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University College Cork, Ireland
Coláiste na hOllscoile Corcaigh

1 **Short title: Gut microbiota and psychiatric disorders.**

2 **Full Title: Existing and future strategies to manipulate the gut microbiota**
3 **with diet as a potential adjuvant treatment for psychiatric disorders.**

4
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66

67

68 **Abbreviations:** BGM = brain-gut-microbiome, ASD = autism spectrum disorder, AD =

69 Alzheimer's disease, MDD = major depressive disorder, Trp = tryptophan, BA = bile acid, CNS

70 = central nervous system, RCT = randomized controlled trial, GI = gastrointestinal, SCFA =

71 short chain fatty acid, ECC = enterochromaffin cell,IDO = indoleamine-2,3-dioxygenase, LPS =

72 lipopolysaccharide, Microbe-associated molecular patterns = MAMPS, MCI = mild cognitive

73 impairment, TNF- α = Tumor necrosis factor alpha, ADAS-Cog = The Alzheimer's Disease

74 Assessment Scale-Cognitive subscale, HAM-D = The Hamilton Depression Rating Scale, MUC2

75 = mucin 2

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ABSTRACT

Nutrition and diet quality play key roles in preventing and slowing cognitive decline and have been linked to multiple brain disorders. This review compiles available evidence from preclinical studies and clinical trials on the impact of nutrition and interventions regarding major psychiatric conditions and some neurological disorders. We emphasize the potential role of diet-related microbiome alterations in these effects and highlight commonalities between various brain disorders related to the microbiome. Despite numerous studies shedding light on these findings, there are still gaps in our understanding due to the limited availability of definitive human trial data firmly establishing a causal link between a specific diet and microbially mediated brain functions and symptoms. The positive impact of certain diets on the microbiome and cognitive function is frequently ascribed with the anti-inflammatory effects of certain microbial metabolites or a reduction of proinflammatory microbial products. We also critically review recent research on pro- and prebiotics and non-dietary interventions, particularly fecal microbial transplants. The recent focus on diet in relation to brain disorders could lead to improved treatment outcomes with combined dietary, pharmacological, and behavioral interventions.

Keywords: Brain-gut-microbiome (BGM) system/axis, Mediterranean diet, Nutritional Psychiatry, endotoxemia, dysbiosis, short-chain fatty acids, serotonin, tryptophan metabolites, dietary intervention, depression, cognitive decline, autism spectrum disorder.

100 INTRODUCTION

101 Psychiatric disorders have been perceived as diseases of the brain, with limited attention to the
102 important role of diet and the gut microbiome in brain function. However, several epidemiological
103 studies have suggested a correlation between nutritional intake and certain psychiatric disorders
104 (1–4). Preclinical studies have demonstrated the influence of the gut microbiome on emotion-like
105 behavior, and structural and biochemical brain measures. The potential role of major dietary
106 components in modulating the brain through the microbiome has spurred investigations into the
107 causal relationship between diet and the brain in the form of targeted dietary interventions and
108 nutritional supplementation (5–7), as well as risk factors to guide the development of preventative
109 strategies and better treatment approaches (8).

110
111 Evidence drawn from preclinical, cross-sectional, and epidemiological studies, and from
112 microbiome science has enabled researchers to explore the connections between brain health,
113 nutrition, and microbial function, encompassing the area of nutritional psychiatry. It has long been
114 known that inadequate nutrient intake during early development can lead to impaired brain
115 function and that sufficient quantities of dietary micro- and macronutrients are essential for optimal
116 brain formation (9). Research thus far has predominantly focused on nutrients like amino acids,
117 omega-3 fatty acids, and micronutrients, which are rapidly absorbed in the proximal small
118 intestine. There is growing interest in large food molecules that surpass the capacity for complete
119 digestion and are absorbed in the proximal gut. The absorption of these molecules heavily relies
120 on the metabolic activity of the gut microbiota in the distal small intestine and colon. Microbial
121 inflammatory, metabolic, and neuroactive metabolite production from dietary components
122 represents a key mechanism whereby the gut microbiota impact the brain and consequently host
123 health (See **Figure 1**).

124
125 Interventional and mechanistic studies provide evidence that diets rich in plant-based foods, fiber,
126 polyphenols, and omega-3 fatty acids positively impact several aspects of health, including mental
127 health. In this review, we delve into mechanisms involving diet-related gut microbial signaling
128 and its impact on the brain. We explore bidirectional signaling within the BGM system and
129 investigate how diet and microbial interventions affect psychiatric disorders like depression,
130 autism spectrum disorder (ASD), and cognitive decline, including Alzheimer's disease (AD).

131 Despite promising animal model research, we highlight the need for more clinically relevant
132 human studies. We also discuss integrative approaches encompassing dietary interventions, such
133 as probiotics, prebiotics, and fecal microbiota transplantation (FMT).

134

135 **The Brain-Gut-Microbiome System**

136 In contrast to the traditional concept of linear interactions involving the central nervous system
137 (CNS), the gut, individual microbes, and their metabolites (10), the interactions between these
138 components involving multiple feedback loops is best described in systems biological terms as
139 the BGM system.

140

141 **Role of diet in influencing communication between the gut microbiome and the brain.**

142 Three main pathways (neuronal, endocrine, and immunoregulatory) facilitate communication
143 between the gut microbes and the brain (11). A less well studied communication pathway may be
144 the transportation of microbiota-derived extracellular vesicles from the gut to the brain (12,13). In
145 addition, the CNS can directly modulate the function/composition of the gut microbiota through
146 the autonomic nervous system. Indirect pathways can facilitate this top-down modulation, such as:
147 1) luminal release of neurotransmitters, 2) permeability of the intestinal barrier and secretion of
148 mucus, and 3) regional regulation of gastrointestinal (GI) transit and motility. Furthermore,
149 norepinephrine, serotonin, and additional neurotransmitters are emitted from postsynaptic
150 sympathetic terminals and can exert a direct influence on gene expression and function of the gut
151 microbiota (14). As shown in Figure 1, the metabolic function of the gut microbial community is
152 largely diet-dependent and likely plays a vital role in its influence on behavior via the BGM system
153 (15).

154

155 **The role of dietary tryptophan in gut to brain signaling**

156 One of the best-characterized gut microbe and diet-dependent gut-to-brain signaling pathways is
157 related to metabolism of the essential amino acid tryptophan (Trp), necessary to produce serotonin
158 and other key molecules involved in neuroendocrine signaling and pathology. An important role
159 of serotonin as a pathophysiological factor in certain psychiatric disorders is the widespread use
160 of serotonin reuptake inhibitors in treating depression, and in the increased plasma serotonin levels
161 in a subset of patients with ASD (16). Certain gut microbes modulate Trp into various metabolites,

162 such as kynurenine, tryptamine and indoles (17–19). Trp metabolites play a significant role in
163 neuroendocrine and neuroimmune processes, as they can affect the CNS via the bloodstream or by
164 activating vagal afferents (See Figure 2) (14). By managing the serotonergic system, the gut
165 microbiota can directly impact their environment (14). The functional implications of serotonin
166 released into the gut lumen are not yet fully understood.

167

168 *Lactobacilli* generate hydrogen peroxide, a reactive oxygen species known to impede host
169 kynurenine metabolism by suppressing the expressing the indoleamine-2,3-dioxygenase (IDO1)
170 enzyme. In the GI tract, IDO1 participates in the conversion of Trp to kynurenine (20). In a rodent
171 model of chronic variable stress, reduced *Lactobacillus* abundance led to decreased ability of
172 hydrogen peroxide to inhibit IDO1, resulting in elevated kynurenine synthesis from Trp (21,22).
173 In these studies, elevated brain kynurenine levels were associated with increased depression-like
174 behavior, which was alleviated by administering *Lactobacillus* (23). Similar psychobiotic effects
175 in humans have not been demonstrated.

176

177 While numerous indoles have been shown to benefit both intestinal and systemic homeostasis (24),
178 preclinical investigations have demonstrated that specific indole metabolites are involved in
179 depression-like behavior (25). Although gut microbes have limited involvement in the synthesis
180 of serotonin and kynurenine from Trp, the production of indoles from Trp relies exclusively on
181 specific gut microbes that harbor the enzyme tryptophanase, crucial for their synthesis via the
182 indole pathway (26). The indole metabolite indoxyl sulfate is produced from microbiota-derived
183 indols in the liver and may be involved in the development of various neurological conditions,
184 such as ASD, AD, and depression (11).

185

186 In summary, based on largely preclinical studies, dietary Trp and its microbe-dependent
187 metabolites play a major role in modulating functions of the peripheral and CNS. However, a
188 causal role(s) of dietary Trp and its metabolites in the development of human psychiatric diseases
189 remains to be established.

190

191 **The role of diet in inflammatory gut to brain signaling**

192 Gram-negative microbes contain cell wall components called Microbe-Associated Molecular
193 Patterns (MAMPs) such as bacterial lipopolysaccharide (LPS), flagellin, lipoproteins, and
194 peptidoglycans. MAMPs can engage with toll-like receptors on enteric neurons or vagal afferents,
195 immune cells in the gut, or elicit their effects systemically throughout the body and brain. Dietary
196 influences on the gut microbiota can regulate the intestinal gut-based immune system by
197 influencing the permeability of the epithelial barrier and enabling the translocation of microbial
198 membrane components and even intact microbes into systemic circulation. This translocation and
199 systemic immune activation can lead to metabolic endotoxemia (27–29). Studies have explored
200 intricate connections between immune activation in the CNS and gut microbiota. Specific gut
201 microbes can affect the body’s immune system by directly activating immune cells in the gut,
202 leading to pro- and anti-inflammatory systemic immune responses (30). The potential association
203 of neurodegenerative and neuroinflammatory mechanisms associated with the BGM system in
204 various brain disorders was recently explored (31).

205
206 Although cell wall components from gut microbes are essential for neuroinflammation, other
207 microbes can be instrumental in inhibiting and preventing immune activation within the gut, such
208 as *Akkermansia muciniphila*. *Akkermansia* colonizes the outer compartment of the mucus layer
209 and improves intestinal barrier function by breaking down and utilizing mucin (MUC2) in the
210 intestinal mucus layer, while upregulating the synthesis of MUC2 by goblet cells through
211 metabolites (32). This dual effect on degradation and new synthesis of mucus strengthens the
212 intestinal barrier function with benefits for metabolic and immune homeostasis (33). Additionally,
213 a distinct group of microbial metabolites, specifically SCFAs, have strong peripheral and central
214 anti-inflammatory properties. Synthesis of SCFAs, particularly butyrate, is performed by several
215 species of gut microbes that ferment complex carbohydrates, including *Eubacterium rectale*, *E.*
216 *hallii*, *Faecalibacterium prausnitzii*, and *Ruminococcus bromii*. These microbes facilitate their
217 anti-inflammatory effects by promoting the production of IL-10 via G-protein coupled receptor
218 43. Furthermore, butyrate can directly reduce the expression of genes related to inflammatory
219 pathways in healthy intestinal tissue (34). The majority of butyrate within the colon (95%) acts as
220 an energy source through absorption by colonocytes via the tricarboxylic acid system and β -
221 oxidation. Absorption can also occur by small intestinal enterocytes from glutamate and glucose
222 (35). Moreover, butyrate can induce the differentiation of regulatory T cells, thus demonstrating

223 mechanisms in which intestinal homeostasis can be established (36,37). Microbially generated
224 butyrate not only has beneficial effects on immune and epithelial cells in the gut, has been shown
225 to have anti-inflammatory effects in the brain (38).

226
227 Neuroinflammation in the brain is closely linked to immune activation in the gut. Dietary
228 influences on the composition and function of the gut microbiome can indirectly act as a regulator
229 of microglia in the CNS, affecting their maturation and functioning through immunoregulatory
230 pathways (36,37). Microglia are the predominant immune cells in the brain, and the presence of
231 beneficial gut bacteria is essential for their optimal functioning (39). Administration of SCFAs
232 resulted in restored microglia function in cases of impairment (40). Based on extensive preclinical
233 evidence, microglia dysfunction and diet-related gut microbial dysbiosis have been linked to a
234 variety of psychiatric disorders, including anxiety, depression, neurodevelopmental disorders,
235 including ASD and neurodegenerative disorders (41,42).

236
237 Based on preclinical studies, diet-related gut changes can result in metabolic endotoxemia
238 affecting neuroinflammation. However, to establish causality between diet, metabolic
239 endotoxemia, and impaired brain function in psychiatric diseases, well-controlled human
240 intervention trials are essential.

241
242 **Diet, the BGM System and Brain Disorders**
243 Alterations in the interaction between the BGM system and diet have been associated with various
244 psychiatric and neurological disorders. In the following sections, we will explore a number of these
245 disorders for which there is significant clinical evidence supporting this relationship.

246
247 **Diet and depression.**

248 The prevalence of depression is rising globally, particularly amongst younger populations (43).
249 While traditional treatments involve centrally acting medications along with cognitive-behavioral
250 techniques or other psychological interventions, dietary interventions have emerged as a promising
251 adjuvant treatment approach (**Table 1**).

252

253 Systemic immune activation has been identified as a contributing factor in the development and
254 progression of depression (44). The Standard American Diet and other diets associated with
255 metabolic endotoxemia can have an effect on numerous biological systems linked to depression,
256 while a healthy diet was positively associated with self-reported happiness and mental well-being
257 (45–47). The relationship between diet and depression has been supported by multiple studies
258 (summarized in **Table 1**), some of which provide the best evidence to date to support a causal
259 relationship between a Mediterranean-style diet and beneficial effects in patients with depression.
260 Numerous studies suggest that higher consumption of fish, fruits, vegetables, and whole grains, as
261 well as a Mediterranean diet, is associated with a lower risk of depression (48,49). A correlation
262 was revealed between unhealthy dietary patterns and compromised mental health, while optimal
263 nutrition showed a positive association with improved mental health in children and adolescents
264 (50).

265

266 Although these studies provide insight into the relationship between diet and mental health,
267 conclusions cannot be drawn regarding the causality of diet and mental health. One explanation is
268 that individuals with depression resort to unhealthy comfort foods as self-medication, which can
269 temporarily improve mood and stress response. Other lifestyle factors, such as physical exercise
270 and social connection, may confound the results of these studies. Furthermore, early dietary
271 patterns and nutrient intake may influence brain development, and deficiencies in essential
272 nutrients due to poor-quality diets have been linked to mental health issues (51,52). Magnesium,
273 folate, zinc, and omega-3 fatty acids have been inversely associated with depression and anxiety
274 disorders. Despite methodological limitations of many studies looking at the correlation between
275 dietary patterns and depression, existing evidence strongly supports the benefits a diet high in
276 plant-based foods (e.g., Mediterranean-type diet) for improved mental health. One possible
277 explanation is the role of the gut microbiome in converting food components, such as fiber and
278 polyphenols, into anti-inflammatory molecules and signals that positively impact the brain.

279

280 Large European population-based studies utilizing data from the Flemish Gut Flora Project (53,54)
281 have strengthened the evidence linking an altered gut microbiome to depression. Among these
282 studies, one revealed a positive association between the bacterial taxa *Dialister* and *Coprococcus*
283 with quality-of-life (QoL) measures, while lower presence of *Bacteroides* was linked to both

284 reduced QoL scores and elevated rates of depression (55). In a recent large-scale study examining
285 microbiome data from the HELIUS and Rotterdam Study, the relationship between microbial
286 composition and depression was investigated. Twelve genera and one microbial family
287 (*Ruminococcaceae*) was found to be linked with depressive symptoms, including *Coprococcus*,
288 *Eggerthella*, and *Subdoligranulum* (53). Another study within the HELIUS cohort, focusing on
289 different ethnic groups, also found an association between certain microbiota and depression levels
290 that could be generalized among ethnic groups. This suggests that ethnic variations in the gut
291 microbiota might partially contribute to disparities in depression (54). Both studies (53,54) showed
292 alterations in GABA, glutamate, and tryptophan metabolites, which have been implicated in mouse
293 models of depression, leading the authors to suggest a role of these microbial changes in the
294 pathophysiology of depressive symptoms. However, other factors such as dietary habits and other
295 lifestyle factors may have contributed to the results.

296

297 In summary, despite considerable epidemiological evidence to demonstrate an association between
298 a healthy diet and lower rates of depression, as well as both preclinical and clinical evidence
299 implicating alterations in the gut microbiome in depression, high-quality clinical trials in well-
300 controlled study populations are needed to prove causality.

301

302 **Diet and cognitive decline.** AD is a progressive neurodegenerative disorder affecting over 40
303 million people globally. The disease is characterized by impaired executive brain function and
304 memory loss, and may coincide with anxiety, insomnia, and depression (56). Several clinical
305 studies have investigated the impact of diet on cognitive decline, some of which are summarized
306 in **Table 2**.

307

308 Various hypotheses have been proposed to explain the biochemical mechanisms underlying AD
309 including the concept of diet-related endothelial inflammation (57) and reduction of flow-mediated
310 vasodilation, as likely factors in early cognitive decline (58). Consumption of a healthier diet
311 during adulthood has been linked to a decreased risk of cognitive decline (59–61). Polyphenol
312 intake, through diet or supplementation, among elderly individuals has also been linked to
313 enhanced cognitive functioning (62,63). A recent study showed these benefits, however only in

314 subjects with a low baseline intake of flavonoid-rich foods (64). Human studies support earlier
315 preclinical research by demonstrating that polyphenol-rich diets, such as Mediterranean-style diets
316 are linked to better cognitive function (65).

317

318 A possible role of the gut microbiota in translating such diets into brain healthy molecules has
319 been supported by several preclinical and clinical studies (66). Vagal input is received by brain
320 regions such as the locus coeruleus and the nucleus solitarius, suggesting a possible neural
321 connection between the gut microbiome and brain regions impacted by AD, as demonstrated in
322 postmortem investigations (67). The composition of gut microbiota influences the interplay
323 between cognitive decline, neuroinflammation, and the onset of AD (68). Diet-induced endothelial
324 neuroinflammation can be inhibited by consuming dietary components like omega-3 fatty acids
325 and polyphenols, particularly cocoa flavanols.

326

327 Dietary intake of polyphenols can change the gut microbiota community structure and gut
328 microbial metabolites, by altering the composition of secondary BAs through modulation of
329 bacterial 7α -dehydroxylation of de-conjugated primary BAs into secondary BAs. In view
330 of BAs regulatory and signaling functions throughout the CNS (69), alterations in this system have
331 been implicated in AD. An increased ratio of cholic acid to deoxycholic acid, reflecting 7α -
332 dehydroxylation of cholic acid by gut bacteria, has been correlated with cognitive decline (70,71).
333 In individuals with AD, there is a reduction in systemic primary BAs and an increase in specific
334 secondary BAs that are synthesized by gut microbes and have also been detected in postmortem
335 brains of AD patients (70). This suggests that changes in the ratio of primary to secondary BAs
336 are influenced by dietary factors and gut microbiota composition. The progression of AD
337 symptoms from moderate to severe is associated with secondary BA levels, with higher
338 concentrations of secondary BAs correlating with poorer cognitive function (70). In a study
339 comparing metabolic profiles of AD patients and healthy controls, deoxycholic acid, a bile acid,
340 was identified as a distinguishing metabolite for early detection of AD (72). Nho *et al.* also found
341 an association between certain BAs, changes in brain structure, and cerebrospinal fluid biomarkers,
342 suggesting the involvement of the gut-liver-brain axis in cognitive decline related to AD (71). The

343 accumulation of amyloid-beta and tau protein aggregates in the CNS is considered a hallmark of
344 AD pathology.

345

346 Despite the proven benefits of Mediterranean-style diets, like the MIND diet (2,62,73), there is
347 increasing evidence supporting the potential therapeutic potential of a ketogenic diet for
348 individuals with AD or mild cognitive impairment (74–76). Several clinical studies, consistent
349 with preclinical findings (76–78), revealed that diets which increase blood ketone levels may
350 improve memory functioning and cognition in AD patients, as well as changes in gut microbial
351 composition (79–82). Numerous clinical trials have demonstrated the beneficial impact of a
352 medium chain triglyceride diet on the results of the Alzheimer's Disease Assessment Scale-
353 Cognitive subscale (ADAS-Cog) in mild-to-moderate AD patients, indicating an immediate
354 improvement on the ADAS-cog (83), as well as prolonged and notable enhancements in digit-
355 symbol coding tests and immediate and delayed logical memory (79). Furthermore, improvements
356 in the Memory Composite Score, ADAS-cog, and a range of cognitive domains, such as processing
357 speed, episodic memory, executive function, and language were observed (80,84).

358

359 In view of current evidence supporting diets with very different composition and effects on the gut
360 microbiome and AD symptoms, no clinical dietary recommendations can currently be made.
361 While neither diet is likely to benefit patients with advanced disease, future well-designed clinical
362 trials are needed to identify the most effective dietary interventions to slow the progression of mild
363 cognitive impairment.

364

365 **Diet and autism spectrum disorder.** ASD is distinguished by impaired social communication
366 and persistent, repetitive behaviors that emerge during early development and significantly hinder
367 normal social function (85). Incidence of ASD has surged in recent years, with one in 36 children
368 in the US currently being affected (86). ASD is associated with GI issues, immune dysregulation,
369 and anxiety, with common GI symptoms including changes in bowel habits, gastric reflux,
370 abdominal pain, and diarrhea (87).

371

372 Although the genetic risk factors for ASD have not changed significantly in recent years,
373 environmental factors such as dietary habits and exposure to toxins of the affected individuals have
374 been identified as potential contributors to its etiology, while maternal obesity, maternal immune
375 activation, and metabolic syndrome are underappreciated risk factors. Several preclinical and
376 clinical studies have revealed that individuals with ASD have elevated levels of inflammatory
377 markers in their bloodstreams compared to neurotypic controls, including heightened systemic
378 tumor necrosis factor-alpha (TNF- α) (88–90) and increased IL-1B (88,91). Postmortem analysis
379 of ASD patients has indicated increased intestinal permeability (92). The presence of GI symptoms
380 and anxiety in conjunction with these observations implies that gut dysbiosis may be a contributing
381 factor to the underlying pathophysiology of ASD.

382

383 Several clinical studies (93–95) corroborate preclinical findings (96,97) in revealing changes to
384 the gut microbiota in ASD patients and proposing that the gut microbiome may be involved in its
385 pathophysiology. Multiple small-scale dietary intervention studies examined the potential of using
386 diet as a treatment for ASD (see **Table 3**). However, many of these studies are of insufficient
387 quality to support the benefit of a particular diet in patients with ASD. For example, two separate
388 studies found no significant changes in behavior or beta-casomorphin concentrations in urine
389 between a gluten-free, casein free diet and ASD symptoms (98,99). Tryptophan-based treatments
390 have been proposed in treating ASD symptoms, as lack of dietary tryptophan has been shown to
391 exacerbate ASD behaviors (100). However, no clinical evidence can support an association
392 between hyperserotonemia and ASD symptom alleviation, either through dietary tryptophan
393 intervention or selective serotonin reuptake inhibitor administration (101,102).

394

395 Preclinical and clinical evidence suggests a role of maternal immune activation as a result of
396 infections or diet-induced immune activation in increasing the risk for ASD in the offspring (103–
397 105). Further studies including placebos, binding elements, and long follow-up periods are
398 required to better understand the effect of maternal and offspring diet in preventing and treating
399 ASD symptoms.

400

401 **Interventions targeting the microbiome:**

402 Supplementation with probiotics and prebiotics has become increasingly popular in the treatment
403 of psychiatric disorders, some of which are discussed in Table 4. Probiotics are ‘live
404 microorganisms which, when administered in adequate amounts, confer a health benefit to the
405 host’ (106). For example, probiotic supplementation on depression was studied in 47 participants
406 which showed that probiotic administration was associated with a decrease in The Hamilton
407 Depression Rating Scale (HAM-D) scores and an increase in *Lactobacillus*, which was associated
408 with significantly reduced depressive symptoms. This study suggests a possible association
409 between the gut microbiome and depression, but does not provide evidence for a causal
410 relationship (107).

411

412 Prebiotics are substrates that increase the growth of health-promoting microorganisms, thus
413 improving host health (108,109). Prebiotics enhance the relative abundance and metabolic function
414 of gut microbial taxa, which release SCFAs into the circulatory system, affecting the GI tract and
415 other organs (110). Clinical evidence supports the advantages of a prebiotic-rich diet in promoting
416 gut microbiome richness and diversity and in reducing systemic immune activation (8,111).

417

418 FMT, involving the transfer of gut microbiota from a healthy donor into a patient, shows potential
419 as a therapeutic strategy for a number of psychiatric disorders. Evidence in support of such a gut
420 microbial role comes from earlier preclinical studies (112) and from several studies demonstrating
421 that FMT from patients with a clinical diagnosis of MDD into a rodent can lead to depressive-like
422 behaviors in the recipient, suggesting a possible causal relationship (113,114). Definitive evidence
423 for a therapeutic benefit of FMT remains limited to *Clostridioides difficile* colitis and multiple
424 clinical studies have failed to provide such evidence for the effectiveness of FMT in the treatment
425 of psychiatric disorders. One exception is a study in children with ASD receiving FMT revealed a
426 significant prolonged reduction in GI and ASD symptoms, as well as changes in the abundance of

427 specific beneficial bacterial taxa, such as *Bifidobacterium*, *Desulfovibrio*, and *Prevotella* (94,115).
428 Even though not replicated by other published studies, these findings provide evidence that the gut
429 microbiome contributes to the pathophysiology of ASD.

430

431 The assessment of the possible therapeutic benefits of FMTs in brain disorders, emulating the
432 outcomes observed in animal models, has achieved limited and short-lived success (116). Even so,
433 it is essential to confirm these results through well-designed RCTs before proposing it as a viable
434 treatment option. It remains to be demonstrated whether personalized and targeted dietary and
435 supplement advice based on stool microbiome assessments is effective, although this method
436 combined with genetic risk factor analysis has the potential to emerge as a valuable approach in
437 the future.

438

439 **Challenges, Clinical Implications and Future Directions**

440 A wealth of clinical epidemiological research suggests that nutritional interventions offer
441 therapeutic benefits for chronic brain disorders, while preclinical studies have provided
442 mechanistic evidence supporting a role of the microbiome in mediating some of these benefits
443 (117). However, there are still major hurdles to overcome before the effectiveness of dietary
444 approaches in treating cognitive decline, depression, anxiety, ASD, and AD can be fully
445 understood. Important questions include the specificity of certain diets and their individual
446 components providing mental health benefits. In the case of neurodegenerative diseases, i.e. can
447 a particular diet delay the onset of the disease, slow progression, or alleviate symptoms once fully
448 developed? In the case of depression, can a dietary intervention replace pharmacological therapy
449 in mild forms of the disorder, or should it be used as an adjuvant therapy, reducing symptoms, and
450 medication side effects? In the case of ASD, is the most effective strategy to change the diet of the
451 pregnant mother, thereby preventing the generation and transmission of inflammatory or
452 neuroactive molecules to the fetal brain?

453

454 An ongoing query involves the specificity of gut microbiome changes in various mental disorders.
455 The American Gut Project identified similar gut microbiomes in individuals with conditions like

456 depression, schizophrenia, and post-traumatic stress disorder (PTSD) across both US and UK
457 populations, despite gender differences. (118). This review highlights how various chronic brain
458 disorders may stem from systemic low-grade immune activation, linked to diet-related increased
459 gut permeability and the prevalence of pro-inflammatory microbial molecules (LPS, MAMPs)
460 over anti-inflammatory mechanisms like SCFA and IL-10. Elevated gut permeability, leading to
461 immune activation and metabolic endotoxemia, is suggested as a shared factor in mental disorders
462 and other medical conditions (119). As such, promoting the growth of SCFA-producing microbes,
463 particularly butyrate-producers in the gut could represent a promising therapeutic approach with
464 broad applications (120).

465
466 We propose a model where the gut microbial ecosystem's health and interactions with the host's
467 immune system profoundly impact the BGM system's homeostasis, and that chronic disturbances
468 in this equilibrium result in varied mental disorders, influenced by genetic predisposition and
469 exposome factors like perinatal influences, stress, viral infections, and environmental toxins.
470 Additionally, we propose a model where diet, gut microbes, and gut interactions create a
471 proinflammatory environment in the gut and the brain, potentially shared across psychiatric
472 disorders influenced by genetics, sex, and exposome factors. While specific dietary interventions
473 await stronger evidence for certain psychiatric disorders, practical advice emphasizes a healthy,
474 plant-based diet like the traditional Mediterranean diet.

475

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912
913 **Figure Legends**

914 **Figure 1.** Diet-related and microbial signaling molecules influencing brain function. Neuroactive,
915 inflammatory and anti-inflammatory molecules interact on brain targets to modify brain networks.
916 Diet influences composition and function of the gut microbes, and microbes metabolize large diet-
917 derived molecules (polysaccharides and polyphenols) and amino acids into neuroactive
918 substances.

919 Modified with permission from Horn et al, 2022.

920
921 **Figure 2.** Tryptophan effects on the brain. 3 Illustrates the 4 different pathways (tryptophan,
922 serotonin, kynurenine, and indoles) through which Trp can influence brain function. Three of
923 these pathways are dependent on gut microbial metabolism, in the other one, Trp, reaches the raphe
924 nuclei through the systemic circulation, without microbial modifications.

925 Modified with permission from Horn et al, 2022.

926