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# GABA<sub>B</sub> receptors, anxiety and mood disorders

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1 **Abstract**

2 Gamma-Aminobutyric acid (GABA), the main inhibitory neurotransmitter in the brain, acts at  
3 the ionotropic GABA<sub>A</sub> and GABA<sub>C</sub> receptors, and the metabotropic GABA<sub>B</sub> receptor. This  
4 chapter summarizes the studies that have investigated the role of the GABA<sub>B</sub> receptor in stress-  
5 related psychiatric disorders including anxiety and mood disorders. Overall, clinical and  
6 preclinical evidence strongly suggests that the GABA<sub>B</sub> receptor is a therapeutic candidate for  
7 depression and anxiety disorders. However, the clinical development of GABA<sub>B</sub> receptor-based  
8 drugs to treat these disorders has been hampered by their potential side-effects, particularly  
9 those of agonists. Nevertheless, the discovery of novel GABA<sub>B</sub> receptor allosteric modulators,  
10 and increasing understanding of the influence of specific intracellular GABA<sub>B</sub> receptor-  
11 associated proteins on GABA<sub>B</sub> receptor activity, may now pave the way towards GABA<sub>B</sub>  
12 receptor therapeutics in the treatment of mood and anxiety disorders.

13

14 **Keywords:** GABA<sub>B</sub> receptor, anxiety, depression, stress, mood, stress resilience, hippocampal  
15 neurogenesis

16

## 1 **1. Introduction**

2 The inhibitory action of GABA is mediated by the ionotropic GABA<sub>A</sub> and GABA<sub>C</sub> receptors,  
3 and the metabotropic GABA<sub>B</sub> receptor. The GABA<sub>A</sub> receptor is bicuculline-sensitive and the  
4 subsequent opening of its transmembrane channel which is permeable to chloride mediates  
5 rapid neuronal inhibition in the adult brain. In 1979, Norman Bowery and colleagues published  
6 the discovery of a novel type of GABA receptor that was described as being “atypical” and  
7 insensitive to the GABA<sub>A</sub> receptor antagonist bicuculline (Bowery et al., 1979). Baclofen was  
8 identified to be a potent and selective agonist of this novel receptor, and in 1980 it was  
9 demonstrated that baclofen acting on this novel receptor decreased neurotransmitter release in  
10 the central nervous system (Bowery et al., 1980). This atypical receptor described by Bowery  
11 and colleagues would later be referred to as the GABA<sub>B</sub> receptor (Hill and Bowery, 1981). The  
12 GABA<sub>B</sub> receptor is a G-protein-coupled receptor that inhibits adenylate cyclase activity and  
13 mediates the slow and prolonged component of synaptic inhibition (Bowery et al., 2004).  
14 GABA<sub>B</sub> receptors are localized in most brain regions and GABA<sub>B(1)</sub> receptor mRNA is  
15 detectable in almost all neuronal cell populations and is highly expressed in the limbic system  
16 (Bettler et al., 2004, McDonald et al., 2004). The receptor consists of two subunits, GABA<sub>B(1)</sub>  
17 and GABA<sub>B(2)</sub>, which heterodimerise to form the functional GABA<sub>B</sub> receptor (Bettler et al.,  
18 2004). The GABA<sub>B(1)</sub> subunit contains the orthosteric ligand binding site, while the GABA<sub>B(2)</sub>  
19 subunit is responsible for G-protein activation and contains binding sites for positive allosteric  
20 modulators (Galvez et al., 2001, Bettler et al., 2004, Binet et al., 2004, Gassmann and Bettler,  
21 2012). Isoforms of the GABA<sub>B(1)</sub> receptor subunit have been identified (Lee et al., 2010) and  
22 the two main isoforms expressed in the brain are GABA<sub>B(1a)</sub> and GABA<sub>B(1b)</sub> which form  
23 GABA<sub>B(1a,2)</sub> and GABA<sub>B(1b,2)</sub> receptors, respectively (Lee et al., 2010). Structurally, GABA<sub>B(1)</sub>  
24 isoforms differ only by the presence of a sushi domain in the N-terminal ectodomain of the  
25 GABA<sub>B(1a)</sub> receptor subunit isoform (see **Figure 1**).

1 Since its discovery, there has been a long-standing interest in the therapeutic potential of the  
2 GABA<sub>B</sub> receptor. In this review, we will summarize studies assessing the role of the GABA<sub>B</sub>  
3 receptor in mood disorders, specifically in depression, and in anxiety disorders. Clinical and  
4 preclinical evidence supporting a role for GABA<sub>B</sub> receptors in the pathophysiology of  
5 depression and anxiety disorders will be summarized in addition to the preclinical evidence of  
6 the antidepressant and anxiolytic effects of pharmacological and genetic modulation of GABA<sub>B</sub>  
7 receptor activity. Unless otherwise stated, most of the preclinical studies discussed in this  
8 chapter have been conducted in male rodents. Since most of this evidence is from preclinical  
9 studies, readers outside this research field are advised to first read **Table 1**, which summarizes  
10 the behavioural tests used to assess depression-, antidepressant- and anxiety-like behavior in  
11 rodents (Cryan and Slattery, 2007), prior to reading the review.

12

## 13 **2. The Role of the GABA<sub>B</sub> receptor in the modulation of anxiety**

### 14 **2.1 Effects of GABA<sub>B</sub> receptor agonists and positive allosteric modulators on anxiety-** 15 **like behaviour**

16 Baclofen is the first described GABA<sub>B</sub> receptor agonist (Bowery et al., 1980). It was  
17 synthesized in 1962 by Heinrich Keberle in CIBA (Basel, Switzerland). Baclofen was  
18 formulated as an antiepileptic drug and marketed in 1972 as Lioresal. Currently, baclofen is  
19 indicated primarily to treat spasticity but it also has beneficial effects in treating pain, is used  
20 off-label in the treatment of alcohol use disorder, and has been shown to inhibit the re-enforcing  
21 effects of many other addictive drugs (Bowery et al., 2002). However, there is also much  
22 preclinical evidence suggesting that GABA<sub>B</sub> receptor agonists such as baclofen may be  
23 potential therapeutic approaches to treat anxiety disorders (Cryan et al., 2005, Cryan and  
24 Slattery, 2010, Felice et al., 2016) (summarized in **Table 2**).

1

2 Acute baclofen administration has been shown to reduce anxiety-like behavior in several rat and  
3 mouse models (Ketelaars et al., 1988, File et al., 1991, Nastiti et al., 1991, File et al., 1992,  
4 Shephard et al., 1992, Andrews and File, 1993, Amikishieva and Semendyaeva, 2007, Lu et al.,  
5 2016), although some conflicting findings have also been reported. For instance, while one study  
6 reported that baclofen was effective in the Vogel conflict test (Ketelaars et al., 1988), another  
7 study reported no such effect (Agmo et al., 1991). However, the latter study also reported that  
8 higher doses of baclofen induced motor deficits in rats which may have reduced the number of  
9 licks thus resulting in a potentially false negative finding in this test (Agmo et al., 1991).  
10 Similarly, Li and colleagues have reported that baclofen had sedative but not anxiolytic effects  
11 in rats in several behavioural tests (Li et al., 2015). Conflicting findings have also been reported  
12 in mice whereby baclofen was anxiolytic in some studies (Nastiti et al., 1991, Amikishieva and  
13 Semendyaeva, 2007) but not in others (Dalvi and Rodgers, 1996, Varani and Balerio, 2012, Li  
14 et al., 2013). In one such study, baclofen increased punished drinking in the Vogel conflict test  
15 which would be indicative of an anxiolytic effect, but the authors suggest that this finding may  
16 also be due to analgesic effects of baclofen (Li et al., 2013). Motor impairing and hypothermic  
17 effects are characteristic side effects of GABA<sub>B</sub> receptor agonists and this likely confounds the  
18 interpretation of anxiety-related behavioural tests that are dependent on motor activity (e.g.  
19 elevated plus maze, Vogel conflict test, etc.) or body temperature (e.g. stress-induced  
20 hyperthermia) (Cryan et al., 2004). In addition, the effects of baclofen on anxiety may depend  
21 upon the developmental stage of the brain. For example, we have found that chronic treatment  
22 with R-baclofen during early postnatal life (Postnatal day (PND) 14- PND 28) in mice induced  
23 anxiety-like behaviour in adulthood in the elevated plus maze (EPM) but not in the stress-  
24 induced hyperthermia (SIH) and marble burying (MB) tests (Sweeney et al., 2014). This  
25 suggests that during early life GABA<sub>B</sub> receptor signaling might play a functional role in

1 programming anxiety behaviour in adulthood (Sweeney et al., 2014), although this effect might  
2 also be test-specific.

3

4 Importantly, baclofen has several side effects including sedation or somnolence, hypothermia,  
5 vertigo and muscle relaxation (Agabio et al., 2013). Moreover, repeated administration of  
6 GABAB agonists such as baclofen can induce receptor tolerance/desensitization resulting in a  
7 reduced therapeutic response following chronic administration (Lehmann et al., 2003). Thus,  
8 there has been great interest in developing drugs that target the GABA<sub>B</sub> receptor but with a  
9 reduced side effect profile and that would not result in tolerance. As such, positive allosteric  
10 modulators (PAMs) offer several advantages over receptor agonists such as baclofen  
11 (Christopoulos, 2002): (1) PAMs target more diverse sites that are distinct from the highly  
12 evolutionary conserved orthosteric site thus potentially contributing to greater selectivity; (2)  
13 PAM binding leads to potentiation of GABA-mediated effects on the receptor rather than direct  
14 activation of the receptor; (3) saturation of allosteric binding sites does not induce  
15 downregulation or overstimulation of the target receptor; (4) PAMs are active only in tissues  
16 where the endogenous agonist is present giving a more specific drug activity. Essentially, PAMs  
17 of GABA<sub>B</sub> receptors offer the advantage of reduced risk for receptor desensitization/tolerance  
18 when compared with classical GABA<sub>B</sub> receptor agonists such as baclofen (Gjoni and Urwyler,  
19 2008, 2009).

20 The first GABA<sub>B</sub> receptor PAMs that were identified and characterized were CGP7930  
21 (Urwyler et al., 2001, Adams and Lawrence, 2007) and GS39783 (Urwyler et al., 2003), shortly  
22 followed by rac-BHFF (Malherbe et al., 2008), BHF177 (compound # 27 (Guery et al., 2007)),  
23 CMPPE (Perdona et al., 2011), COR627 and COR628 (Castelli et al., 2012).

1 Several preclinical studies have interrogated the effects of some of these GABA<sub>B</sub> receptor  
2 PAMs on anxiety-like behaviour (summarized in **Table 2**). Chronic and acute administration  
3 of GS39783 has been shown to induce anxiolytic-like effects with no effects on locomotion,  
4 cognition, temperature, or narcosis (Cryan et al., 2004, Mombereau et al., 2004). A recent study  
5 identified the brain structures that are modulated by GS39783 under either basal, or mild stress  
6 (anxiogenic) conditions which were induced by exposing mice to the open arm of an EPM  
7 (Pizzo et al., 2018). Under basal conditions, GS39783 increased c-Fos expression in the  
8 amygdala nuclei, cortical areas and periaqueductal gray (PAG) subregions, while it inhibited c-  
9 Fos expression in the dorsal raphe nucleus (DRN) (Pizzo et al., 2018). Under stress conditions  
10 (open arm exposure), GS39783 reversed stress-induced c-Fos expression in the granular cell  
11 layer of the dentate gyrus of the hippocampus, no longer increased c-Fos expression in the  
12 amygdala nor did it reduce c-Fos expression in the DRN (Pizzo et al., 2018). Together, this  
13 suggests that GS39783 modulation of anxiety may involve neural circuits involving the dentate  
14 gyrus of the hippocampus, the amygdala and the DRN.

15

16 CGP7930 has only modest anxiolytic-like effects in mice but a superior side-effect profile than  
17 GABA<sub>B</sub> receptor agonists (Frankowska et al., 2007, Jacobson and Cryan, 2008). Specifically,  
18 CGP7930 was effective in the elevated zero maze (EZM) in rats (Frankowska et al., 2007) and  
19 exhibited modest anxiolytic effects in the SIH, staircase test and EZM in mice (Jacobson and  
20 Cryan, 2008). However, CGP7930 had no anxiolytic effects in the EPM in mice (Jacobson and  
21 Cryan, 2008).

22

23 Both Rac-BHFF and BHF177 induce anxiolytic effects in some tests but not others.  
24 Specifically, Rac-BHFF and BHF177 induced anxiolytic like effects in the SIH test in mice and



1 rats, a test of the physiological anxiety response (Malherbe et al., 2008, Vinkers et al., 2010, Li  
2 et al., 2015). BHF177 induced anxiolytic-like effects on light-enhanced startle (LES; a test  
3 based on the innate aversion of rodents for bright light) in high-, but not low-LES responding  
4 rats in the staircase test (Li et al., 2015) but was inactive in the EPM and light dark box test in  
5 mice (Li et al., 2013). Importantly, BHF177, at doses over 40mg/kg caused hypothermia in  
6 contrast to other GABA<sub>B</sub> receptor PAMs including CGP7930 and Rac- BHFF (Vinkers et al.,  
7 2010) which may have confounded findings in SIH test. On the other hand, Rac- BHFF at the  
8 same dose that induced anxiolytic-like effects in the SIH (100 mg/kg) did not enhance baclofen-  
9 and  $\gamma$ -Hydroxybutyric acid (GHB)-induced hypothermia (Koek et al., 2010), suggesting that its  
10 effects in the SIH test are not confounded by effects of GABA<sub>B</sub> receptor modulation of body  
11 temperature. A novel GABA<sub>B</sub> receptor PAM ADX71441 has also been shown to be effective  
12 in the MB test in mice and in the EPM in mice and rats (Kalinichev et al., 2017). Recently,  
13 Rondard and colleagues (Lecat-Guillet et al., 2017) developed time-resolved fluorescence  
14 resonance energy transfer (trFRET) sensors which represent an innovative tool to screen and  
15 identify new GABA<sub>B</sub> receptors PAMs with lower side-effect profiles. Interestingly, trFRET  
16 revealed that GS39783 exhibits low intrinsic agonist activity (as expected by a PAM), whereas  
17 CGP7930 and rac-BHFF display agonist-PAMs characteristics (Lecat-Guillet et al., 2017). This  
18 finding is in agreement with behavioural studies outlined above demonstrating that GS39783-  
19 induced anxiolytic-like behavioural effects without affecting locomotion, cognition,  
20 temperature, or narcosis, and suggests that this drug may be a good target for clinical  
21 development. Effects of PAMs on conditioned anxiety have also been examined. BHF177 did  
22 not affect conditioned fear responses in the fear-potentiated startle (FPS) test in rats (Li et al.,  
23 2015) and was ineffective in the Vogel conflict test (Li et al., 2013). Similarly, treatment with  
24 GS39783 did not affect conditioned fear responses in mice (Sweeney et al., 2013).

25

1 Taken together, preclinical evidence suggests that activation of the GABA<sub>B</sub> receptor may  
2 induce anxiolytic-like effects particularly in tests of innate anxiety whereby PAMs decrease  
3 innate anxiety in some tests but not others, and thus perhaps do so in a test-specific manner.  
4 Importantly, these findings may also be confounded by motor impairing and hypothermic  
5 effects.

6

## 7 **2.2 The effects of GABA<sub>B</sub> receptor loss of function and GABA<sub>B</sub> receptor antagonists on** 8 **anxiety-like behaviour**

9 Given the evidence that agonists and PAMs of the GABA<sub>B</sub> receptor can exert anxiolytic effects,  
10 several studies have also interrogated the impact of genetically induced GABA<sub>B</sub> receptor loss  
11 of function and GABA<sub>B</sub> receptor antagonists on anxiety-like behaviour (summarized in **Table**  
12 **3**).

13 Mice lacking either the GABA<sub>B(1)</sub> or GABA<sub>B(2)</sub> receptor subunits exhibit an anxious phenotype.  
14 Specifically, GABA<sub>B(1)</sub><sup>-/-</sup> mice, were more anxious in the light dark box (LDB) test and the  
15 staircase test (Mombereau et al., 2004). In addition, these mice exhibited anxiety/panic-like  
16 behavior in the EZM actively jumping off the maze (Mombereau et al., 2004). Similarly, mice  
17 lacking the GABA<sub>B(2)</sub> receptor subunit also exhibit anxiety-like behaviour in the LDB  
18 (Mombereau et al., 2005). Anxiety behaviour has also been assessed in mice lacking specific  
19 isoforms of the GABA<sub>B(1)</sub> receptor subunit. GABA<sub>B(1a)</sub><sup>-/-</sup> and GABA<sub>B(1b)</sub><sup>-/-</sup> mice did not exhibit  
20 altered behaviour in innate tests of anxiety including in the EPM, SIH and MB tests (Jacobson  
21 et al., 2007, O'Leary et al., 2014). Similarly, GABA<sub>B(1a)</sub><sup>-/-</sup> and GABA<sub>B(1b)</sub><sup>-/-</sup> mice that underwent  
22 early life stress (via maternal separation) or chronic stress in adulthood (via social defeat stress)  
23 did not exhibit differences in innate anxiety behaviour when compared to wild type mice  
24 (O'Leary et al., 2014). On the other hand, GABA<sub>B(1a)</sub><sup>-/-</sup> mice were unable to acquire conditioned

1 taste aversion (CTA), whereas  $GABA_{B(1b)}^{-/-}$  mice were unable to extinguish aversive taste  
2 memories in this test (Jacobson et al., 2006). Taken together this suggests that loss of function  
3 of either the  $GABA_{B(1)}$  or  $GABA_{B(2)}$  receptor subunit increases innate anxiety while loss of  
4 function of just one  $GABA_{B(1)}$  receptor subunit isoform is not sufficient to affect innate  
5 anxiety-like behaviour. However; changes in locomotor activity can be a confounding factor of  
6 the behavioural tests, for instance  $GABA_{B(1)}^{-/-}$  and  $GABA_{B(1b)}^{-/-}$  (but not  $GABA_{B(1a)}^{-/-}$ ) mice  
7 display hyperlocomotor activity in a new environment (Mombereau et al., 2004, O'Leary et al.,  
8 2014).

9

10 In contrast to the findings in genetically altered mice, the effects of  $GABA_B$  receptor  
11 antagonists on anxiety behaviour are less clear (**Table 3**). Overall however, the findings suggest  
12 that  $GABA_B$  receptor antagonists can induce anxiolytic-like effects in rats (Zarrindast et al.,  
13 2001, Frankowska et al., 2007, Partyka et al., 2007) but less so in mice (Dalvi and Rodgers,  
14 1996, Mombereau et al., 2004, Sweeney et al., 2014). When given systemically to rats,  $GABA_B$   
15 receptor antagonists were effective in the EPM, EZM, Vogel conflict test and four-plate test  
16 (Zarrindast et al., 2001, Frankowska et al., 2007, Partyka et al., 2007) but were ineffective when  
17 locally administered into the basolateral amygdala or the shell of the nucleus accumbens  
18 (Sanders and Shekhar, 1995, Lopes et al., 2012). In mice, chronic treatment with the  $GABA_B$   
19 receptor antagonist CGP56433A had no effect in the LDB test (Mombereau et al., 2004).  
20 Similarly, acute treatment with the  $GABA_B$  receptor antagonist CGP 52432 did not have  
21 anxiolytic effects in the EPM, MB and SIH tests (Dalvi and Rodgers, 1996, Sweeney et al.,  
22 2014) or in cued auditory fear conditioning (Sweeney et al., 2013). However, the  $GABA_B$   
23 receptor antagonist CGP36742 induced anxiolytic-like effects in the four-plate test in mice  
24 (Partyka et al., 2007) and the  $GABA_B$  receptor antagonist 2OH-Saclofen reversed the effects of  
25 nicotine treatment on anxiety-like behaviours in mice (Varani and Balerio, 2012). In addition,

1 the GABA<sub>B</sub> receptor antagonist CGP 36216 when administered intracerebroventricularly (ICV)  
2 or in the dorsal hippocampus, or ventral hippocampus induced fear generalization in mice  
3 treated after fear memory consolidation (Lynch et al., 2017). Importantly, the clinical use of  
4 GABA<sub>B</sub> receptor antagonists has been limited mainly by their potential side effects including  
5 pain, gastroesophageal reflux disease, drug addiction and proconvulsive action (Vergnes et al.,  
6 1997, Ghose et al., 2011).

7

8 In summary, GABA<sub>B</sub> receptor agonists and PAMs exert anxiolytic-like effects while loss of  
9 function of the GABA<sub>B</sub> receptor (GABA<sub>B(1)</sub><sup>-/-</sup> and GABA<sub>B(2)</sub><sup>-/-</sup> mice) induced anxiogenic-like  
10 effects. However, loss of function of either the GABA<sub>B(1a)</sub> or GABA<sub>B(1b)</sub> receptor subunit  
11 isoform alone did not affect anxiety-like behaviour, likely because these mice still express  
12 functional GABA<sub>B</sub> receptors (GABA<sub>B(1b, 2)</sub> or GABA<sub>B(1a,2)</sub>, respectively). The impact of  
13 GABA<sub>B</sub> receptor antagonists on anxiety are at present somewhat less clear but sometimes  
14 similarly to agonists/PAMs appear to be anxiolytic. The precise mechanisms underlying the  
15 anxiolytic effects of both GABA<sub>B</sub> receptor antagonists, and agonists/PAMS which would be  
16 expected to have opposing pharmacological effects is not yet fully understood but may be a  
17 function of the fact that GABA<sub>B</sub> receptors are found both pre-synaptically and post-synaptically  
18 and that drugs might differ in their efficacy at these different receptor sites and at different  
19 subunits of the receptor (Cryan and Kaupmann, 2005, Sun et al., 2016, Freyd et al., 2017) .  
20 Nevertheless, the evidence overwhelmingly supports the GABA<sub>B</sub> receptor as a valid drug  
21 development target for the treatment of anxiety disorders.

22

23 **3. The role of the GABA<sub>B</sub> receptor in depression and antidepressant action**

1 One of the first indications that the GABA<sub>B</sub> receptor may play a role in depression came from  
2 preclinical studies reporting that chronic treatment with antidepressant drugs or repeated  
3 electroconvulsive shock upregulated GABA<sub>B</sub> receptor binding and function in the mouse and  
4 rat frontal cortex (Pilc and Lloyd, 1984, Lloyd et al., 1985, Suzdak and Gianutsos, 1986, Gray  
5 and Green, 1987, Szekely et al., 1987, Pratt and Bowery, 1993). More recently, it has been  
6 reported that chronic treatment with antidepressants (fluoxetine, phenelzine, desipramine and  
7 tranylcypromine) increased the expression of the GABA<sub>B(1a)</sub> receptor subunit isoform in the  
8 rat hippocampus (Sands et al., 2004). As outlined below, it has since been shown that  
9 pharmacological or genetic blockade of GABA<sub>B</sub> receptor activity exerts antidepressant-like  
10 effects. While these effects of GABA<sub>B</sub>-receptor antagonist induction of antidepressant-like  
11 behaviour seem to be opposing to antidepressant-induced upregulation of the GABA<sub>B</sub> receptor  
12 they might be due to drug selective effects on either or both presynaptic and postsynaptic  
13 GABA<sub>B</sub> receptors (Cryan and Kaupmann, 2005, Sun et al., 2016, Freyd et al., 2017)

14

### 15 **3.1 The effects of GABA<sub>B</sub> receptor agonists on depression-like behaviour**

16 The effects of GABA<sub>B</sub> receptor agonists on depression-related behaviours in rodents are  
17 summarized in **Table 4**. Several studies have reported that baclofen induced antidepressant-  
18 like behaviour in the forced swimming test (FST) in both mice and rats (Aley and Kulkarni,  
19 1989, 1990, Car and Wisniewska, 2006, Frankowska et al., 2007, Khan et al., 2016). In  
20 agreement, it has also been reported that acute treatment with the GABA<sub>B</sub> receptor agonist SKF  
21 97541, or the GABA<sub>B</sub> receptor PAM, CGP 7930, induced antidepressant-like effects in the rat  
22 FST (Frankowska et al., 2007). However, negative findings have also been reported. Indeed,  
23 the GABA<sub>B</sub> receptor agonists Phaclofen and CGP 44532, and the PAM, GS39783, did not  
24 exhibit antidepressant-like activity in the FST in mice or rats (Mombereau et al., 2004, Slattery  
25 et al., 2005, Nowak et al., 2006, Araki et al., 2016, Pesarico et al., 2016). Moreover, it was

1 reported that chronic administration of baclofen exacerbated learned helplessness in rats  
2 (Nakagawa et al., 1996b) and that baclofen attenuated the effects of several antidepressants in  
3 the rat FST and in the learned helplessness model (Nakagawa et al., 1996a, b). More recently,  
4 a study showed the baclofen inhibited the antidepressant-like effects of ketamine (which has  
5 rapid antidepressant effects) in the mouse tail suspension test (TST) (Rosa et al., 2016). Taken  
6 together, it is not yet entirely clear whether pharmacological activation of the GABA<sub>B</sub> receptor  
7 has antidepressant-like effects.

8

### 9 **3.2 The effects of GABA<sub>B</sub> receptor blockade or loss of function on depression-like** 10 **behaviour**

11 In contrast to the data on GABA<sub>B</sub> receptor agonists and PAMs, we have much stronger evidence  
12 that GABA<sub>B</sub> receptor blockade (either pharmacologically or genetically) induces  
13 antidepressant-like behaviour (see **Table 5**). Most studies report that chronic or acute treatment  
14 with GABA<sub>B</sub> receptor antagonists have antidepressant-like effects in both mice and rats. For  
15 instance, the GABA<sub>B</sub> receptor antagonist, CGP36742, exhibits antidepressant-like activity in  
16 mice in several behavioural tests including the FST, chronic mild stress paradigm, olfactory  
17 bulbectomy model, and the learned helplessness paradigm (Nakagawa et al., 1999, Nowak et  
18 al., 2006). Similarly, the GABA<sub>B</sub> receptor antagonists CGP51176, CGP51176A, CGP56433A,  
19 SCH50911 and CGP52432 also induced antidepressant-like effects in both the mouse and rat  
20 FST (Mombereau et al., 2004, Slattery et al., 2005, Frankowska et al., 2007, Felice et al., 2012).  
21 In addition CGP51176A has also been shown to reduce stress-induced anhedonia as measured  
22 by increased sucrose consumption in the chronic mild stress rat model (Nowak et al., 2006).

23

1 Studies in genetically modified GABA<sub>B</sub> receptor mice have revealed findings similar to that  
2 observed with receptor antagonists. GABA<sub>B(1)</sub><sup>-/-</sup> and GABA<sub>B(2)</sub><sup>-/-</sup> mice exhibit an  
3 antidepressant-like phenotype in the FST (Mombereau et al., 2004, Mombereau et al., 2005).  
4 In the TST, male but not female GABA<sub>B(1b)</sub><sup>-/-</sup> mice displayed decreased immobility suggesting  
5 antidepressant-like phenotype whereas male and female GABA<sub>B(1a)</sub><sup>-/-</sup> mice exhibited increased  
6 immobility, suggesting a depression-like phenotype. In the FST, both GABA<sub>B(1a)</sub><sup>-/-</sup> and  
7 GABA<sub>B(1b)</sub><sup>-/-</sup> mice exhibited an antidepressant-like phenotype (O'Leary et al., 2014). However,  
8 male but not female GABA<sub>B(1b)</sub><sup>-/-</sup> mice are hyperactive in the open field test which may have  
9 contributed to the reduced immobility of males in the FST and TST (O'Leary et al., 2014).  
10 Interestingly, GABA<sub>B(1a)</sub><sup>-/-</sup> mice are more susceptible whereas GABA<sub>B(1b)</sub><sup>-/-</sup> mice are more  
11 resilient to early life stress (via maternal separation) and social defeat stress in adulthood  
12 (O'Leary et al., 2014). Specifically, GABA<sub>B(1a)</sub><sup>-/-</sup> mice are more susceptible to stress (maternal  
13 separation or social defeat stress) -induced anhedonia as measured in the saccharin preference  
14 and female urine sniffing tests, and were also more susceptible to social defeat stress-induced  
15 social avoidance (O'Leary et al., 2014). On the other hand, GABA<sub>B(1b)</sub><sup>-/-</sup> mice were resilient to  
16 stress-induced anhedonia and psychosocial stress-induced social withdrawal (O'Leary et al.,  
17 2014). In addition, GABA<sub>B(1a)</sub><sup>-/-</sup> but not GABA<sub>B(1b)</sub><sup>-/-</sup> mice exhibited a blunted 8-OH-DPAT-  
18 induced corticosterone and adrenocorticotrophic hormone (ACTH) release thus suggesting  
19 disrupted regulation of the Hypothalamic-Pituitary-Adrenal (HPA) axis which is the  
20 neuroendocrine stress response system (Jacobson et al., 2017).

21

22 Taken together, preclinical pharmacological studies and studies using genetically altered  
23 GABA<sub>B</sub> receptor mice strongly suggest that inhibition of GABA<sub>B</sub> receptors has therapeutic  
24 potential in the treatment of depression (Alexander, 2017, Jacobson et al., 2018). As described  
25 earlier, sometimes, the GABA<sub>B</sub> receptor agonist baclofen has also been shown to have

1 antidepressant-like effects in the forced swim test (FST). The precise mechanisms underlying  
2 how opposing pharmacological manipulations (agonist vs. antagonist) could exert similar  
3 antidepressant-like effects is unknown. However, it may be a function of the fact that GABA<sub>B</sub>  
4 receptors are found both pre-synaptically and post-synaptically, and that drugs might differ in  
5 their selectivity for these differentially located GABA<sub>B</sub> receptors. The subunit composition of  
6 affected receptors might also influence behavioural responses to pharmacological agents. For  
7 example, it has been shown that mice lacking lacking GABA<sub>B(1b)</sub> receptor subunit isoform  
8 exhibit a stress-resilient phenotype while mice lacking the GABA<sub>B(1b)</sub> subunit are more stress-  
9 susceptible (O'Leary et al., 2014).

10

#### 11 **4. Clinical evidence of a role for the GABA<sub>B</sub> receptor in mood disorders**

12 The preclinical evidence of the therapeutic potential of GABA<sub>B</sub> receptor modulation in the  
13 treatment of depression is also supported by clinical evidence. One of the first clinical  
14 indications of a role for the GABA<sub>B</sub> receptor in depression comes from a small study reporting  
15 that baclofen may worsen depressive like-symptoms (Post et al., 1991). In that study, patients  
16 with primary affective disorder were chronically treated with baclofen (10-55) mg/day. Out of  
17 5 patients, 3 patients exhibited increased depression during baclofen treatment and these  
18 depressive symptoms improved during baclofen withdrawal (Post et al., 1991). This baclofen-  
19 induced worsening of depressive symptoms seems counterintuitive to its antidepressant-like  
20 effects in preclinical studies. The reasons underlying this discrepancy are unclear but may  
21 relate to the fact that preclinical assessments of baclofen were not done in animal models of  
22 depression per se e.g. stress-induced anhedonia, but were conducted using “normal” animals in  
23 the FST which is a behavioural test of antidepressant-drug-like activity and not a model of  
24 depression. Nevertheless, several studies also reported that depressed patients displayed blunted  
25 baclofen-induced growth hormone release (Marchesi et al., 1991, O'Flynn and Dinan, 1993),



1 further suggesting a role for the GABA<sub>B</sub> receptor in depression. The effects of baclofen on  
2 depression and anxiety-related clinical measures are contradictory however as summarized in  
3 a recent review on its off-label use to treat alcohol use disorder (Agabio and Leggio, 2018).

4

5 Postmortem studies have reported regional alterations in GABA<sub>B</sub> receptor subunit expression  
6 in brains from depressed suicide victims (Ghose et al., 2011) and depressed individuals  
7 (Klempan et al., 2009). Specifically, it was reported that depressed suicide victims exhibited  
8 upregulation of the GABA<sub>B(2)</sub> receptor subunit in cortical and subcortical brain regions  
9 compared with non-depressed suicide victims (Klempan et al., 2009). More recently, it was  
10 reported that GABA<sub>B(1)</sub> and GABA<sub>B(2)</sub> receptor subunit expression was reduced in the superior  
11 frontal cortex of subjects with bipolar disorder (Fatemi et al., 2017). In the hippocampus of  
12 depressed patients, GABA<sub>B(2)</sub> gene expression was reported to be increased by 50% (Ghose et  
13 al., 2011). In addition, in the dentate gyrus of the hippocampus of these depressed patients,  
14 there was a 30% decrease in the expression of the GABA<sub>B(1a)</sub> receptor subunit isoform when  
15 compared with controls (Ghose et al., 2011). Interestingly, the dentate gyrus is one of just a few  
16 brain areas where neurogenesis, the birth of new neurons occurs throughout life (Altman,  
17 1962b, a, Spalding et al., 2013, Boldrini et al., 2018, Moreno-Jimenez et al., 2019).  
18 Hippocampal neurogenesis has been implicated in the mechanism of antidepressant action  
19 (Santarelli et al., 2003, David et al., 2009, O'Leary and Cryan, 2014, Miller and Hen, 2015) and  
20 recently we and others reported that GABA<sub>B</sub> receptor antagonists that have antidepressant-like  
21 behavioural effects increase hippocampal neurogenesis (Felice et al., 2012, Giachino et al.,  
22 2014). We have also found that the stress-resilient behavioural phenotype of GABA<sub>B(1b)</sub><sup>-/-</sup> mice  
23 is accompanied by resilience to stress-induced decreases in adult hippocampal neurogenesis  
24 (O'Leary et al., 2014).

25

1 There is also evidence from human transcranial magnetic stimulation (TMS) studies that there  
2 are alterations in GABA<sub>B</sub> receptor activity in depression. The first such study suggested that  
3 GABA<sub>B</sub> neurophysiological deficits are closely related to the pathophysiology of major  
4 depressive disorder (Levinson et al., 2010). In that study, patients with major depressive  
5 disorder (MDD) exhibited decreased cortical silence, a measure of intracortical inhibition  
6 thought to be a marker of GABA<sub>B</sub> receptor neurotransmission. Other more recent studies have  
7 confirmed that depressed patients exhibit a decreased cortical silent period (a TMS measure of  
8 GABA<sub>B</sub> receptor activity) (Veronezi et al., 2016). Accordingly, adolescents with depression  
9 and a lifetime history of suicidal behaviors exhibited impaired long-interval intracortical  
10 inhibition (LICI; which is a TMS measure of GABA<sub>B</sub> receptor-mediated inhibition) when  
11 compared to healthy adolescents and to depressed adolescents without a history of suicidal  
12 behavior (Lewis et al., 2018). A follow-up small study by the same group reported an  
13 association between increases in GABA<sub>B</sub>-mediated cortical inhibition and a reduction in  
14 suicidal ideation over time in adolescents treated for depression (Lewis et al., 2019). A paired-  
15 pulse TMS (ppTMS) study revealed that patients with treatment resistant depression (TRD)  
16 exhibit more reduced GABA<sub>A</sub> and GABA<sub>B</sub> receptor-mediated cortical inhibition compared to  
17 non-TRD patients and healthy subjects (Jeng et al., 2019) thus suggesting a potential role for  
18 GABA<sub>B</sub> receptor function in TRD. In addition selective serotonin reuptake inhibitor (SSRI)  
19 antidepressants were shown to modulate GABA<sub>B</sub> receptor-mediated long-interval intracortical  
20 inhibition, in non-TRD patients (Jeng et al., 2019) thus providing clinical evidence for a role  
21 of GABA<sub>B</sub> receptors in antidepressant action.

22

23 In contrast to depression, clinical studies interrogating a role for the GABA<sub>B</sub> receptor in anxiety  
24 disorders are sparse and the evidence is largely indirect. Nevertheless, there is strong evidence  
25 that GABAergic neurotransmission plays a role in the treatment and pathophysiology of anxiety

1 disorders as benzodiazepines (which act on the GABA<sub>A</sub> receptor) are used to treat anxiety  
2 disorders (Nemeroff, 2003). In terms of a potential role for GABA<sub>B</sub> receptors, baclofen has  
3 been shown to attenuate the anxiety that is associated with alcohol withdrawal, post-traumatic  
4 stress, panic disorder and traumatic spinal-cord lesions (Cryan et al., 2005).

5

6 In summary, both clinical and preclinical evidence strongly support a role for the GABA<sub>B</sub>  
7 receptor in depression and anxiety disorders. However, the involvement of the GABA<sub>B</sub> receptor  
8 in the pathophysiology of anxiety disorders is less explored in clinical studies when compared  
9 with depression. Indeed, the majority of clinical studies on the role of the GABAergic system  
10 in anxiety disorders are focused on the GABA<sub>A</sub> receptor. However, it is worth noting that the  
11 GABA<sub>B</sub> receptor can contribute to inhibition by also modulating GABA<sub>A</sub> receptor activity at  
12 presynaptic and postsynaptic sites (Cryan et al., 2005, Tao et al., 2013), thus suggesting a  
13 potential upstream modulating role for the GABA<sub>B</sub> receptor in anxiety disorders. Moreover,  
14 preclinical studies suggest that agonists and PAMs of the GABA<sub>B</sub> receptor have anxiolytic  
15 effects.

## 16 **6. Conclusions and perspectives**

17 Although both preclinical and clinical studies suggest the GABA<sub>B</sub> receptor as a potential target  
18 for the development of new therapeutic approaches for mood and anxiety disorders, only one  
19 GABA<sub>B</sub> receptor-based compound, SGS272 (CGP36742, a GABA<sub>B</sub> receptor antagonist),  
20 progressed to Phase II clinical trials and was investigated as a potential treatment for cognitive  
21 deficits (Ghose et al., 2011). To date however, no clinical trials assessing the effects of GABA<sub>B</sub>  
22 receptor antagonists in depressed patients has been ever conducted. The development of such  
23 antagonists of the GABA<sub>B</sub> receptor for the treatment of mood disorders is mainly hampered by  
24 its potential side effects, particularly the potential risk of proconvulsive action. However, the

1 abundance of preclinical evidence of the antidepressant-like effects of GABA<sub>B</sub> receptor  
2 antagonists cannot be ignored and thus novel and more selective GABA<sub>B</sub> receptor antagonists  
3 with a better side effects profile could lead to new therapeutic approaches in the clinic. In 2014,  
4 the first negative allosteric modulator (NAM) of the GABA<sub>B</sub> receptor was generated. This was  
5 a CGP7930 analogue, called CLH304a (also named Compound 14) (Chen et al., 2014). In 2016,  
6 two additional novel NAMs, CLH391 and CLH393, were synthesized based on the structure of  
7 CLH304a (Sun et al., 2016). It would be expected that NAMs would have a better side effect  
8 profile than antagonists and as such, the discovery of these NAMs are very promising for the  
9 development of innovative drugs that negatively modulate GABA<sub>B</sub> receptor action and thus,  
10 might have antidepressant potential with a reduced side effect profile.

11

12 The GABA<sub>B</sub> receptor plays a key role in anxiety disorders as demonstrated by a plethora of  
13 preclinical evidence. PAMs represent promising drugs to treat anxiety-like disorders with safer  
14 side effect profiles than GABA<sub>B</sub> receptor agonists. ADX 71441 is the first GABA<sub>B</sub> receptor  
15 PAM approved for phase I clinical trial (Kalinichev et al., 2017) indicated for alcohol use  
16 disorder, Charcot-Marie-Tooth disease and nicotine dependence. However, future clinical trials  
17 are required to evaluate the effects of PAMs in anxiety disorders.

18

19 Overall, the GABA<sub>B</sub> receptor represents a promising target to develop new therapeutic  
20 treatments for depression and anxiety disorders. Since Bowery and colleagues' discovery of the  
21 GABA<sub>B</sub> receptor in 1979, thousands of studies investigating its role in mammals and non-  
22 mammals such as the drosophila model (Manev and Dzitoyeva, 2010) have been published.  
23 The introduction of genetic tools has allowed the further study of the role of GABA<sub>B</sub> receptor  
24 subunits and their isoforms in mice. Despite the drive of scientists to study the GABA<sub>B</sub> receptor,

1 there is still a lot unknown. In particular, side effects associated with GABA<sub>B</sub> receptor  
2 modulation hamper its path to become a relevant drug target. However, the introduction of  
3 novel tools to study GABA<sub>B</sub> receptor (e.g. FRET-Based Sensors) and the discovery of novel  
4 GABA<sub>B</sub> receptor PAMs & NAMs will pave the way towards GABA<sub>B</sub> receptor therapeutics in  
5 human disorders such as depression and anxiety disorders. However, NAMs have yet to be  
6 tested *in vivo* Intracellular GABA<sub>B</sub> receptor-associated proteins may also be important targets  
7 to modulate GABA<sub>B</sub> receptor activity because protein-protein interaction may allow more  
8 precise and temporal GABA<sub>B</sub> receptor activity modulation. Particularly, the K<sup>+</sup> channel  
9 tetramerization domain (KCTD) that is associated with the GABA<sub>B2</sub> receptor C-terminus is  
10 envisaged to be a promising target (Sereikaite et al., 2019).

11

12 **Figure 1. Schematic representation of the GABA<sub>B</sub> receptor.** GABA<sub>B</sub> receptors are  
13 composed of GABA<sub>B(1)</sub> and GABA<sub>B(2)</sub> receptor subunits, that form an active heterodimer. The  
14 GABA<sub>B(1)</sub> receptor subunit is essential for the binding of GABA and GABA<sub>B</sub> receptor agonists  
15 and antagonists. GABA<sub>B(1)</sub> receptor subunit presents as two main isoforms, namely GABA<sub>B(1a)</sub>  
16 and GABA<sub>B(1b)</sub> that differ by the presence of a sushi domain in the N-terminal of the  
17 GABA<sub>B(1a)</sub> isoform. Adapted from (Cryan and Kaupmann, 2005).

18

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