

Title	Decision diagrams for the computation of semiring valuations
Authors	Wilson, Nic
Publication date	2005-07
Original Citation	Wilson, N. (2005) 'Decision Diagrams for the Computation of Semiring Valuations', IJCAI'05: Proceedings of the 19th International Joint Conference on Artificial intelligence, Edinburgh, Scotland, 30 July - 05 August, pp. 331-336.
Type of publication	Conference item
Link to publisher's version	https://www.ijcai.org/Proceedings/2005
Rights	© August 1, 2005 International Joint Conferences on Artificial Intelligence. All rights reserved. This publication, or parts thereof, may not be reproduced in any form without permission
Download date	2023-09-28 04:02:49
Item downloaded from	https://hdl.handle.net/10468/10768

Decision Diagrams for the Computation of Semiring Valuations

Nic Wilson

Cork Constraint Computation Centre
Department of Computer Science, University College Cork, Ireland
n.wilson@4c.ucc.ie

Abstract

This paper describes a new approach to computation in a semiring-based system, which includes semiring-based CSPs (in particular weighted CSPs, fuzzy CSPs and standard CSPs) as well as Bayesian networks. The approach to computation is based on what we call semiring-labelled decision diagrams (SLDDs). These can be generated in a similar way to a standard search tree (decision tree) for solving a CSP, but some nodes are merged, creating a more compact representation; for certain classes of CSPs, the number of nodes in the resulting network will be a tiny fraction of the number of nodes in the corresponding search tree. A method is given for generating an SLDD that represents e.g., a particular instance of a semiring-based CSP; it is shown how this can be used to perform various computations of interest, such as solving a semiring-based CSP, finding optimal solutions, determining the possible values of each variable and counting solutions of a CSP.

1 Introduction

Suppose we are performing a depth-first search for solutions of a (not necessarily binary) CSP, where we record the nodes of the search (decision) tree that we've visited so far. Solutions correspond to complete paths in the tree, i.e., directed paths from the root node to a node corresponding to a complete assignment. We are at a node with associated partial assignment u of set of variables U , which we have checked is consistent (in the CSP sense of it satisfying all constraints involving only variables in U). Suppose we manage somehow to find another assignment u' of U , corresponding to a node previously visited, which is also consistent, and which is *fully interchangeable*, that is (extending [Freuder, 1991]) *for all assignments w of the remaining variables W , uw is a solution of the CSP if and only if $u'w$ is a solution.*

There is then no need to expand the subtree below u , as it is equivalent to the one below u' which we have already expanded. Instead we can merge the nodes corresponding to u and u' . The resulting structure will no longer be a tree—so can be described as a *decision diagram* (as opposed to a *decision tree*)—but it will still represent all solutions, as solu-

tions still correspond to complete directed paths; one can also prune the representation to allow backtrack-free generation of any solution. If we can find many such equivalences through interchangeability then the number of nodes in the decision diagram will be a tiny fraction of the number of nodes in the corresponding decision tree. Indeed, the number of solutions can even sometimes be exponential in the size of such a decision diagram, as the merging of nodes ‘factorises’ the solution set (so the size of the decision tree will be exponential in the size of the decision diagram). This kind of representation, in the form of binary decision diagrams [Bryant, 1986; 1995], has proved very effective in certain domains, such as CAD applications.

Testing full interchangeability is often expensive, but one can instead use the sufficient condition, *neighbourhood interchangeability*, that is, for all the constraints c whose scope intersects with both U and $V - U$, $c_u = c_{u'}$, where e.g., c_u (which involves no variables in U) is the ‘slice’ of c given by instantiating variables U to u . This condition can be tested quickly, in time proportional to the size of the (sliced) constraints. Furthermore, if one constructs these slices for each node visited, and indexes them appropriately, then checking whether we have previously generated neighbourhood-interchangeable u' , can be achieved efficiently.

This computational approach can be generalised to semiring-based systems (Section 2), including semiring-based CSPs (in particular weighted CSPs, fuzzy CSPs and standard CSPs) as well as Bayesian networks. Each edge in the decision diagram is labelled with a value in the semiring, generating (Section 3) what we call *semiring-labelled decision diagrams (SLDDs)*. We show how this can be done in such a way that the SLDD represents the semiring-based system, i.e., the product of semiring values of edges in a complete path is equal to the semiring value of the complete assignment associated with the path. The efficiency of this approach to computation is very much dependent on how compact the SLDD is, especially compared to the corresponding search tree. If the SLDD is compact, then it can be efficiently constructed and the operations are efficient. We give examples of situations where the SLDD is compact (even linear size) despite the number of solutions being exponential in the number of variables.

Sections 4 and 5 show how the semiring-labelled decision diagram can be used to perform various computations of in-

terest, including solving, optimising and generating inferred constraints, and projections needed in inference in Bayesian networks and solving semiring-based CSPs.

The formalism SLDDs defined in this paper is a development of the finite-state automata representations for weighted constraints in [Amilhastre *et al.*, 2002] (where the soft constraints are unary). The latter, which can be considered as implementing a kind of *dynamic programming* [Bellman, 1957], has been shown to be applicable as a compilation technique on a substantial real-world problem.

The main contributions of the paper are extending this computational technique of [Amilhastre *et al.*, 2002] in a number of significant ways: our technique, SLDDs, can be applied to computation in many formalisms for preferences and uncertainty: in particular, to a very general class of soft CSPs, semiring-based CSPs; we allow soft constraints to be of arbitrary *arity*, as well as both extensional and intensional representations of constraints; it applies also to computation in Bayesian networks, and for counting the solutions of a CSP. Our construction of the representation is also new, and is based on backtracking search, where certain nodes in the search tree are merged (implicitly performing a kind of formalised caching); this enables constraint programming techniques to be used, making SLDDs a combination of a form of dynamic programming and search-based approaches used in constraint programming.

2 Semiring Valuations

Semirings consist of a set with two operations \otimes and \oplus , which are both associative and commutative and such that \otimes distributes over \oplus . Here we also assume a unit element and a null element. Tuple $\mathcal{A} = \langle A, \mathbf{0}, \mathbf{1}, \oplus, \otimes \rangle$ is said to be a *semiring* if A is a set containing different elements $\mathbf{0}$ and $\mathbf{1}$ and \oplus and \otimes are operations on A satisfying the following properties: \otimes is associative and commutative with identity $\mathbf{1}$, \oplus is associative and commutative with identity $\mathbf{0}$, which is also a null element (i.e., for all $\alpha \in A$, $\alpha \otimes \mathbf{0} = \mathbf{0}$), and \otimes distributes over \oplus i.e., and for all $\alpha, \beta, \gamma \in A$, $\alpha \otimes (\beta \oplus \gamma) = (\alpha \otimes \beta) \oplus (\alpha \otimes \gamma)$.

Semiring valuations. Let V be a finite set of variables. For each $X \in V$ let $D(X)$ be the domain (i.e., the set of possible assignments) of X . For $U \subseteq V$ let the set of partial tuples $D(U) = \prod_{X \in U} D(X)$ be the set of possible assignments to set of variables U . A complete assignment is an element of $D(V)$. For $u \in D(U)$ and $W \subseteq U$, $u^{\downarrow W}$ is the projection of u onto variables W , so that for all $X \in W$, $u^{\downarrow W}(X) = u(X)$.

Let $\mathcal{A} = \langle A, \mathbf{0}, \mathbf{1}, \oplus, \otimes \rangle$ be a semiring. An \mathcal{A} -valuation c associates a semiring value with each of a particular set of partial tuples: c is a function from $D(V_c)$ to A , where set of variables $V_c \subseteq V$ is called the *scope* of c . If $u \in D(U)$ is an assignment to set of variables U containing V_c , we may write $c(u)$ as an abbreviation for $c(u^{\downarrow V_c})$. The semiring operations allow one to define a combination and a projection operation on semiring valuations. The combination $c \otimes c'$ of \mathcal{A} -valuations c and c' is defined to have scope $V_c \cup V_{c'}$, and by, $(c \otimes c')(u) = c(u) \otimes c'(u)$, i.e., $c(u^{\downarrow V_c}) \otimes c'(u^{\downarrow V_{c'}})$, for each assignment u of $V_c \cup V_{c'}$. \otimes is a commutative and associative operation on \mathcal{A} -valuations (on V). If C is a multiset of

\mathcal{A} -valuations, we may write $\bigotimes C$ to mean $\bigotimes_{c \in C} c$. Let c be an \mathcal{A} -valuation, and let U be a subset of V_c . The projection $c^{\downarrow U}$ of c onto U is defined by, for $u \in D(U)$, $c^{\downarrow U}(u)$ is equal to the semiring summation of $c(w)$ over all $w \in D(V_c)$ such that $w^{\downarrow U} = u$.

Many computational problems can be expressed as computing a projection of a combination $C = \bigotimes C$ of a multiset C of semiring valuations (and a straightforward approach to such a computation is exponential). In particular, computations in semiring-based CSPs [Bistarelli *et al.*, 1997; 1999; 2002] are of this form. This is a general formalism for soft constraints, which includes weighted CSPs, fuzzy CSPs, probabilistic CSPs, lexicographic CSPs and set-based CSPs. These use a special type of semiring, where \oplus is idempotent and $\mathbf{1}$ is an absorbing element of \oplus .

Finite CSPs can be expressed as a set C of \mathcal{A} -valuations, using $\mathcal{A} = \langle \{0, 1\}, 0, 1, \max, \times \rangle$, so that $\otimes = \times$ (i.e., min) and \oplus is max. A constraint on set of variables U is represented as a semiring valuation $c : D(U) \rightarrow \{0, 1\}$, where for assignment u of U , $c(u) = 1$ if and only if u satisfies the constraint. The CSP has a solution if and only if $(\bigotimes C)^{\downarrow \emptyset} = 1$.

To perform computations in Bayesian networks, we use the semiring $\mathcal{A} = \langle \mathbb{R}^+, 0, 1, +, \times \rangle$, where \mathbb{R}^+ is the set of non-negative real numbers. As is well known, computation in Bayesian networks [Pearl, 1988; Shenoy and Shafer, 1990] can be performed by computing projections of combinations of such \mathcal{A} -valuations, where each \mathcal{A} -valuation represents a conditional probability table of a variable given its parents in the Bayesian network. In particular, the marginal probability that variable X is assigned to x is given by $C^{\downarrow \{X\}}(x)$. This semiring can also be used to count the solutions in a CSP as $C^{\downarrow \emptyset}$, by restricting the input semiring values to being 0 or 1.

3 Constructing an SLDD Representing a Combined Semiring Valuation

We will show how to construct a structure,¹ called a *semiring-labelled decision diagram (SLDD)*, that represents, in a particular sense, the combination $C = \bigotimes_{c \in C} c$ of a multiset C of \mathcal{A} -valuations, where $\mathcal{A} = \langle A, \mathbf{0}, \mathbf{1}, \oplus, \otimes \rangle$ is a given semiring. The SLDD is a directed acyclic graph, where the edges are labelled with elements in A . As we'll show in Sections 4 and 5, this representation can then be used to perform certain important computations.

The construction of the SLDD is very similar to that of a search tree generated by chronological backtracking; the difference is that when we generate an edge we use a certain condition *forward neighbourhood interchangeability* (defined below, extending the definition of neighbourhood interchangeability in the introduction) to test if we can avoid creating a new node, but instead connect the edge to an already existing node.

Let $U \subseteq V$ be a set of variables. Define a valuation $c \in C$ to be *active* (with respect to U) if its scope intersects both U and $V - U$. For active valuation c , define, for $u \in D(U)$, \mathcal{A} -valuation c_u to be the 'slice' of c given by assigning U to

¹A more general definition of SLDDs is given in [Wilson, 2004], with a corresponding version of Theorem 1.

u ; that is, the scope V_{c_u} of c_u is $V_c - U$, and for all assignments of t to V_{c_u} , $c_u(t)$ is defined to be $c(ut)$ (where ut is the concatenation of tuples u and t). Assignments u and u' to set of variables U are said to be *forward neighbourhood interchangeable* (with respect to C) if for all active \mathcal{A} -valuations c , the slices c_u and $c_{u'}$ are equal. Roughly, what this implies is that the subproblems corresponding to u and u' are the same, so there is no need to solve them more than once.

A semiring-labelled decision diagram (over set of variables V) is defined to be an \mathcal{A} -decision diagram (over V) for some semiring $\mathcal{A} = \langle A, \mathbf{0}, \mathbf{1}, \oplus, \otimes \rangle$. An \mathcal{A} -decision diagram consists of a directed acyclic graph with a unique earliest (parent-less) node `source` and a unique latest (childless) node `sink`. Each edge λ is labelled with a value $\alpha(\lambda)$ in the semiring (defined below). A complete path is defined to be a maximal (directed) path, that is, a path from `source` to `sink`.

Nodes and edges are both labelled with various pieces of information, as described below. Associated with each node r (other than `sink`) is a variable X_r , which is the variable that is about to be instantiated. Also U_r is the set of variables associated with nodes on any (directed) path from `source` to r .

We start off by creating the node `source`, and define $U_{\text{source}} = \emptyset$, and u_{source} to be the trivial assignment to the empty set of variables. We also choose some variable $Y \in V$, and set $X_{\text{source}} = Y$. The construction process works by choosing a node already constructed, and constructing the (directed) edges coming from the node, and the nodes at the end of the edges. At each point we choose a node $r \neq \text{sink}$ which has currently no children (i.e., no edges emanating from it). If there is no such node, the construction is complete.

For each assignment x to X_r we create a (directed) edge λ from r with associated assignment $X_r = x$, (and define $X_\lambda = X_r$) and set of variables $U_\lambda = U_r \cup \{X_r\}$, and assignment u_λ to U_λ which is u_r extended with assigning x to X_r . The semiring value $\alpha(\lambda)$ is defined to be $\bigotimes_c c(u_\lambda)$ where the semiring product is over all $c \in C$ which are ‘just instantiated’, i.e., such that $X_\lambda \in V_c \subseteq U_\lambda$.

If $U_\lambda = V$, so that all the variables have been instantiated, we connect the end of λ to `sink`. If $\alpha(\lambda) = \mathbf{0}$ we also set the end of λ to be `sink`. If $\alpha(\lambda) \neq \mathbf{0}$, and we can find previously created node r' such that u_λ and $u_{r'}$ are forward neighbourhood interchangeable (this requires also $U_\lambda = U_{r'}$), we set the end of λ to be r' . We call this ‘merging’, since it corresponds to merging nodes in a decision tree. Otherwise we create a new node r' , set $u_{r'} = u_\lambda$, $U_{r'} = U_\lambda$ and choose some $X_{r'} \in V - U_{r'}$. To aid future ‘merging’, we also store with the node r' the slices $c_{u_{r'}}$, for active valuations c .

Following a (directed) path thus corresponds to an assignment to a set of variables; also a complete assignment $v \in D(V)$ determines a *complete path* (i.e., a (directed) path from `source` to `sink`) π_v , by following, from each node r , the edge associated with value $v(X_r)$.

The semiring value $\alpha(\pi)$ associated with a path is the semiring product of the semiring values associated with each edge in the path, i.e., $\bigotimes_{\lambda \in \pi} \alpha(\lambda)$. This might be thought of as the cost of following path π . For \mathcal{A} -decision diagram \mathcal{S}

define the \mathcal{A} -valuation $c_{\mathcal{S}}$ by, for $v \in D(V)$, $c_{\mathcal{S}}(v) = \alpha(\pi_v)$. The SLDD is said to *represent \mathcal{A} -valuation $c_{\mathcal{S}}$* .

Theorem 1 *Let \mathcal{A} be a semiring and let C be a multiset C of \mathcal{A} -valuations over variables V . Let \mathcal{S} be an \mathcal{A} -decision diagram \mathcal{S} constructed as above. Then \mathcal{S} represents $\mathbf{C} = \bigotimes C$ and $\mathbf{C}^{\downarrow \emptyset} = \sum_{\pi} \alpha(\pi)$, where the sum is over all complete paths in \mathcal{S} .*

In the next section it is shown how to compute such sums efficiently.

Note that ‘dynamic variable ordering’ can be used, i.e., the order of variables can differ between paths. We can also adapt constraint programming techniques to improve the efficiency. We can use propagation e.g., maintaining arc consistency for the zeros [Wilson, 2004]. Furthermore, the SLDD can, like a search tree, be generated in a depth-first fashion: this is natural when we’re attempting to solve a CSP; the construction can be terminated when a solution is found.

To facilitate merging, for partial tuple u we can represent the collection of slices $\{c_u : c \text{ active}\}$ as a string $\sigma(u)$ of elements in the semiring. Then, for $u, u' \in D(U)$, tuples u and u' are forward neighbourhood interchangeable if and only if $\sigma(u) = \sigma(u')$. For set of variables U , we can incrementally construct a *trie* (a tree of strings) storing each $\sigma(u_r)$ for all nodes r with $u_r \in D(U)$; this enables efficient generation of each edge of the SLDD. For valuations represented extensionally, define $\text{size}(C)$ to be $\sum_{c \in C} \text{size}(c)$, where $\text{size}(c)$ is the cardinality of the scope of c multiplied by the number of non-zero tuples in c . An upper bound for the time needed to construct the SLDD is then proportional to $\text{size}(C) \times \text{size}(\mathcal{S})$, where $\text{size}(\mathcal{S})$ is the number of edges of the SLDD. In particular, if the size of the SLDD is polynomial in n , for some parametrised family of problems depending on the number of variables n , then constructing the SLDD is polynomial.

When is the SLDD compact?

The crucial factor in the determining the efficiency of our approach is the size of the SLDD; if the SLDD is compact, then it can be efficiently constructed and the operations are efficient. The SLDD will tend to be compact (compared to the associated search tree) in situations where many different partial instantiations lead to equivalent subproblems (and so a standard search-based technique would solve the same subproblem many times). There are many kinds of situations where the problem structure causes this to happen.²

We give a class of examples to illustrate that the constructed semiring-labelled decision diagram can be compact, even if it represents an exponential number of non-zero complete assignments (e.g., solutions of a CSP). In these cases the size of the SLDD is linear in the number of variables, whereas the size of the corresponding search tree is exponential.

Example. Let $V = \{X_1, \dots, X_n\}$, where the size of each domain is at most d . Let C be a multiset of \mathcal{A} -valuations, for some semiring \mathcal{A} , such that the scope of each valuation

²Even for random (binary) CSPs, it has been demonstrated experimentally that the size of the SLDD representation can be several orders of magnitude smaller than the size of the corresponding search tree (this happens when the problems are sparse and loose).

involves variables which are at most p apart (where p is constant and fairly small): for all $c \in C$, $\max \{i : X_i \in V_c\} - \min \{i : X_i \in V_c\} \leq p$. This might arise, for example, in a problem where there is a temporal component, with the index i related to time. We can generate an \mathcal{A} -decision diagram using the fixed variable ordering X_1, \dots, X_n (i.e., the variables appear in that order in all paths). Let j be such that $p \leq j < n$, and consider any assignments u and u' to set of variables $U = \{X_1, \dots, X_j\}$. If u and u' agree on their last p variables, i.e., $u(X_i) = u'(X_i)$ for all i such that $j - p < i \leq j$, then they are forward neighbourhood interchangeable, since for any active \mathcal{A} -valuation c , u and u' agree on the scope of c , so $c_u = c_{u'}$. This implies that the construction of the SLDD will produce at most d^p nodes at ‘level’ j , and an upper bound for the size of the SLDD is hence nd^{p+1} ; this is linear in n , since d and p are constants. For the CSP case, the number of solutions will often be exponential in n , and hence exponential in the size of the SLDD. Many operations, such as counting the number of solutions, or finding an optimal solution for more general semirings (see Section 5) can be achieved in time linear in n , even though an exponential number of assignments are being reasoned about.

A similar argument leads to an upper bound on the size of the SLDD of the same form, but in terms of *pathwidth* [Bodlaender, 1993], for *arbitrary multisets of semiring valuations*. (A fixed variable ordering used to generate an SLDD gives rise to a corresponding path decomposition.)

Other examples. Certain problems involving permutations can also lead to relatively compact SLDDs; for example, counting the number of linear orders which extend a given partial order [van Dongen, 2004]. The structure of the problem ensures that the SLDD decomposes the problem (similarly to a dynamic programming approach), reducing a factorial problem to an exponential one—which can be a huge reduction in time complexity, but at the cost of large space requirements. Similar remarks apply to solving a *rehearsal problem* (CSPLib <http://www.csplib.org/> problem number 39). Compact structures with a form similar to an SLDD can also be used for enforcing generalised arc consistency for many forms of global constraints e.g., [Pesant, 2004].

4 Propagation of Semiring Values in SLDD

The purpose of this section is to show how to efficiently perform various technical computations in an SLDD, in particular, the sum of the semiring values over (i) all complete paths, (ii) all complete paths which pass through a particular node, (iii) all complete paths which pass through a particular edge. The algorithms are immediate generalisations of a classic shortest path algorithm (and the application to the weighted CSP semiring $\langle \mathbb{N} \cup \{0, \infty\}, \infty, 0, \min, + \rangle$ reduces to this shortest path algorithm).

Suppose we have an \mathcal{A} -decision diagram, with semiring $\mathcal{A} = \langle A, \mathbf{0}, \mathbf{1}, \oplus, \otimes \rangle$. We associate with each node r two semiring values $f(r)$ and $g(r)$, which are defined inductively. Define $f(\text{source}) = \mathbf{1}$, and, working forwards from

source, for each $r \neq \text{source}$, define

$$f(r) = \bigoplus_{r'' \rightarrow r} (f(r'') \otimes \alpha(r'' \rightarrow r)).$$

where the semiring summation is over all edges r'' which point to r . Because the SLDD is a directed acyclic graph with *source* being the unique parent-less node, this defines $f(r)$ for each r unambiguously. Symmetrically, define $g(\text{sink}) = \mathbf{1}$, and, working backwards from *sink*, define, for $r \neq \text{sink}$, $g(r) = \bigoplus_{r \rightarrow r'} (\alpha(r \rightarrow r') \otimes g(r'))$, where the semiring summation is over all edges $r \rightarrow r'$ emanating from r . Furthermore, for each node r and edge $r \rightarrow r'$, define $h(r) = f(r) \otimes g(r)$, and $h(r \rightarrow r') = f(r) \otimes \alpha(r \rightarrow r') \otimes g(r')$.

Proposition 1 *Let r be a node and let λ be an edge in the SLDD. If $r \neq \text{source}$ then $f(r) = \bigoplus_{\pi} \alpha(\pi)$ where the semiring summation is over all paths π from *source* to r . In particular, $f(\text{sink})$ is the semiring sum of $\alpha(\pi)$ over all paths from *source* to *sink*. Similarly, if $r \neq \text{sink}$, $g(r) = \bigoplus_{\pi} \alpha(\pi)$, where the semiring summation is over all paths from r to *sink*. Also, if $r \notin \{\text{source}, \text{sink}\}$, $h(r) = \bigoplus_{\pi} \alpha(\pi)$ where the semiring sum of $\alpha(\pi)$ is over all complete paths passing through node r . Furthermore, $h(\lambda)$ is equal to the semiring sum of $\alpha(\pi)$ over all complete paths which include the edge λ .*

This proposition generalises proposition 8 of [Amilhastre *et al.*, 2002], and the definitions of f and g are generalised forms of functions in definition 10 of [Amilhastre *et al.*, 2002].

Note that the number of semiring operations needed to compute functions f , g and h is *linear in the size of the SLDD*.

5 Computations Using an SLDD

In this section we show how the SLDD representation can be used to perform important computations: projections and finding optimal solutions. In [Wilson, 2004] we also showed how the SLDD can be used for randomly picking a solution of a CSP with uniform probability (by using an algorithm with a very similar structure to that described below for finding an optimal solution). It is assumed that we have an SLDD representation of a function $\mathbf{C} : D(V) \rightarrow A$, based on a semiring $\mathcal{A} = \langle A, \mathbf{0}, \mathbf{1}, \oplus, \otimes \rangle$; we also assume that we have computed the associated functions f , g and h , as described in the last section.

5.1 Performing Projections

As mentioned above, computing the projection of the combination of a multiset of semiring valuations is important for many different applications. It gives what is called in [Bistarelli *et al.*, 1997] the ‘solution’ of a semiring-based constraint problem, and can be used for generating implied soft constraints in an idempotent semiring-based CSP [Bistarelli *et al.*, 2002]. Projecting to the empty set of variables can be used, for example, for computing the weight of the best solution in a weighted CSP, or for counting solutions of a CSP. Projection to a singleton set determines the possible values

of a variable for CSPs, and analogously for other semiring-based CSPs; for the Bayesian network semiring it computes a marginal of the Bayesian network.

The lemma below shows how the projection of \mathbf{C} onto the empty set and to singleton sets can be computed efficiently. It follows easily from proposition 1.

Lemma 1 *Suppose an \mathcal{A} -decision diagram on set of variables V (with associated functions f , g and h) represents \mathcal{A} -valuation \mathbf{C} . Let $X \in V$ be a variable, and $x \in D(X)$ be a value of X . Then (i) $\mathbf{C}^{\downarrow\emptyset} = f(\text{sink}) = g(\text{source})$; and (ii) $\mathbf{C}^{\downarrow\{X\}}(x) = \bigoplus_{\lambda \in \Lambda_x} h(\lambda)$, where Λ_x is the set of edges associated with assignment $X = x$.*

So to compute $\mathbf{C}^{\downarrow\{X\}}(x)$ requires $|\Lambda_x| - 1$ semiring summations. To compute the projections onto every single variable, i.e., computing $\mathbf{C}^{\downarrow\{X\}}(x)$ for all variables X and all its values x , requires a semiring summation for each edge in the SLDD, so can be computed in time linear in the size of the SLDD.

Adding unary semiring valuations. Suppose we receive a new set of semiring valuations, all of which are unary (i.e., the scope of each is a single variable) which we want to combine with the previous combination \mathbf{C} . It can be seen that the same graphical structure can be used, but where we just change the semiring values on the edges. This can be used for computing more general projections; it also has applications in in dynamic soft CSPs [Amilhastre *et al.*, 2002], and for conditioning, and hence inference, in a Bayesian network, and computing most probable explanations [Pearl, 1988].

5.2 Finding optimal solutions

In this subsection we only consider semirings $\mathcal{A} = \langle A, \mathbf{0}, \mathbf{1}, \oplus, \otimes \rangle$ with a special property: that for all $\alpha, \beta \in A$, either $\alpha \oplus \beta = \alpha$ or $\alpha \oplus \beta = \beta$. Semirings \mathcal{A} satisfying this property are said to satisfy the *addition-is-max* property. We can then define a total order \geq on A given by $\alpha \geq \beta$ if and only if $\alpha \oplus \beta = \alpha$. Any valuation structure, as defined in definition 11 of [Bistarelli *et al.*, 1999], gives rise to a semiring satisfying addition-is-max, by using the order relation to define \oplus (note that we are writing the order the opposite way round). Hence the approach in this section applies to any valued CSPs [Bistarelli *et al.*, 1999], including weighted CSPs, fuzzy CSPs and lexicographic CSPs, as valued CSPs can be represented as multisets of \mathcal{A} -valuations where \mathcal{A} satisfies addition-is-max.

Complete assignment $v \in D(V)$ is defined to be optimal if $\mathbf{C}(v) \geq \mathbf{C}(v')$ for all $v' \in D(V)$. This happens if and only if $\mathbf{C}(v) = \mathbf{C}^{\downarrow\emptyset}$. To generate any optimal complete assignment $v \in D(V)$ is very fast: linear in n , the number of variables: we start with node `source` as the current node, and iteratively pick a child of the current node, satisfying the following condition, until we reach `sink`: we pick any child r' of current node r with maximum value of $\alpha(\pi) \otimes \alpha(r \rightarrow r') \otimes g(r')$ (among children of r), where π is the path chosen so far, from `source` to r . The associated complete assignment will be optimal, and conversely, any optimal complete assignment can be generated in this way.

If we are only interested in optimal solutions, we could eliminate each edge λ with $h(\lambda) < \mathbf{C}^{\downarrow\emptyset}$ (by connecting such

λ to `sink` and resetting $\alpha(\lambda)$ to $\mathbf{0}$), since such an edge is not part of any optimal complete path. For certain addition-is-max semirings (in particular, a fuzzy semiring with \otimes equalling \min , or alternatively, if \otimes is strictly monotonic), the resulting network has the property that *every* complete path is optimal (ignoring edges λ with $\alpha(\lambda) = \mathbf{0}$). This then gives considerable flexibility in generating optimal solutions in an interactive setting, such as guiding a user in solving a configuration problem.

6 Discussion

As well as the representation of [Amilhastre *et al.*, 2002], which they directly generalise (see Section 1), SLDDs are related to several other computational approaches, including search-based approaches for solving CSPs, and join tree/hypertree-based decomposition methods, and AND/OR search graphs. We very briefly discuss these relationships below.

Our construction of the SLDD in Section 3 is different from the way that the representation in [Amilhastre *et al.*, 2002] is generated or similar representations such as Binary Decision Diagrams [Bryant, 1986] and that of [Vempaty, 1992]. The latter involve building up the representation by adding the constraints incrementally, giving representations of subsets of the constraints. SLDDs could also be generated in a similar way; however, the construction in Section 3 takes all the constraints (semiring valuations) into account at once. This can sometimes be advantageous; in particular, when searching for a single solution of a CSP, the SLDD need have time complexity at most polynomially worse than a standard CSP search approach (such as chronological backtracking whilst maintaining arc consistency), whereas the experimental results of [Pan and Vardi, 2004] seem to suggest that a BDD approach can be exponentially worse than a search approach.

SLDDs often have large storage requirements, but they can involve very much fewer nodes (and need never involve more nodes) than a search tree approach. As illustrated by the example in Section 3 (and by the application in [Amilhastre *et al.*, 2002]), certain problems with a very large number of solutions (i.e., non-zero complete assignments) can have an SLDD of manageable size, leading to efficient computation; a search-based method will often then not be feasible if one wants to compile the set of solutions, or count the number of solutions.

Perhaps the most-studied general approach for this kind of problem is the join tree (or hypertree decomposition) approach, e.g., the general framework of Shenoy and Shafer [Shenoy and Shafer, 1990] Bucket Elimination [Dechter, 1999], and non-serial dynamic programming [Bertele and Brioschi, 1972]. Whether a join tree approach or a decision diagram approach is more efficient depends very much on the form of the problem; a join tree approach may be more efficient if there is appropriate topological structure, but little structure which is more value-specific; a decision diagram approach looks liable to be more efficient if there is a good deal of value-specific structure (e.g., of the form of ‘context-specific independence’ [Boutilier *et al.*, 1996], defined in a Bayesian network context), leading to a compact decision di-

agram. See also discussion in [Wilson, 2004].

An SLDD is similar in form to an OR search graph, a special case of AND/OR search graphs in [Dechter and Mateescu, 2004]; the latter are also closely related to the d-DNNF formalism—see e.g., [Darwiche, 2002], and Case-Factor Diagrams [McAllester *et al.*, 2004]. SLDDs can be extended to also include AND nodes; these can be used when the sliced constraints associated with a node can be partitioned into (e.g., two) sets with non-overlapping scopes; there is no longer a unique final node `sink`, and the computation generalises that of function g , starting from the sink nodes and working backwards, with semiring product \otimes being used to combine the semiring values of the branches of an AND node. This sometimes leads to a still more compact representation, but with reduced functionality (as not all the techniques in Section 5 extend).

Summary

This paper introduces and develops semiring-labelled decision diagrams (SLDDs); this computational tool, combining a form of dynamic programming with a constraint programming approach, can be used to solve a variety of important computational problems for (soft or ordinary) constraints and uncertainty. For problem instances with appropriate structure, the SLDD will be compact, and hence be an efficient approach, for example, for solving constraints problems, optimisation, and knowledge compilation.

Acknowledgements

I am grateful for valuable comments from the reviewers (in particular for the pathwidth bound) and Barry O’Sullivan, Stefano Bistarelli, Greg Provan, Marc van Dongen, Gene Freuder, Barbara Smith, Peter Stuckey, Peter MacHale and, especially, Alex Ferguson. This material is based upon works supported by the Science Foundation Ireland under Grant No. 00/PI.1/C075.

References

- [Amilhastre *et al.*, 2002] J. Amilhastre, H. Fargier, and P. Marquis. Consistency restoration and explanations in dynamic CSPs—Application to configuration. *Artificial Intelligence*, 135, 2002.
- [Bellman, 1957] R. Bellman. *Dynamic Programming*. Princeton University Press, 1957.
- [Bertele and Brioschi, 1972] U. Bertele and F. Brioschi. *Nonserial Dynamic Programming*. Academic Press, 1972.
- [Bistarelli *et al.*, 1997] S. Bistarelli, U. Montanari, and F. Rossi. Semiring-based Constraint Solving and Optimization. *Journal of the ACM (JACM)*, 44(2):201–236, 1997.
- [Bistarelli *et al.*, 1999] S. Bistarelli, U. Montanari, F. Rossi, T. Schiex, G. Verfaillie, and H. Fargier. Semiring-based CSPs and Valued CSPs: Frameworks, properties and comparison. *Constraints*, 4(3), 1999.
- [Bistarelli *et al.*, 2002] S. Bistarelli, U. Montanari, and F. Rossi. Soft concurrent constraint programming. In *Proc. 11th European Symposium on Programming (ESOP)*, Lecture Notes in Computer Science (LNCS), pages 53–67. Springer, 2002.
- [Bodlaender, 1993] Hans L. Bodlaender. A tourist guide through treewidth. *Acta Cybernetica*, 11:1–21, 1993.
- [Boutilier *et al.*, 1996] C. Boutilier, N. Friedman, M. Goldszmidt, and D. Koller. Context-specific independence in Bayesian networks. In *Proc. UAI96*, pages 115–123, 1996.
- [Bryant, 1986] R. E. Bryant. Graph-based algorithms for Boolean function manipulation. *IEEE Transactions on Computers*, 35(8):677–691, 1986.
- [Bryant, 1995] R. E. Bryant. Binary Decision Diagrams and beyond: enabling technologies for formal verification. In *Proceedings of the 1995 IEEE/ACM international conference on Computer-aided design*, pages 236–243, 1995.
- [Darwiche, 2002] A. Darwiche. A logical approach to factoring Belief Networks. In *Proc. KR2002*, pages 409–420, 2002.
- [Dechter and Mateescu, 2004] R. Dechter and R. Mateescu. Mixtures of deterministic-probabilistic networks and their and/or search space. In *Proceedings of UAI04*, 2004.
- [Dechter, 1999] R. Dechter. Bucket elimination: A unifying framework for reasoning. *Artificial Intelligence*, 113, 1999.
- [Freuder, 1991] E. C. Freuder. Eliminating interchangeable values in constraint satisfaction problems. In *Proc. AAAI-91*, pages 227–233, 1991.
- [McAllester *et al.*, 2004] D. McAllester, M. Collins, and F. Pereira. Case-factor diagrams for structured probabilistic modeling. In *Proceedings of UAI04*, 2004.
- [Pan and Vardi, 2004] G. Pan and M. Vardi. Search vs. symbolic techniques in satisfiability solving. In *Proc. SAT 2004*, 2004.
- [Pearl, 1988] J. Pearl. *Probabilistic Reasoning in Intelligent Systems: Networks of Plausible Inference*. Morgan Kaufmann Publishers Inc., 1988.
- [Pesant, 2004] G. Pesant. A regular language membership constraint for finite sequence of variables. In *Principles and Practice of Constraint Programming - CP 2004*, pages 482–495, 2004.
- [Shenoy and Shafer, 1990] P. P. Shenoy and G. Shafer. Axioms for probability and belief function propagation. In *Uncertainty in Artificial Intelligence 4*, pages 575–610, 1990.
- [van Dongen, 2004] M. van Dongen. Computing the frequency of partial orders. In *Principles and Practice of Constraint Programming - CP 2004*, pages 772–776, 2004.
- [Vempaty, 1992] N. R. Vempaty. Solving constraint satisfaction problems using finite state automata. In *Proc. AAAI-92*, pages 453–458, 1992.
- [Wilson, 2004] N. Wilson. Decision diagrams for the computation of semiring valuations. In *Proc. 6th International Workshop on Preferences and Soft Constraints*, 2004.