Chemical preservation of tail feathers from *Anchiornis huxleyi*, a theropod dinosaur from the Tiaojishan Formation (Upper Jurassic, China)

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Abstract: A panel of geochemical techniques is used here to investigate the taphonomy of fossil feathers preserved in association with the skeleton of the Jurassic theropod *Anchiornis huxleyi*. Extant feathers were analysed in parallel to test whether the soft tissues morphologically preserved in the fossil also exhibit a high degree of chemical preservation. Scanning electron microscopy (SEM) and energy dispersive spectroscopy (EDS) indicate that clays and iron oxide pseudomorphs occur in the surrounding sediment and also reveal the preservation of melanosome-like microbodies in the fossil. Carbon gradient along a depth profile and co-occurrence of carbon and sulphur are shown in the fossil by elastic backscattering (EBS) and particle-induced X-ray emission (PIXE), which are promising techniques for the elemental analysis of fossil soft tissues. The molecular composition of modern and fossil soft tissues was assessed from micro-attenuated total reflectance fourier transform infrared spectroscopy (micro-ATR FTIR), solid-state ^{13}C nuclear magnetic resonance (CP-MAS ^{13}C NMR) and pyrolysis gas chromatography mass spectrometry in the presence of TMAH (TMAH-Py-GC-MS). Results indicate that the proteinaceous material that comprises the modern feathers is not present in the fossil feathers. The fossil feathers and the embedding sediment exhibit a highly aliphatic character. However, substantial differences exist between these samples, revealing that the organic matter of the fossil feathers is, at least partially, derived from original constituents of the feathers. Our results suggest that, despite the morphological preservation of *Anchiornis* feathers, original proteins, i.e. keratin, were probably not preserved in the 160-myr-old feathers.

Key words: *Anchiornis*, fossil feathers, taphonomy, soft tissue preservation, dinosaur.

INTRODUCTION

Preservation of soft parts (non-mineralized tissues) in fossil animals is relatively rare when considering the whole geological record. Soft parts of organisms are usually lost during the diverse degradation processes occurring during fossilization. Their constitutive labile organic compounds are usually too fragile to be preserved, compared to the 'hard' (biomineralized) parts, which are generally better preserved. However, some important fossil-bearing sites yield not only exquisitely preserved skeletons but also remains of soft tissues, such as skin, scales, hair or feathers (e.g. Allison & Briggs 1993; Zhu *et al*. 2005; Pan *et al*. 2013). Feathers, the epidermal appendages that form the external covering of modern birds, have been discovered preserved in close association with fossils of theropod dinosaurs in *Konservat-Lagerstätten* (localities that are characterized by the unusual quality of the fossils) from the Upper Jurassic and Lower Cretaceous of China (Xu *et al*. 1999, 2009, 2012; Hu *et al*. 2009; Godefroit *et al*. 2013; Chu *et al*. 2016) and Germany (Rauhut *et al*. 2012). During the last twenty years, Liaoning Province, in north-eastern China, has yielded well-preserved vertebrate fossils with soft parts (e.g. Benton *et al*. 2008; Kellner *et al*. 2010; Li *et al*. 2012). The most striking discoveries were exquisitely well-preserved feathered theropod dinosaurs, evidencing their relationship with modern birds. Since the discovery of the Early Cretaceous *Sinosauropteryx prima* (Ji & Ji 1996), many other feathered specimens have been found (Hu *et al*. 2009; Li *et al*. 2012; Xu *et al*. 2012, 2015). In the same way, the discovery of one of the most primitive birds, *Archaeopteryx lithographica*, associated with well-preserved feathers, constitutes a gigantic step in the comprehension of bird and feather evolution (Christiansen & Bonde 2004). Interestingly, elongate filaments interpreted as primitive feathers were observed in ornithischian, i.e. non-theropod, dinosaurs (Mayr *et al*. 2002; Zheng *et al*. 2009). Recently, both 'feather-like' structures and scales were discovered together with remains of the middle Jurassic

71   neornithischian *Kulindadromeus zabaikalicus* collected from volcanoclastic deposits in Siberia

72   (Godefroit *et al*. 2014, 2020; Cincotta et al. 2019). Recently, a small theropod dinosaur, the

73   scansoriopterygid *Ambopteryx longibrachium* (Wang et al. 2019) from the Upper Jurassic of China, was

74   described with membranous wings instead of feathered ones. This wing configuration was probably lost

75   during evolution in favour of the feathered wing configuration that occurs in modern birds.

76   In a recent study, Zhao et al. (2020) observed the structure of experimentally matured feathers and

77   reported the fusion of barbules in the matured feathers. This result has implications in terms of feather

78   taphonomy and evolution, for the absence of barbules in fossil feathers could be, according to the

79   authors, due to their fusion during diagenesis rather than their true absence in the specimen. The addition

80   of chemical analyses to this study would have probably allowed a better understanding of how

81   maturation affects the preservation of biomolecules in feathers.

82   Fossil feathers show a wide range of preservation degrees (e.g. Schweitzer 2011; Xing *et al*. 2016). The

83   study of these diversely preserved structures is crucial for a better understanding of the taphonomic

84   processes leading to their preservation. In most cases, feathers and other types of preserved soft-tissues

85   were deposited in calm, low-energy environments (Kellner & de Almeida Campos 2002). They are

86   found in diverse environmental settings such as shallow-marine (Barthel 1964; Heimhofer & Martill

87   2007; Martill & Heimhofer 2007), lacustrine (e.g. Harms 2002; Zhou *et al*. 20ara10; Sullivan *et al*.

88   2014), or terrestrial (Manning *et al*. 2013). Different modes of preservation occur for ancient soft

89   tissues: carbonaceous films (e.g. Li *et al*. 2010; Lindgren *et al*. 2015), phosphate (Allison & Briggs

90   1993; Briggs *et al*. 1993), pyrite (Briggs *et al*. 1991; Leng & Yang 2003; Farrell *et al*. 2013), clay

91   minerals (Gabbott *et al*. 2001; Martin *et al*. 2004), aluminosilicates (Butterfield *et al.* 2007) or a

92   combination of these (Wilby *et al*. 1996).

93   Feathers are epidermal appendages mainly composed of keratin (Lucas & Stettenheim 1972), which is

94   present as two secondary structures, alpha-helixes and beta-sheets, corresponding to alpha- and beta-

95   keratin, respectively (Fraser & MacRae 2012). Alpha-keratin plays a hydrophobic role in avoiding water

96   loss, whereas beta-keratin increases skin hardness (Gregg & Rogers 1986; Fraser & Parry 1996).

97   According to Lucas & Stettenheim (1972), the amino acid content of keratin in modern bird feathers is

98   rather homogenous in identical parts of a feather (e.g. in rachis of feathers belonging to the same

99   species), although it varies from one species to another. Nonetheless, feather keratin always comprises

100   high amounts of serine, glycine, proline, and lower quantities of valine, leucine, alanine and cysteine

101   (O'Donnell & Inglis 1974; Arai *et al*. 1983, 1986; Gregg & Rogers 1986; Murphy *et al*. 1990; Staroń *et*

102   *al*. 2011; Saravanan & Dhurai 2012).

103   The potential of keratin to resist diagenetic processes is still poorly known. Saitta *et al*. (2017)

104   performed decay and maturation experiments of various keratinous structures, which suggested that

105   feather keratin would not survive diagenesis. Although the ultrastructure of feather keratin, i.e. fibrils,

106   can be preserved (Lindgren *et al*. 2015), there is no direct evidence for the preservation of its

107   proteinaceous compounds. Several immunohistological studies have suggested that keratin could be

108   preserved (Schweitzer *et al*. 1999; Moyer *et al*. 2016; Pan *et al*. 2016), although this method remains

109   highly controversial. By contrast, melanin (the natural pigment present in a variety of soft tissues

110   including hair, skin and feathers) is considered to be more resistant to degradation and fossilization

111   processes. Melanin has been unequivocally identified in various types of fossil tissues, such as fish eyes

112 (Lindgren *et al*. 2012), bird feathers (Colleary et al. 2015), non-avian dinosaur feathers (Lindgren *et al*.

113 2015), mammal hair (Colleary *et al*. 2015) and frog skin (McNamara *et al*. 2016).

114 Here, we investigate the ultrastructure and chemical composition of fossil feathers of a theropod

115 dinosaur, *Anchiornis huxleyi* (YFGP-T5199), collected from Upper Jurassic deposits of the Tiaojishan

116 Formation (Liaoning Province, China). Previous study of the same specimen focused on the

117 identification of pigment remains (eumelanin), and evidenced the preservation of melanosomes in the

118 feathers (Lindgren *et al*. 2015). We report new and complementary geochemical information about the

119 preservation of macromolecular compounds in the fossil feathers using a range of analytical tools. The

120 surrounding sediment and modern feathers were analysed in parallel to ascribe pristine constituents.

121 Scanning electron microscopy (SEM) and energy dispersive X-ray spectroscopy (EDS) were used to

122 identify and characterize the elemental composition of preserved pigment organelles and the

123 sedimentary matrix. X-ray diffraction (XRD) was used to analyse the mineralogical composition of the

124 samples in an attempt to understand the role of sediment mineralogy in the preservation of soft tissues.

125 Ion beam analysis (IBA), is recognized as a promising archaeometric tool (Jeynes & Colaux 2016) and

126 has recently been successfully applied to human bone analyses (Beck 2014). In this work, particle-

127 induced X-ray emission (PIXE) and elastic backscattering spectrometry (EBS) were used for the first

128 time on fossil soft tissues to get insights into the heavy (PIXE) and light (EBS) in-depth elemental

129 composition of the samples. This approach is innovative in the study of organic materials. Organic

130 geochemistry techniques, micro-attenuated total reflectance fourier transform infrared spectroscopy

131 (micro-ATR FTIR), ^{13}C-Nuclear Magnetic Resonance (NMR), Pyrolysis gas chromatography-mass

132 spectrometry in the presence of TMAH (TMAH-Py-GC-MS), were applied to characterize the functional

133   groups and other biomolecular components present in the studied samples. To our knowledge, the

134   detailed chemical characterization by ^{13}C NMR and Py-GC-MS of fossil feathers from a non-avian

135   dinosaur has not been done elsewhere.

136

137   **MATERIAL AND METHODS**

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139   *Specimen information*

140   The studied specimen, *Anchiornis huxleyi* (YFGP- T5199) (Fig.1), is a basal Avialan (the description of

141   the specimen is available in Lindgren *et al*. 2015, SI, pp. 18–23) that was collected from the Tiaojishan

142   Formation in the Yaolugou locality (Liaoning Province, China), and belongs to the Yizhou Fossil and

143   Geology Park in Liaoning. The Tiaojishan Formation consists of hundreds of meters of alternating

144   sedimentary and volcanic beds (Yuan *et al*. 2005; Yang *et al*. 2006; Liu et al. 2012). Absolute dating on

145   a laterally equivalent formation, the Lanqi Formation, indicates an age ranging between 165.0 ± 1.2 and

146   153.0 ± 2.0 Ma (Zhang *et al*. 2008; Chang *et al*. 2009), which spans the Callovian-Kimmeridgian

147   interval (Middle–Late Jurassic; Gradstein *et al*. 2012). YFGP- T5199 is embedded in thinly laminated

148   carbonate sediments, corresponding to alternation of very thin marl and thicker clay laminae. These

149   sediments were deposited in the context of a lake affected by episodic volcanic eruptions (Nan *et al*.

150   2012). Recent U–Pb radiochronological analyses on zircons from the Jianchang locality indicate that the

151   Yanliao Biota, that includes *Anchiornis* as well as pterosaurs and eutherian mammals, is Oxfordian in

152   age (Chu *et al*. 2016). The plumage of the specimen studied herein is morphologically preserved as dark

153   brown residues around the skeleton, especially around the tail and the forelimbs, and on the skull.

Sample description

155 The studied samples consist of fossil feather fragments dissected from the posterior end of the tail (Fig.

156 1, the dark area in the white box, top right) of YFGP- T5199, as well as fragments of the host sediment

157 (Fig. 1, the light area in the white box). To test for possible chemical contamination of the fossil feathers

158 by sediment, the sediment samples were analysed using the same methodology as for the fossil feathers.

159 Two types of sediment samples were studied: (1) 'host' sediment directly in contact with the feathers

160 from the tail (light area in white box on Fig. 1); and (2) 'remote' sediment located > 100 mm from the

161 fossil on the same slab (yellow box bottom left on Fig. 1).

162 *Sample preparation*

163 Two modern brown wing feathers of *Buteo buteo* (buzzard, Aves: Accipitriformes; RBINS collection

164 number: A4011A01; Cincotta *et al*. 2020, fig. S1) were analysed for comparative purposes. Different

165 parts of the feathers, rachis and barbs, were analysed with IBA. The modern feathers come from a

166 specimen that died naturally and was stored at –18°C at the Royal Belgian Institute of Natural Sciences

167 prior to analysis.

168 Two types of sample were collected on the fossil specimen: millimetre-sized samples for SEM and EDS,

169 as well as centimetre-sized samples for the other analytical approaches. We took a total of 12 millimetre-

170 sized samples (Fig. 1) from different regions of the body of YFGP-T5199 with a sterile scalpel. The

171 samples were mounted on double-sided carbon tape and sputter-coated with gold (BAL-TEC SCD 050).

172 Centimetre-sized fragments of approximately 5 mm² and 2 mm thick, from fossil feathers (white box in

173 Fig. 1) and sediment (yellow box in Fig. 1) were dissected with a sterile scalpel. Samples were cleaned

174 with distilled water without any additional preparation prior to analysis.

175　Several points were analysed with IBA and micro-ATR FTIR on two centimetre-sized fragments

176　containing both fossil feathers and their 'host' sediment, and one fragment of 'remote' sediment

177　(Cincotta *et al*. 2020, figs. S1B, S2). Other centimetric samples from the same region were collected for

178　^{13}C NMR and Py-GC-MS (white and yellow boxes on Fig. 1). These samples were crushed and lipids

179　were extracted in order to: (1) eliminate potential contaminants related to sample manipulation; and (2)

180　concentrate macromolecular organic matter which mainly corresponds to proteins in modern feathers.

181　Samples were ground to a fine homogeneous powder in an agate mortar. Lipid extraction involved three

182　successive ultrasonications (10 min) in 15 ml of dichloromethane/methanol (2:1, v/v), at room

183　temperature and centrifugation at 1921 g (10 min). The supernatant was removed and the pellet was

184　dried under nitrogen and stored in the dark at 5°C prior to analysis.

185　*Analytical methods*

186　Samples were imaged under low vacuum with an environmental QUANTA 200 (FEI) scanning electron

187　microscope (at an acceleration voltage ranging from 20 to 30 kV and working distances of 8 – 15 mm).

188　Subsequent semi-quantitative EDS analyses (single point and mapping) were performed using either an

189　environmental QUANTA 200 (acceleration voltage of 30 kV and working distance of 10 mm) or a field-

190　emission JEOL 7500F (acceleration voltage of 15 kV and a working distance of 8 mm).

191　XRD analyses were carried out on both bulk rock and clay mineral with a Philips diffractometer using

192　Cu K_{α} radiation. A tube voltage of 40 kV and a tube current of 30 mA were used. The goniometer

193　scanned from 3° to 70° (2θ) for the bulk rock and from 3° to 30° (2θ) for clay minerals. The clay

194　minerals (<2 µm fraction) were isolated by successive centrifuging after decarbonatation of the crushed

195　rock with 1N HCl. The preparation was mounted on glass slides and treated according to the three

following protocols: (1) natural (air-dried); (2) ethylene-glycol solvation; and (3) heated at 490°C for 2

h. Clay minerals were identified according to the position of the (001), or (0001), series of basal

reflections on the X-ray diffractograms.

EBS and PIXE measurements were performed using a 3 MeV proton (^{1}H) beam from the Tandetron

linear accelerator ALTAÏS (University of Namur). PIXE is highly sensitive to Na to U elements whereas

EBS signals are enhanced for light elements (e.g. C, N and O) due to strong non-Rutherford cross-

sections. These two integrative methods, together, can identify almost all elements of the periodic table.

The beam spot size was reduced to 0.5 mm in diameter to minimize topographic effects. Backscattered

particles were detected using two detectors mounted at scattering angles of 170° and 165°, whereas the

emitted X-rays were collected with an Ultra-LEGe (ultra low energy germanium) detector mounted at

135°. Angles are given relative to the incident beam direction. A selective filter (6 µm of Al) was

mounted in front of the Ultra-LEGe detector to lower the strong Si signal and therefore enhance the

rather weak S signal observed in the fossil feathers. The modern brown feathers were analysed at two

different locations (barb and rachis; Cincotta *et al*. 2020, figs. S1, S2). Two locations were analysed in

the fossil feathers. The 'host' sediment was analysed at three different locations (at 1.7, 3.2, and 4.8 mm

away from the fossil) and the 'remote' sediment at one location (Cincotta *et al*. 2020, fig. S2). All the

samples were analysed using the same experimental settings. A certified reference material (BCR-126A

lead glass from NIST) was analysed to: (1) calibrate the detectors (both EBS and PIXE); and (2)

estimate the accuracy of the PIXE measurements. The EBS spectra were analysed with DataFurnace

software (Jeynes *et al*. 2003) together with the cross-sections generated by SigmaCalc (Gurbich 2016) to

derive the depth profiles of the major elements (for C, see Cincotta *et al*. 2020, fig. S4). The integral of

C, O and Si depth profiles (integration limits set to 0–25 000 TFU[1], or 0–3 µm considering a density of

218   2.65 g/cm³ for the sediment) yields the C, O and Si equivalent thicknesses given in TFU (details on the

219   global uncertainty calculations can be found in Cincotta *et al*. 2020, table S1). The PIXE spectra were

220   manipulated with GUPIX software (Campbell *et al*. 2010). The matrix composition to be used in GUPIX

221   was determined by integrating the depth profiles of the main components observed by EBS (i.e. C, O

222   and Si) on a given interval (0–100 000 TFU).

223   Micro-ATR FTIR was performed on modern and fossil feathers using a Bruker Vertex 70 FTIR

224   spectrometer (University of Hasselt, Belgium) equipped with a Hyperion 2000 microscope and MCT

225   detector. The infrared spectra were collected in the mid-IR range, from 4000 to 600 cm^{-1}, and 32 scans

226   were acquired in attenuated total reflectance (ATR) mode (Ge –ATR crystal) with a resolution of 4 cm^{-1}.

227   FTIR spectroscopy was used on a modern buzzard feather (dark regions), one sample of fossil feather

228   from the tail of *A. huxleyi* and the surrounding sediment, to identify the presence or absence of

229   functional groups in their molecular composition.

230   ^{13}C NMR is a spectroscopic method that documents the chemical environment of carbon in organic

231   compounds. Solid state ^{13}C NMR spectra of the lipid-free samples were obtained at 125 MHz (Bruker

232   Avance 500 spectrometer) using a 4 mm zirconium rotor, with a cross-polarization (CP) sequence and

233   magic angle spinning (MAS) at 14 kHz. CP-MAS ^{13}C NMR spectra were acquired with contact time of

234   1 ms and recycle time of 1s (fossil and sediment) or 3s (modern feathers). The use of a single contact

235   time does not allow precise quantification of the identified chemical functional groups. Each spectrum

236   was the result of 6 000 (modern samples) to 400 000 (sediments) scans.

237   Curie point Py-GC-MS gives insight into the molecular composition of organic macromolecular

238   materials through their thermal degradation into molecular building blocks that can be separated by gas

239 chromatography (GC) and further identified by mass spectrometry (MS). Tetramethylammonium

240 hydroxide (TMAH) was used to enhance the thermal breakdown of macromolecules and induce *in situ*

241 methylation of pyrolysis products, which, in turn, enhance their detection and identification in GC-MS.

242 The samples were mixed with an excess of TMAH (25 wt % in methanol) in a 1:1 (wt/wt) ratio before

243 loading in ferromagnetic tubes with Curie temperature of 650°C. Masses of 2 mg were used for the

244 modern samples, 6 mg for the fossil feathers, and 16 mg for the sediment. Curie point pyrolysis was

245 carried out with a Pilodist Curie flash pyrolyser. Samples were heated at their Curie temperature for 10 s

246 under a He flow of 1 ml/min. The instrument was coupled directly to a GC-MS system. The pyrolysis

247 products were separated using a Trace Thermo gas chromatograph equipped with a Rxi5SilMS column

248 (30 m × 0.25 mm i.d., 0.5 µm film thickness). Helium was used as carrier gas at constant pressure of 15

249 psi. The injector temperature was 280°C in spitless mode. The oven temperature was maintained at 50°C

250 for 10 min and was progressively increased to 310°C at 2°C/min. Coupled to the gas chromatograph was

251 a DSQ Thermo mass spectrometer with a heated interface (310°C), electron energy of 70 eV and ion

252 source at 220°C, scanning from m/z 35 to 800 at 2 scans/s. Compounds were assigned on the basis of

253 their mass spectra, comparison with the NIST library mass spectra, published mass spectra (Gallois *et al*.

254 2007; Templier *et al*. 2013) and GC retention times. The molecular structure of all compounds present in

255 substantial amount was investigated without any ion selection that could have biased interpretations.

256 *Institutional abbreviation.* NIST, National Institute of Standards & Technology, US Department of

257 Commerce; RBINS, Royal Belgian Institute of Natural Sciences, Brussels, Belgium; YFGP, Yizhou

258 Fossil & Geology Park, China.

259

RESULTS

SEM/EDS and XRD

263 SEM of the fossil feathers revealed that they are embedded in a sedimentary matrix containing mainly

264 quartz, carbonates, and phyllosilicates. The latter are organized in thin platelets oriented parallel to each

265 other (Fig. 2A, B). A feather sample from the right wing of *Anchiornis* (sample 1 on Fig. 1) showed

266 abundant rounded crystals that are present only beneath the surface. They occur mainly as framboids

267 (Fig. 2C), but also as individual microcrystallites (Fig. 2D) and, in some cases, are associated with

268 voids. Framboids are spheroidal or ovoid, 6–9 µm in diameter and contain dozens of euhedral crystals,

269 750 nm in diameter. In contrast, individual cubic crystals are much smaller (about 500 nm^3) and contain

270 micro-crystallites (Fig. 2D). EDS analyses indicate that the sediment is composed of Fe, Si, O, Al, C, Ca

271 (and Mn, K, Mg), probably indicating the presence of quartz, calcite, and various phyllosilicates. XRD

272 analyses confirmed the presence of these minerals in the sediment (Fig. 3A). In addition, the XRD

273 spectrum of the < 2 µm phase shows that expansive material, such as interstratified illite/smectite, is

274 present in the sediment (Fig. 3B). Due to their characteristic framboidal shape and elemental

275 composition, the crystal clusters observed beneath the fossil feather surface are attributed to diagenetic

276 iron oxides or hydroxides. Indeed, although the framboidal habit is common for iron sulphides, the lack

277 of S here shows they are rather iron oxide pseudomorphs probably resulting from the *in situ* weathering

278 of pyrite framboids (Nordstrom 1982; Kaye *et al*. 2008; Wang *et al*. 2012; Blanco *et al*. 2013). These

279 structures are associated with thin clay overgrowths (arrow in Fig. 2C, E), indicating that the iron oxides

280 (or the preceding pyrites) precipitated first. Tiny stellate minerals were also observed and identified as

13

281 probable iron oxides by X-ray spectroscopy (Fig. 2F). The presence of calcium carbonates, feldspars

282 (Fig. 2G) and quartz (Fig. 2H) in the sedimentary matrix was confirmed by X-ray spectroscopy.

283 Elongate microbodies, 650–950 μm, and their associated imprints were observed in three samples (6, 9,

284 and 12 on Fig. 1) collected from the anterior and posterior parts of the dinosaur tail (Fig. 4). Microbody

285 imprints are abundant, tightly packed together and randomly oriented (Fig. 4A, B). They probably

286 represent traces of melanosomes. Similar microbodies interpreted as melanosomes have previously been

287 observed in feathers from the crest of this specimen (YFGP-T5199; Lindgren *et al*. 2015). Here, isolated

288 elongate structures were observed (Fig. 4C). These fossil organelles are preserved within the thin clay-

289 rich sediment.

290 *Ion Beam Analysis: EBS and PIXE*

291 The great virtue of EBS is to be capable of yielding the elemental depth profiles non-destructively from

292 the outermost microns of the sample with good sensitivity and depth resolution (Jeynes & Colaux 2016).

293 A typical EBS spectrum obtained from the fossil feathers is shown in Cincotta *et al*. (2020, fig. S3)

294 together with its best fit. The experimental spectrum was inverted to recover the elemental depth profiles

295 (examples are shown for carbon in Cincotta *et al*. 2020, fig. S4). Integration of these elemental depth

296 profiles allows derivation of the concentration of each element at a given depth. Figure 5A clearly shows

297 that the C enrichment in the near surface region (*c*. 60%) decreases at increasing distance from the fossil

298 feathers, reaching a minimum in the 'remote' sediment (*c*. 14%). Sample concentrations of O and Si

299 (although less obvious) follow an opposite trend. The N content in the modern buzzard feathers is *c*. 20–

300 26%. The very low content of N in the fossil (*c*. 5%) and even less (under the limit of detection) in the

301 remote sediment (Cincotta *et al*. 2020, fig. S3) precluded its depth profiling.

14

302   In contrast, EBS analysis of modern buzzard feathers shows homogeneous concentrations with depth

303   (Cincotta *et al*. 2020, fig. S5). In the buzzard feathers, C content is about 60 at.%, while N and O are

304   both around 20 at.% for the rachis and around 25 and 15 at.% respectively for the barbs. Typical PIXE

305   spectra acquired from the fossil feathers, 'host' sediment and 'remote' sediment are shown in Figure 5B.

306   The samples differ in the amounts of several elements present (Cincotta *et al*. 2020, tables S1, S2). Of

307   particular interest is the S content: there are elevated concentrations of S in the fossil feathers (average

308   1842 ± 208.5 ppm), less in the 'host' sediment (1162 ± 143 ppm), and much lower concentrations in the

309   'remote' sediment (98 ± 35 ppm). The concentration of S in the fossil feathers is roughly 20 times lower

310   (*c*. 0.2 wt.%) than in the modern bird feathers (*c*. 3.7–4.3 wt.%). The co-occurrence between S and C is

311   also highlighted (Table 1). Both concentrations decrease with depth within the fossil feathers whereas

312   the reverse situation is observed for Si and O.

313   *^{13}C NMR*

314   The ^{13}C NMR spectrum of buzzard feathers (Fig. 6A) shows a complex signal in the aliphatic region,

315   with well-resolved peaks between 10 and 65 ppm and a narrow peak at 173 ppm, due to carboxyl

316   carbons, i.e. carboxylic groups and esters, and amides. Two additional, less intense, signals can be seen

317   at 129 ppm and 158 ppm in the unsaturated/aromatic carbon region. In comparison to the spectrum of

318   modern feathers, the ^{13}C NMR spectra of the fossil feathers and their surrounding sediment show much

319   simpler patterns (Fig. 6B, C). The spectra are similar to each other and both are dominated by a broad

320   peak in the aliphatic region, maximizing at 30 ppm and thus indicative of long alkyl chains. Two

321   additional broad signals contribute to the spectra. The first one occurs as a broad shoulder between 68

322   and 80 ppm, in the O-alkyl C and N-alkyl C range, and the second one is a broad peak at 129 ppm,

323   attributed to aromatic carbons.

15

Micro-ATR FTIR spectroscopy

325   The micro-ATR FTIR spectra of the theropod feathers, the embedding sediment and the modern buzzard

326   feather (dark regions) are shown in Figure 7.

327   The spectrum of the dark region of a modern buzzard feather shows characteristic bands of secondary

328   amides (as in proteins and polypeptides) at 1628 cm^{-1} (C=O stretch of Amide I), 1531 cm^{-1} (C–N

329   stretch, Amide II) and 1239 cm^{-1} (N–H in plane bending coupled with C–N stretch, Amide III). Broad

330   bands around 3274 and 3125 cm^{-1} can be attributed to the N–H stretching of secondary amides. The

331   bands at 2961, 2922 and 2852 cm^{-1} are assigned to the C–H stretching of methylene and methyl groups.

332   These two spectra are very similar and no significant differences could be found between IR response of

333   the dark and white regions of the same feather.

334   The spectrum of the fossil feathers has a different pattern but some similarities with the modern buzzard

335   feather appear. The distinct bands at 2920 and 2851 cm^{-1} can also be attributed to C–H stretching of

336   methylene and methyl groups. These associated bands are also present in the IR spectrum of the

337   surrounding matrix but with different relative intensities. A broad region around 3300 cm^{-1} is present,

338   although much less marked, and is indicative for O–H stretching as found in carboxylic groups and

339   alcohols. In the spectra of the fossil feathers, a broad band at about 1560 cm^{-1} can be attributed to

340   carboxylate. This band is not present in IR spectrum of the sediment. Another broad band around 1412

341   cm^{-1} is found in the spectrum of the fossil feathers and might be related to the presence of $CaCO_3$

342   overlapping with C–H bending vibrations at 1460 and 1380 cm^{-1} (Andersen & Brečevic 1991; Kröner *et*

343   *al*. 2010; Kiros *et al*. 2013) . This is also confirmed by the presence of weak bands at 873 and 718 cm^{-1}.

344   The IR spectrum of the sediment shows a similar, but less defined, absorption band between 1415 and

345   1463 cm^{-1}. The IR spectrum of the fossil feathers and its surrounding matrix both show a narrow band at

346   1260 cm^{-1} that could be attributed to the Si–CH$_3$ vibrations. Both spectra show an intense broad band at

347   1013 cm^{-1} together with the weaker bands at 873 and 797 cm^{-1} related to the sedimentary matrix (clay

348   minerals, quartz, silicates, Si–O stretching). The IR spectrum of the sedimentary matrix shows an

349   additional band at 720 cm^{-1} that is also present, although very weak, in the fossil, indicating long-chain

350   alkyl groups (CH$_2$ rocking vibrations). All the peaks mentioned above are absent in the IR spectra of the

351   modern feather.

352   *TMAH Py-GC-MS*

353   Pyrochromatograms were obtained for the three following samples: the modern and fossil feathers, and

354   the 'host' sediment. In agreement with previous studies on bird feathers (Brebu & Spiridon 2011; Saitta

355   *et al*. 2017), the pyrochromatogram of the modern feathers is dominated by cyclic molecules containing

356   N, along with methylbenzene **1**, methylbutane nitriles **2, 3** and cyclohexanedione **11** derivatives (Fig.

357   8A). Detailed interpretation of mass spectral fragmentation patterns allowed identification of the major

358   pyrolysis products (Table 2) and further assignment to possible source. Molecular structures are given in

359   Appendix 1, with methyl groups added by TMAH indicated in bold. Products **6**, **8**, **10** result from direct

360   methylation of alanine, valine and proline, thus pointing to a proteinaceous origin for the feathers. This

361   is further supported by the occurrence in substantial amounts of alkylnitriles **2**, **3** resulting from

362   dehydration of amides involving isoleucine and leucine, respectively, and of methoxybenzenes **7, 9**

363   released through homolysis of the side chain of tyrosine (Ratcliff *et al*. 1974). Methylbenzene **1**, pyrrole

364   **4** and ethylbenzene **5** are rather ubiquitous pyrolysis products in sedimentary organic matter. However,

365   they can also be released upon pyrolysis of phenylalanine and serine (Gallois *et al*. 2007). Mass spectral

17

366   fragmentation pattern (base peak at m/z 82) suggests an origin from the side chain of histidine for

367   compound **16**. Similarly, compound **17** probably corresponds to a valine derivative as its mass spectrum

368   is characterized by the loss of 42 amu (i.e. valine side chain). Dimethylcyclohexanedione **11** was

369   reported as pyrolysis product of glycine (Moldoveanu 2009). Glycine is also present as its

370   diketopiperazine **15** resulting from combined dehydration and cyclisation (Simmonds *et al*. 1972). The

371   same mechanism involving two different amino acids (isoleucine-glycine) leads to another

372   diketopiperazine **18** (Hendricker & Voorhees 1996). The formation of more complex diketopiperazines

373   was proposed by Templier *et al*. (2013) from tripeptide units. A similar mechanism can be invoked for

374   the formation of compound **19** from valine, as well as compounds **20** and **21** from serine and leucine

375   (Table 2; Cincotta *et al*. 2020, fig. S6). Imidazolidinedione **12** probably results from the internal

376   cyclization of tripeptide comprising an alanine unit as reported by Templier *et al*. (2013; Cincotta *et al*.

377   2020, fig. S6). The formation of imidazolidinone **13** can be related to the decomposition of bicyclic

378   amidine derived from valine as suggested by Basiuk & Navarro-González (1997; Cincotta *et al*. 2020,

379   fig. S6). Another decomposition pathway of bicyclic amidine is probably responsible for the formation

380   of imidazolidinone **14** from valine and possibly glycine (Templier *et al*. 2013). As far as we know, this

381   is the first identification of such complex molecules (diketopiperazines from tripeptide and

382   imidazolidinone from bicyclic amidine) in the pyrolysate of a natural sample.

383   By comparison, pyrochromatograms of the fossil feathers and their 'host' sediment are simpler. They are

384   dominated by *n*-alkane/*n*-alkene doublets (Fig. 8B, C), resulting from the homolytic cleavage of long

385   alkyl chains. In the fossil feathers, these doublets comprise from 8 to 30 carbon atoms, and exhibit a

386   smooth distribution except an intense C_{18} doublet. An additional series of fatty acid methyl esters with

387   alkyl chain ranging from C_8 to C_{30} and maximizing at C_{16} is also identified (Table 2). It results from the

388   release upon pyrolysis of a series of fatty acids that are methylated thanks to TMAH. In addition to these

389   series, a methoxybenzene substituted by two methyl groups or an ethyl group **22** is detected in minor

390   amounts, at the beginning of the pyrochromatogram. A trimethylbenzene and a methylated derivative of

391   methoxyaniline **23** also contribute to this part of the pyrochromatogram. However, the most prominent

392   pyrolysis product **24** corresponds to the C_{18} alcohol methylated through TMAH pyrolysis.

393   The pyrochromatogram of the "host" sediment shares several similarities with that of the fossil feathers.

394   Indeed, it is dominated by series of alkane/alkene doublets and fatty acid methyl esters. Although the

395   distribution of the fatty acid methyl esters is similar in both samples, that of the doublets differs. Indeed,

396   whereas their range (C_8–C_{30}) is similar, the maximum of the series appears at C_{15} in the sediment,

397   instead of a marked predominance of the C_{18} in the fossil (Table 2). Moreover, when comparing the

398   minor compounds eluting at the beginning of the pyrochromatogram, compounds **22** and **23** are common

399   in both samples, whereas a higher number of alkylbenzene homologues occurs in the sediment. Finally,

400   the contribution of octadecanol **24** is much lower in the sediment pyrolysate.

401

402   **DISCUSSION**

403   *Ultrastructure*

404   Microbodies and elongate moulds are observed in feather samples collected at three different locations

405   on *Anchiornis* tail. The elongate shape, parallel orientation and location of the microbodies within the

406   feathers strongly suggest that they correspond to eumelanosomes. These pigment organelles are

407   associated to brown, grey and black hues in modern bird feathers. The preservation of melanosomes, and

408 especially eumelanosomes, in dinosaur feathers is not uncommon. Such microscopic melanin-bearing

409 structures have been described in other theropod dinosaurs, basal birds and isolated feathers (Li *et al*.

410 2010, 2012; Zhang *et al*. 2010; Carney *et al*. 2012; Colleary *et al*. 2015; Pan *et al*. 2016; Hu *et al*. 2018).

411 The chemical composition of these microbodies has been assessed in a previous study, confirming a

412 melanosome origin (Lindgren *et al*. 2015).

413 Recent taphonomic experiments in abiotic conditions suggest that the preservation of mouldic

414 melanosomes requires interaction with an oxidant prior to maturation, and that the preservation of

415 melanosomes is probably less frequent than the preservation of keratinous structures in fossil feathers

416 (Slater *et al*. 2020). Interestingly, *Anchiornis* feathers contain both melanosomes and moulds. The nature

417 of the former experiments does however not reflect the depositional and fossilization conditions of

418 *Anchiornis*.

419

420 *Depth profiling, light and heavy element composition*

421 The C and N concentrations determined by EBS led to N/C ratios of 0.33–0.42 in modern buzzard

422 feathers, and of 0.08 for the fossil, suggesting a marked relative decrease in N. The C concentration

423 gradient observed by EBS in the fossil feathers of *A. huxleyi* strongly suggests that they are preserved as

424 carbonaceous layers located at the uppermost part of the sample (i.e. 0–3 µm depth, given a rock density

425 of 2.65 g/cm³) and suggests that fossil organic matter could have impregnated the sediment only in a

426 nearby area.

427 The PIXE spectra show elevated concentrations of S in the fossil feathers and, to a lesser extent, in the

428 'host' sediment, together with very low concentrations in the 'remote' sediment. This suggests that S is

429   associated with the soft tissues. The fossil feathers are therefore preserved as a S-rich carbonaceous film.

430   Substantial quantities of S are present in the modern buzzard feathers ($43\,070 \pm 4236$ ppm in the brown

431   barbs and $37\,142 \pm 3652$ ppm in the rachis, Table 1). This is not surprising due to the presence of S-

432   containing biomacromolecules, such as the pigment phaeomelanin and proteins containing cysteine or

433   methionine (i.e. keratins) in bird feathers (Harrap & Woods 1964; Bortolotti 2010; Murphy *et al*. 1990;

434   Cesarini 1996; Riley 1997; Saravanan & Dhurai 2012). Important studies on the chemical composition

435   of feathers have shown that S is a major element of bird feathers (Harrap & Woods 1964, 1967; King &

436   Murphy 1987; Murphy *et al*. 1990; Edwards *et al*. 2016). Some authors could even discriminate between

437   organic S originating from keratin and phaeomelanin based on its speciation (Edwards et al. 2016).

438   Previous *in situ* chemical analysis (time-of-flight secondary ion mass spectrometry; TOF-SIMS) of the

439   melanosomes from the present fossil revealed their enrichment in S with respect to the surrounding

440   sediment, but it could not determine whether it reflects the occurrence of phaeomelanin or diagenetic

441   incorporation of S in eumelanin (Lindgren *et al*. 2015). It has been suggested that divalent elements (Cu,

442   Ca, Zn) formed chelates with melanin in a Cretaceous early bird (Wogelius *et al*. 2011). Such a

443   complexation may have played a role in S preservation in fossil soft tissues. Alternatively, the presence

444   of S in the fossil feathers can be attributed to natural sulphurization of the organic matter, that is the

445   abiogenic intra-molecular incorporation of sulphur from the depositional environment during early

446   diagenesis. The incorporation of S into organic matter was interpreted as a way to enhance the

447   preservation potential of certain labile substances through cross-linking (Sinninghe-Damsté & De

448   Leeuw 1990; Sinninghe-Damsté *et al*. 1989, 1988; McNamara *et al*. 2016). Indeed, organic matter has

449   the ability to form complexes with inorganic elements, including S, which was traced in fossil soft

450   tissues (Wogelius *et al*. 2011).

Functional groups in the organic matter

452   On the whole, the ^{13}C NMR and IR spectra of buzzard feathers (Figs 6A, 7A) are comparable to that of

453   several keratinous materials, such as feather keratin (Kricheldorf & Müller 1984; Barone *et al*. 2005;

454   Wang & Cao 2012, Sharma *et al*. 2018), wool keratin (Yoshimizu & Ando 1990; Wojciechowska et al.

455   2004) or gecko setae keratin (Jain *et al*. 2015). Indeed, the peak at 173 ppm should mainly correspond to

456   the signal of secondary amide (O=C–NH) groups involved in the peptidic bonds. This is confirmed by

457   the presence of characteristic bands of secondary amides in the IR spectrum of the brown feathers (Fig.

458   7A). This includes a broad band around 3277 cm^{-1} attributed to the N–H stretching band of amides, a

459   narrow band at 1628 cm^{-1} related to the C=O stretch of Amide I, a band at 1518 cm^{-1} attributed to

460   Amide II and a band at 1237 cm^{-1} related to Amide III (Bendit 1966; Yu *et al*. 2004; Wang & Cao 2012;

461   Giraldo *et al*. 2013; Tesfaye *et al*. 2017).

462   Carbon atoms bearing both COOH and NH_2 groups (termed C_α) in the amino acids (except glycine)

463   resonate between 50 and 60 ppm in ^{13}C NMR. They account for the peaks at 52.9 and 60.2 ppm in the

464   broad aliphatic signal, whereas the signal at 42.6 ppm is assigned to the C_α of glycine. The other peaks

465   are mainly associated with the amino acid side chains, that at 30.8 ppm being assigned to C_β along with

466   C in long alkyl chains, and those at 19.8 and 25.7 ppm to C_γ and C_δ. The three bands located in the

467   2961–2850 cm^{-1} range in the IR spectra confirms the presence of aliphatic moieties in the modern

468   feathers, although their precise assignment to dedicated compounds is uncertain. In the ^{13}C NMR

469   spectrum, the 129 ppm peak is typical for aromatic carbons, including those from phenylalanine and

470   tyrosine (Yoshimizu & Ando 1990; Jain *et al*. 2015). Finally, the peak at 158 ppm can be ascribed to the

471   O–alkyl C of tyrosine and/or the C of the guanidino group (N–C=N) of arginine (Yoshimizu & Ando

472   1990; Jain *et al*. 2015). This spectrum is in agreement with previous reports indicating that keratin is a

473   major constituent of feathers (Lucas & Stettenheim 1972). The ^{13}C NMR and IR spectra of the modern

474   feathers also shares some similarities with various types of melanins (Ito and Nicol 1974; Duff *et al*.

475   1988; Adhyaru *et al*. 2003; Centeno & Shamir 2008).

476   The much simpler ^{13}C NMR spectra of the fossil feathers and their surrounding sediment are dominated

477   by long alkyl chains with a low contribution of aromatic carbons. This is also consistent with the IR

478   spectra (Fig. 7B), mainly showing contributions of aliphatics and silicate and carbonate minerals. When

479   compared to the ^{13}C spectrum of the modern feathers, the aliphatic signal in the fossil is poorly resolved;

480   the aromatic peak is broad and no resonance signal could be detected in the carboxylic region. These

481   features indicate that the proteinaceous contribution identified in the buzzard feathers is no longer

482   present in the fossil sample. The comparison between the FTIR spectrum of the modern feather and that

483   of the fossil feathers (Fig.7A) shows that the characteristic bands of amides are absent in the IR

484   spectrum of the fossil feathers. However, a more precise comparison can be achieved at the molecular

485   level thanks to pyrolysis in the presence of TMAH coupled with GC-MS.

486   *Molecular building blocks of organic matter*

487   TMAH Py-GC-MS analysis of modern feathers thus highlights the presence of glycine, serine, leucine,

488   alanine, valine and proline moieties in buzzard feather keratin, in agreement with previous studies on

489   feather keratin (Fig. 8A; O'Donnell & Inglis 1974; Arai *et al*. 1983, 1986; Murphy *et al*. 1990; Staroń *et*

490   *al*. 2011; Saravanan & Dhurai 2012). Additionally, pyrolysis products derived from isoleucine,

491   phenylalanine and tyrosine occurred in substantial amounts although they are often considered as minor

492   constituents of feather keratin. However, homolysis of the side chain of phenylalanine and tyrosine

493   favours high yields in TMAH pyrolysis (Gallois *et al*. 2007). Despite its acknowledged high abundance

494   in feather keratin, cysteine is absent in the pyrochromatogram of buzzard feathers, probably because it

495   mainly releases H_2S upon pyrolysis (Moldoveanu 2009), not detected in the presently used analytical

496   conditions. Alternatively, some of the identified products (methylbenzene **1**, methyl, methoxybenzene **9**)

497   as well as glycine derivatives may originate from melanin, although they are poorly diagnostic

498   compounds (Stępień *et al*. 2009). However, the melanin signal was reported to be overwhelmed by

499   protein-derived products upon pyrolysis of bulk feathers (Barden *et al*. 2011).

500   The pyrochromatograms of the fossil feathers and their 'host' sediment are comparable as they are both

501   dominated by series of alkane/alkene doublets and fatty acid methyl esters as well as minor compounds

502   eluting at the beginning of the pyrochromatogram. The latter include a methoxybenzene substituted by

503   two methyl groups or an ethyl group **22** which originates from lignin or polysaccharides such as

504   cellulose, depending on its substitution pattern (Seitz & Ram 2000; Choi *et al*. 2013). Despite these

505   similarities, differences are observed, including the much weaker abundance of octadecanol **24** in the

506   sediment pyrolysate. These differences clearly show that even though some imprint from the sediment

507   may have contributed to the fossil feather pyrolysate, at least some features are typical for the fossil

508   feathers. They notably include the C_{18} doublet and octadecanol **24**. The predominance of the

509   alkane/alkene doublets in the pyrolysate is in agreement with the strong aliphatic signal observed in

510   NMR and FTIR (bands at 2690, 2920 and 2851 cm^{-1}) (Figs 6, 7). A similar highly aliphatic character

511   has been reported in Eocene bird feathers (O'Reilly *et al*. 2017) but also in soft tissues from other fossil

512   organisms, such as in cuticles from Carboniferous arthropods (Baas *et al*. 1995; Stankiewicz *et al*.

513   1998), skin from a Cretaceous mummified hadrosaur (Manning *et al*. 2009) and Cretaceous fish scales

514   (Gupta *et al.* 2008). C_1 to C_3 alkylbenzenes were also identified in pyrolysates of Oligocene weevil and

515   tadpole, and associated matrix (Gupta *et al.* 2007; Barden *et al.* 2015).

516   The aliphatic series dominating the pyrolysate of the fossil feathers reflect either selective preservation

517   of macromolecular aliphatic matter pre-existing in the extant organism (Tegelaar *et al.* 1989) or *in situ*

518   polymerization of aliphatic lipids (Stankiewicz *et al.* 2000; Gupta *et al.* 2007). No such aliphatic series

519   could be detected in the pyrolysate of modern feathers, probably precluding the first hypothesis. In

520   contrast, several aliphatic series were identified in the lipid extract of the modern feathers (*n*-alkanes, *n*-

521   acids, *n*-alcohols; data not shown). Indeed, modern bird feathers are coated by lipids as protection

522   against adverse environmental factors. Such lipids, secreted by the uropygial gland, were shown to be

523   preserved through geopolymerisation in an Eocene bird (O'Reilly *et al.* 2017). A similar

524   geopolymerisation can be put forward to account for the occurrence of aliphatic moieties of the present

525   fossil feathers. Endogenous lipids may be transformed into more stable geopolymers, composed of

526   alkane/alkene doublets, and can therefore be 'preserved' and traced in vertebrate fossils (O'Reilly *et al*.

527   2017).

528   The absence of signals typical for proteinaceous material in the NMR and IR spectra and

529   pyrochromatogram of the fossil feathers is noteworthy. It is further by the weak N/C ratio in the fossil

530   when compared with modern feathers. The lack of proteinaceous components consistent with keratin

531   was previously suggested on the same fossil based on TOF-SIMS and IR analyses (Lindgren *et al*.

532   2015). In agreement with the commonly accepted lability of proteins, this feature suggests their

533   extensive degradation in our specimen upon diagenesis. Recent taphonomy experiments on extant

534   feathers demonstrated substantial degradation of keratin upon microbial and thermal decay (Saitta *et al*.

535   2017). Moreover, it must be noted that diagenetic degradation of proteinaceous moieties was previously

536   put forward for Eocene birds (O'Reilly *et al*. 2017; Saitta *et al*. 2017) and Palaeozoic annelid fossils

537   (Dutta *et al*. 2010). However, even if no proteinaceous compounds were detected in *Anchiornis* feathers,

538   one cannot exclude the possibility of finding similar biomolecules in other fossils in the future.

539   Although PIXE analyses showed that C and S are closely associated in the fossil feathers, no

540   organosulphur compound could be detected in the pyrolysate. Altogether, the lack of organosulphur

541   compounds (such as thiophenes) in the fossil feather pyrolysates and the lack of C=S/C–S species in

542   their IR spectrum strongly suggest a lack of organic-S species in this sample. In contrast, S incorporation

543   was evidenced through FTIR and TMAH-Py-GC-MS in melanosomes of Miocene frogs, thus

544   demonstrating involvement of natural sulphurization in the preservation of the fossil organic matter

545   (McNamara *et al*. 2016). The lack of organosulphur compounds in *Anchiornis* pyrolysate should thus

546   reflect diagenetic conditions that prevented such natural sulphurization of organic matter. In the

547   sedimentary environment, the sulphurization of organic matter to form organosulphur compounds

548   requires the presence of reactive organic matter and inorganic sulphides (i.e. anoxic conditions), with

549   sufficient, but not excessive, reactive iron. If reactive iron exceeds a certain quantity, iron sulphides

550   (pyrite) would precipitate instead (Canfield 1989; Werne *et al*. 2000). Here, our results suggest that the

551   concentration of S and Fe in the environment was high enough to form iron sulphides (i.e. pyrite

552   framboids and microcrystallites). The occurrence of iron oxides or hydroxides as framboid crystals

553   (SEM and EDX characterization) suggests that S may have been preferentially used for the formation of

554   iron sulphides (such as pyrite) during early diagenesis (Sinninghe-Damsté & De Leeuw 1990). During

555   later diagenesis, pyrite framboids were probably *in situ* weathered into the iron oxides and hydroxides

556   observed beneath the carbonaceous surface of feathers, thus releasing S that may have been further

557 associated with organic compounds, e.g. through the formation of chelate with melanin (Wogelius *et al*.

558 2011). Such associations may have favoured/enhanced organic matter preservation and are consistent

559 with the interrelation between S and C highlighted by PIXE analyses.

560 Recently, a mechanism of nitrogen preservation based on lipoxidation and glycoxidation of protein was

561 proposed in biomineralized tissues of diverse Mesozoic and Cenozoic vertebrates, fossilized in oxidative

562 settings (Wiemann *et al*. 2018). Such a process, which can be catalysed by transition metal such as iron,

563 may have led to the preservation of the small amount of nitrogen in YFGP-T5199. However, the present

564 study deals with soft tissues and not biomineralized one. Additionally, so far this preservation

565 mechanism could only be evidenced in oxidizing environment (Wiemann *et al*. 2018). The occurrence of

566 iron oxide framboids in the studied *Anchiornis* fossil probably resulting from pyrite weathering, rather

567 attests for the reducing conditions of fossilization, thus making the involvement of this preservation

568 pathway unlikely (i.e. lipoxidation).

569 Further experimental studies on modern feathers and comparisons with the fossil record are required to

570 explain why keratin is not preserved in *Anchiornis* feathers although melanin has been detected and

571 melanosomes and moulds have been observed.

572

573 **CONCLUSIONS**
574

575 The methods used in this study provide new and complementary information on how the plumage of

576 *Anchiornis huxleyi* (YFGP-T5199) is preserved. SEM and EDS reveal that fossil feathers are preserved

577 in a fine-grained material constituted of K-rich phyllosilicates, illite and interstratified illite/smectite.

27

578 PIXE analyses show that both light (C, N, O) and heavy (S, Na, Ca, etc.) elements are present in the

579 fossil samples, even at very low concentrations. The presence of iron oxide pseudomorphs after pyrite

580 likely indicates a reduced depositional environment for *Anchiornis*. Carbon is the dominant element in

581 the fossil feathers, which are also enriched in sulphur with respect to the 'host' sediment. EBS mapping

582 of the interior of the samples revealed a decrease in carbon concentration with depth. Our analysis

583 therefore shows that the fossil feathers are preserved in the uppermost part of the sample, as a thin (*c*. 3

584 µm-thick) S-bearing carbonaceous layer. High-resolution imaging of the feather microstructure revealed

585 the presence of elongated microbodies (650–950 nm), probably corresponding to eumelanosomes.

586 Molecular characterization of the organic matter in the 'host' sediment, fossil feathers and modern

587 feathers by ^{13}C-NMR, micro-ATR FTIR and Py-GC-MS shows that the fossil does not display the

588 complex amino-acid signature typical for keratin, the main constituent of modern feathers. Although the

589 organic matter of the fossil feathers and their 'host' sediment are both dominated by aliphatic moieties,

590 they exhibit substantial differences (distribution pattern of series, occurrence of components specific to

591 the feathers) suggesting that the organic matter of the fossil feathers is derived, at least partially, from

592 original constituents of the feathers.

593 Altogether, these results show that the fossil feathers can be described as compression fossils, as

594 described in Schweitzer (2011, p. 192). The finely grained (clay-rich) host sediment contributed to the

595 morphological preservation of *Anchiornis* soft tissues. As stressed by Schweitzer (2011, p. 192), the

596 very fine grain size of the sediments might have prevented the degradation of soft tissues by microbes,

597 and subsequent loss of degraded organic matter in the environment before and during diagenesis.

598 However, the lack of protein-derived moieties in the fossil organic matter shows that the latter has been

599 significantly altered during diagenesis. The excellent morphological preservation of the fossil soft tissue

28

600   is not associated here with a high preservation level of organic matter. Hence, the fossil feathers have

601   likely undergone a complex diagenetic history including several steps affecting differentially their

602   morphology and chemistry. *In situ* polymerization of lipids into more stable aliphatic compounds during

603   early diagenesis was likely the main process responsible for organic matter preservation in the fossil

604   feathers. Additionally, sulphur was probably involved in several steps of the fossil preservation although

605   no natural sulphurization took place.

606   Our results are therefore unique by combining different analytical techniques on Jurassic fossil feathers.

607   This integrative multidisciplinary study appears as a powerful approach to decipher morphological,

608   mineralogical, structural and chemical features of fossil soft tissues and their fossilization processes.

609   This study provides new insights into the taphonomy of labile compounds, suggesting that keratin,

610   unlike the pigment melanin, is not present in the feathers of *Anchiornis huxleyi* (YFGP-T5199). Further

611   analyses of fossil feathers of different ages and depositional settings are required to better understand the

612   preservation potential of melanin and keratin.

613   Finally, we used here for the first time on a Jurassic fossil Ion Beam Analysis (IBA), a non-destructive

614   analytical technique providing an in-depth profiling of C to U elements. Further developments of this

615   technique to palaeontological samples might help at identifying the precise location of fossil soft tissues

616   within the sediment and then characterizing metal elements that are directly associated with the

617   fossilized tissues.

626

627   DATA ARCHIVING STATEMENT

628   Additional data for this study are available in the Dryad Digital Repository:

629   https://doi.org/10.5061/dryad.1281jm4

630   *Editor*. Robert Sansom

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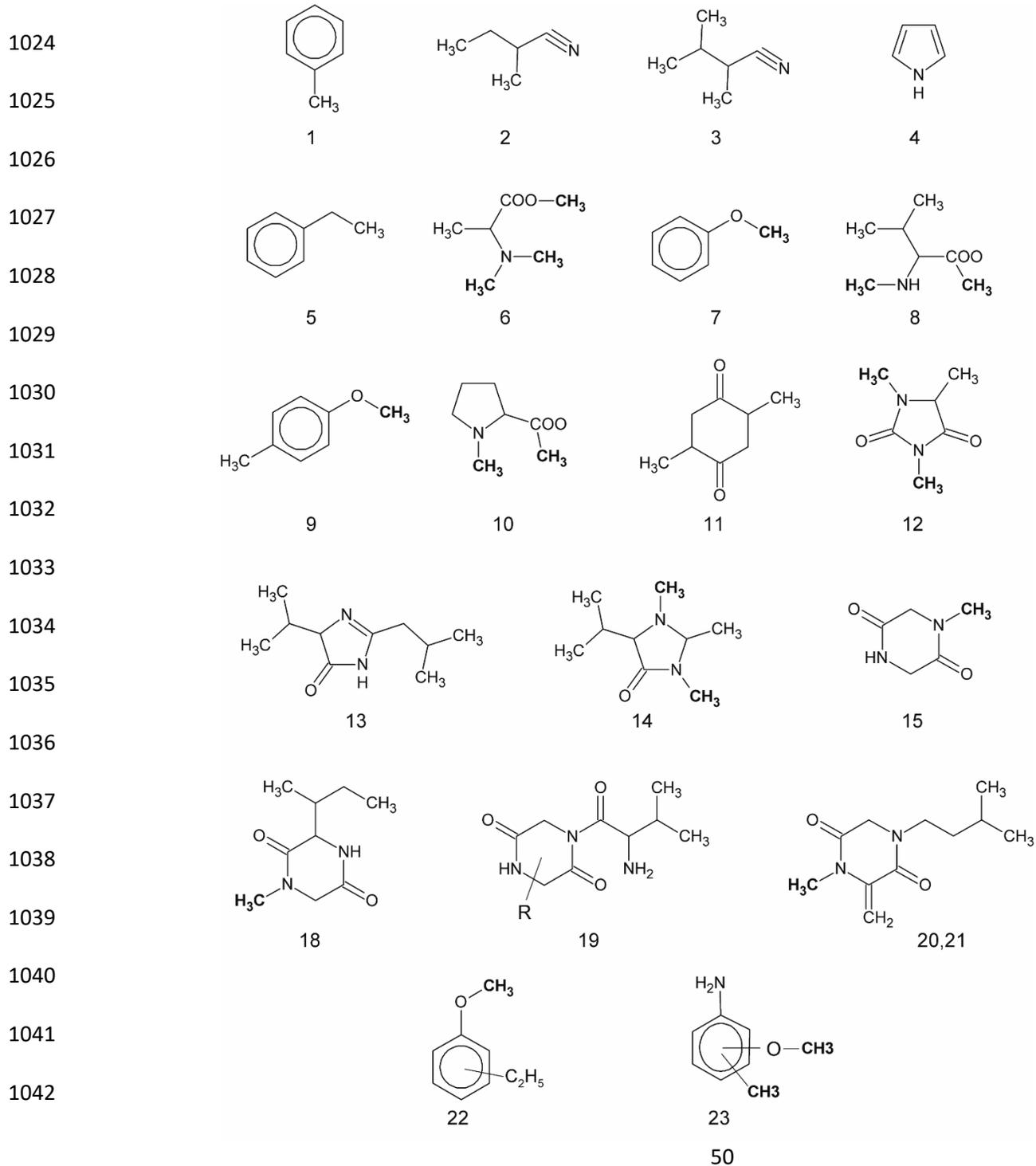
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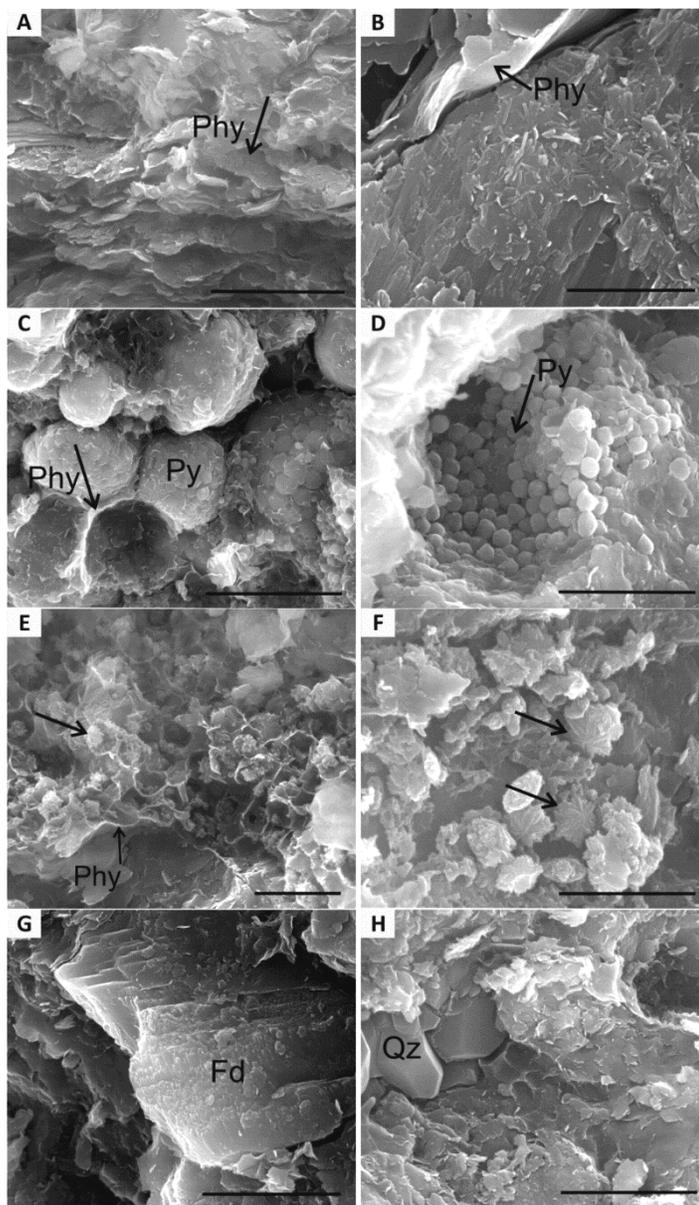1019

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1021   **APPENDIX 1**

1022   Molecular structures of compounds identified in the modern bird feathers; numbers correspond to those

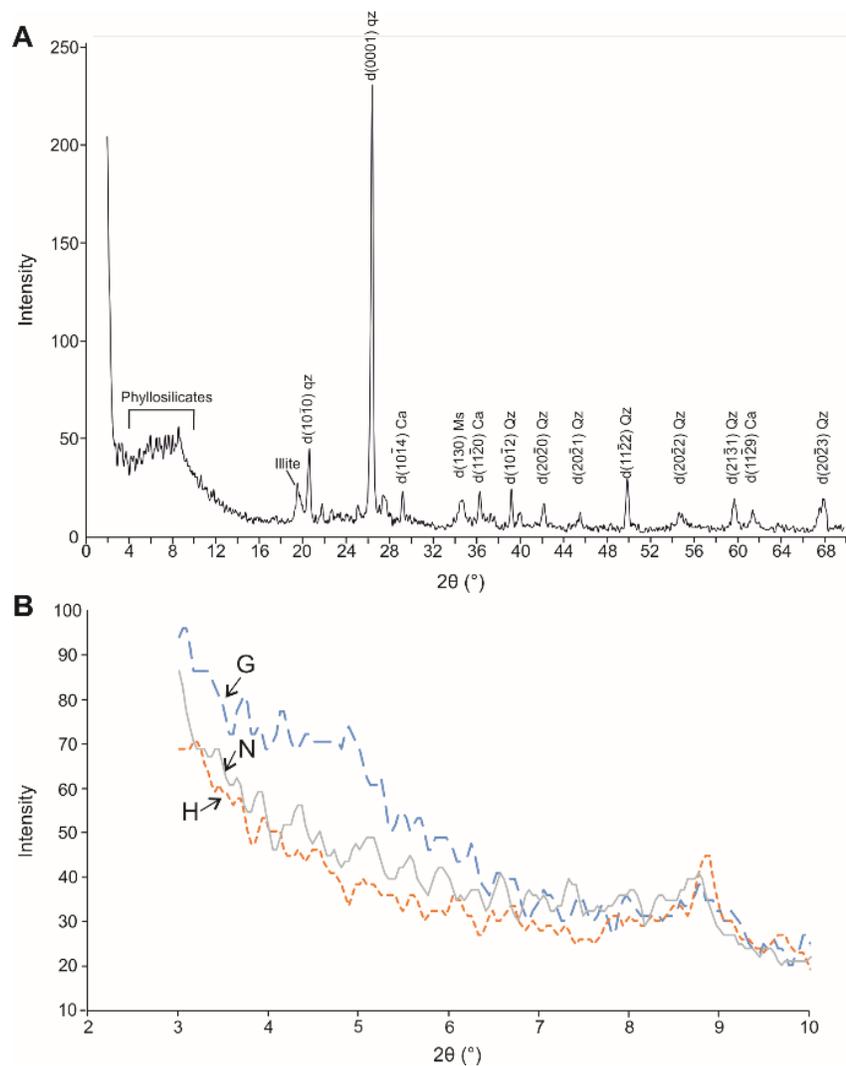1023   appearing in Table 2 and Figure 8.

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FIG. 1. *Anchiornis huxleyi* (YFGP-T5199). Photograph of the Jurassic feathered theropod with location of sampled areas. The white box (upper right) indicates locations of fossil feather and 'host' sediment sampling, while the yellow box (lower left) indicates location of 'remote' sediment sampling, for NMR, Py-GC-MS, and IBA analyses. White dots are samples used for SEM imaging and EDS. Scale bar represents 5 cm. Photograph by Thierry Hubin (RBINS). Colour online.
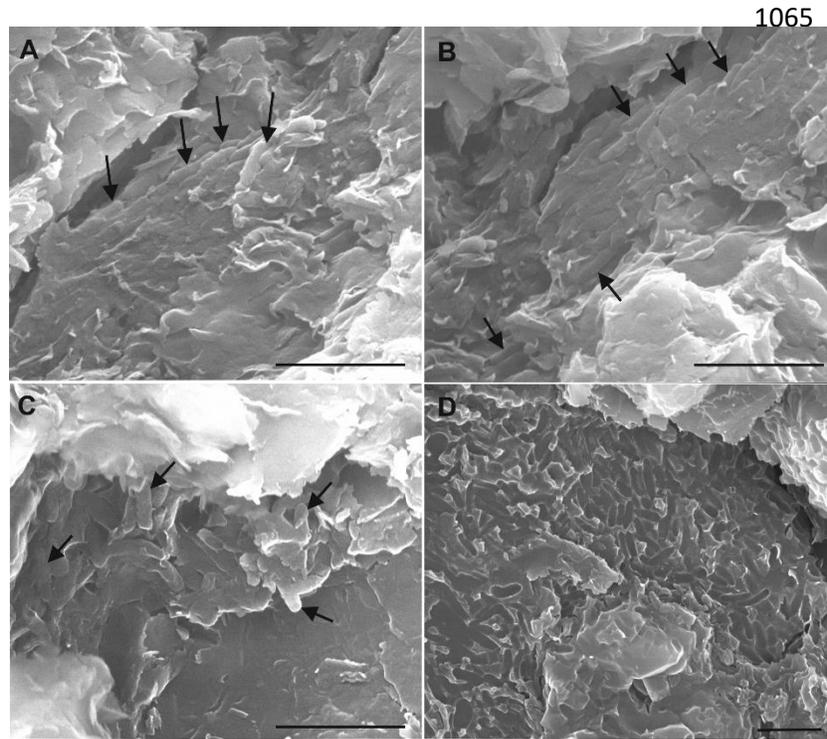
1049 **FIG. 2.** Scanning electron microscopy (SEM) images of minerals observed in the plumage of
1050 Anchiornis huxleyi. A–B, thin platelets of phyllosilicates observed in sample 7 (see fig. 1 for location).
1051 C, pyrite framboids (sample 1). D, pyrite crystallites (sample 1). E, clayed pores containing small star-
1052 shaped iron-oxide crystals (sample 8). F, star-shaped iron oxides (sample 1). G, feldspar crystal
1053 surrounded by clay sheets (sample 5). H, quartz crystals embedded in a clayed matrix (sample 9).
1054 Arrowheads indicate the aforementioned minerals. Abbreviations: Fd, feldspar; Phy, phyllosilicate; Py,
1055 pyrite; Qz, quartz. Scale bars represent: 5 µm (A, D, G, H); 3 µm (B); 10 µm (C); 2 µm (E, F).
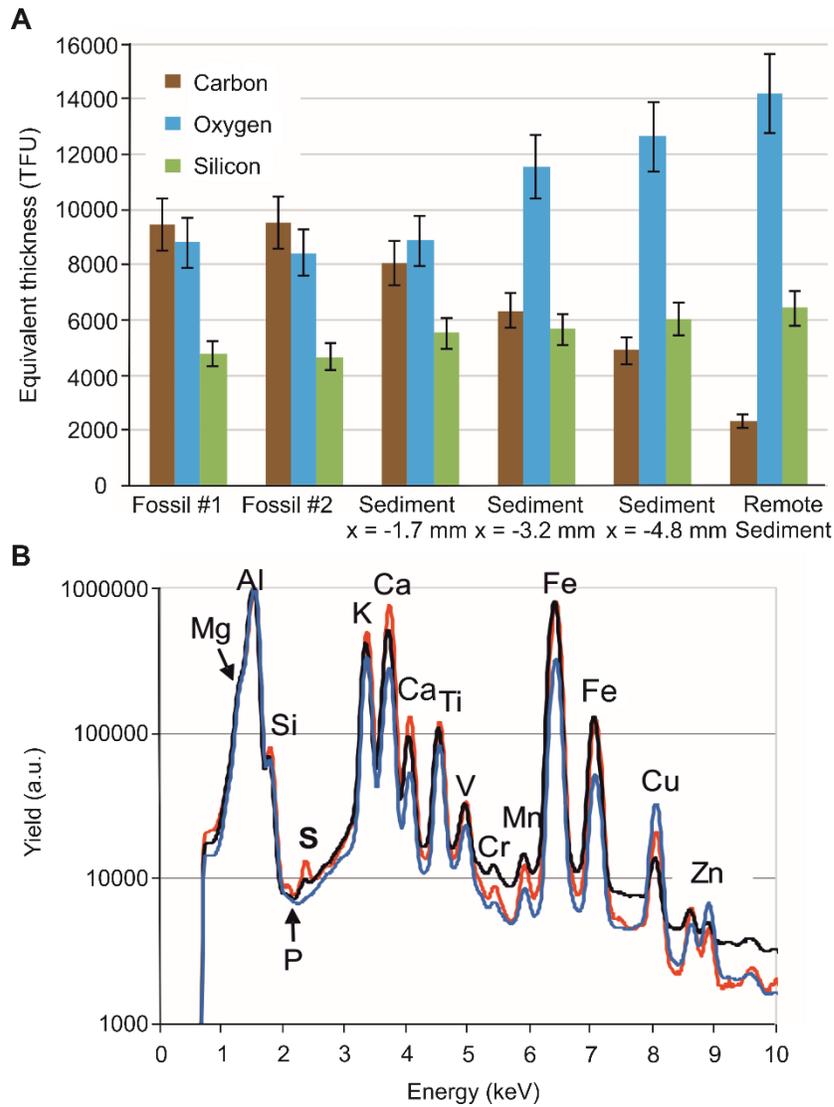1056

1057   **FIG. 3.** X-ray powder diffraction patterns of the 'host' sediment. A, spectrum of the bulk rock; ca,
1058   calcite; qz, quartz. B, the fraction <2 μm, with spectra of natural (N), glycolated (G), and heated (H)
1059   sample; the expansive illite/smectite interstratifications can be observed in the spectrum of the
1060   glycolated (G) material through shifts in the position of the peaks. Colour online.
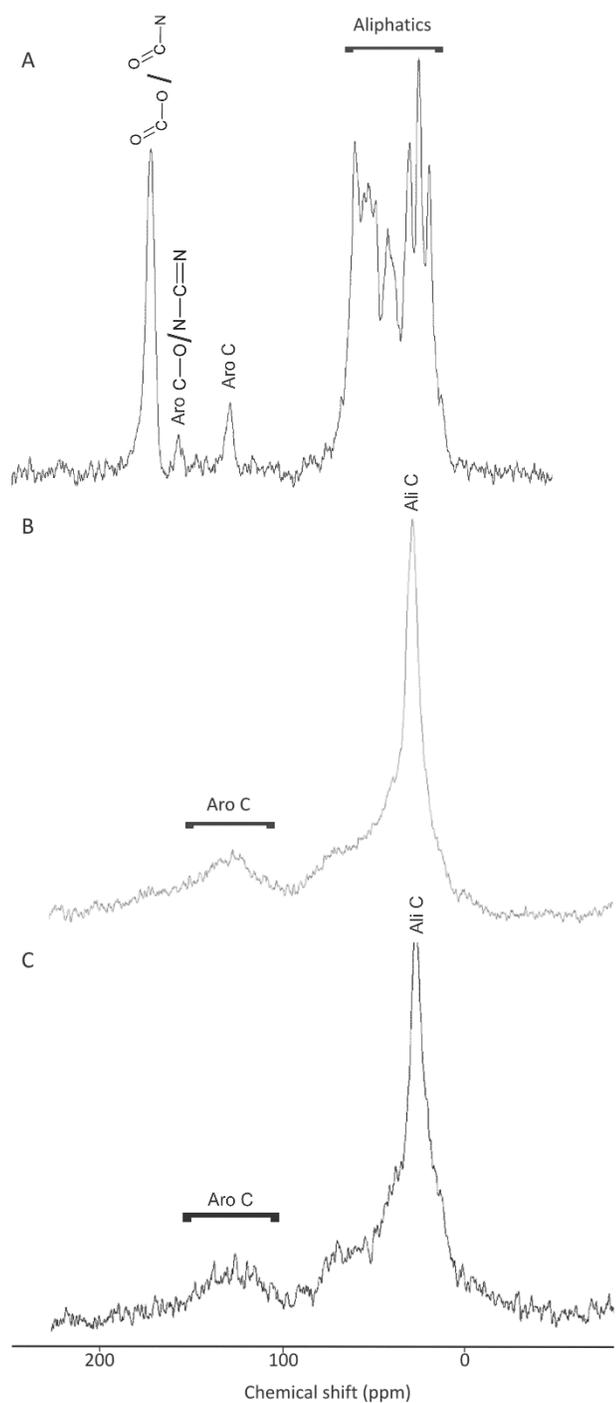
FIG. 4. SEM images of the ultrastructure of *Anchiornis* plumage. A–B, elongated microbodies (arrows)
observed in sample 6 (see Fig. 1 for location). C, elongated microbodies (arrows) observed in sample 9.
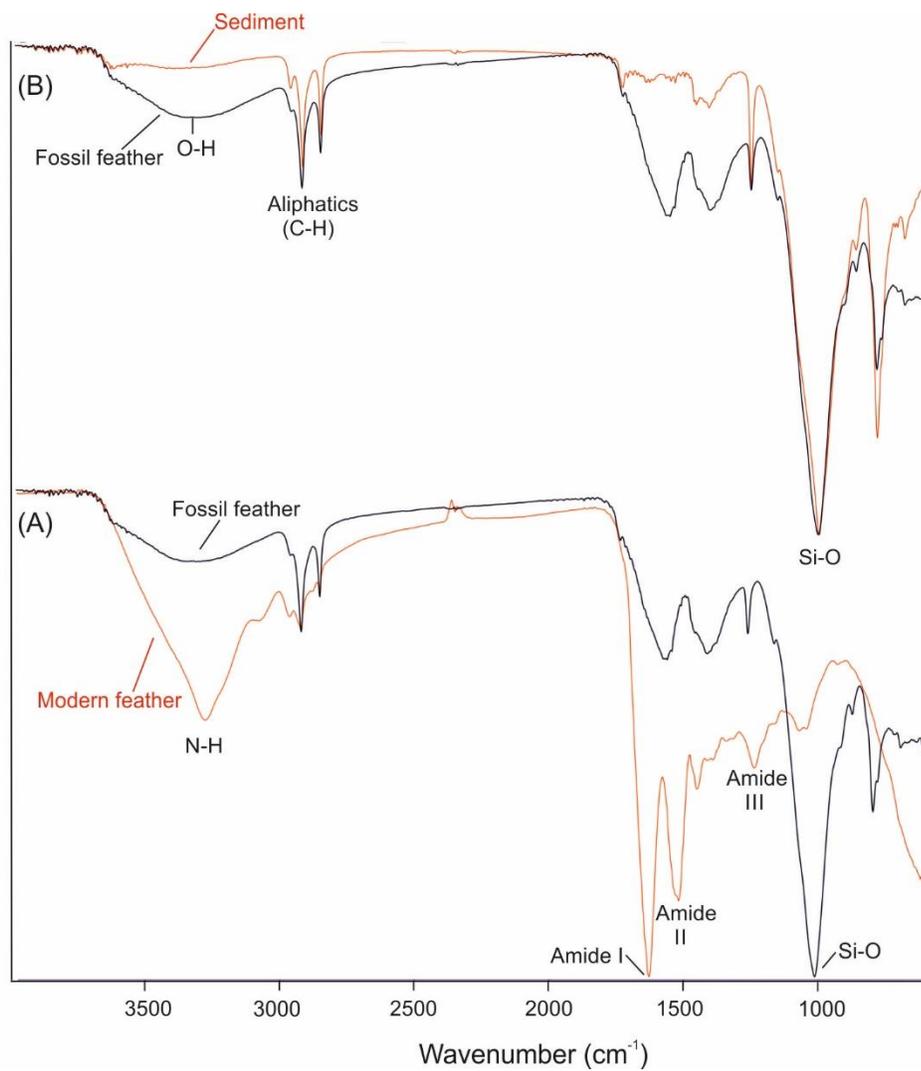D, imprints observed in samples 6. All scale bars represent 2 μm.

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FIG. 5. Results of elastic backscattering spectrometry (EBS) and particle-induced x-ray emission (PIXE) analyses on the fossil feathers, the'host' sediment and the 'remote' sediment. A, global content of C, O and Si obtained by EBS, in integrating the C, O and Si depth profiles over the 0–25 000 TFU interval; error bars give an estimate of the uncertainties considering the counting statistics as well as the cross-section and stopping power uncertainties; analysis was performed in duplicate on the same area of the fossil feather (Fossil #1, Fossil #2), three points of analysis were taken at three different locations in the 'host' sediment (at 1.7, 3.2 and 4.8 mm from the fossil), and one in the remote sediment. B, PIXE spectra obtained for one point of analysis in the fossil feather (red), the 'host' sediment (black), and the remote sediment (blue); the spectra are normalized to the Al signal (which comes mainly from the selective filter) to allow for a direct comparison.

1076   **FIG. 6.** Cross polarization/magic angle spinning ^{13}C nuclear magnetic resonance (CP-MAS ^{13}C NMR)
1077   spectra of: A, the modern bird feather; B, the fossil feathers; C, the 'host' sediment. Major chemical
1078   functions are indicated on each peak.
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FIG. 7. IR spectra showing the comparison of: A, a modern buzzard feather with *Anchiornis* feathers; B,
Anchiornis feathers with the sedimentary matrix ('remote' sediment). See the text for peak assignment.
The spectra are normalized to 100% transmittance. Colour online.

FIG. 8. Chromatograms of the products formed during the pyrolysis of: A, modern buzzard feathers; B,
fossil feathers; C, remote sediment. Peak identifications are given in Table 2. C_n, carbon chain, with n
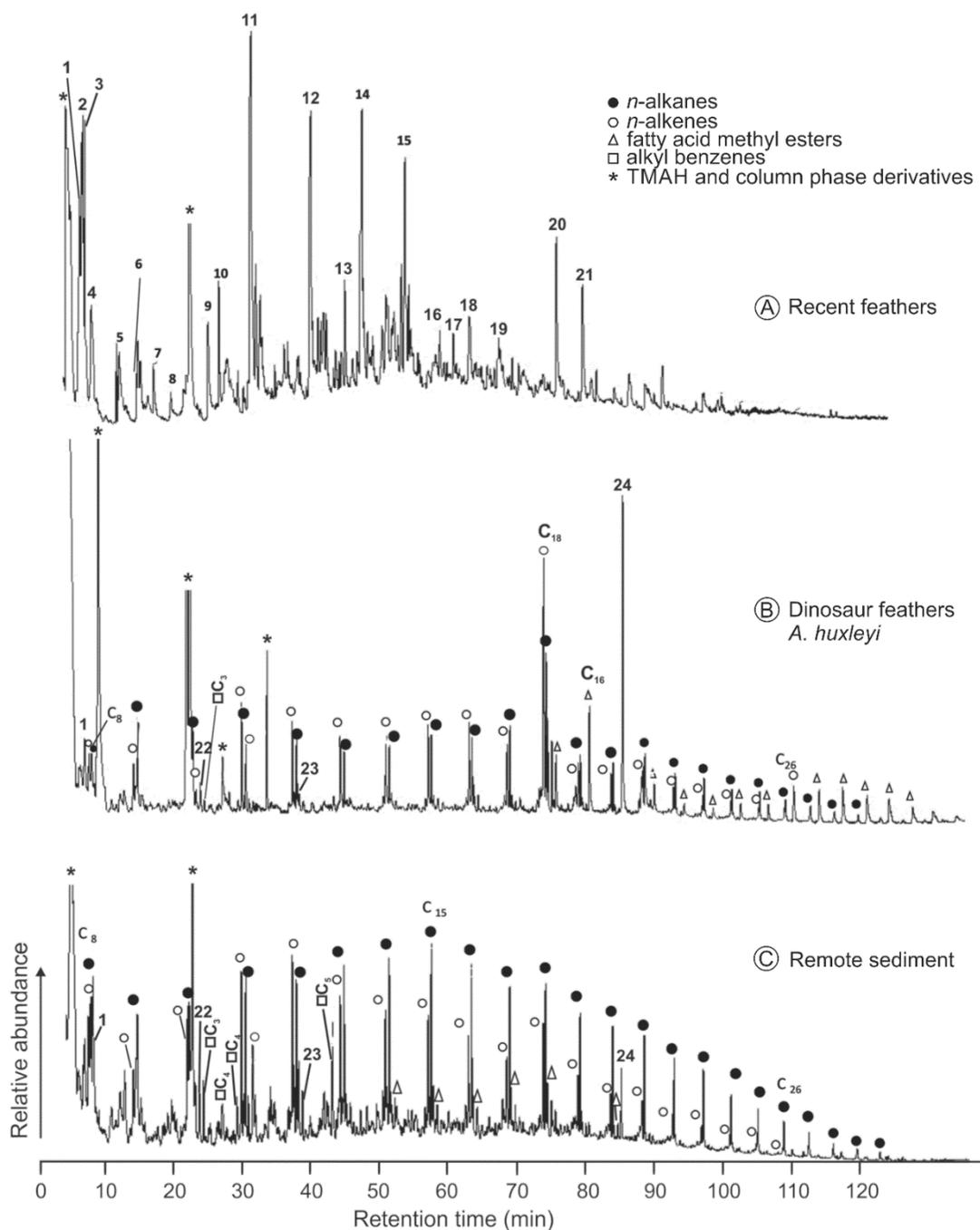indicating the length of the chain.

Table 1. PIXE derived concentration data for S and C in the fossil and modern feathers, and "host" sediment.

	[S] (ppm)	[C] (ppm)
Fossil Feather #1	1739	80,385
Fossil Feather #2	1946	82,171
+1.7 mm*	1162	72,012
+3.2 mm*	801	49,213
+4.8 mm*	893	41,835
Remote sediment	98	20,331
Rachis	37,142	547,174
Barbs	43,070	314,962

1088 *distance of the analysed spot from the feather/sediment boundary.
1089 See Cincotta et al. (2020, table 1) for details on the global uncertainty calculations, Fig. 1 and Cincotta et al. (2020, fig. S2)
1090 for the location of the analyses.
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Peak	Retention time (min)	Major characteristic ions[a] (m/z)	Molecular ion	Compound	Possible origin[b]	Modern feather	Fossil feather[c]	Embedding sediment[c]
1	6.7	91; 92; 39; 65	92	Methylbenzene	(Phe)	X	X	X
2	6.9	55, 54, 42	83	2-methylbutanenitrile	Ileu	X		
3	7,1	43, 41, 39, 68	83	3-methylbutanenitrile	Leu	X		
4	8.0	67; 41; 39; 40	67	1H-Pyrrole	(Ser)	X		
5	12.1	91; 55; 106; 65	106	Ethylbenzene	(Phe)	X		
6	14.8	72; 42; 56; 131	131	N,N- Dimethylalanine methylester	Ala	X		
7	17.0	108; 78; 65; 39	108	Methoxybenzene	Tyr	X		
8	19.5	86; 102; 42; 55	145	N-Methyl-valine Methyl Ester	Val	X		
9	25.0	122; 77; 107; 91	122	1-Methoxy-4-methylbenzene	Tyr	X		
10	26.5	84; 42; 100; 58	143	N-Methyl-proline Methyl Ester	Pro	X		
11	31.2	56; 140; 42; 112; 83	140	2,5-Dimethylcyclohexane-1,4-Dione	Gly	X		
12	39.7	42; 127; 142; 56	142	1,3,5-Trimethylimidazolidine-2,4-Dione	Ala?	X		
13	44.8	56; 126; 139; 41	182	1-Isobutyl-4-isopropylimidazolinone	Val	X		
14	47.2	128; 42; 71; 113	170	5-Isopropyl-1,2,3-trimethylimidazolidinone	Val-(Gly)	X		
15	53.6	128; 42; 57; 71	128	1-Methylpiperazine-2,5-dione	Gly	X		
16	58.6	82; 167; 182; 110	182	?	His?	X		
17	60.5	152; 41; 55; 137; 179	194	?	Val?	X		
18	62.9	142; 113; 42; 71	198	1-Methyl-3-(1-methylpropyl)piperazine-2,5-dione	Ileu-Gly	X		
19	67.1	142; 113; 42; 98; 212	?	N-(1-oxo-2-amino-3-methyl-butyl)piperazine-2,5-dione derivative	Val	X		
20	75.5	139; 70; 42; 168	210	N-Methyl-3-methylidene-6-(3-methylbutyl)piperazine-2,5-dione	Ser-Leu	X		
21	79.2	168; 139; 70; 42	210	Isomer of compound 20	Ser-Leu	X		
22	23.7	136, 121, 122, 91	136	1-Methoxy-2,3-dimethylbenzene or 1-Ethyl-2-methoxybenzene	Lignin or cellulose		X	X
23	38.1	136, 122, 137	137	Methoxy-methylaniline	?		X	X
24	86.3	45; 57; 97; 224; 252	284	1-Methoxyoctadecane	?		X	X
●		43; 57; 71; 85		n-alkanes	Aliphatic chains		C_8-C_{30} (C_{18}, C_{11})	C_8-C_{29} (C_{15})
○		55; 43; 69; 83, 97		n-alk-1-enes	Aliphatic chains		C_8-C_{26} (C_{11}, C_{18})	C_8-C_{29} (C_{11}, C_{18})
Δ		87; 74; 43; 55		Fatty acid methyl esters	Aliphatic chains		C_8-C_{30} (C_{16})	C_8-C_{30} (C_{16})
□		91, 105		Alkyl benzenes	?		C_3	C_3-C_5 (C_5)

1094   Table 2. Main products released from pyrolysis of modern feathers, fossil feathers and embedding sediment in the presence of TMAH.

1095 [a]MS fragments are in order of decreasing abundance, with base peak underlined.

1096 [b]Compounds in brackets indicate possible origin that is not univocal; Ala, alanine; Gly, glycine; His, histidine; Ileu, isoleucine; Leu,

1097 leucine; Phe, phenylalanine; Pro, proline; Ser, serine; Tyr, tyrosine; Val, valine; ? tentative origin.

1098 [c]C_{range} (C_{max}, C_{submax}).

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