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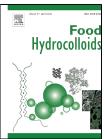
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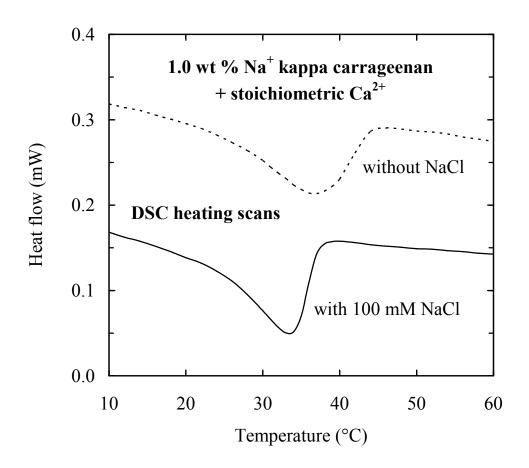
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# **Graphical abstract**



# Effect of monovalent cations on calcium-induced assemblies of kappa carrageenan

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#### Abstract

1

The effect of Na<sup>+</sup>, K<sup>+</sup> and Ca<sup>2+</sup> cations on the thermal stability and aggregation of kappa 2 3 carrageenan double helices has been explored by differential scanning calorimetry (DSC). 4 Previous studies have shown that kappa carrageenan helices bind K<sup>+</sup> cations, but not Na<sup>+</sup>. 5 The kappa carrageenan used in this work was therefore in the Na+ salt form, to avoid 6 complications from site-bound counterions to the polymer, and was studied at a fixed concentration of 1.0 wt % (~25 mN w.r.t. sulfate groups). Na+, K+ and Ca2+ cations were 7 8 added as chloride salts. Values of peak-maximum temperature (T<sub>max</sub>) in DSC cooling and 9 heating scans (0.5°C/min) increased progressively with increasing salt concentration, following the order Na<sup>+</sup>< Ca<sup>2+</sup>< K<sup>+</sup>, but greatest thermal hysteresis was seen with Ca<sup>2+</sup>. 10 Our proposed interpretation is that Ca<sup>2+</sup> cations "cement" the carrageenan helices together by 11 12 binding directly between them, giving greater thermal stability, and thus greater hysteresis, than K<sup>+</sup> cations which act indirectly by suppressing charge. On progressive addition of NaCl 13 or KCl to solutions incorporating Ca<sup>2+</sup> at concentrations of 5 mM or 12.5 mM (stoichiometric 14 15 equivalence) the values of T<sub>max</sub> moved asymptotically towards those seen for the same 16 concentrations of the monovalent cations in the absence of calcium, suggesting progressive displacement of site-bound Ca<sup>2+</sup>. Thus T<sub>max</sub> for the order-disorder transition was increased by 17 18 KCl but reduced by NaCl, with the strange consequence that addition of NaCl lowered the 19 transition temperature rather than raising it.

#### 20 Keywords

21 Kappa carrageenan; Differential scanning calorimetry; Calcium ions; Cation binding

#### 1. Introduction

23

- 24 Kappa carrageenan is a structural polysaccharide from numerous species of red seaweed
- 25 (Rhodophyta) and is used extensively as a gelling agent, particularly in food products
- 26 (Glickman, 1983; Stanley, 1990; Therkelsen, 1993).
- 27 It is one of a family of sulfated galactans (Painter, 1983) with primary structures based
- on a linear disaccharide repeating sequence of alternating 1,3 and 1,4-linked residues. The
- other gelling carrageenan used commercially is iota. In both iota and kappa carrageenan the
- 30 1,4-linked residues occur predominantly as the 3,6-anhydride (Fig. 1), and the 1,3-linked
- residues have a sulfate group at C(4). In nature, the anhydride bridge is absent in a significant
- 32 proportion of the 1,4-linked residues, but in commercial production structural regularity is
- 33 normally enhanced by treatment with alkali (Smidsrød, Larsen, Penas & Haug, 1967;
- Stanley, 1963), giving structures close to the idealised repeating sequence in Fig. 1. Unlike
- kappa carrageenan, iota has an additional sulfate substituent at C(2) of the 1,4-linked residue
- 36 (Anderson, Dolan & Rees, 1973). Thus iota carrageenan has two negative charges per
- disaccharide, whereas kappa has only one.
- 38 This difference in pattern of sulfation causes profound differences in gelation behaviour.
- 39 (Picullel, 1995). Iota carrageenan gels are formed on cooling by conversion of disordered
- 40 coils to 3-fold double helices (Arnott, Scott, Rees & McNab, 1974) which constitute the
- 41 junction zones of the gel network (Rees, 1970). The coil-helix and accompanying sol-gel
- 42 transitions are reversed on heating, with little, if any, thermal hysteresis.
- 43 Kappa carrageenan has a double helix structure similar to that of iota (Anderson,
- Campbell, Harding, Rees & Samuel, 1969; Millane, Chandrasekaran, Arnott & Dea, 1988).
- 45 Again, gels form on cooling and melt on heating, but with substantial thermal hysteresis
- 46 (in marked contrast to the sol-gel and gel-sol transitions of iota). Hysteresis is attributed to
- 47 cation-induced aggregation of the kappa carrageenan double helices, with the aggregates
- 48 surviving to higher temperatures than those at which individual helices are formed on cooling
- 49 (Morris & Norton, 1983; Morris, Rees & Robinson, 1980). Some limited aggregation of
- 50 helices, with associated slight thermal hysteresis, may also occur for iota carrageenan in the
- 51 presence of high concentrations of Ca<sup>2+</sup> cations (Goycoolea, Morris & Gidley, 1995; Tari,
- 52 Kara & Pekcan, 2010).

- It has been demonstrated by NMR (Belton, Morris & Tanner, 1986; Grasdalen & Smidsrød,
- 55 1981; Piculell, Nilsson & Ström, 1989) that, unlike Li<sup>+</sup> and Na<sup>+</sup>, K<sup>+</sup> (and larger Group I
- 56 cations) bind to kappa carrageenan double helices. This reduces the net negative charge
- on the helices, and promotes helix-helix aggregation by suppressing electrostatic repulsion.
- 58 Aggregation increases gel strength by giving an additional mechanism of crosslinking
- 59 (Viebke, Piculell & Nilsson, 1994), and commercial kappa carrageenan is therefore normally
- produced and supplied with K<sup>+</sup> as the predominant cation.
- The interaction of kappa carrageenan with Ca<sup>2+</sup> is less well understood. On addition of
- 62 increasing concentrations of K<sup>+</sup> to 1.0 wt % solutions of kappa carrageenan in the Na<sup>+</sup> salt
- 63 form (to avoid complications from site-binding of counterions to the polymer), Doyle,
- 64 Giannouli, Philp & Morris (2002) observed a large, monotonic increase in gel strength.
- With Ca<sup>2+</sup> cations, by contrast, gel strength passed through a maximum at stoichiometric
- equivalence to the sulfate groups of the carrageenan. Maximum gel strength at stoichiometric
- equivalence of Ca<sup>2+</sup> to the negative charge of the polymer has been observed subsequently
- 68 (Doyle, Giannouli, Richardson & Morris, 2018) for other concentrations of kappa carrageen
- 69 (in the range 0.5 3.0 wt %), suggesting that Ca<sup>2+</sup> cations promote aggregation of the double
- helices by site-binding between them (rather than by binding to individual helices, as happens
- 71 with  $K^+$ ).
- 72 In the present work, we have used differential scanning calorimetry to explore the effect
- of monovalent cations (K<sup>+</sup> and Na<sup>+</sup>) on the stability of Ca<sup>2+</sup>-induced aggregates of kappa
- 74 carrageenan.

#### 75 **2.** Materials and methods

- Kappa carrageenan in the Na<sup>+</sup> salt form was kindly supplied by Quest International Ireland
- The Ltd., Carrigaline, Co. Cork, Ireland. It is an alkali-modified extract from Euchema cottonii,
- 78 identical to the material used by Doyle et al. (2002) and Doyle et al. (2018). NaCl, KCl and
- 79 CaCl<sub>2</sub> were AnalaR grade from BDH. Distilled deionised water was used throughout.
- 80 Differential scanning calorimetry (DSC) measurements were made at a fixed carrageenan
- 81 concentration of 1.0 wt % (~25 mM w.r.t. sulfate groups) using a Seteram DSC III scanning
- 82 microcalorimeter at heating and cooling rates of 0.5°C/min. Water was used as thermal
- reference, and sample and reference pans were balanced to within 0.05 mg (typical loading
- $84 \sim 850 \text{ mg}$ ).

- 85 Carrageenan was dissolved at 2.0 wt % by mechanical stirring at 80°C. Solutions of NaCl,
- 86 KCl and/or CaCl<sub>2</sub> were also prepared at twice the required concentration and mixed in equal
- volumes with 2.0 wt % kappa carrageenan at 80°C, to give mixtures containing 1.0 wt %
- polymer in the presence of the appropriate concentration of salt(s).
- 89 To take account of non-specific screening of electrostatic repulsion between carrageenan
- 90 helices, comparisons were made at equivalent values of ionic strength (with 1 mM CaCl<sub>2</sub>
- 91 having the same ionic strength as 3 mM NaCl or KCl).

#### 3. Results

92

- 93 Thermal hysteresis between the DSC exotherm arising from the disorder–order transition on
- cooling and the endotherm from the order–disorder transition on heating is illustrated in Fig. 2
- 95 for 1.0 wt % Na<sup>+</sup> kappa carrageenan in the presence of 100 mM KCl. The same general
- 96 pattern of a sharp exotherm and somewhat broader endotherm was observed for all
- 97 carrageenan–salt mixtures studied, but with large differences in the position of the DSC peaks
- 98 on varying concentration and type of salt.
- 99 Disorder-order and order-disorder transition temperatures can be conveniently characterised
- by the temperature of maximum (absolute) heat flow in DSC (i.e. the temperature,  $T_{max}$ , at
- the top of the exotherms and bottom of the endotherms). As shown in Fig. 3, the effectiveness
- of increasing concentrations of the three salts studied in raising T<sub>max</sub> values for 1.0 wt %
- Na<sup>+</sup> kappa carrageenan followed the order NaCl < CaCl<sub>2</sub> < KCl for both the disorder–order
- transition on cooling (Fig. 3a) and the order–disorder transition on heating (Fig. 3b).
- However, the extent of thermal hysteresis (Fig. 4) between the ordering and disordering
- processes followed a different order: NaCl < KCl < CaCl<sub>2</sub>. Greater thermal hysteresis with
- 107 CaCl<sub>2</sub> than with KCl is consistent with the proposal (Doyle et al., 2002) that Ca<sup>2+</sup> cations
- "cement" kappa carrageenan double helices together by binding between them in an
- arrangement analogous to the "egg box" binding (Grant, Morris, Rees, Smith & Thom, 1973)
- of Ca<sup>2+</sup> between polyuronate sequences in calcium alginate and calcium pectinate gels,
- whereas K<sup>+</sup> acts indirectly by binding to individual helices and thus suppressing electrostatic
- repulsion.
- To explore the stability of Ca<sup>2+</sup>-induced aggregates of kappa carrageenan double helices
- the effect of adding monovalent cations (Na<sup>+</sup> and K<sup>+</sup>) was investigated. Two different
- 115 concentrations of Ca<sup>2+</sup> were used in mixtures with 1.0 wt % Na<sup>+</sup> kappa carrageenan: 12.5 mM
- 116 (stoichiometric) and 5 mM, and increasing concentrations of NaCl or KCl were added.

- As shown in Fig. 5, as the concentration of KCl was raised the observed values of  $T_{max}$  for
- the disorder–order transition on cooling (Fig. 5a) and the order–disorder transition on heating
- 119 (Fig. 5b) increased from those observed with 12.5 or 5 mM Ca<sup>2+</sup> alone towards the higher
- values found (Fig. 3) with KCl, suggesting displacement of bound Ca<sup>2+</sup> cations.
- When the same experiment was repeated (Fig. 6) using NaCl instead of KCl, addition of
- high concentrations of NaCl was found to cause a slight increase (Fig. 7a) in the temperature
- of the disorder-order transition on cooling (Fig. 6a), which can be readily explained by
- non-specific screening of electrostatic repulsion between the charged polymer coils, thus
- facilitating intermolecular association into double helices.
- 126 In the heating direction (Fig. 6b), however, increasing concentrations of NaCl caused a
- progressive reduction in T<sub>max</sub> for the disorder-disorder transition, towards the lower
- values observed (Fig. 3) for NaCl alone. In consequence, addition of high concentrations
- of NaCl had the surprising effect of *lowering* the transition temperature (Fig. 7b), rather than
- giving the increase in  $T_{max}$  that would be expected from the general behaviour of charged
- polysaccharides.

132

#### 4. Discussion and conclusions

- The obvious interpretation of the results presented in Figs. 5 7 is that progressive addition
- of KCl or NaCl causes progressive displacement of Ca<sup>2+</sup> cations bound between kappa
- carrageenan double helices, with the overall behaviour then approaching that observed
- 136 (Fig. 3) for the same concentrations of the monovalent cations in the absence of Ca<sup>2+</sup>. The
- outcome is an increase in thermal stability of the helix–helix aggregates on addition of KCl
- and a reduction with NaCl, which leads to the unusual (perhaps unprecedented) observation
- that addition of NaCl lowers the temperature of the order–disorder transition (Fig. 7b).
- The ability of monovalent cations to displace Ca<sup>2+</sup> is, in itself, further evidence of site-binding
- of calcium ions to kappa carrageenan double helices (if they weren't bound they could not be
- displaced), and reinforces the conclusion from greater thermal hysteresis with Ca<sup>2+</sup> than with
- 143 K<sup>+</sup> (Fig. 4) and maximum in gel strength at stoichiometric equivalence of Ca<sup>2+</sup> to sulfate
- groups of the polymer (Doyle et al., 2002) that calcium ions bind between the double helices,
- rather than to individual helices.

The ability of monovalent salts to displace Ca <sup>2+</sup> cations bound to anionic polysaccharides
has been demonstrated previously for calcium alginate (Morris, Rees, Thom & Boyd, 1978)
and calcium pectinate (Morris, Powell, Gidley & Rees, 1982). Both of these form "egg box"
structures (Grant et al., 1973) in which calcium ions are sandwiched in site-bound arrays
between 2-fold (zig-zag) sequences of polyguluronate (from alginate) or polygalacturonate
from pectin. On addition of increasing concentrations of monovalent cations (Na+ or Me <sub>4</sub> N+),
the amount of bound Ca2+ dropped from around stoichiometric equivalence to the carboxylate
groups of the polyuronate sequences to 50% stoichiometric, indicating a highly stable dimeric
structure with a single array of calcium ions bound between two polysaccharide chains, with
only the inner faces of the 2-fold ordered structures participating in binding.
Displacement of Ca <sup>2+</sup> cations bound between (but not within) "egg box" dimers suggests
a parallel with similar displacement from between the dimers (double helices) of kappa
carrageenan.

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160

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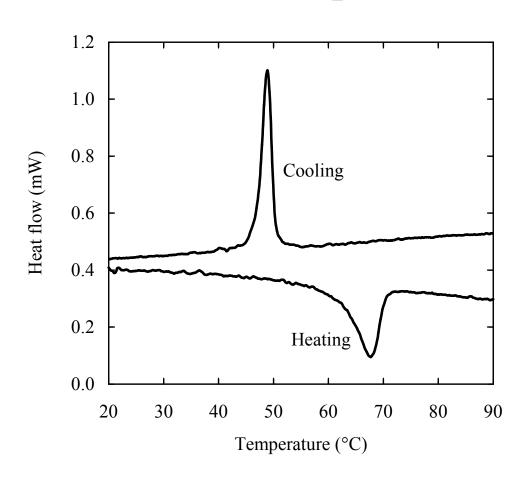
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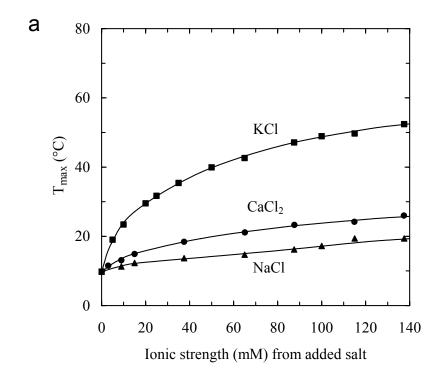
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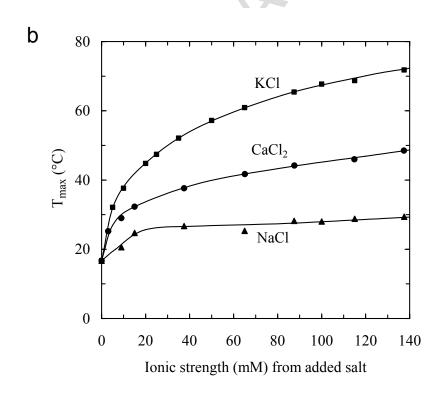
232	Legends to figures
233	
234	Fig. 1. Idealised disaccharide repeating structure of gelling carrageenans. R = H in kappa
235	and SO <sub>3</sub> - in iota.
236	
237	Fig. 2. DSC traces recorded on cooling and heating at 0.5°C/min for 1.0 wt % Na <sup>+</sup> kappa
238	carrageenan with 100 mM added KCl.
239	
240	Fig. 3. Effect of ionic strength on peak-maximum temperature ( $T_{max}$ ) for 1.0 wt % $Na^+$ kappa
241	carrageenan on (a) cooling and (b) heating in the presence of increasing concentrations of
242	$NaCl( \blacktriangle ), KCl( \blacksquare ) \text{ or } CaCl_2( \bullet ).$
243	
244	Fig. 4. Effect of ionic strength on the extent of thermal hysteresis between DSC cooling and
245	heating scans (Fig. 3) for 1.0 wt % Na+ kappa carrageenan in the presence of increasing
246	concentrations of NaCl ( $\blacktriangle$ ), KCl ( $\blacksquare$ ) or CaCl <sub>2</sub> ( $\bullet$ ).
247	
248	Fig. 5. Variation of peak-maximum temperature with ionic strength on addition of increasing
249	concentrations of KCl to 1.0 wt % Na+ kappa carrageenan in mixtures with 5 mM (●) or
250	12.5 mM (▲) CaCl₂ on (a) cooling and (b) heating The corresponding values from addition
251	of KCl ( $\square$ ) or CaCl <sub>2</sub> (O) alone (Fig. 3) are shown for comparison.
252	
253	Fig. 6. Variation of peak-maximum temperature with ionic strength on addition of increasing
254	concentrations of NaCl to 1.0 wt % Na <sup>+</sup> kappa carrageenan in mixtures with 5 mM (●) or
255	12.5 mM (▲) CaCl₂ on (a) cooling and (b) heating The corresponding values from addition of
256	NCl (Δ) or CaCl <sub>2</sub> (O) alone (Fig. 3) are shown for comparison.
257	
258	Fig. 7. DSC traces recorded on (a) cooling and (b) heating for 1.0 wt % Na+ kappa
259	carrageenan with 12.5 mM CaCl <sub>2</sub> in the presence (solid line) or absence (dashed line)
260	of 100 mM NaCl.

**Fig. 1.** Idealised disaccharide repeating structure of gelling carrageenans. R = H in kappa and  $SO_3^-$  in iota.

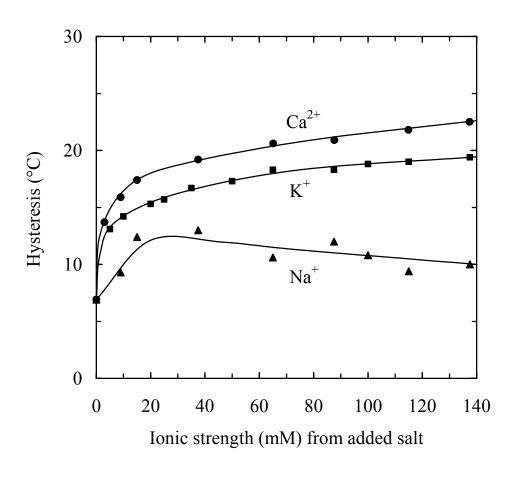


**Fig. 2.** DSC traces recorded on cooling and heating at 0.5°C/min for 1.0 wt % Na<sup>+</sup> kappa carrageenan with 100 mM added KCl.

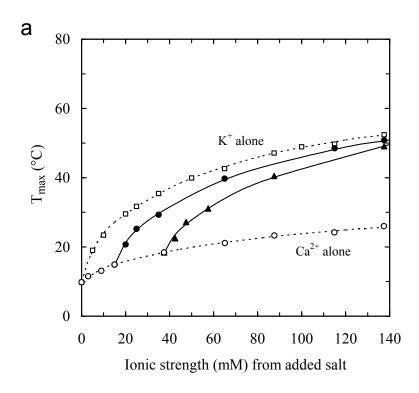


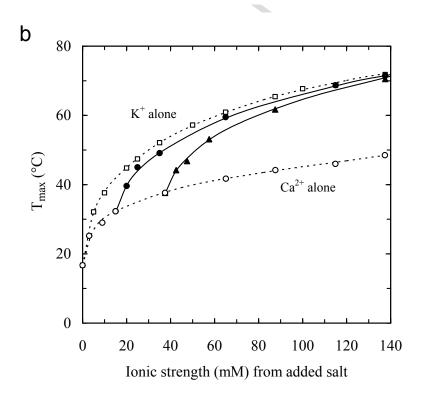


**Fig. 3.** Effect of ionic strength on peak-maximum temperature  $(T_{max})$  for 1.0 wt % Na<sup>+</sup> kappa carrageenan on (a) cooling and (b) heating in the presence of increasing concentrations of NaCl ( $\triangle$ ), KCl ( $\blacksquare$ ) or CaCl<sub>2</sub> ( $\bullet$ ).

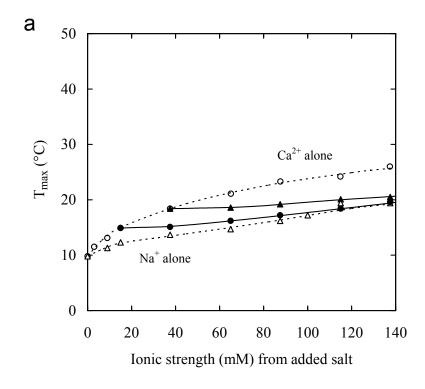


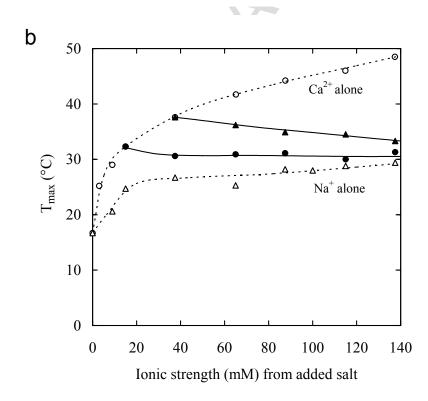
**Fig. 4.** Effect of ionic strength on the extent of thermal hysteresis between DSC cooling and heating scans (Fig. 3) for 1.0 wt % Na<sup>+</sup> kappa carrageenan in the presence of increasing concentrations of NaCl ( $\blacktriangle$ ), KCl ( $\blacksquare$ ) or CaCl<sub>2</sub> ( $\bullet$ ).



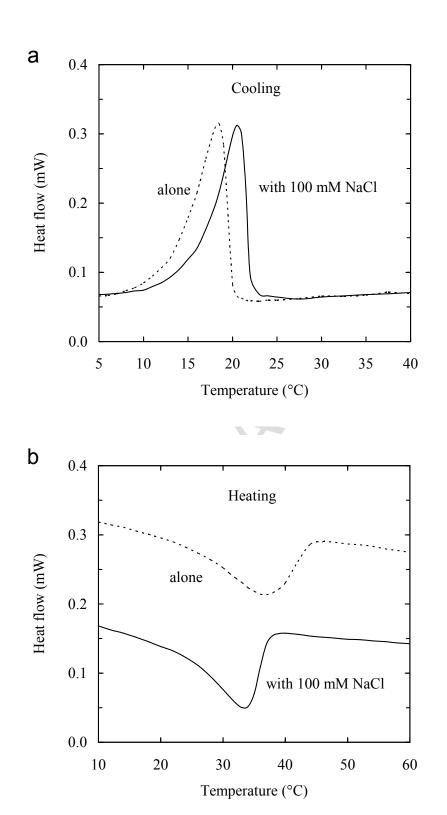


**Fig. 5.** Variation of peak-maximum temperature with ionic strength on addition of increasing concentrations of KCl to 1.0 wt % Na<sup>+</sup> kappa carrageenan in mixtures with 5 mM ( $\bullet$ ) or 12.5 mM ( $\bullet$ ) CaCl<sub>2</sub> on (a) cooling and (b) heating The corresponding values from addition of KCl ( $\square$ ) or CaCl<sub>2</sub> (O) alone (Fig. 3) are shown for comparison.





**Fig. 6.** Variation of peak-maximum temperature with ionic strength on addition of increasing concentrations of NaCl to 1.0 wt % Na<sup>+</sup> kappa carrageenan in mixtures with 5 mM ( $\bullet$ ) or 12.5 mM ( $\blacktriangle$ ) CaCl<sub>2</sub> on (a) cooling and (b) heating The corresponding values from addition of NCl ( $\Delta$ ) or CaCl<sub>2</sub> (O) alone (Fig. 3) are shown for comparison.



**Fig. 7.** DSC traces recorded on (a) cooling and (b) heating for 1.0 wt % Na<sup>+</sup> kappa carrageenan with 12.5 mM CaCl<sub>2</sub> in the presence (solid line) or absence (dashed line) of 100 mM NaCl.

## Highlights

- Site-binding of calcium cations between kappa carrageenan double helices
- Consequent large hysteresis between ordering and disordering transitions in DSC
- Bound Ca<sup>2+</sup> displaced progressively by increasing concentrations of NaCl or KCl
- KCl moves order–disorder transition towards higher temperatures seen with K<sup>+</sup> alone
- High concentrations of NaCl lower transition temperature rather than raising it