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Authors	Sulaiman, Amal Al-Shaikh; Kelly, Marie; O'Connor, Mairead; Bamiou, Doris-Eva; Pavlou, Marousa
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1 **Dual Tasking Interferes with Dynamic Balance in Young and Old Healthy Adults**

2

3 Amal AL-Shaikh Sulaiman^{a,1}, Marie Kelly^{d,2,*}, Mairead O'Connor^{d,3}, Doris Eva-

4 Bamiou^{a,b,c}, Marousa Pavlou^d

5

6 ^a Faculty of Brain Sciences, UCL Ear Institute, University College London, London,

7 United Kingdom

8 ^b Hearing and Deafness Biomedical Research Centre, National Institute for Health

9 Research, London, United Kingdom

10 ^c University College London Hospitals, London, United Kingdom

11 ^d Centre for Human and Applied Physiological Sciences, Faculty of Life Sciences and

12 Medicine, King's College London, London, United Kingdom.

13 ¹ Department of Otorhinolaryngology - Head and Neck Surgery, Faculty of Medicine,

14 Imam Abdulrahman Bin Faisal University, KFUH, Al-Khobar, Saudi Arabia.

15 ²Department of Physiotherapy, Mercy University Hospital, Grenville Place, Cork,

16 Ireland

17 ³Department of Physiotherapy, Croom Hospital, Corrabul, Croom, Co. Limerick,

18 Ireland

19

20 ***Corresponding author:** Marie Kelly, Department of Physiotherapy, Mercy University

21 Hospital, Grenville Place, Cork, T12 WE28, Ireland. E-mail address: kellym19@tcd.ie,

22 Telephone: +353214935340

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25

26 **ABSTRACT**

27 **Background:**

28 Functional mobility requires an ability to adapt to environmental factors together with
29 an ability to execute a secondary task simultaneously while walking. A complex dual-
30 tasking gait test may provide an indication of functional ability and falls risk among
31 community-dwelling older adults.

32 **Purpose:**

33 The aim of this cross-sectional study is to investigate age-related differences in dual-
34 tasking ability and to evaluate whether dual-tasking ability is related to executive
35 function.

36 **Methods:**

37 Forty-one community-dwelling healthy older and forty-one younger adults completed
38 a dual-tasking assessment in which concurrent tasks were incorporated into the
39 Functional Gait Assessment (FGA). The manual dual-task involved carrying a glass
40 of water (FGA-M) while the cognitive dual-tasks involved numeracy (FGA-N) and
41 literacy (FGA-L) related tasks. FGA scores under single (FGA-S) and dual-task
42 conditions together with associated dual-task costs and response accuracy were
43 determined. Executive function was assessed using The Behavioural Assessment of
44 the Dysexecutive Syndrome (BADS).

45 **Results:**

46 FGA-N and FGA-L scores were adversely affected in both groups compared to FGA-
47 S ($p \leq 0.001$). However, score reductions and dual-task costs were significantly
48 greater for older adults compared to younger adults on FGA-N ($p \leq 0.05$) and FGA-L
49 ($p \leq 0.001$), with older adult performance on FGA-N associated with falls risk ($p \leq$
50 0.05). Executive function did not appear to be related to dual-tasking ability.

51 **Conclusion:**

52 Findings suggest that cognitively demanding tasks while walking, have a deleterious
53 effect on dynamic balance and could place older adults at a greater risk of falls.

54 **Keywords:** Dual-task, Dynamic balance, Gait, Aging, Executive function

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76 **1. Introduction**

77 Effective, safe gait is essential for independence and longevity among
78 elderly adults (1). Postural control, fundamental to a person’s ability to stand and
79 walk independently, requires sensorimotor processes (2), with the integration of
80 afferent information from many musculoskeletal and neural systems including
81 vestibular, the visual and somatosensory systems (3, 4). Furthermore, this
82 complex motor skill utilises cognitive function, in the form of two intimately linked
83 domains, attention and executive function (5) and hence, is no longer considered
84 an automatic task. In a seminal study, Lundin-Olsson, Nyberg (6) illustrated the
85 attentional demands of gait since those who ‘stopped walking when talking’ were
86 more likely to fall in the future. Considerable work has demonstrated the value of
87 executive functioning in complex gait situations (7, 8), that are representative of
88 routine environmental challenges. When walking under divided attention
89 conditions, executive function plays an important role in allocating attentional
90 resources, ensuring successful completion of a given task. The importance of
91 executive function becomes more apparent as the difficulty of the secondary task
92 increases (9), which may even expose gait instability among healthy subjects.

93 Various studies have illustrated that both healthy young and older adults
94 walk slower while performing a secondary task (5). Older healthy adults not only
95 reduce gait speed but also become less stable with increased gait variability when
96 dual tasking (8) and this is associated with an increased future falls risk (10). With
97 aging, gait loses automaticity, placing greater demands on declining frontal-
98 dependent executive control resources (11, 12)

99 Functional community mobility requires an ability to perform a secondary task
100 simultaneously while walking, and to adapt to external environmental factors. The

101 aim of the dual-task gait assessment is to compare task performance while
102 walking and concurrently completing an attention-demanding task, to baseline
103 performance of either one of the individual tasks (13). Deficits in performance
104 while dual-tasking, referred to as dual-task costs (DTCs) (14), are thought to
105 represent interference as both tasks compete for brain cortical resources.
106 Therefore, if information processing is limited, it may be important to prioritise one
107 task over the other. The posture first strategy, originally proposed by Shumway-
108 Cook et al. (15), suggests that when dual-tasking, postural control will be
109 favoured over the execution of a secondary task, to prevent a loss of balance.

110 Much of the dual-task interference related research utilises spatiotemporal gait
111 parameters as outcome measures, which often require sophisticated equipment
112 and a laboratory and therefore may lack clinical utility (8, 16). A possible
113 exception to this is gait speed, however such a one dimensional measure cannot
114 adequately capture the multidimensional nature of gait (17). This may partly
115 explain its insufficient sensitivity (18) with Menant et al. (19) concluding that dual-
116 tasking tests of gait speed did not demonstrate a superior predictive ability for
117 falls over single task tests.

118 The Functional Gait Assessment (FGA) is an easy to administer, reliable
119 and valid gait assessment tool requiring minimal space or equipment (20).
120 Currently, dual-task protocols do not sufficiently assess the skills necessary for
121 everyday functional mobility. Complex gait tests such as a dual-tasking FGA,
122 which evaluate a range of clinical gait activities, may be a better tool to investigate
123 age-related differences in dual-tasking ability. Such an assessment tool could also
124 serve to partly address the standardisation concerns, which have prevented the
125 development of evidence-based recommendations for clinical practice. Therefore,

126 the main objective of this cross-sectional study was to compare dual-tasking
127 ability on the FGA between healthy older and younger adults, with this information
128 relevant for future dual-task assessment and intervention protocols. A secondary
129 aim was to assess the relationship between dual-tasking performance on the FGA
130 and executive function. It was hypothesised that dual-tasking would interfere with
131 postural stability, presenting a greater challenge to healthy older adults, and that
132 these dual-tasking effects on this complex gait test would be related to executive
133 function.

134

135 **2. Materials and methods**

136 *2.1 Participants*

137 A convenience sample of independently mobile, community-dwelling younger (n =
138 41, Male = 19; mean age= 37 years, range = 23 – 58) and older adults (n = 41,
139 Male = 18; mean age = 68.3, range = 60 – 88) were recruited from the university
140 and community via flyers and word of mouth. Participants were included if they
141 were between 18 and 90 years, and able to ambulate without an assistive device.
142 Those aged 60 and older were considered older adults. Subjects were excluded if
143 they reported history of falling within the previous 6 months, dementia, as
144 evidenced by a diagnosis of dementia, an unstable medical condition or pathology
145 likely to directly impact on gait (e.g. visual, neurologic, vestibular, orthopaedic).

146 *2.2 Protocol*

147 The study was reviewed and approved by the National Hospital for Neurology and
148 Neurosurgery and Institute of Neurology Joint Ethics Committee and that the
149 procedures followed during the study were in accordance with the Helsinki
150 Declaration of 1975, as revised in 1983.

151 Each participant completed the following assessments:

152 A. Behavioural Assessment of the Dysexecutive Syndrome (BADS) test: an
153 ecologically valid and reliable battery comprising of six sub-tests, which
154 evaluate a range of executive function constructs (21). The six sub-tests
155 include:(1) Rule shift cards; to evaluate cognitive flexibility (2) Action program;
156 to assess ability to identify and implement a solution to a practical problem. (3)
157 Key search; test assesses ability to plan a strategy to solve a problem (4)
158 Temporal judgment; involves judgment and abstract thinking (5) Zoo map; to
159 assess spontaneous planning abilities, and (6) Modified six elements; to
160 assess the ability to plan, organise and monitor behaviour.

161 B. Timed Up and Go test (TUG): a reliable and valid dynamic test which involves
162 standing up from chair (approximate seat height 46cm), walking three metres
163 at normal pace, turning around and walking back to the chair, sitting back
164 down again (22). A cut-off score of 13.5 suggests an increased falls risk in
165 community dwelling older adults, with a higher specificity than sensitivity
166 reported in the literature (23).

167 C. FGA: a reliable and valid (20) standardised measure of functional gait, which
168 is scored on a 4-level (0-3) ordinal scale with a maximum score of 30
169 achievable; a cutoff score of 22/30 predicts falls in community dwelling older
170 adults (24). FGA was performed under single and dual-task conditions
171 (Table1).

172

173 'Insert Table 1 here'

174

175 To reduce potential practice or learning effects from trial to trial, a different
176 letter or number was used across successive trials. The responses were
177 recorded using a recorder and scored following the testing session according
178 to the total number of responses and number of errors made. Since all
179 measures were completed in one session, rests were suggested at various
180 stages in between testing during the session, to help minimize the effect of
181 fatigue. No instruction on task prioritisation was communicated.

182

183 *2.3 Statistical analysis*

184 Data analysis was undertaken using the Statistical Package for the Social
185 Sciences Version (SPSS) 22.0 (IBM Corporation, Chicago, IL). A level of
186 significance of $p \leq 0.05$ was set for this study. Normality of the continuous
187 variables was tested with the Shapiro-Wilk test and appropriate descriptive
188 statistics were calculated. Where the normality assumption was violated,
189 equivalent non-parametric tests were used. Independent-samples t-test was
190 performed to assess between-group differences in the TUG score with data
191 reported as mean \pm SD. The Mann Whitney U test was utilised to evaluate
192 between-group differences in FGA scores and BADS scores. Median and
193 inter-quartile values (Q1 – Q3) are presented. Given the non-normal
194 distribution these values are better represented by the median rather than the
195 mean, with the median less sensitive to outliers (25). A Chi-Squared test was
196 performed to evaluate the distribution of BADS overall classification.

197 Dual-task costs (DTCs), characterised as the percentage change difference in
198 performance relative to the single-task performance was calculated for all
199 three tasks (FGA-M, FGA-N and FGA-L) using the following equation:

200

201 Dual-task cost (%) = $100 * (\text{Multi task} - \text{Single task} / \text{Single task})$

202 All DTCs were classified such that a negative value signified a performance
203 decrement and a positive value illustrated a dual-task benefit. Between-group
204 DTC differences were evaluated using the Mann-Whitney test.

205 Response accuracy was assessed under the two dual-task conditions, FGA-N
206 and FGA-L, utilising the following equation:

207 % Response accuracy = $100 * (\text{Number of responses} - \text{number of errors} /$
208 $\text{Number of responses})$

209 Spearman's rank correlations investigated whether BADS scores were
210 correlated with DTCs or FGA scores under the different dual-task conditions.

211 **3. Results**

212 *3.1 FGA score*

213 Both FGA-N ($z = -2.76, p \leq 0.05, r = 0.30$) and FGA-L ($z = -3.23, p \leq 0.001, r =$
214 0.36) scores were significantly lower in the older compared to the younger group
215 (Table 2). There were no significant differences between age groups under FGA-
216 S and FGA-M conditions.

217 The only dual-task condition significantly associated with falls risk was FGA-N,
218 $\chi^2(1) = 7.57, p \leq 0.05$ (Table 2), with 51.2% of the older group scoring 22 or less.
219 Within the younger group, falls risk was independent of dual-task condition.

220 'Insert Table 2 here'

221

222 *3.2 Dual-Task Cost*

223 Dual-task cost (DTC) was significantly different between age groups, with greater
224 cost observed in the older age group for FGA-N ($z = -2.37$, $p \leq 0.05$, $r = 0.26$) and
225 FGA-L ($z = -2.53$, $p \leq 0.05$, $r = 0.28$), but not FGA-M (Table 3).

226 'Insert Table 3 here'

227

228 *3.3 Response Accuracy to cognitive tasks*

229 There were no statistically significant differences between groups for response
230 accuracy under both FGA-N and FGA-L conditions (Table 4).

231 'Insert Table 4 here'

232

233 *3.4 BADs Scores*

234 BADs age corrected score did not show significant differences between-groups.
235 When comparing performance between the younger and older age groups for
236 each sub-test, significant differences were observed for Test 3 ($z = -2.79$, $p \leq 0.05$,
237 $r = 0.35$) and Test 5 ($z = -3.01$, $p \leq 0.05$, $r = 0.35$) (Fig. 1), which evaluate
238 planning and behavioural regulation respectively (26).

239 'Insert Figure 1 here'

240

241 *3.5 Correlation Analysis*

242 In both the younger and older groups, no statistically significant correlations were
243 noted between the BADS age corrected score or tests sub-scores and the FGA-
244 S, FGA-M, FGA-N, FGA-L and the associated DTCs ($p > 0.05$).

245

246 *3.6 TUG score*

247 The TUG scores were statistically different between the two age groups ($t(80) = -$

248 3.402, $p \leq 0.001$, $d = 0.75$) with a longer duration noted for older ($8.68s \pm 1.81s$)
249 compared to younger ($7.47s \pm 1.38s$) subjects.

250

251 **3 Discussion**

252 In this study, we compared dual-task ability on a dual-tasking FGA tool between
253 healthy younger and older adults and we investigated whether a relationship
254 exists between executive function and dual-tasking ability on the FGA. This study
255 adds to emerging evidence on dual-task interference during more attention-
256 demanding gait tasks. Complex dual gait tasks assess the ability to walk in
257 diverse and challenging environments and hence, may provide a more accurate
258 indication of functional ability compared to simple dual gait tasks. Therefore an
259 investigation into age-related differences in dual-tasking ability, on a ecologically
260 valid dual-tasking FGA tool, could provide valuable information for future dual-
261 task assessment and intervention protocols.

262 The main findings from this study indicate that additional cognitive tasks had a
263 destabilising effect on dynamic balance for both younger and older adults,
264 however, older adults were significantly more affected. No other study to date has
265 investigated age-related differences in dual-tasking ability while utilising a dual-
266 task FGA test, although the findings of this study are consistent with a body of
267 work pertaining to spatiotemporal gait parameters. Reduced gait speed together
268 with increased stride-to-stride variability in stride length, stride velocity and/or
269 stride time has been reported in older adults compared with younger adults, under
270 cognitive dual task conditions (27-29) with increased stride-to-stride variability a
271 marker of gait instability which predicts falls (30). A strength of the present study,

272 in contrast to previous studies evaluating age-related differences (27-29), is that it
273 utilised the recommended DTC formula (31) to account for dual-task interference
274 on dynamic balance. This will facilitate between-study comparisons in the future
275 (31) with the DTC also important as it accounts for baseline differences in single
276 task performance, providing a true dual-task change (32).

277 In order to investigate whether FGA performance with a secondary dual-task is
278 suitable for determining falls risk, the FGA scores under the three dual-task
279 conditions were compared to a previously determined cut off value for FGA-S,
280 which predicts a fall within six months, in community-dwelling older adults (24).
281 Only performance on FGA-N was significantly associated with falls risk for older
282 adults, with 51% scoring less than 22. This finding adds to growing literature
283 linking dual-task interference to falls risk (10). The concept of prioritization may
284 serve as a possible explanation for the observed decline in dynamic balance while
285 performing a secondary cognitive task. In this study, participants were not given
286 explicit instructions regarding task prioritisation. However, the performance of
287 secondary cognitive tasks had a deleterious effect on dynamic balance in the
288 older group, with FGA-N significantly associated with an increased falls risk. This,
289 together with the comparable cognitive performance between both age groups
290 suggests that healthy older adults do not prioritise gait, under cognitive dual-task
291 conditions, consistent with previous findings (33, 34). This is in contrast with the
292 “posture first strategy”, in which all attention is directed toward maintaining
293 balance and preventing falls. However, recent research suggests this is
294 dependent on environmental factors, individual characteristics and the complexity
295 of motor and cognitive task demands (35, 36). The effect of dual tasking on the
296 posture first strategy has recently been attributed to the neural insufficiency

297 model; increased brain activation in the context of diminished gait performance
298 (11, 37, 38).

299 The cognitive tasks used in our study, classified as mental tracking tasks (2) were
300 utilised since emerging evidence suggests that they are most disruptive on gait
301 performance, compared to other types of secondary tasks (2, 39) and may have
302 superior falls predictive ability (39). However, the effects of the cognitive mental
303 tracking tasks did not appear to be equal. Results indicate that the numeracy task
304 was the most difficult with the greatest median score reduction and DTC observed
305 in both groups. This is consistent with literature indicating that the effect of the
306 secondary task is dependent on its complexity (40). Since significant dual-task
307 decrements were observed under both cognitive dual-task conditions, this might
308 demonstrate that the type of secondary task is relevant with a cognitive task more
309 challenging than a dual-manual task.

310 Subclinical age-related differences were observed with respect to the
311 BADS total profile and standardised scores, which were not significant when age
312 was removed as a confounding factor. This result was not surprising given the
313 existing literature on the high vulnerability of the frontal lobe to age-related
314 changes and the associated subclinical decline of executive functions with
315 advancing age (41). When performance was analysed for each sub-test, the
316 results indicated that the planning and behavioural regulation abilities of the older
317 group were significantly reduced compared to the younger group as reflected in
318 the BADs subscore in two tests (key search & zoo map test). While age-related
319 deficits in performance on The Zoo Map Test have been reported elsewhere (42),
320 no studies to our knowledge have observed these findings with respect to The
321 Key Search Test.

322 The results from this study indicate that executive function is not related to
323 dual-tasking ability on a complex dual-tasking FGA. This finding is in sharp
324 contrast to existing literature (7, 8, 37, 43, 44) and is particularly unexpected
325 given that executive function is considered most relevant in complex gait
326 situations (9, 45-47), akin to the various FGA items. The contrasting results may
327 be partially explained by differences in methodological design. This study utilised
328 the FGA clinical gait tool to assess dynamic balance under dual-task conditions,
329 whereas the majority of the existing research evaluated selected gait parameters
330 such as speed and stride-time variability (7, 8, 37, 43, 44). These spatiotemporal
331 parameters are not considered representative of dynamic postural control, with
332 step width and step width variability thought to more accurately reflect this key
333 feature of gait (17). Furthermore, to our knowledge, only one study examines the
334 interplay between executive function and gait has utilized the BADS (48) in a
335 cohort post-stroke. Another possible explanation is the relatively young age
336 (mean age 68.3) of the older adult group, compared to other studies (7, 37)
337 although studies exist with similar older adult groups indicating an association
338 between executive function and dual task ability (8, 43).

339 Present findings bring forth several issues with possible clinical importance.
340 Foremost, older adults did not appear to prioritise the dynamic balance tasks in
341 accordance with the posture first strategy under cognitive dual-task load, with
342 performance on the FGA-N significantly associated with falls risk. This may have
343 future application for multi-task training and falls risk assessment.

344 A number of limitations are acknowledged with a lack of test order randomization
345 foremost. However, the observation that dual-task interference was greatest on
346 the second last task (FGA-N) rather than the last (FGA-L), suggest these

347 systematic bias had limited impact. Another limitation is that the secondary
348 cognitive tasks were not assessed in the single-task condition, i.e. when sitting,
349 and as such, their relative DTC cannot be determined.

350 **4 Conclusion**

351 In conclusion, this study utilised a dual-tasking FGA tool with findings providing
352 additional evidence that attentional processes are involved in walking and that
353 attention-demanding cognitive tasks have a destabilising effect on dynamic
354 balance. The resultant postural instability is however, more pronounced in the
355 older participants with performance under numeracy dual-task conditions
356 significantly associated with falls risk. Executive function did not appear to be
357 significantly related to dual-task ability. However, this study was conducted on a
358 relatively homogenous population and hence, these results should be interpreted
359 with caution. Further research involving a large heterogeneous population is
360 warranted to validate these findings.

361

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363

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534 Table 1: Functional Gait Assessment (FGA) Dual Task Conditions.

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Dual Task Condition	Dual Task
FGA-Manual (FGA-M)	Manual task of carrying a tumbler half-filled with water in their dominant hand
FGA-Numeracy (FGA-N)	Cognitive numeracy tasks include: * serial subtractions of seven starting at 100 * Multiplication tables of eight * Division tables of 7
FGA-Literacy (FGA-L)	Cognitive literacy tasks include: * Alternate Letters of the alphabet * Alternate days of the week *Alternate months of the year

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537 Abbreviations: FGA-M, Functional Gait Assessment manual task; FGA-N,

538 Functional Gait Assessment numeracy task; FGA-L, Functional Gait

539 Assessment literacy task

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543 Table 2: Functional Gait Assessment (FGA) scores and risk of fall for both groups

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	Young (n = 41)		Old (n = 41)	
	Median (Q1 – Q3)	Risk of Fall (%) ^a	Median (Q1 – Q3)	Risk of Fall (%) ^a
FGA-S	29 (28 – 30)	0%	28 (26.5 – 29)	0%
FGA-M	28 (26.5 – 30)	2.4%	28 (26 – 29)	0%
FGA-N	26 (23 – 27.5)	22.0%	22 (20 – 26)*	51.2%*†
FGA-L	27 (22 – 28.5)	26.8%	23 (20.5 – 25)**	43.9%

545

546 Abbreviations: Q1, Quartile 1; Q3, Quartile 3; FGA-S, Functional Gait Assessment

547 single task; FGA-M, Functional Gait Assessment manual task; FGA-N, Functional

548 Gait Assessment numeracy task; FGA-L, Functional Gait Assessment literacy task

549 a = The risk of fall was calculated based on a total score of 22 or less (24)

550 *p ≤ 0.05, **p ≤ 0.001

551 *†A significant relationship between dual-task condition and fall risk, p ≤ 0.05

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561 Table 3: Dual-task cost (DTC) for both groups

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	Young (n = 41)	Old (n= 41)
	Median [Q1 – Q3]	Median [Q1 – Q3]
FGA-M	0.00 [-5.12 – 0]	0.00 [-3.70 – 3.45]
FGA-N	-10.34 [-24.5 – (-5.12)]	-17.85 [-24.5 – (-10.34)]*
FGA-L	-7.14 [-20.35 – (-1.67)]	-17.24 [-21.13 – (-10.71)]*

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564 Abbreviations: DTC, Dual-Task Cost; Q1, Quartile 1; Q3, Quartile 3; Functional Gait

565 Assessment single task; FGA-M, Functional Gait Assessment manual task; FGA-N,

566 Functional Gait Assessment numeracy task; FGA-L, Functional Gait Assessment

567 literacy task, * $p \leq 0.05$.

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581 Table 4: Response accuracy for cognitive tasks for both groups

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Response	Young	Old
Accuracy	Median (Q1 – Q3)	Median (Q1 – Q3)
FGA-N	91.43 (86.36 – 95.65)	89.69 (82.88 – 92.66)
FGA-L	94 (84.72 – 97.62)	91.36 (82.27 – 95.52)

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584 Abbreviations: Q1, Quartile 1; Q3, Quartile 3; FGA-N, Functional Gait Assessment

585 numeracy task; FGA-L, Functional Gait Assessment literacy task

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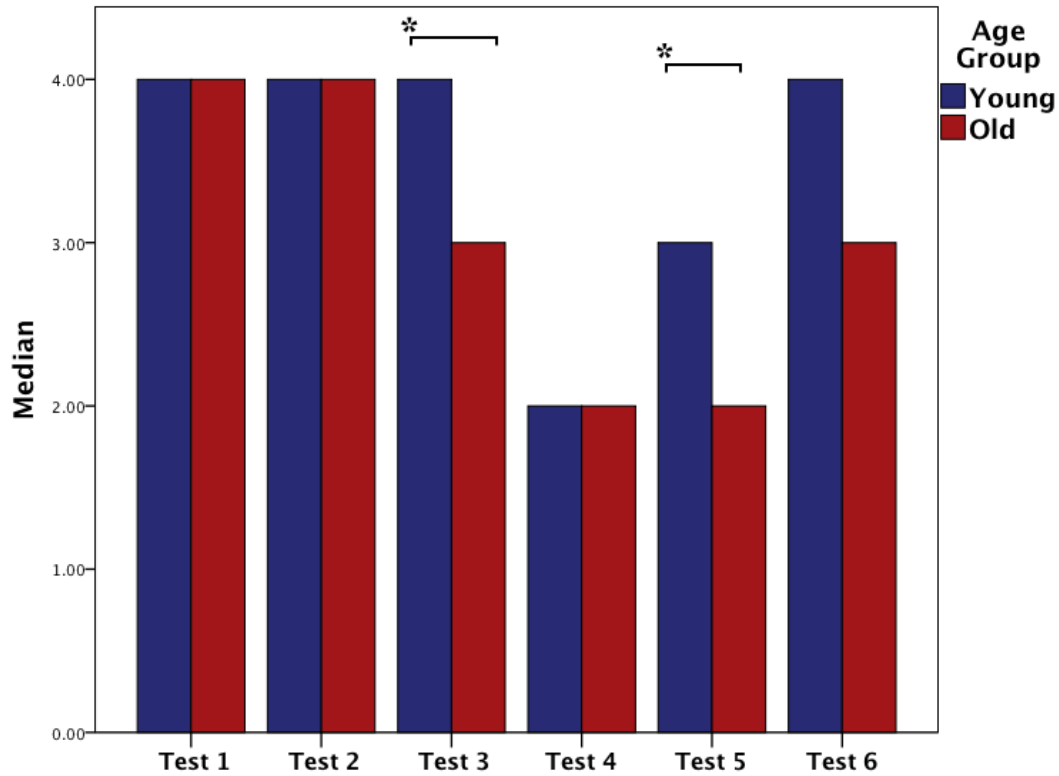
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602 Figure 1: Median Behavioural Assessment of the Dysexecutive Syndrome (BADs)
603 sub-test scores for both groups

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607 Abbreviations: Test 1= Rule Shift Cards; Test 2= The Action Programme; Test 3=
608 The Key Search Task; Test 4= The Temporal Judgement Test; Test 5= The Zoo Map
609 Test; Test 6= The Modified Six Elements Test. * $p \leq 0.05$

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