaving Bi <sup>III</sup> free in HNO <sub>3</sub> system, it would enlarge the Te <sup>IV</sup> concentration and narrow the

mobility difference between  $Te^{IV}$  and  $Bi^{III}$ , so as to obtain uniform  $Bi_2Te_3$  film with high TE performance.

Nonaqueous solutions: The strong acidity of inorganic acid aqueous solutions has strong 3 corrosiveness to some electrodes, such as anodic aluminum oxide (AAO), metal foams, etc. [73,74]. 4 5 Besides, the hydrogen evolution reaction brought by low pH usually makes the film loose and porous, 6 thereby reducing TE performance [16,77]. To overcome the above shortcomings, researchers have 7 electrodeposited Bi<sub>2</sub>Te<sub>3</sub> in nonaqueous solutions such as dimethyl-sulfoxide (DMSO) [75], ethylene glycol (EG) [76], and dichloromethane (DCM) [77], etc. Cicvarić et al. [77] deposited Bi<sub>2</sub>Te<sub>3</sub> in DCM 8 at a deposition potential of -0.6 V, before which a large potential of -1 V was applied for 5 s for quick 9 nucleation of Bi<sub>2</sub>Te<sub>3</sub> seeds. Because the permittivity of DCM ( $\varepsilon_r = 8.9$ ) is only 1/9 of water ( $\varepsilon_r = 80$ ) 10 [78-81], the capacity of dissolution and dissociation of salts is greatly limited [82]; the  $C_{Bi^{III}}$  and 11  $C_{Te^{IV}}$  in DCM were only 3 and 3 mM, respectively. Which are 1-2 orders of magnitude lower than in 12 HNO3. Moreover, the organic chains in DCM readily chelate with Bi<sup>III</sup> and Te<sup>IV</sup>, which induce 13coordination bonds, thus enlarging the overpotential [70]. The small ionic concentration as well as the 14 high current density due to the larger overpotential could restrict the grain growth because Bi<sup>III</sup> or Te<sup>IV</sup> 15 species are always insufficient and late for the replenishment. As a result, the grain size of the film is 16 17small and the grain boundary density is high. In addition, there are residual organic species at the grain boundaries. The above defects cause carrier scattering. This greatly reduces the  $\sigma$  to 62.9 Scm<sup>-1</sup>, a 18 value two orders of magnitude lower than HNO<sub>3</sub> system, 1408.5 Scm<sup>-1</sup> (Table 2). The PF, 0.17 19  $\mu$ Wcm<sup>-1</sup>K<sup>-2</sup>, is therefore far inferior to HNO<sub>3</sub> system (7.32  $\mu$ Wcm<sup>-1</sup>K<sup>-2</sup>). 20

The viscosity of the most nonaqueous solution is higher than that of the aqueous solution [78], it has an adverse effect on *m* via equation 5. Because the deposition rate is proportional to *m*, a larger

1	overpotential is required in nonaqueous solution than in aqueous solution in order to reach the same
2	deposition rate. However, under a high overpotential, the nucleation rate of Bi <sub>2</sub> Te <sub>3</sub> is much larger than
3	its growth rate, implying that the nucleation is dominant in nonaqueous solution. Besides, considering
4	the low $\sigma$ and $\varepsilon_r$ of organic solvents, some supporting electrolytes, such as NaClO <sub>4</sub> , HClO <sub>4</sub> and Tetra-
5	n-butylammonium perchlorate, can be added to improve the $\sigma$ and solvability [78,83–85]. However,
6	this may incorporate unwanted impurities into the Bi <sub>2</sub> Te <sub>3</sub> film and degrade its TE properties. Most
7	solutions in REs are aqueous and the direct contact with nonaqueous solutions can cause contamination.
8	Hence, the use of REs in nonaqueous solutions is more complex and this will be discussed in section
9	4.2.

S PF Solvent  $V_{dep}$ Grain-size σ μ n Ref.  $(cm^2V^{-1}s^{-1})$  $(10^{20} \text{ cm}^{-3})$  $(\mu V K^{-1})$  $(Scm^{-1})$  $(\mu W cm^{-1}K^{-2})$ systems **(V)** (nm) [70] HNO<sub>3</sub> -0.02-72 1409 7.97 -117.32 -HCl -0.02-105153 2.38 -4 15 1.69 [70]  $H_2SO_4$ -0.10-100740 7.40 [72] \_ \_ \_ EG +0.02+240[76] --\_ -\_ -52 [77] DCM -0.6063 4 0.17 \_ \_

10 **Table 2.** RT TE properties and grain sizes of Bi<sub>2</sub>Te<sub>3</sub> films electrodeposited in different solvent systems.

11 Note: V<sub>dep</sub>: Deposition potential.

# 12 2.4 Surfactants

13	Surfactants are long chain molecules with a polar (hydrophilic) group at one end and a non-polar
14	(lipophilic) group at the other. While the polar end has an affinity for the molecules of a polar solvent,
15	the non-polar end will have an affinity for the gas phase, so surfactants can significantly reduce the
16	surface tension of the target solution [86]. Due to the sigma and pi bonds in the molecular structure of

surfactants, including polar and non-polar forces, as well as other electronic factors (charge, ionic or dipole effect), they are readily to be adsorbed onto the surface of deposited grains, thus adjusting the grain growth [87,88]. Different surfactant species have different influence on the growth of Bi<sub>2</sub>Te<sub>3</sub>, resulting in different grain-sizes (**Table 3**) and size-distributions [88–90]. For example, some surfactants such as polyvinyl pyrrolidone (PVP) and sodium dodecyl sulfate (SDS) can form functional assembly with Bi<sub>2</sub>Te<sub>3</sub> and narrow the size distribution of grains, thus improving the  $\mu$  by weakening electronic scattering [88].

8 An enhanced (1 1 0) orientation was observed after adding sodium lignosulfonate (SLS) as the 9 surfactant [91]. Without SLS, the film grows in a typical Volmer-Weber model [92–94]. As shown in Figure 6(a), due to the poor infiltration between the deposited Bi<sub>2</sub>Te<sub>3</sub> and the substrate, atoms of Bi<sub>2</sub>Te<sub>3</sub> 10 are more inclined to bond with each other and condense into numerous isolated small nuclei, thus 11 12 forming three-dimensional islands. After growing for a period of time, the film structure collapses to leave a void inside, thus making a loose film with poor TE properties due to a reduced  $\mu$ . With the 13assistance of SLS, the infiltration is enhanced, the deposited Bi<sub>2</sub>Te<sub>3</sub> first grows in a Frank-van der 14 15Merwe mode Figure 6(b), where the atoms tend to bond with the substrate atoms, and spread along the substrate surface. After the growth of an initial layer of atomic thickness, the growth follows the 16 Stranski-Krastanov model (Figure 6(c)), implying a transition from a layered growth to an island 17growth. The growth is isotropic with ball-like nucleation points. These balls grow and merge with each 18 other, ensuring a dense, smooth, and isotropic film, and an enhanced TE performance can be achieved 19 (Table 3). 20

Song et al. [95] added cetyltrimethylammonium ammonium bromide (CTAB) as the surfactant,
and the film (Figure 6(e)) becomes smoother and denser than that without CTAB (Figure 6(d)). The

preferential orientation was also changed from  $(0\ 1\ 5)$  to  $(1\ 1\ 0)$  as a result of under potential deposition. Both the  $\sigma$  and *S* were increased, resulting in a nearly doubled *PF* (**Table 3**). The hardness and elastic modulus were improved as well, approaching that of bulk Bi<sub>2</sub>Te<sub>3</sub> (**Figure 6(f)**). We believe that after adding CTAB, there is also a transition of the growth model from the Volmer-Weber model to the Stranski-Krastanov model one as discussed above.



6

Figure 6. (a) Schematic diagram of Volmer-Weber growth mode, (b) Frank-van der Merwe growth mode and (c) Stranski-Krastanov growth mode of film growth during ED. SEM images of the deposited Bi<sub>2</sub>T<sub>3</sub> films (d) without and (e) with CTAB. (f) Hardness and elastic modulus of Bi<sub>2</sub>Te<sub>3</sub> films deposited with/without CTAB and bulk Bi<sub>2</sub>Te<sub>3</sub> [95]. Copyright 2015, Elsevier. Reprinted with permission.

12 Polyvinyl alcohol (PVA) is often used as a surface inhibitor because it can enhance the adhesion

1	of films, facilitate the formation of a homogeneous film, improve nuclei density and decrease grain
2	size [96]. However, the insulating PVA locating at the grain boundaries can impede the carrier transport,
3	leading to a low $\sigma$ . In addition, PVA has been proven to increase the internal tensile stress of the film
4	and lead to cracks. This is because the long PVA chains readily attract and entangle with each other,
5	which increases the friction between the grains [97]. Sodium saccharin (SS) can be used to reduce the
6	internal stress of the film. SS incorporates sulfur at the island boundaries, reducing the grain-boundary
7	energy, thus causing a systematic reduction in the tensile grain-boundary coalescence stress [98–100].
8	Yu et al. [101] used multiple additives including PVA, SS and KCl salt for ED of Bi <sub>2</sub> Te <sub>3</sub> film, the
9	deposited film achieved an elevated <i>PF</i> of 8.34 $\mu$ Wcm <sup>-1</sup> K <sup>-2</sup> , which is considerably larger than that
10	without additives (Figure 7(d)). As shown in Figure 7(a), the deposited film is rough and fragile,
11	when no additives are used. The addition of PVA can effectively enhance adhesion to substrate and
12	smooth the film surface, but bring in enormous micro cracks due to a high internal stress. Further
13	addition of SS can remove micro-cracks by decreasing tensile stress while increasing compressive
14	stress. A smooth and compact film can be obtained with a low deposition rate, as the grain growth is
15	inhibited by SS ((Figure 7(b))). Thus, the $\sigma$ is severely lowered due to the high density of grain
16	boundaries. Further addition of KCl could boost the ionic conductivity of the electrolyte, benefit grain
17	growth (Figure 7(c)) and speed up ED rate, thus adjusting the grain size to a higher level for an
18	enhanced $\sigma$ and, eventually, an optimized <i>PF</i> (Figure 7(d)).



1

Figure 7. (a) Mechanism of co-operative interactions among multiple additives. Microstructure of deposited Bi<sub>2</sub>Te<sub>3</sub> based film with addition of (b) PVA/SS and (c) PVA/SS/KCl. (d) RT TE properties of Bi<sub>2</sub>Te<sub>3</sub> films with different additives [101]. Copyright 2021, IOP Publishing, Ltd. Reprinted with permission.

As shown in **Table 3**, most of the  $Bi_2Te_3$  films deposited with surfactants revealed enhanced *S*. This is suggested to be due to the energy filtering effect, as the presence of surfactants would induce disordered or amorphous regions at grains boundaries, forming inter-grain energy barriers, which could filter out low energy charge carriers and allow high energy ones to transmit [102]. In addition, the hetero-interfaces between  $Bi_2Te_3$  and organic surfactants might also induce interfacial potential barriers for energy filtering [103]. These could significantly improve *S* according to the formula [104]:

12 
$$S = \frac{8\pi^2 k_B^2}{3eh^2} m^* T(\frac{\pi}{3n})^{\frac{2}{3}}$$
(9)



conclude, it is difficult to realize a smooth, dense film with a high TE performance by using a single surfactant or additive, so, multiple additives are required. Further understanding of the influence of each additive and their combinations on the microstructure and TE properties of the films and the underlying mechanisms is of great significance for a cooperative effect on optimization of TE properties.

	Surfactants	-	c	PF	Crain size	Preferential	
		o (Scm <sup>-1</sup> )	<b>(</b> μVK <sup>-1</sup> )	( $\mu W cm^{-1} K^{-2}$ )	(nm)	orientation	Ref.
	No Surf.	320	-26	0.22	78	(0 1 8)	[88]
	+PVP	4500	-22	2.20	48	(0 1 8)	[88]
	+SDS	1480	-37	2.00	38	(0 1 8)	[88]
	No Surf.	-	-13	-	39	(1 1 0)	[89]
	+SL	333-769	-15	0.12	21	(1 1 0)	[89]
	No Surf.	90	-73	0.46	-	(0 1 5)	[95]
	+CTAB	110	-85	0.83	-	(1 1 0)	[95]
	No Surf.	400	-65	1.70	-	(1 1 0)	[91]
	+SLS	290	-82	1.90	-	(1 1 0)	[91]
	+EDTA	140	-70	0.12	-	(0 1 5)	[105]

6 **Table 3.** TE properties and microstructures of  $Bi_2Te_3$  films without/with different surfactants.

Notes: No Surf.: without Surfactants, +: add; PVP: polyvinyl pyrrolidone, SDS: sodium dodecyl sulfate, SL: sodium
lignosulfonate, SLS: sodium laurylsulfate, CTAB: hexadecyl trimethyl ammonium bromide, EDTA: ethylene diamine
tetraacetic acid. All TE properties were measured at room temperature except *ref.* 91 at ~ 105 °C.

# 10 **2.5 Ionic concentration and temperature**

11 Relative concentration: To ensure a precise stoichiometric ratio of  $Bi_2Te_3$ ,  $C_{Bi}^{III}/C_{Te}^{IV}$  in the 12 electrolyte usually lies in the range of between 2:1 and 2:3, with 1:1 and 3:4 being the most common

[95,105–108]. In any case,  $C_{\text{Bi}}^{\text{III}}/C_{\text{Te}}^{\text{IV}}$  should be over 2:3 because the Bi<sup>III</sup> cation has a lower *m* and a 1 more negative  $E_{onset}$  than the Te<sup>IV</sup> cation, as discussed in the Mechanism section. As shown in Figure 2 3 8(a), based on theoretical results [109], the conduction behavior of Bi<sub>x</sub>Te<sub>y</sub> transforms from n- to p-type at the atomic ratio of Bi:Te  $\approx$  37:63. With the decrease of  $C_{Bi^{III}}/C_{Te^{IV}}$  in the electrolyte, the atomic 4 5 ratio of Bi:Te decreases accordingly. Below Bi:Te  $\approx$  37:63, i.e. in a Te-rich product, some Te atoms occupy the Bi vacancies to form antisite defects  $Te_{Bi}^{\cdot}$ , thus introducing electrons. However, too small 6 a value of  $C_{Bi^{III}}/C_{Te^{IV}}$  can induce single-phase Te, producing a superlattice structure with Bi<sub>2</sub>Te<sub>3</sub>/Te 7 [110–112]. With the increase in  $C_{Bi^{III}}/C_{Te^{IV}}$  in the electrolyte, the atomic ratio of Bi:Te in the product 8 9 increases correspondingly. Above Bi:Te  $\approx$  37:63, i.e. in Bi-rich products, some Bi atoms occupy Te positions to form antisite defects Bi're, introducing hole carrier. Consequently [113,114], the typical 10 Bi-rich products are (Bi)<sub>2m</sub>(Bi<sub>2</sub>Te<sub>3</sub>)<sub>n</sub>, Bi<sub>3</sub>Te<sub>4</sub>, Bi<sub>2</sub>Te and BiTe (Figure 8(b)) [115–119]. 11





Figure 8. (a) Room temperature carrier concentration of Bi<sub>2</sub>Te<sub>3</sub> as a function of Bi atomic percent
[109,114]. (b) Atomic structure of Bi-rich products (Bi<sub>2</sub>Te<sub>3</sub> quintuples are outlined by orange and Bibilayers by purple rectangles).

16

Absolute concentration: The absolute concentrations  $C_{Bi^{III}}$  and  $C_{Te^{IV}}$  can influence the

product compositions, as well as the  $C_{Bi^{III}}/C_{Te^{IV}}$  ratio discussed above [120]. Because, Te<sup>IV</sup> cations 1 have a higher m and a more positive  $E_{onset}$  than Bi<sup>III</sup> cations, small Te<sup>IV</sup> concentrations can be exhausted 2 quickly without timely supplement, thus giving rise to a great composition deviation. When the 3 absolute ionic concentration is high enough, it can supplement the consumed ions in a timely fashion 4 5 and maintain the stoichiometric ratio in the film (Figure 9(a)). As shown in Table 4, sample S3 was deposited with a higher absolute concentration than S2 at the same  $C_{Bi^{III}}/C_{Te^{IV}}$  ratio, the composition 6 7 of S3 is closer to the stoichiometric one (2/3), thus having a much higher S and PF (nearly double that of S2). Therefore, at a fixed  $C_{Bi^{III}}/C_{Te^{IV}}$  ratio, the larger the absolute  $C_{Bi^{III}}$  and  $C_{Te^{IV}}$ , the closer 8 9 the film composition will get to stoichiometry (Figure 9(d)). However, this does not mean that the higher the absolute concentration, the better the TE performance will be, because the current density 10 increases with ionic concentration. Too larger a current density can cause large crystal growth rate, 11 12 thus leading to aggregated grains (Figure 9(b,c)) and consequent depression in  $\mu$  [120].



13

Figure 9. (a) Crystal growth mechanisms with high electrolyte concentration. Surface SEM images of the Bi<sub>2</sub>Te<sub>3</sub> films deposited with ionic concentration of (b) 1.25 mM and (c) 3.75 mM. (d) Atomic percent of the Bi<sub>2</sub>Te<sub>3</sub> films as functions of ionic concentration [120]. Copyright 2018, Elsevier. Reprinted with permission.

Temperature: As shown in Figure 10(a), compared with the absolute ionic concentration, the 1 electrolyte temperature has an opposite effect on the product composition. That is, at a fixed 2 concentration, the higher the temperatures, the larger the composition deviation from the 3 stoichiometric one [120]. Increasing the system temperatures can widen the ionic mobility gap between 4 Te<sup>IV</sup> and Bi<sup>III</sup> cations. In this case the deposition rate of Bi<sup>III</sup> cannot match with Te<sup>IV</sup>, leading to dendrite 5 6 growth and a loose structure (Figure 10(c)). The Bi atomic percent was also lowered with increasing 7 temperatures (Figure 10(d)). Consequently, either the  $\sigma$  or S of sample S4 was severely reduced, leading to a low PF, two orders of magnitude lower than sample S1 (Table 4) deposited at a lower 8 temperature, which exhibited a needle-like and denser structure (Figure 10(b)). Therefore, an 9 electrolyte pair with a lower temperature and an appropriate ion concentration is more favorable for 10 the TE properties of the Bi<sub>2</sub>Te<sub>3</sub> film. 11



Figure 10. (a) Crystal growth mechanisms at high electrolyte temperature. Surface SEM images of the Bi<sub>2</sub>Te<sub>3</sub> films deposited at electrolyte temperatures of (b) 10 °C and (c) 70 °C. (d) Atomic percent of the Bi<sub>2</sub>Te<sub>3</sub> films as functions of electrolyte temperature [120]. Copyright 2018, Elsevier. Reprinted with permission.

12

L J							
No	Composition	C <sub>Bi</sub> <sup>III</sup>	$C_{Te^{IV}}$	Τ	S	σ	PF
110.	Composition	(mM)	( <b>mM</b> )	(°C)	$(\mu V K^{-1})$	$(Scm^{-1})$	$(\mu W cm^{-1}K^{-2})$
<b>S</b> 1	Bi <sub>2</sub> Te <sub>3</sub>	1.00	1.50	10	-76	198	1.14
S2	Bi <sub>1.7</sub> Te <sub>3.3</sub>	0.50	0.75	30	-63	180	0.71
S3	Bi <sub>2</sub> Te <sub>3</sub>	1.25	2.50	30	-66	274	1.19
S4	Bi <sub>1.5</sub> Te <sub>3.5</sub>	1.00	1.50	70	-36	70	0.09

**Table 4.** TE properties of  $Bi_2Te_3$  films at different electrolyte temperatures and ionic concentrations [120].

#### 3 **2.6 Oxidation prevention**

Bi<sub>2</sub>Te<sub>3</sub> could be oxidized during electrodeposition, as oxygen exist, which was revealed by XPS 4 characterization in previous works [77,121]. However, the oxidation state was considered to be 5 6 confined within nanometer-depth from the outer surface. Because it would attain a saturation after a long oxidation time, as oxygen atoms have to jump over the Te<sub>1</sub>-Te<sub>1</sub> gap several times and this takes a 7 8 long time. The formation of a 2 nm thick oxidation layer in ambient environment takes ~6000 hours [122]. The XPS is essentially a surface detection technology with a detection depth of several 9 nanometers, it is therefore sensitive to the oxidation state of the surface layer with several tens of atoms 10 in thickness. Since no phases of Bi<sub>2</sub>O<sub>3</sub> or TeO<sub>2</sub> were observed in the XRD patterns, which has a 11 detection depth of micrometers, the degree of oxidation is considered not high for electrodeposited 12 Bi<sub>2</sub>Te<sub>3</sub>. 13

However, when  $Bi_2Te_3$  is oxidized, oxygen atoms replace  $Te_2$  in the quintuple layer (- $Te_1$ -Bi- $Te_2$ -Bi- $Te_1$ -) and act as donors to increase the *n*, which is the primary reason for the Seebeck coefficient to decrease. Moreover, the defects induced by oxidation would enhance carrier scattering and thus reduce the  $\mu$  [123–126], which would ultimately deteriorate The TE performance. Therefore, it is important to prevent oxidation during ED process. Some methods have already been developed, such as feeding

N<sub>2</sub> (nitrogen purging) into the electrolyte system to remove dissolved oxygen [37], annealing the film 1 in a reduction atmosphere, e.g. N<sub>2</sub>+5%H<sub>2</sub> gas, or Ar+5%H<sub>2</sub> gas [110,138]. In addition, based on 2 thermodynamics, in the ternary system of Bi<sub>2</sub>Te<sub>3</sub>-Bi<sub>2</sub>O<sub>3</sub>-TeO<sub>2</sub>, Bi exhibits the highest reactivity at 3 equilibrium and Bi-Te shows the strongest bonding (-1.5 eV), followed by Bi - O (-1.0 eV) and Te -4 5 O (-0.8 eV). Therefore, the oxygen inward diffusion becomes considerably slower, when the surface 6 terminates with Te [127]. One can try to deposit a protective layer of Te with a thickness of several 7 atoms on the surface to hinder oxygen invasion, as well as to supplement Te volatilization in subsequent annealing. 8

#### 9 **3. Deposition mode**

10 ED process of Bi<sub>2</sub>Te<sub>3</sub> can adopt galvanostatic, potentiostatic or pulse mode. Before deposition, cyclic voltammetry (CV) scanning is required to obtain CV curves for analysis of the ED mechanism 11 and proper range of the deposition potential or current density [128]. Ahead of ED process, the ionic 12 concentration is uniform at all regions in the electrolyte. After initiation of ED, the ions in the 13interfacial layer on WE are the first depleted, thereby generating an ionic concentration gradient. The 14 ions from bulk phase can diffuse into the vicinity of WE to supplement the ions depleted by the reaction. 15However, since the mass transfer rate cannot keep up with the deposition rate of the ions, the ionic 16 concentration in the interfacial liquid layer on WE is further reduced, thus the concentration gradient 1718 is further increased [140,144]. As the reaction proceeds, the current density at WE continue to increase. 19 The ion diffusion layer is continuously widened until the ions in the interfacial liquid layer on WE approach a lower concentration. When the quantity of the ions diffusing in is equal to the ions 20 consumed on WE, a reaction equilibrium will be achieved. The polarization caused by the difference 21

between the ionic concentration in the interfacial layer and bulk phase, is termed concentration 1 polarization [129]. The overpotential ( $\eta_{cp}$ ) caused by this concentration polarization can be written as 2 [129]: 3

4

$$\eta_{cp} = \frac{RT}{\alpha\xi F} \ln \frac{i_L}{i_L - i_K} \tag{10}$$

5 where, R is the molar gas constant, T is the thermodynamic temperature,  $\alpha$  is the transfer coefficient, 6  $\xi$  is the moles of electrons transferred, F is the Faraday constant,  $i_L$  is the limiting current density, and 7  $i_{\rm K}$  is the current density. With the increase of current density ( $i_{\rm K}$ ), the over potential generated by concentration polarization increases exponentially. Thus, concentration polarization is the main form 8 9 of polarization under high current density, which can lead to a dendritic structure, rough surface and compositional deviations, as well as increased power consumption [130,131]. Therefore, devising 10 methods to weaken the concentration polarization during ED of Bi<sub>2</sub>Te<sub>3</sub> become a concern. 11

12

#### 3.1 Potentiostatic/Galvanostatic mode

As shown in Figure 11(a), the early reported ED processes of Bi<sub>2</sub>Te<sub>3</sub> were carried out by the 13 potentiostatic or galvanostatic modes [132-136], which means that the applied potential or current 14 density is fixed from the beginning to the end. However, the continuous deposition is unable to avoid 15 16 the adverse effect of concentration polarization, thus forming small grain sizes, various orientations (Figure 11(d)) as well as deviated compositions [144,204,208]. These would eventually deteriorate 17the TE properties. Yamamuro et al. [137] prepared  $Bi_2Te_3$  at an ED potential of -0.1 V by potentiostatic 18 mode. A low  $\sigma$  of 79 Scm<sup>-1</sup> was obtained, leading to a *PF* of only 0.3  $\mu$ Wcm<sup>-1</sup>K<sup>-2</sup>. Mechanical stirring 19 could reduce concentration polarization by enhancing mass transfer. Takashiri et al. [138] prepared 20 Bi<sub>2</sub>Te<sub>3</sub> at a current density of 1.8 mA cm<sup>-2</sup> by galvanostatic mode under stirring. An improved  $\sigma$  of 930 21

Scm<sup>-1</sup> was obtained, leading to a much higher *PF* of 4.20  $\mu$ Wcm<sup>-1</sup>K<sup>-2</sup>. Although mechanical stirring could weaken the concentration polarization, the current density is concurrently increased, which in turn limits the weakening effect of concentration polarization.

4 3.2 Pulse mode

5 Currently, Bi<sub>2</sub>Te<sub>3</sub> thin films are mostly prepared by pulse mode. Pulse mode involves two processes, i.e.,  $Bi^{III}$  and  $Te^{IV}$  ions are reduced and deposited on the WE during the period  $t_{on}$ , when the 6 7 current is turned on. The ionic concentration in the interfacial layer decreases rapidly and the polarization increases. During the period toff, when the current is turned off, the ions in the electrolyte 8 9 are driven by the chemical potential and diffuse into interfacial layer for compensation, thereby 10 eliminating the concentration polarization (Figure 11(b)), and achieving a dense structure (Figure 11(e)). One can control the film thickness by repeating this cycle, where one cycle period  $t = t_{on} + t_{off}$ . 11 Herein,  $f = t_{off} / t_{on}$  is termed on-off ratio. Zhou et al. [139] studied the effect of different f on the TE 12 properties of  $Bi_2Te_3$ . They found that the film morphology and composition is related to f. Since the m 13of Te<sup>IV</sup> ions is greater than that of Bi<sup>III</sup> ions, with the increase of  $t_{off}$ , more Te<sup>IV</sup> ions can reach the 14 interfacial layer compared to the  $Bi^{III}$  ions, thus Te atomic ration in the film increases with r. The 15 16 composition at r = 50 is closest to the stoichiometric ratio (2/3). The film composition or morphology is not only affected by the magnitude of f, but also by t. At fixed f, the shorter the t, the better the TE 17properties. This is mainly attributed to the increased proportion of crystal grains with (110) orientation 18 19 in the film.

For the cases where the mass transfer path is difficult, a multi-step pulse deposition model was
proposed. Ning et al. [140] deposited TE cylinders with a diameter of 60 μm and a height of 200 μm

in an area of  $1 \times 1$  cm<sup>2</sup>, with a spacing of 200 µm between the cylinders. They used a glass plate with 1 large-aspect-ratio through-holes as a template for the patterned ED of Bi<sub>2</sub>Te<sub>3</sub>. The conducting electrode 2 located at the bottom of the holes, making the mass transfer path ultra-long. Therefore, ions consumed 3 in the hole could not be supplemented in a timely fashion. To achieve a TE cylinder with uniform 4 5 composition and regular microstructure, a three-step pulse deposition model was proposed, which 6 introduced a relaxation step period  $T_0$ . The potential applied during  $T_0$  corresponds to the oxidation 7 peak of in the CV curve, which can dissolute the loose Bi<sub>2</sub>Te<sub>3</sub> deposited, and then re-deposit in the next pulse cycle (Figure 11(c)). Finally, columnar Bi<sub>2</sub>Te<sub>3</sub> with denser morphology was obtained than 8 9 two-step pulse method (Figure 11(f)).



10

Figure 11. (a) Time dependencies of working potential/current (red line) and ion concentration in the interfacial layer on WE (dotted line) in potentiostatic/galvanostatic mode, (b) two-step pulse mode and (c) three-step pulse mode. (d) SEM images of the deposited Bi<sub>2</sub>Te<sub>3</sub> films by potentiostatic and (e) pulse mode [208]. (f) Surface morphologies of the columnar Bi<sub>2</sub>Te<sub>3</sub> deposited into thorough-holes by twostep (left) and three-step pulse (right) method [140]. Copyright 2008, Elsevier. Copyright 2020, MDPI. Reprinted with permission.

#### 1 4. Electrodes

As mentioned in the Mechanism section, in order to eliminate the large error of the electrode potential caused by the polarization current, Bi<sub>2</sub>Te<sub>3</sub> is usually deposited by the three-electrode system (an additional RE compared to the two-electrode system). In order to minimize the influence of CE polarization on WE, CE is required to be non-polarizable, of a small intrinsic resistance and a larger area than WE, as a small current density through WE can be achieved with a large area CE under the same current [46]. According to the Tafel equation [141]:

8

15

$$\eta_{ep} = \frac{RT}{\alpha n F} \ln \frac{i_K}{i^0} \tag{11}$$

9 where,  $\eta_{ep}$  is the overpotential generated by electrochemical polarization,  $i^0$  is the exchange current 10 density, which cannot be altered by polarization under a certain ionic concentration. Therefore, the 11 smaller the  $i_K$ , the smaller the  $\eta_{ep}$ . During ED, the three electrodes are often placed at equal distance 12 and in a common plane in general. According to the formula [142]:  $E = \frac{\Delta \varphi}{L}$ , where E,  $\Delta \varphi$  and L are 13 the electric field, voltage gap and electrode distance respectively, it can be inferred that when the L 14 decreases, the current density would increase based on the equation [143]:

$$i = \sum z_k F C_k \left(\frac{ezE}{6\pi\eta r_k}\right) \tag{12}$$

16 where, *k* indicates the  $k^{\text{th}}$  ion,  $C_k$  is its concentration,  $z_k$  is its ionic-charge number,  $\frac{ez_k E}{6\pi\eta r_k}$  is its drift 17 velocity, which leads to a loose structure and degraded TE properties as discussed above. However, 18 for galvanostatic model, where the current density is constant, the effect of the electrode distance is 19 neglectable [144]. The selection rules of WE, CE and RE and their functions in ED of Bi<sub>2</sub>Te<sub>3</sub> will be 20 discussed in detail in the next sections.

# 1 4.1 Counter electrode

2 The function of the CE is to form a loop with the WE to pass current. Usually, a stable material such as platinum (Pt) is used as a CE to ensure not to affect the reaction on WE. During the reaction 3 progresses, the  $C_{Bi^{III}}$  and  $C_{Te^{IV}}$  in the electrolyte decreases, causing the film composition to 4 gradually deviate from the stoichiometric ratio. In the final product, the Bi:Te atomic ratio varies with 5 the thickness of the film, and the closer it is to the upper surface of the Bi<sub>2</sub>Te<sub>3</sub> film, the greater its 6 deviation from the stoichiometric ratio. To minimize the change in ionic concentration in the system, 7 some researchers used Bi<sub>2</sub>Te<sub>3</sub> rods as CEs [145]. In this way, as the reaction proceeds, Bi<sup>III</sup> and Te<sup>IV</sup> 8 continuously to be added into the electrolyte, supplementing ionic consumption in the electrolyte. 9 However, the molar ratio (2:3) of the ionized Bi<sup>III</sup>:Te<sup>IV</sup> can disturb the balanced concentration ratio 10 initially set as discussed in section 2.5. Therefore, the stoichiometric ratio in the Bi<sub>x</sub>Te<sub>y</sub> needs to be 11 pre-designed. 12

# 13 4.2 Reference electrode

The RE has a stable and well-known electrode potential and is used to precisely measure the 14 varying potentials of the other electrodes. The reaction occurring at the RE should be a single 15 reversible reaction, with a stable electrode potential and good reproducibility. Generally, a slightly 16 17soluble salt electrode is often used as a RE, since the hydrogen electrode is just an ideal one, which is not easy to realize. Of course, there are many kinds of REs. A suitable RE could be selected based on 18 the type of electrolyte and pH value. As mentioned above, most of the electrolytes for Bi<sub>2</sub>Te<sub>3</sub> deposition 19 20 are acidic, so the commonly used REs are calomel electrode (SCE, mercury (Hg)/mercury chlorides (Hg<sub>2</sub>Cl<sub>2</sub>)/(saturated potassium chloride (KCl)) [146] or silver (Ag)/silver chloride (AgCl) electrode 21

1 (Ag/AgCl/(saturated KCl)) [147]. SCE is widely used in the organic solution system. However, it 2 cannot be directly immersed in the organic solvent because it contains KCl-saturated aqueous solution. 3 A salt bridge containing a specific nonaqueous solvent and supporting electrolyte is required to connect 4 the RE to the electrolyte [148–150]. For alkaline systems or systems excluding Cl<sup>-</sup>, Hg-Hg<sub>2</sub>O 5 electrodes or Hg-Hg<sub>2</sub>SO<sub>4</sub> electrodes can be adopted. Ag-AgCl electrode is also widely used in non-6 aqueous solvents [75–77], but it should also be noted that the electrode shall not be in direct contact 7 with the electrolyte, otherwise the potential can be changed.

#### 8 4.3 Working electrode

9 The surface of WE are where the electrochemical reactions occur. There are a variety of WEs for 10 ED of Bi<sub>2</sub>Te<sub>3</sub>, including smooth stainless steel (SSS) [134,151], conductive glasses [75,209], cuprum 11 (Cu) [152], and platinum (Pt) [153]. In flexible electronic devices, TEDs are often integrated with 12 other electronics on a circuit board. Insulating substrates are often adopted in order to prevent short 13 circuits between devices in different regions. Ti, Cr, Au or Pt can be deposited on the insulating 14 substrate as conductive seed layer before ED of Bi<sub>2</sub>Te<sub>3</sub> [154–158].

Previous literature has not mentioned whether the type of the WE having a specific impact on the TE properties of the electrodeposited  $Bi_2Te_3$  film, which would subsequently be transferred. However, it is reported that the  $Bi_2Te_3$  film has weak adhesion to the SSS [16]. It can be easily peeled off from the substrate with epoxy tape, with no residue left on the stainless-steel sheet, which is beneficial for film transference. To enhance the adhesion between the seed-layer and the electrodeposited  $Bi_2Te_3$ , the seed layer could also be electrodeposited, as the resulting seed-layer surface could be rougher than the sputtered one.

31

## 1 **5. Annealing treatment**

2 Defects inevitably exist in the electrodeposited film because of the influence and limitations of 3 deposition conditions and techniques. The crystal grains of the as-deposited film are small and there are enormous grain boundaries. Because intrinsic Bi<sub>2</sub>Te<sub>3</sub> is an n-type semiconductor, its major carriers 4 5 are electrons. Many grain boundaries and defects severely scatter electrons, reducing the  $\sigma$  of the material [159]. To further improve  $\sigma$ , the deposited film is usually subjected to an annealing treatment. 6 7 Annealing refers to a heat treatment process in which the material is exposed to a high temperature for a certain duration, and then slowly cooled. This can increase the crystallinity and grain size of the 8 9 material, reduce the defect density, and thereby increase  $\sigma$  (Figure 12(a)) [136].

#### 10 5.1 Annealing regime

Due to the high saturated vapor pressure of Te, Te is easily volatilized out during annealing of 11 Bi<sub>2</sub>Te<sub>3</sub> [160–163], thus inducing a deviation in composition. Therefore, the Bi<sub>2</sub>Te<sub>3</sub> annealing is 12 generally carried out in a protective atmosphere, such as N<sub>2</sub>, N<sub>2</sub> + 4% H<sub>2</sub>, Ar + 4% H<sub>2</sub>, or Te-rich one, 13 to inhibit Te volatilization and oxidation as well [163,201,205,210]. In addition, the annealing 14 temperature and duration can also have a great influence on properties. An annealing process which is 15too high in temperature or too long in duration can cause excess volatilization of Te from the Bi<sub>2</sub>Te<sub>3</sub> 16 17film, causing an enlarged composition deviation as well as a loose and porous structure, degrading the TE properties of the film. Therefore, optimizing the annealing temperature and duration are of great 18 19 significance for enhancing the TE properties.

Rashid et al. [136] annealed  $Bi_2Te_3$  in Ar with annealing temperatures ranging from 200 to 300 °C for 2 to10 min. Their analysis showed that at lower annealing temperatures, the atomic ratio of Te in the film increases with time, mainly due to the release of oxygen from the film, but the grain size of Bi<sub>2</sub>Te<sub>3</sub> remained essentially unchanged. At high annealing temperatures, the Te atomic ratio in the film decreases rapidly due to its volatilization and the Bi<sub>2</sub>Te<sub>3</sub> grain size doubled compared to that before annealing. Consequently, the carrier concentration decreased and the  $\mu$  increased (**Figure 12(b)**). After a rapid thermal annealing process at 300 °C for 8 min, the *S* and *PF* of the Bi<sub>2</sub>Te<sub>3</sub> film were improved significantly, the *S* was increased by about 3-fold, from -57 to -169.49  $\mu$ VK<sup>-1</sup> and the *PF* was improved over 6-fold, from 2.74 to 17.37  $\mu$ Wcm<sup>-1</sup>K<sup>-2</sup>.



8

Figure 12. (a) A schematic diagram of defect alleviation or recrystallization by thermal annealing. (b) Annealing temperatures dependence of the average  $Bi_2Te_3$  grain size [136]. (c) A supplementary Te layer supplementing the volatilized Te during annealing. (d) Annealing temperature dependence of the atomic composition in the as-deposited Bi-Sb-Te films [164].

# 1 5.2 Supplementary layer

2 It has been proven that appropriately increasing the annealing temperature and prolonging the duration can improve the film crystallinity, thereby increasing its  $\sigma$  [138]. However, as mentioned in 3 4 section 5.1, both the annealing temperature and duration should be controlled to prevent Te escaping. To preserve Te at a higher annealing temperatures, Lal et al. [164] prepared a film with a  $(Bi-Sb-Te)_{x-1}$ 5  $Te_{v}$ -(Bi-Sb-Te)<sub>z</sub> sandwiched structure by ED as shown in (Figure 12(c)). The film was then annealed 6 at 250–400 °C in N<sub>2</sub> atmosphere. The Te interlayer can compensate the Te loss through diffusion during 7 8 annealing, so as to maintain the stoichiometric ratio. As shown in Figure 12(d), the Te atomic ratios of all films after annealing at different temperatures showed no remarkable changes. 9



10

Figure 13. Surface SEM images of (a) as-deposited films and the annealed films at (b) 250 °C, (c) 300 °C, (d) 350 °C, and (e) 400 °C. (f) Annealing temperature dependencies of  $\mu$  and n of all films [164]. Copyright 2019, Amer Inst Physics. Reprinted with permission.

With the annealing temperature increasing from 250 to 300 °C, the grains grew up with decreased grain boundary density (Figure 13(a–c)), so that the  $\mu$  increased (Figure 13(f)). However, a further increase in annealing temperature to 350 °C caused increasing porosity due to severe Te evaporation (Figure 13(d)), thus the  $\mu$  decreased correspondingly. When the annealing temperature reached 400 °C, the grains were fully interconnected, the  $\mu$  was therefore improved again (Figure 13(e,f)). Due to the reduction of antisite defects, the *n* of the annealed films was reduced to 2–6 × 10<sup>19</sup> cm<sup>-3</sup>, which was two orders of magnitude lower than the original  $1.58 \times 10^{21}$  cm<sup>-3</sup>. This reduction in *n* then led to an increase in the *S*. The TE properties of the sample annealed at 350 °C for 1 h were the best with an optimized *PF* of 2.40  $\mu$ W cm<sup>-1</sup>K<sup>-2</sup>.

#### 8 5.3 Barrier layer

9 When the deposited Bi<sub>2</sub>Te<sub>3</sub>/substrate as a whole is annealed directly without transference, some impurity atoms from the substrate can diffuse into the Bi<sub>2</sub>Te<sub>3</sub>(Figure 14(a)). The induced defects could 10 cause carrier scattering, thereby degrading TE properties of the material [165,166]. Depositing a 11 12 diffusion barrier layer between the substrate and Bi2Te3 has been proved to be an effective way to inhibit the diffusion of impurity-atom into Bi<sub>2</sub>Te<sub>3</sub> (Figure 14(b)). The selection of the diffusion barrier 13material should consider the following [167–169]: firstly, the barrier material and the adjacent material 14 should be thermodynamically stable; secondly, the thermal expansion coefficients of the barrier 1516 material and the adjacent material should be matched; thirdly, the barrier layer should be as thin as possible while maintaining thermal stability with the adjacent material; lastly, the lower the surface 17roughness of the diffusion barrier layer, the stronger the bonding between the diffusion barrier layer 18 19 and the adjacent layers. Common barrier materials for Cu, SSS and Se electrodes in TEDs include TiN [169–171], Ta [172,173], and TaN [174,175]. 20

21 Eguchi et al. [176] deposited a TiN barrier layer with a thickness of 0.15 μm on a SSS by DC

35
magnetron sputtering, followed by ED of Bi<sub>2</sub>Te<sub>3</sub> and annealing in Ar + 4% H<sub>2</sub> atmosphere. As shown in **Figure 14(c)**, Bi<sub>2</sub>Te<sub>3</sub> deposited on WE without a diffusion barrier layer exhibits Fe and Ni impurity after annealing, while Bi<sub>2</sub>Te<sub>3</sub> deposited on WE with a barrier layer shows no Fe and Ni impurity after annealing. Thereby, the  $\sigma$  of the Bi<sub>2</sub>Te<sub>3</sub> with a barrier layer is 4 times higher than the one without a barrier layer, as shown in **Figure 14(d)**, leading to a 4 × increase in *PF* (reaching 4.4  $\mu$ Wcm<sup>-1</sup>K<sup>-2</sup>).



6

Figure 14. (a) Schematic diagram of impurity diffusion from substrate into the film and (b) being impeded by a barrier later during annealing. (c) Te and Fe elemental color mapping of the film deposited on bare SSS substrate (left) and SSS/TiN substrate (right). (d) RT TE properties of the Bi<sub>2</sub>Te<sub>3</sub> films deposited on the bare SSS and TiN-coated SSS substrates [176]. Copyright 2020, Elsevier. Reprinted with permission.

# 12 6. Nanocomposite and ternary alloy

13 Although Bi<sub>2</sub>Te<sub>3</sub> is the material with the best TE properties near RT, the TE conversion efficiency

is still far lower than that of traditional mechanical generators, so improving the TE properties of Bi<sub>2</sub>Te<sub>3</sub>
either at its bulk or film state remains a focus. Currently, two strategies are used to improve the TE
properties of Bi<sub>2</sub>Te<sub>3</sub> during ED processing: nanocomposites and ternary alloys.

### 4 6.1 Nanocomposite

5 The term "nanocomposite" refers to a composite material within which at least one phase is of nanometer dimensionality. A nanoscale dispersed phase can strongly scatter phonons with a 6 7 wavelength similar to its dimension, and through this mechanism significantly reduce the phonon 8 thermal conductivity ( $\kappa_1$ ), thus boosting ZT [177,180,184]. In some cases, if the bandgap energy of the second phase is different from that of Bi<sub>2</sub>Te<sub>3</sub>, a potential barrier at the hetero-interfaces can be induced, 9 10 which will scatter low energy carriers, thus enhancing S and improving the TE properties (Figure 15(a,b)) [145,178,179,]. In addition, some incorporated dispersed phases, such as carbon nanotubes 11 12 (CNT), can increase the electron transport path to improve the  $\sigma$  of the material (Figure 15(c)), in which case the overall *PF* can be improved [212](Figure 15(d)). 13

Nguyen et al. [145] added PVP solution dispersed with Au nanoparticles into the electrolyte, and 14 prepared Au/Bi<sub>2</sub>Te<sub>3</sub> composites by electrodeposition. The nanocomposite containing 5% Au 15nanoparticles with a diameter of 5 nm showed the highest ZT (~ 0.62) at RT, ~ 40 times higher than 16 that without Au nanoparticles. Au nanoparticles were introduced to generate a charge transfer between 1718 Au and the host phase, resulting in a metal-semiconductor interface band bending. As shown in Figure 19 15(b), this behavior forms an energy-dependent barrier ( $\Delta E_g$ ), which acts as a filter for scattering lowenergy electrons. Only high-energy electrons can pass the barrier, while low-energy electrons are 20 scattered on the barrier at the interface. And due to the introduction of defects and/or grain boundaries, 21

1 the thermal conductivity of the lattice is reduced by phonon scattering, thereby improving the overall



2 TE properties of the material.

3

Figure 15. (a) Schematic diagram of the phonon and electron transport in nano-particle dispersed
composite system, (b) energy filtering effect, (c) CNT dispersed composite system and (d) TE
properties of the Bi<sub>2</sub>Te<sub>3</sub> based nanocomposite with different second phases [145,184,212].

<sup>7</sup> Wang et al. [180] introduced SiC nanoparticles with an average diameter of 60 nm into the Bi<sub>2</sub>Te<sub>3</sub> <sup>8</sup> film by electrodeposition. Because nano-SiC can optimize the *n*, the TE properties are the best at a <sup>9</sup> mass content of 3.74 % SiC. The most representative non-metal second phases are carbon materials <sup>10</sup> such as graphene and CNTs [[181–184]. Due to their superior  $\sigma$  and flexibility, they can be introduced <sup>11</sup> as nano second-phases into Bi<sub>2</sub>Te<sub>3</sub> films to improve both *ZT* and mechanical properties [184,185]. For example, Xu et al. [184] embedded carbon nanotubes (MWNTs) into Bi<sub>2</sub>Te<sub>3</sub> thin films by potentiostatic deposition. The addition of MWNTs increased the migration path of carriers and effectively improved the  $\mu$  (Figure 15(c)). At a content of ~ 4.7 %, the  $\sigma$  increases from 860 Scm<sup>-1</sup> to 1070 Scm<sup>-1</sup>.

### 4 6.2 Ternary alloy

<sup>5</sup> Here the term "solid solution alloy" refers to the introduction of an isoelectronic defect atom into <sup>6</sup> the crystal lattice. Due to the induced mass and stress field fluctuations among host and guest atoms, <sup>7</sup> a strong point defect scattering occurs, thereby reducing the  $\kappa_1$  consequently [186,187]. For the Bi<sub>2</sub>Te<sub>3</sub> <sup>8</sup> based solid solution, typically, Sb<sub>2</sub>Te<sub>3</sub> and Bi<sub>2</sub>Se<sub>3</sub> can be alloyed with Bi<sub>2</sub>Te<sub>3</sub> to form p-type <sup>9</sup> (Bi<sub>2-x</sub>Sb<sub>x</sub>Te<sub>3</sub>) and n-type (Bi<sub>2</sub>Te<sub>3-x</sub>Se<sub>x</sub>) materials, respectively (**Figure 16(a)**) [188,189]. The energy <sup>10</sup> band structure is not remarkably modified due to the introduction of a similar valence electronic <sup>11</sup> structure, while the  $\kappa_1$  can be effectively reduced, and the *ZT* value could thus be improved [190–192].

P-type  $Bi_{2-x}Sb_xTe_3$ : Bi and Sb are the adjacent elements in Group VA of the periodic table, which 12 have similar electronegativity and atomic radii (Table 5), so Sb can substitute Bi atoms in the Bi<sub>2</sub>Te<sub>3</sub> 13 lattice to form Bi2-xSbxTe3. However, compared with Bi, Sb atom has an atomic radius and 14 electronegativity closer to Te atom, thus the energy required to occupy the site of Te is lower, and it is 15 easy to form anti-site defects  $Sb'_{Te}$  (Figure 16(b)), thereby inducing holes and making Bi<sub>2-x</sub>Sb<sub>x</sub>Te<sub>3</sub> a 16 strong p-type semiconductor [109]. Due to the large mass difference between Bi and Sb, the  $\kappa_1$  can be 1718 effectively suppressed and because of small differences in their electronegativity and atomic radius, the  $\mu$  can be maintained. Moreover, the *n* can be fine-tuned by the quantity of  $Sb'_{Te}$ . Therefore, it can 19 20 be regulated by x for a maximized S which peaks at x = 1.5 [193]. It is thus intriguing to realize such composition by ED. One of the key issues confronted is the ultra-low solubility of Sb in most solvents. 21

1 Tartaric acid (TA), ethylene diamine tetraacetic acid (EDTA) and citric acid (CA) have been reported 2 to act as a chelating agents of Sb<sup>III</sup> to increase its solubility [194,195]. Kang et al. [183] obtained 3  $Bi_{2-x}Sb_xTe_3$  by pulse deposition in CA added electrolyte. The reaction formula of  $Bi_{2-x}Sb_xTe_3$  can be 4 expressed as [201]:

5

$$HTeO_2^+ + 3H^+ + 4e^- \rightarrow Te^0 + 2H_2O$$
 (13)

$$6 \qquad 3\text{Te}^{0} + 2(1-x)\text{Bi}^{3+} + x[\text{Sb}_{2}(\text{C}_{4}\text{H}_{4}\text{O}_{6})_{2}]^{2+} + 6\text{e}^{-} \rightarrow (\text{Bi}_{1-x}\text{Sb}_{x})_{2}\text{Te}_{3} + 2x(\text{C}_{4}\text{H}_{4}\text{O}_{6})^{2-}$$
(14)

Due to the difference between *m* and  $E_{onset}$  of Bi<sup>III</sup>, Sb<sup>III</sup> and Te<sup>IV</sup> ions, the optimal stoichiometric ratio in the deposited film is not easy to be realized [195]. The final product was measured to possess a composition of Bi<sub>0.45</sub>Sb<sub>1.22</sub>Te<sub>3.33</sub> and a mean grain size as low as 13 nm. We think this could be due to a large overpotential caused by the extra energy needed to break the [Sb<sub>2</sub>(C<sub>4</sub>H<sub>4</sub>O<sub>6</sub>)<sub>2</sub>]<sup>2+</sup> complex. Therefore, the  $\mu$  was heavily depressed, leading to a  $\sigma$  as low as 70 Scm<sup>-1</sup>, which is 1–2 orders of magnitude lower than most Bi-Te based films. A *PF* of 1.5  $\mu$ Wcm<sup>-1</sup>K<sup>-2</sup> was obtained, which is far less than expected and even lower than most binary films (**Table 6**).

N-type  $Bi_2Te_{3-\nu}Se_{\nu}$ : Because the radius and electronegativity of a covalent Bi atom is quite 14 15 different from Se (Table 5), the formation energy of an antisite defect in Bi<sub>2</sub>Se<sub>3</sub> is large. Thus, the generation of antisite defects is restricted. Moreover, since the vapor pressure of Se is large, it is easy 16 for it to escape from the lattice to form Se vacancies (Figure 16(b))  $(V_{Se}^{"})$  in the crystal lattice and 17ionize electrons, thus making  $Bi_2Te_{3-\nu}Se_{\nu}an n$ -type semiconductor [196,197]. Se doping is able to split 18 the energy band of Bi<sub>2</sub>Te<sub>3</sub>, increase the density of states near the Fermi energy, and widen the forbidden 19 band-gap [198], which can suppress the initiation of intrinsic excitation, thus enhancing TE 20 performance at higher temperatures. The composition with the best TE properties has been proven to 21be Bi<sub>2</sub>Te<sub>2.7</sub>Se<sub>0.3</sub> [199,200]. Ma et al. synthesized Bi<sub>2</sub>Te<sub>3-v</sub>Se<sub>v</sub> thin film using potentiostatic deposition 22

1 on Au-coated silicon substrates in aqueous acidic solutions at RT [201]. The corresponding formula is:

2 
$$(1-y)$$
 HTeO<sub>2</sub><sup>+</sup> + ySeO<sub>3</sub><sup>2-</sup>+  $(3+3y)$ H<sup>+</sup> + 4e<sup>-</sup>  $\rightarrow$  Se<sub>1-y</sub>Te<sub>y</sub> +  $(2+y)$ H<sub>2</sub>O (15)

$$2\mathrm{Bi}^{3+} + 3\mathrm{Se}_{1-y}\mathrm{Te}_{y} + 6\mathrm{e}^{-} \rightarrow \mathrm{Bi}_{2}\mathrm{Te}_{3-y}\mathrm{Se}_{y}$$
(16)

As shown in Table 6, the final composition is  $Bi_{1.9}Te_{2.8}Se_{0.3}$ . The composition deviation leads to a decreased *S* of -65  $\mu$ VK<sup>-1</sup>, which is 1/3 of that of bulk  $Bi_2Te_{2.7}Se_{0.3}$  (-208  $\mu$ VK<sup>-1</sup>) [202]. Due to the electronic scattering by inevitable impurities and grain boundaries, the  $\mu$  was reduced, thus ultimately reducing the  $\sigma$  [59–61,203]. The optimal *PF* was 1.7  $\mu$ Wcm<sup>-1</sup>K<sup>-2</sup>, which was not as competitive as binary ones.

9 **Table 5.** Boiling point, electronegativity, covalent radius, and atomic mass of Bi, Sb, Te and Se [109].

Elements	Bi	Sb	Te	Se	
Electronegativity	2.02	2.05	2.10	2.55	
Covalent radius (Å)	1.46	1.40	1.36	1.16	
Atomic mass	208.98	121.75	127.60	78.96	
Boiling point (°C)	1664	1587	988	958	
$E_{V}$	$E_V(Sb-Te) > E_V(Bi-Te) > E_V(Bi-Se)$				
E <sub>AS</sub>	E <sub>AS</sub> (Bi-Se)>E <sub>AS</sub> (Bi-Te)>E <sub>AS</sub> (Sb-Te)				

10 Note:  $E_V$ : the formation energy of anion vacancies (e.g.,  $E_V$ (Sb-Te): the energy to form  $V_{Te}^{"}$  in Sb<sub>x</sub>Te<sub>y</sub>);  $E_{AS}$ : the formation

11 energy of antisite defect (e.g.,  $E_{AS}$  (Bi-Se): the energy to form antisite defect  $Bi'_{Te}$  in  $Bi_x Te_y$ ).



Figure 16. (a) Crystal structure of  $Bi_{2-x}Sb_xTe_3$  or  $Bi_2Te_{3-x}Se_x$ . (b) Schematic diagram of vacancy and antisites defect. (The shaded represents the induced stress).

1

The TE properties of the electrodeposited Bi<sub>2</sub>Te<sub>3</sub>-based ternary alloys to date are still lower than 4 expected, which is mainly attributed to the composition deviation from the optimal Bi<sub>0.5</sub>Sb<sub>1.5</sub>Te<sub>3</sub> or 5 6 Bi<sub>2</sub>Se<sub>2.7</sub>Te<sub>0.3</sub>. Recent researches have tried to regulate the film composition by manipulating the ionic concentration, concentration ratio of different ions, as well as the deposition potential and deposition 7 8 mode. Precise control of the composition has been realized for binary Bi<sub>2</sub>Te<sub>3</sub>, but it is challenging for 9 Bi<sub>2</sub>Te<sub>3</sub>-based ternary alloys, which are complex systems with three ionic species of different mobilities. In order to achieve the optimal composition in the same electrolyte system, future work is suggested 10 to select appropriate chelating agents to form different chelates structures with Bi<sup>III</sup>, Te<sup>IV</sup>, Sb<sup>III</sup>, and 11 Se<sup>IV</sup> ions to manipulate their mobilities, which can add a dimension for composition regulation to ionic 12 concentration adjustment. 13

1	Table 6.	ED	Synthesis	of Bi <sub>2</sub> Te <sub>3</sub>	films and	their	TE	prot	perties.
-	I HOIC OF		Syntheois	01 D12 103	mini und	unen	112	Prob	

Composition	ion Mode Annealing regime		$\sigma$ (Scm <sup>-1</sup> )	$S \over (\mu V K^{-1})$	<b><i>PF</i></b> ( $\mu$ Wcm <sup>-1</sup> K <sup>-2</sup> )	Ref.
Bi <sub>2</sub> Te <sub>3</sub>	Galvanostatic	Ar + 5% H <sub>2</sub> , 300 °C, 1 h	930	-67	4.2	[138]
Bi <sub>2</sub> Te <sub>3</sub>	Galvanostatic	-	2200	-61	8.2	[128]
Bi <sub>2</sub> Te <sub>3</sub>	Potentiostatic	-	270	-63	1.1	[120]
Bi <sub>2</sub> Te <sub>3</sub>	Potentiostatic	$N_2$ + 5% $H_2$ , 160 °C, 0.5 h	670	-70	3.3	[110]
Bi <sub>2</sub> Te <sub>3</sub>	Potentiostatic	-	200	-50	0.5	[204]
Bi <sub>2</sub> Te <sub>3</sub>	Potentiostatic	N <sub>2</sub> , 250 °C, 1h	500	-110	6.0	[204]
Bi <sub>2</sub> Te <sub>3</sub>	Potentiostatic	Ar + 5% H <sub>2</sub> , 250 °C, 1h	810	-80	5.2	[205]
Bi <sub>2</sub> Te <sub>3</sub>	Pulse	-	330	-80	2.1	[206]
Bi <sub>2</sub> Te <sub>3</sub>	Pulse	-	520	-80	3.3	[204]
Bi <sub>2</sub> Te <sub>3</sub>	Pulse	N <sub>2</sub> , 250 °C, 1 h	670	-150	15	[204]
Bi <sub>2</sub> Te <sub>3</sub>	Pulse	-	690	-146	14.7	[16]
Bi <sub>2</sub> Te <sub>3</sub>	Pulse	-	2000	-89	20.1	[140]
$Bi_2Te_{2.6}Se_{0.3}$	Potentiostatic	-	290	-89	2.3	[210]
Bi <sub>1.9</sub> Te <sub>2.8</sub> Se <sub>0.3</sub>	Potentiostatic	-	400	-65	1.7	[154]
Bi1.88Te2.70Se0.42	Potentiostatic	-	520	-50	1.3	[207]
Bi <sub>2.00</sub> Te <sub>2.39</sub> Se <sub>0.77</sub>	Potentiostatic	-	70	-62	0.3	[208]
Bi <sub>2</sub> Te <sub>2.7</sub> Se <sub>0.5</sub>	Pulse	-	90	-92	0.8	[209]
$Bi_{2.00}Te_{2.71}Se_{0.15}$	Pulse	-	1340	-93	11.5	[210]
$Bi_{2.00}Te_{2.71}Se_{0.15}$	Pulse	$N_2$ + 5% $H_2$ , 200 °C, 1 h	1710	-106	19.2	[210]
$Bi_{0.22}Sb_{1.48}Te_{3.30}$	Potentiostatic	-	80	119	1.1	[211]
Bi <sub>0.4</sub> Sb <sub>1.3</sub> Te <sub>3.3</sub>	Potentiostatic		20	~105	~0.3	[201]
$Bi_{0.4}Sb_{1.4}Te_{3.2}$	Potentiostatic	Ar, 130 °C, 2 h	90	~160	~2.3	[201]
$Bi_{0.45}Sb_{1.22}Te_{3.33}$	Pulse	-	70	150	1.5	[207]
$Bi_{0.8}Sb_{1.2}Te_3$	Pulse		910	11	0.1	[164]
$Bi_{0.83}Sb_{1.17}Te_3$	Pulse	N <sub>2</sub> , 350 °C, 1 h	270	91	2.3	[164]
$Cu_{0.64}Bi_{1.11}Te_3$	Potentiostatic	-	399	-275	30.2	[37]
Bi <sub>2</sub> Te <sub>3</sub>	Potentiostatic	-	200	-50	50.0	[145]
Bi <sub>2</sub> Te <sub>3</sub> /Au	Potentiostatic	-	71	-380	10.3	[145]
Bi <sub>2</sub> Te <sub>3</sub>	Pulse	N <sub>2</sub> , 250 °C, 2 h	620	-115	8.2	[212]
Bi <sub>2</sub> Te <sub>3</sub> /Pt	Pulse	N <sub>2</sub> , 250 °C, 2 h	530	-184	18.0	[212]
Bi <sub>2</sub> Te <sub>3</sub>	Potentiostatic	N <sub>2</sub> , 250 °C, 2 h			~2.0	[180]
Bi <sub>2</sub> Te <sub>3</sub> /SiC	Potentiostatic	N <sub>2</sub> , 250 °C, 2 h	-	-	~2.5	[180]
Bi <sub>2</sub> Te <sub>3</sub>	Potentiostatic	N <sub>2</sub> , 250 °C, 2 h	860	-	-	[184]
Bi <sub>2</sub> Te <sub>3</sub> / MWNTs	Potentiostatic	N <sub>2</sub> , 250 °C, 2 h	1070	-	-	[184]

# 1 **7. Device integration**

With the rapid development of integrated circuits, the power consumption of microelectronic 2 3 systems continues to decrease, for which micro-power supply with high power density and long lifespan is urgently needed. Microscale TEGs (micro-TEGs) can realize in-situ power supply by 4 5 utilizing environmental temperature difference, thereby holding a great potential for applications in wearable electronics, internet-of-things, etc. In addition, with the fast development of high-power 6 density electronic components, precise temperature control and actively local cooling technologies are 7 also stringently needed. Microscale TECs (micro-TECs) possess unique merits, such as a short cooling 8 9 response-time in scale with their dimensions, a high heat flux handling capability and being compatible with conventional CMOS fabrication [213]. Therefore, micro-TECs have found their particular 10 applications in areas of thermal management of photonic integrated circuits, microelectronic chips as 11 12 well as heat/light sensors, etc. [214,215].

Since bulk thinning is not suitable for low-cost and scalable TED fabrications, a variety of 13preparation techniques of Bi<sub>2</sub>Te<sub>3</sub>, such as physical sputtering, ion etching, ED, etc., have been 14 15attempted to be combined with the TEG processes [216–220]. Among them, ED has advantages such as fast deposition rate, depositing-region-selective, less raw-material waste, compatible to CMOS 16 based micro-fabrications, and most importantly, it can be used to make Bi<sub>2</sub>Te<sub>3</sub> films as thick as several 17tens of µm [221]. Typical micro-TEDs process includes steps such as ED of Bi<sub>2</sub>Te<sub>3</sub>, transference, 18 structure design and interconnection [222]. In this part, we would mainly discuss the recent processes 19 that can facilitate the scalability of TED as well as structure optimization strategies for higher device 20 21 performance (Table 7).

### 1 7.1 TED structure and processing

2 Micro-TEDs can be classified into the in-plane type when thermal flow is in parallel with the substrate and the cross-plane type when thermal flow is vertical to the substrate. The film structure of 3 in-plane type TEGs is shown in Figure 17(a), where the heat flow is in parallel to the sustaining 4 substrate. It has long TE legs, thereby facilitating the establishment of a large temperature difference. 5 However, due to heat loss through substrate, high internal resistance, its output energy density is low. 6 7 To address these issues, Trung et al. [223] designed a Y-shaped structure micro-TED as shown 8 Figure 17(b). The TE legs are parallelly aligned on the same plane, with vertically aligned heat guide bonded on junctions of p- and n-legs. Although the overall heat flow is vertical to the substrate, the 9 heat flow through the TE films is parallel to the substrate. Therefore, it is still essentially an in-plane 10 11 micro-TEG. As shown in Figure 17(c), the fabrication of the Y-type micro-TEG is clearly illustrated. Both sides of the Y-type structure were encapsulated in polydimethylsiloxane (PDMS), which has a 12good flexibility and high thermal resistance. Multiple layers of Ti-TiN-Au-Cu were sputtered between 13 14the electrodeposited Bi<sub>2</sub>Te<sub>3</sub> legs and Cu electrode, to reduce their contact resistance, and to prevent the diffusion of Cu into Bi<sub>2</sub>Te<sub>3</sub>, as well as to minimize the mismatch of the thermal expansion coefficient 15 16 between the Cu electrodes and Bi<sub>2</sub>Te<sub>3</sub>. The Y-type structure could ensure long TE legs as well as a large film thickness, thereby establishing a larger temperature gap and smaller internal resistance. 17When attaching it to human skin at ambient temperature of 15 °C under free convection, the Y-type 18 micro-TEG could maintain a temperature gap of ~ 6 °C, much larger than the conventional structure 19 (~ 2.2 °C). Consequently, the Y-type micro-TEG could output an open circuit voltage (Voc) of 56 mV 20 and a maximum power density of 3  $\mu$ W cm<sup>-2</sup>. However, as the heat collection area is restricted by the 21 area of the exposed heat guide, a large amount of heat from the heat sources is impeded by the PDMS, 22

1 the power density could be further improved by structure optimization (e.g. a V-shaped heat guide to

2 increase heat contact areas) in future works.



3

Figure 17. (a) Structure of conventional in-plane type micro-TEDs. Structure of the Y-type micro-TEDs (b) and its fabrication processes (c). Step 1: Depositing SiO<sub>2</sub>-Cr-Au seed layer on silicon
substrate. Step 2: Electrodeposition of Bi<sub>2</sub>Te<sub>3</sub>. Step 3: Photolithography. Step 4 and Step 5: Deposition
of multilayers of barrier metal contacts of Ti-TiN-Au-Cu. Step 6: Deposition of copper heat guides.
Step 7: PDMS refilling and Si-SiO<sub>2</sub> removing. Step 8: Screen printing of thermal conductive glue. Step
9: Back side etching. Step 10: PDMS refilling [223]. Copyright 2017, Elsevier. Reprinted with
permission.

11 Compared with the in-plane type micro-TEDs, cross-plane type micro-TEDs are more widely 12 used due to a larger output power density. Typically, a template with a pre-designed micro-pillared 13 structure was obtained by patterning glass/silicon with laser ablation or photolithography, which would 14 act as a WE for  $Bi_2Te_3$  electrodeposition. By interconnecting and bridging, a cross-plane micro-TED 15 would be made. The advantage is it has a large heat-collecting area, thereby ensuring a high energy

1 harvesting efficiency and power output [224,225].

2



Figure 18. Step 1: Substrate patterned by photolithography and thermal evaporation of Ti/Pt/Au
electrodes. Step 2: Glass template with array through-holes was placed on the substrate. Step 3 and
Step 4: Electrodeposition of n-type Bi<sub>2</sub>Te<sub>3</sub> and p-type Sb<sub>2</sub>Te<sub>3</sub>, respectively. Step 5: Mask placed on top.
Step 6: Sputter Au layer on top. Step 7: Remove mask. Step 8: Photo of a micro-TEG with testing pads
[226]. Copyright 2018, Elsevier. Reprinted with permission.

Regarding the processing of the cross-plane micro-TEGs, since a pair of TE legs includes one n-8 type and one p-type leg, one has to increase the TE couple quantity to increase the total energy output. 9 However, their close locations on the same substrate greatly increases the processing difficulty at the 10 micrometer scale. One has to precisely cover the region reserved for deposition of n-type B<sub>2</sub>Te<sub>3</sub> during 11 12 deposition of p-type B<sub>2</sub>Te<sub>3</sub>, thereby causing an increase in cost. As shown in Figure 18, Li et al. [226] used a pre-designed glass template with an array of through-holes to hold TE legs and a coupled bottom 13 with patterned electrodes as WE for ED of Bi<sub>2</sub>Te<sub>3</sub>. The p- and n-type leg areas were separated by a 14 certain distance, which simplified the step deposition of p- and n-type legs, greatly decreasing the 15 processing difficulties for making micro-TEDs. Due to the large thermal resistance of the glass 16 template, the TEG assembled with 4 thermocouples can maintain a maximum temperature gap of 138 17

K. The open circuit voltage and output power reached 40.89 mV and 19.72  $\mu$ W, respectively. However, due to the large aspect ratio of the through-holes, the ions from the electrolyte cannot timely supplement these consumed in the holes, engendering large composition deviations, thus deteriorating device performance. Another demerit is its low packing density, due to the distance between the n- and p-leg regions.

6 The photolithography technology is commonly used in scalable fabrication of microelectronics. 7 However, when depositing both n- and p-type legs on the same substrate, more processing steps need to be involved, wherein multiple photoresist layers are required, making the deposition of top-contacts 8 9 an arduous task. To address this issue, the flip-chip bonding method using different solder materials have been proposed [225,227]. After electrodepositing n and p-type Bi<sub>2</sub>Te<sub>3</sub> base TE legs on different 10 substrates, it is easy to fulfil the top interconnects by using bonding materials after flipping one of the 11 12 substrate/Bi2Te3 bodies. Lal et al. developed a cross-plane micro-TEG based on a combination of Bi<sub>2</sub>Te<sub>3</sub> electrodeposition and the flip-chip method [227]. As shown in Figure 19, a 1 µm thick SiO<sub>2</sub> 13layer was first thermally grown on silicon substrate. Then, a seed layer of Ti/Au (20 nm/200 nm) was 14 grown on the substrate by e-beam evaporation. Areas of  $50 \times 50 \ \mu\text{m}^2$  were opened up by photoresist 15 spin-coating, followed by exposing and developing. The 10 µm thick n-type Bi<sub>2</sub>Te<sub>3</sub> pillars were 16 17electrodeposited on the exposed region. Then 200 nm thick Au and 3 µm thick In were sequentially electrodeposited, to act respectively as the barrier layer and bonding material for flip-chip bonding. It 18 was considered that the stress from the electrodeposited Bi<sub>2</sub>Te<sub>3</sub> pillars may result in its delamination 19 along with the seed layer. This issue was solved by increasing the thickness of the Au layer from 200 20 21nm to 3 µm by ED. Because ED can generate a rough surface, the adhesion between the pillars and the seed layer can be much enhanced, thereby enhancing the mechanical stability [80]. A different substrate 22

has also undergone a similar deposition sequence of p-type Bi<sub>2</sub>Te<sub>3</sub> and bonding materials. The two
substrates were then aligned to form a daisy chain in series. They were flip-chip bonded at 200 °C for
60 s with 300 gf. The TEG consists of 210 pairs of p- and n-type TE pillars, are connected thermally
in parallel and electrically in series.



Figure 19. Schematic diagram for process flow of micro-TED made by flip-chip method. Step 1: ebeam evaporation of Ti/Au. Step 2: Patterning of photoresist. Step 3: Electrodeposition of Bi<sub>2</sub>Te<sub>3</sub> pillar,
Step 4: Electrodeposition of Au. Step 5: Electrodeposition of In. Step 6: Etching of seed layer. Step7:
flip-chip bonding of substrate/ p- and n-type Bi<sub>2</sub>Te<sub>3</sub> bodies [227]. Copyright 2019, IOP Publishing,
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5

The  $Bi_2Te_3$  TE pillars, the barrier layers, and the bonding layers are deposited on patterned substrates as shown in **Figure 20(a)**. **Figure 20(b)** revealed the top view features through the top silicon substrates using an X-ray imaging system. The daisy chain can be observed as well as the formation of the electrical contact by the perfect alignment of both dies. A slight overflow was observed near the edges of the pillars due to the height difference between the pillars. Fortunately, as observed in **Figure 20(c)**, the overflowed indium does not short-circuit the connection. The TED made of 210 pairs of thermopiles are shown in **Figure 20(d)**, which could provide a voltage of ~ 315 mV, revealing a contribution of the *S* of 143.1  $\mu$ V K<sup>-1</sup>[227].



4

Figure 20. (a) Surface SEM images of completed substrate with electrodeposited Bi<sub>2</sub>Te<sub>3</sub>, Au barrier/seed material and In as bonding material. (b) X-ray imaging of the micro-TEG. (c) Cross-sectional SEM images of the flip-chip bonded micro-TEG. (d) Photo of the flip-chip bonded micro-TEG [227]. Copyright 2019, IOP Publishing, Ltd. Reprinted with permission.

### 1 7.2 Performance optimization

2 Much of the related research has been focused on structure optimization to boost the TE conversion performance of micro-TEGs. Optimization of the structure design for a larger TE output 3 4 using the same mass of Bi<sub>2</sub>Te<sub>3</sub> material is also very of great significant for reducing the scale-up cost of TEG fabrication. Tanwar et al. [224] gave some insight based on simulations: square cross-section 5 TE legs have higher output than circular- and hexagonal- legs for a fixed projected area, due to a lower 6 electrical resistance; the increase in leg height engenders a decrease in output power, due to a larger 7 8 electrical resistance; the height of the top and bottom interconnection materials should be optimized for curtailing cost while keeping good electro-mechanical properties; the high thermal-conductive 9 filler materials lead to a decrease in output power, due to thermal shunting; devices with an optimized 10 11 cross-sectional area ratio of p/n-type leg outperform the ones with an equal leg cross-section due to the inferior TE properties of the p-type material. 12

Yazawa et al. [228] claimed that when the electrode size and the ratio of cross-sectional leg 13 14 area/length are fixed, the TEG performance would be stable regardless of the total leg size, as shown 15 in Figure 21(a,b). Therefore, based on the same mass of Bi<sub>2</sub>Te<sub>3</sub>, one can prepare a larger quantity of 16 small-sized legs with a fixed ratio of cross-sectional leg area/length. Integrating these legs with sizefixed electrodes, one can achieve a micro-TEG with a higher total power output. Uda et al. [229] 17further proved that when the number and size of TE-legs are fixed, the TEG performance could be 18 improved by increasing the electrode area (Figure 21(b,c)). An umbrella-like electrode with a large 19 20 area can collect heat energy to the fullest extent and thus increase power output. This umbrella-like electrode can also form a shadow on the bottom electrode, thus lowering the bottom temperature to 21 22 help maintain the temperature gap. As shown in Figure 21(d-f), when the size of TE leg is kept constant, a 1.65-times increase in electrode area can bring in a 4.57-times increase in increment of power output. This strategy is meaningful for performance optimization as well as cost reduction: one can reduce the leg size for lower cost at a fixed electrode size, whereas one can increase electrode size for increasing power output at a fixed leg size.



Figure 21. Schematic view of devices of small-sized (a) and large-sized (b) TE legs at fixed electrode size and aspect ratio, (c) larger-area electrode (umbrella-type) at fixed leg size and aspect ratio same to (b). Top-view SEM images of the device with small-area (d) and large-area (e) electrodes. (f) Output curves of device of small-area electrodes (triangles) and large-area electrodes (balls), corresponding to (d) and (e), respectively [229]. Copyright 2015, Elsevier. Reprinted with permission.

5

11The power generation performance of the electrodeposited Bi-Te based micro-TEGs is listed in Table 7. It can be seen that TE properties reflected by compositions are of vital importance for the TE 12 performance of the micro-TEGs. For example, the TEG with a Cu p-type leg revealed the lowest V<sub>oc</sub> 13per couple per K, as the S of Cu is ultra-low, though the internal electrical resistance is also low. Lal et 14 al. [227] deposited Cu-Te alloy as the n-leg for micro-TEG, which showed the best Voc per couple per 15K. Another key point influencing power generation is the internal resistance. Zhang et al. [231] realized 16 an ultra-low internal resistance, thus achieving the highest power output per couple per K, which is 1-173 orders of magnitude higher than others. To conclude, besides the TE properties of the materials, the 18

optimization of device structure, as well as the reduction of internal resistance are also of great
 importance for performance optimization of micro-TED.

	TE ma	terials			R/TC	Voc	Voc	<b>P</b> <sub>max</sub>	<b>P</b> <sub>max</sub>	
-	n-leg	p-leg	- ΔT (K)	No. of TCs	(×10 <sup>2</sup> Ω)	(mV)	/(TC*ΔT) (mV/K)	(µW)	/(TC*ΔT) (×10 <sup>2</sup> μW/K)	Kel.
	Cu <sub>3</sub> Te <sub>5</sub>	Bi <sub>3</sub> Te <sub>5</sub>	10.0	210	87.00	315.0	0.15	-	-	[227]
	Bi <sub>2</sub> Te <sub>3</sub>	Sb <sub>2</sub> Te <sub>3</sub>	138.0	4	542.50	40.9	0.07	20	3.57	[226]
	Bi <sub>2</sub> Te <sub>3</sub>	$\mathrm{Sb}_{\mathrm{x}}\mathrm{Te}_{\mathrm{y}}$	46.2	71	189.15	308.8	0.09	166	5.06	[230]
	Bi <sub>2</sub> Te <sub>3</sub>	Sb <sub>2</sub> Te <sub>3</sub>	52.5	127	10.24	405.0	0.06	2990	44.84	[231]
	Bi <sub>2</sub> Te <sub>3</sub>	$Sb_2Te_3$	22.3	242	1528.93	294.0	0.05	6	0.11	[232]
	Bi <sub>2</sub> Te <sub>3</sub>	Sb <sub>2</sub> Te <sub>3</sub>	22.0	24	1250.00	56.0	0.10	-	-	[223]
	Bi <sub>2</sub> Te <sub>3</sub>	Cu	1.9	7	0.57	0.6	0.04	-	-	[233]

3 **Table 7.** TE performances of Bi-Te based Micro-TEGs prepared by electrodeposition.

4 Note: TC: Thermocouple, R/TC: Internal electrical resistance per thermocouple,  $P_{max}/TC$ :  $P_{max}$  per thermocouple, 5  $P_{max}/(TC^*\Delta T)$ :  $P_{max}$  per  $\Delta T$  per thermocouple.

For micro-TEDs, due to the micrometer scale leg-size as well as the massive increase in the 6 7 leg-number per unit area, the contact electrical/thermal resistance between the electrode and the TE materials are greatly increased compared to the macro-TEDs, which has a remarkable effect on the TE 8 9 performance of the TEDs. The optimization on the hetero-interface design is important for enhancing TE performance of the TEDs. Corbett et al. [215] electrodeposited a 5.5 µm thick Bi<sub>2</sub>Te<sub>3</sub> leg on a 10 Ti/Au/Si/SiO<sub>2</sub> substrate. Then, a 300 nm-thick SiN layer was deposited on top of the TE leg with an 11 opening. Ar-ion etching was applied to remove carbon contamination and to activate the top open 12 surface of the Bi<sub>2</sub>Te<sub>3</sub> leg. Finally, 20 nm Ti, 100 nm W and 200 nm Au layers were deposited on top 13 by electron beam evaporation (Figure 22). The W layer impedes the diffusion of Au into the Bi<sub>2</sub>Te<sub>3</sub> 14 15 film, thereby prolonging the lifespan of the TED. The contact electrical resistivity of the TED was only

 $\sim 10^{-11} \Omega m^2$ . The device shows a cooling response-time of 20 µs or less for the contacted devices of 1 22 µm diameter, which is two-orders-of-magnitude higher than the reported TEDs [234,235] (Table 2 8). Recent work using an organic silane monolayer or electroless Co-P layer have proven effective to 3 modify the metal-Bi<sub>2</sub>Te<sub>3</sub> interfaces [236,237]. Future decreasing the electrical/thermal resistance 4 between the TE material and the electrode is the key for performance optimization of the micro-TEDs. 5



6

Figure 22. (a) Schematic diagram of cross section in a single-device structure showing top and bottom 7 8 contacts. (b) Optical image of the 80 µm diameter pad with 400 µm side. (c) FIB-cut SEM image of 9 the cross-sectional contact showing SiN layer transition. (d) FIB-cut SEM image of the top interfacial 10 contacts showing W and Au layers. (e) Cooling effect of laser-induced heating by TED [215]. Copyright 2021, American Chemical Society. Reprinted with permission. 11

Composition	<b>Response time</b>	<b>Contact resistance</b>	$\Delta T (K)$	СОР	Ref.
	$(\times 10^{-2} \text{ ms})$	$(\Omega m^2)$		$(\times 10^{-1})$	
Bi <sub>2</sub> Te <sub>3</sub>	2	10-11	4.4	2.60	[215]
Bi2Te3-based ternary alloys	100	10-11	6.0	0.74	[234]
SiGe	1000-3000	10-6	-	-	[235]

	12	Table 8	. Comparison	of cooling	performance	e of micro-TECs
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#### 8. Conclusions and Outlooks 13

TEDs can convert heat into electricity based on the Seebeck effect, and vice versa via the Peltier 14

effect. Generally, there are two main technologies-bulk and thin film technology for TED fabrication. 1 The bulk technology is used where TEDs are of cm<sup>2</sup> or larger sizes (macro-TEDs) for W to kW power 2 output; while thin film technology is used where TEDs are of mm<sup>2</sup> or smaller sizes (micro-TEDs) for 3  $\mu W$  to mW power output, in the areas of power supply for, or thermal management of, 4 5 microelectronic/optoelectronic devices, wearable electronics, etc. The majority of the commercialized 6 micro-TEDs are based on Bi<sub>2</sub>Te<sub>3</sub>. In contrast to macro-TEDs technology, Bi<sub>2</sub>Te<sub>3</sub> material needs to be 7 integrated into micro-TEDs in-situ, where the material processing determines the TE properties of the Bi2Te3 legs, thereby influencing the device performance. Among all film technologies, Bi2Te3 8 electrodeposition has unique advantages over traditional sputtering, evaporation, etc., due to its cost-9 effectiveness, facile processing, compatibility with CMOS based micro-fabrication and, most 10 importantly, it can achieve a large film thickness for high electrical and thermal load. However, one of 11 12 the main challenges for the electrodeposited Bi<sub>2</sub>Te<sub>3</sub> in the near future will be to reach similar or above the efficiency of their bulk counterpart mainly fabricated by powder-sintered method. 13

## 14 8.1 Electrodeposition of high TE-property Bi<sub>2</sub>Te<sub>3</sub>

15 Generally, Bi<sub>2</sub>Te<sub>3</sub> film is electrodeposited in a three-electrode system by the following reaction:

16 
$$2 \operatorname{Bi}^{3+} + 3 \operatorname{HTeO}_2^+ + 18 \operatorname{e}^- + 9 \operatorname{H}^+ \to \operatorname{Bi}_2\operatorname{Te}_3 + 6 \operatorname{H}_2\operatorname{O}$$
 (4)

Bi<sup>III</sup> and Te<sup>IV</sup> ions are preferably provided by HNO<sub>3</sub> aqueous system, where Te<sup>IV</sup> exists as HTeO<sub>2</sub><sup>+</sup>. Due to the differences in solubility, mobility as well as onset potential, the optimal  $C_{Bi^{III}}/C_{Te^{IV}}$  in electrolyte is  $(0.75 \sim 1)$ :1, and appropriately high ionic concentrations in electrolyte and a low system temperature are preferred. Pulse deposition mode is favorable as it reserves the time for mass transfer, thereby making uniform composition. Annealing could help increase crystallinity and mean grain-size, and alleviate defects. By annealing in Te vapor, or intercalating a Te supplement layer, or designing a diffusion barrier layer between Bi<sub>2</sub>Te<sub>3</sub> and substrate could prevent composition deviation, thus enhancing the TE properties. Nanocomposites could induce interfacial/nanophase phonon scattering and energy-filtering effects, thereby depressing thermal conductivity and boosting Seebeck coefficient, respectively. Ternary doping with Sb and Se for p- and n-type Bi<sub>2</sub>Te<sub>3</sub>, respectively could enhance phonon scattering as well as carry out band engineering. Their detailed effects on the TE properties and mechanisms are summarized and listed in **Table 9**.

Some issues deserve concern for enhancing the TE properties of Bi2Te3 by ED: (1) Surfactants or some 8 9 additives have been proven effective for adjusting nucleation and growth of Bi<sub>2</sub>Te<sub>3</sub> during ED. The effect of each additive and their combinations on the microstructure and TE properties of Bi2Te3 and 10 the underlying mechanisms deserve further systematic investigation. (2) Ternary Bi<sub>2</sub>Te<sub>3</sub>-based films 11 12 by electrodeposition have revealed TE performances much lower than expected, which is attributed mainly to the composition deviation from the optimal Bi<sub>2</sub>Te<sub>2.7</sub>Se<sub>0.3</sub> or Bi<sub>0.5</sub>Sb<sub>1.5</sub>Te<sub>3</sub>. It is an arduous 13task to realize optimal composition in the same electrolyte system, as the three ionic species have 14 different mobilities. Selecting appropriate additives to form different complex structures with Bi<sup>III</sup>, 15Te<sup>IV</sup>, Sb<sup>III</sup>, and Se<sup>IV</sup> ions to manipulate their mobilities, may add another dimension for composition 16 17regulation. (3) Surface oxidation of Bi<sub>2</sub>Te<sub>3</sub> during ED deteriorates TE performance, though the oxygen inward diffusion is slow. Inert and reducing gas purging to remove oxygen has already been adopted. 18 A thin Te film as a protective layer is suggested to be deposited for hindering oxidation as well as to 19 supplement Te volatilization in subsequent annealing. (4) It is challenging to maintain the ionic 20 21concentration in the optimal proportion range during long-term electrodeposition. A Bi<sub>2</sub>Te<sub>3</sub> rod used as the CE can continuously supplement the consumed ions. However, the ionic concentration ratio may 22

be altered, as the optimal  $C_{Bi^{III}}/C_{Te^{IV}}$  is not fixed at 2:3. Therefore, the stoichiometric ratio of the Bi<sub>x</sub>Te<sub>y</sub> needs to be predesigned. (5) Another challenge is to use less rare-earth and toxic materials (e.g., Te) and to move towards more sustainable and environmentally-friendly nanostructured materials and processes where electrodeposition may play a vital role. In terms of substrate/platform Si, SiC, AlN or other flexible biodegradable polymer-based substrates should be further investigated.

Methodology	Optimal	Structure/Composition	Structure/Composition-performance relationship
Solvent systems	HNO3 aqueous solution	High ionic concentration	Bi <sub>2</sub> (SO <sub>4</sub> ) <sub>3</sub> is insoluble in H <sub>2</sub> SO <sub>4</sub> ; Cl <sup>-</sup> chelates with Bi <sup>III</sup> and Te <sup>IV</sup> in HCl; ED in HNO <sub>3</sub> ensures large ionic concentration,
			form dense structure, large grain size, leading to high $\mu$ , and excellent $\sigma$ .
Temperature	RT	Dense and uniform film	Higher temperature increases difference in ionic mobility, Te <sup>IV</sup> cannot supplement its consumption, forming dendritic and
			loose structure, and leading to reduced $\mu$ ; the composition is also deviated, leading to reduced S. promote current density,
			resulting in loose structure and reducing $\mu$ .
Concentration	$C_{Bi^{III}} / C_{Te^{IV}} = (0.75 \sim$	Bi:Te atomic ratio $\approx$ 2:3 in film	Bi <sup>III</sup> has a lower ionic mobility and more negative $E_{onset}$ than Te <sup>IV</sup> cations. An appropriate $C_{Bi^{III}}/C_{Te^{IV}}$ can ensure a
ratio	1):1		stoichiometric atomic ratio $(2/3)$ for optimized <i>PF</i> by regulating <i>n</i> .
Absolute	$C_{Te^{IV}} = 8 \sim 15 \text{ mM}$	Dense film and low	The smaller the absolute ionic concentration, the larger is the deviation from the stoichiometric one, since the ionic
concentration		composition deviation	mobility of Te <sup>IV</sup> is larger than that of Bi <sup>III</sup> , even if $C_{Bi^{III}}/C_{Te^{IV}}$ is in the optimal range; too large ionic concentration will
			lead to a high current density, making a loose film.
Anti-oxidation	Purge with inert gas;	Reduced oxygen content in	Oxygen atoms occupy $Te_2$ positions and act as donors, leading to increased $n$ and decreased $S$ , while the induced defects
process	anneal in reduction	Bi <sub>2</sub> Te <sub>3</sub>	will enhance electron scattering, reducing $\mu$ , and ultimately deteriorate TE properties.
	atmosphere		
Electrode size	$S_{CE}:S_{WE}\!\geq\!4,$	Homogeneous composition	Reduce electrochemical polarization, stabilize the electric field for uniform composition.
Deposition	Pulse	Dense film and uniform	Reduce deposition rate and concentration polarization for a dense structure, thus enhancing $\mu$ ; weaken concentration
mode		composition	polarization to ensure a uniform composition with atomic ratio Bi:Te $\approx$ 2:3, thus optimizing S.
Annealing	250 ~ 350°C;	Grain-size control and	Recrystallization by annealing, reduce grain boundaries and defects, improve the $\mu$ ; benefit mass diffusion for a uniform
	$\sim$ 2 h; Inert Gas;	composition homogenization	composition with atomic ratio Bi:Te $\approx$ 2:3, thus optimizing <i>S</i> .
	Te supplement layer	Supplement Te deficiency	Supplement Te deficiency due to volatilization to maintain the composition, thus optimizing $n$ .
	TiN barrier layer	Impurity impeding	Maintain <i>n</i> and $\mu$ by adding a TiN diffusion barrier layer between the substrate and Bi <sub>2</sub> Te <sub>3</sub> to prevent impurities from the
			substrate to the film due to annealing.
Nanocomposite	Pt	Bi2Te3/nanophase composite	Increase S by filtering out low-energy carriers and reduce $\kappa_l$ by phonon-scattering by nanophase.
Ternary	Bi0.5Sb1.5Te3;	Ternary composition	Reduce $\kappa_l$ by point defect scattering; regulate the <i>n</i> for an optimized <i>PF</i> by forming antisite defects $Sb'_{Te}$ to ionize holes
alloying	Bi <sub>2</sub> Se <sub>2.7</sub> Te <sub>0.3</sub> , etc.		in p-type $Bi_xSb_{1-x}Te_3$ , and inducing Se vacancies ( $V_{Se}^{"}$ ) to ionize electrons in n-type $Bi_2Te_{3-y}Se_y$ .

**Table 9.** Methodology and its influence on structure/composition as well as the structure/composition-performance relationship.

### 1 8.2 Processing and Structural design of high-performance micro-TEDs

Micro-TEDs could possess a high-power output with a large packing density. Electrodeposition 2 of Bi<sub>2</sub>Te<sub>3</sub> is one key step towards the in-situ integration process of micro-TEDs. As discussed, it is 3 4 difficult to electrodeposit Bi<sub>2</sub>Te<sub>3</sub> into predesigned array holes with large aspect-ratio in glass or organic 5 templates, since only the hole bottom that contacts the electrode can exchange electrons with the ions from the electrolyte, so a greater overpotential is required. To make things even worse, the ions in the 6 7 electrolyte cannot supplement the consumed ions at the bottom of the through-holes in a timely fashion due to space restriction, resulting in a loose-structure and composition-deviated Bi<sub>2</sub>Te<sub>3</sub> legs. A multi-8 9 step pulse method combined with microwave-assisted electrodeposition is suggested to ensure timely 10 mass transfer to ensure dense and stochiometric Bi<sub>2</sub>Te<sub>3</sub> legs. For a higher fabrication scalability, the photolithography technology with a flip-chip method is proposed. It becomes easy to finish the top 11 12 electrical-interconnects using bonding materials after flipping one of the two substrate/Bi<sub>2</sub>Te<sub>3</sub> dies. Regarding structural design, the topological structure including geometry, size, matching of 13

current and heat flow, and the heterogeneous interface structure including electrode/Bi<sub>2</sub>Te<sub>3</sub> are the 14 main factors that influence the device performance. For in-plane micro-TEDs, a Y-shaped structure 1516 could realize long TE legs as well as a large film thickness, thereby establishing a larger temperature gap and smaller internal resistance. For cross-plane micro-TEDs, umbrella shape with a large electrode 17area is suggested to maximize heat collection and increase heat flow through TE legs, meanwhile, 18 19 creating a shadow on the bottom electrode, thereby maintaining a large temperature gap. Square-crosssectioned Bi<sub>2</sub>Te<sub>3</sub> legs are preferred as they give higher output than the circular- and hexagonal- ones, 20 due to lower electrical resistance for a fixed available area. The aspect-ratio of p and n-type Bi<sub>2</sub>Te<sub>3</sub> leg 21

1 needs to be considered for matching of current and heat flows in future work.

Interfacial contact is one of the significant factors in devices performance. The contact 2 electrical/thermal resistance in micro-TEDs are much larger than macro-TEDs, due to the micro-size 3 dimensions as well as high integration density of micro-Bi<sub>2</sub>Te<sub>3</sub> legs. Moreover, the metallic atoms 4 5 could diffuse from the electrodes into the Bi<sub>2</sub>Te<sub>3</sub> legs during long-term service, thereby degrading TE 6 performance. Future works on appropriate bonding design, including interface modification, bonding 7 materials selection and their stacking design, are vital for the reduction of these electrical/thermal resistance, and diffusion as well as strengthening the mechanical stability, which are the key factors 8 9 for performance optimization of the micro-TEDs.

### 10 Notes

11 The authors declare no competing financial interest.

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